

Studies of Transition GPDs in Hall B



Towards improved hadron femtography with hard exclusive reactions 2023

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For the CLAS Collaboration

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Generalized Parton Distributions (GPDs)

$$\rho(x, \vec{k}_T, \vec{b}_T)$$

$$\int d^2 k_T$$

Integrate over transverse
momentum space

Generalized Parton Distributions
(GPDs)

3-D nucleon images in the
transverse coordinate and
longitudinal momentum space

S. Liuti et al., Phys. Rev. D 84, 034007
(2011) (GGL)

P. Kroll et al., Eur. Phys. J. A 47, 112
(2011) (GK)

quark pol.

N/q	<i>U</i>	<i>L</i>	<i>T</i>
<i>U</i>	<i>H</i>		\bar{E}_T
<i>L</i>		\tilde{H}	\tilde{E}_T
<i>T</i>	<i>E</i>	\tilde{E}	H_T, \tilde{H}_T

nucleon pol.

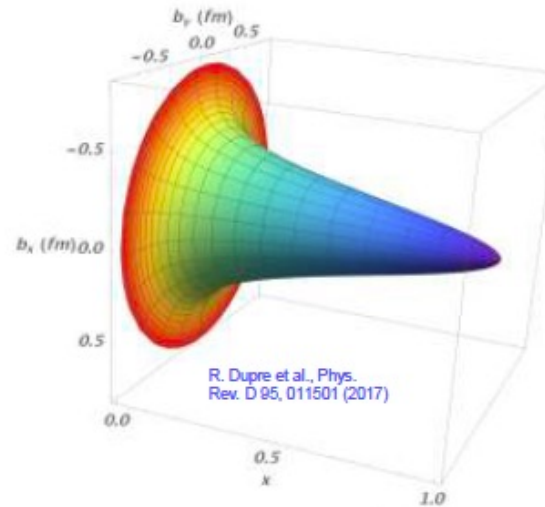
$$\bar{E}_T = 2\tilde{H}_T + E_T$$

Generalized Parton Distributions (GPDs)

$$\rho(x, \vec{k}_T, \vec{b}_T)$$

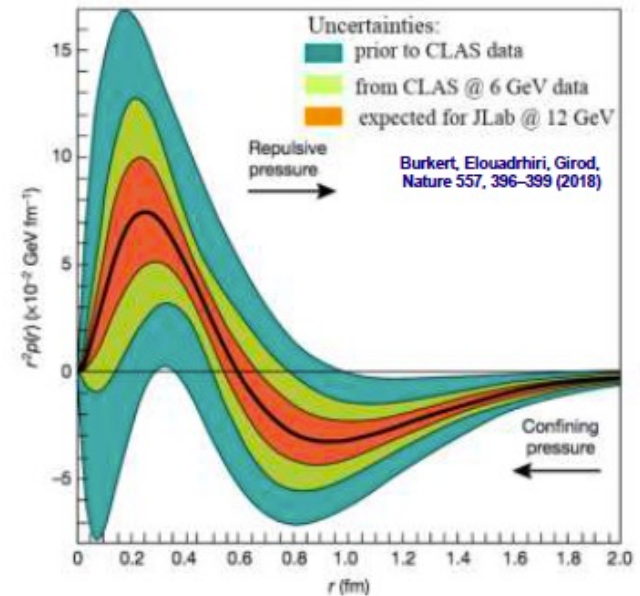
$$\int d^2 k_T$$

GPDs: 3-D nucleon images in the transverse coordinate and longitudinal momentum space



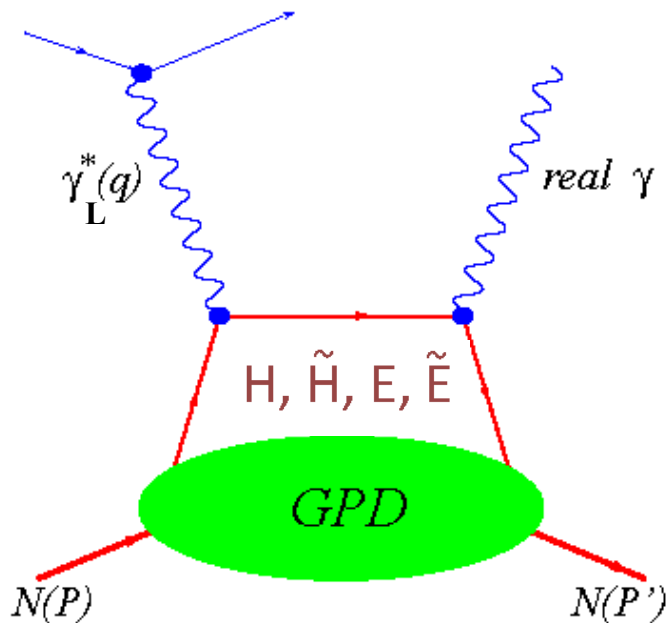
GPDs encode information on

- the distribution of pressure and shear forces via gravitational form factors
- the nucleon spin via Ji's sum rule
- the tensor charge
- the anomalous tensor magnetic moment
-



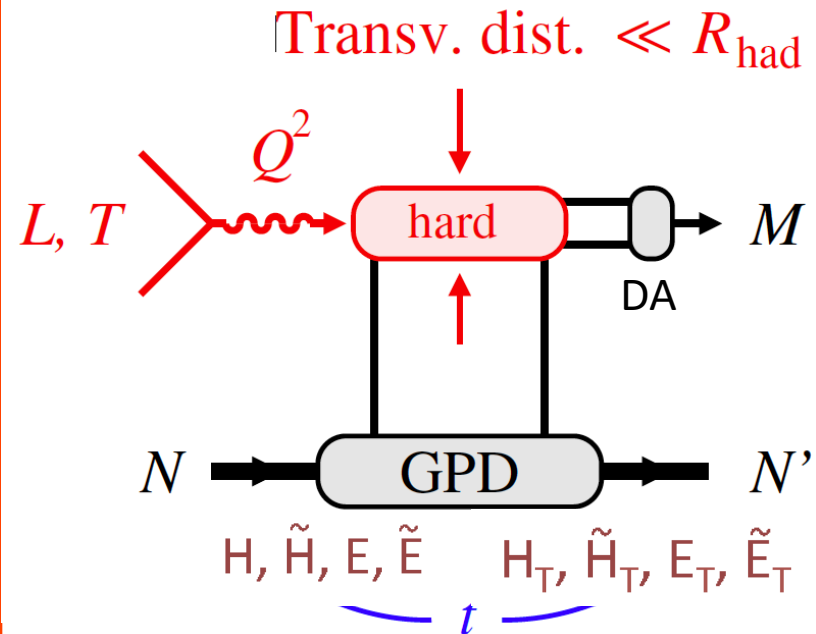
Study GPDs: Deeply Exclusive Processes

Deeply Virtual Compton Scattering (DVCS)



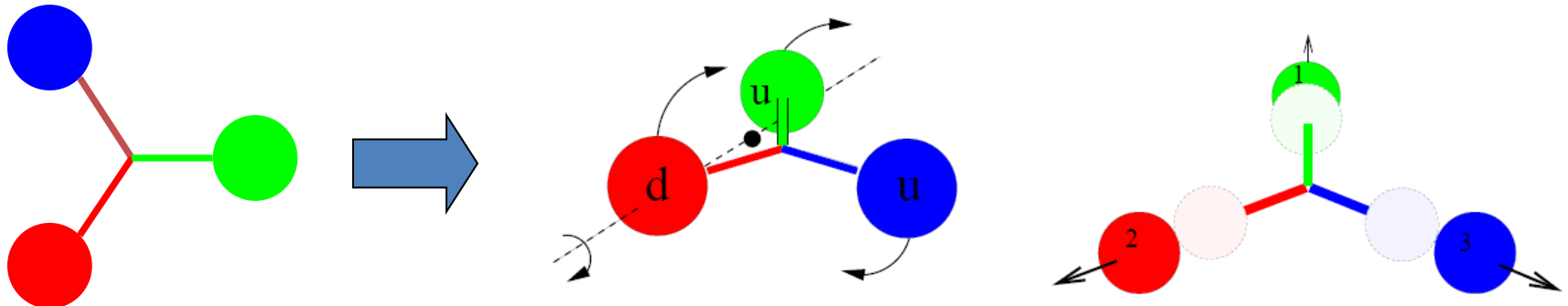
- + Clean process
- Only sensitive to chiral even GPDs

Deeply Virtual Meson Production (DVMP)



- + Access to transversity degrees of freedom described by chiral-odd GPDs
- Distribution Amplitude (DA) is involved as additional soft non pert. quantity

From the ground state nucleon to resonances



How does the excitation affect the 3D structure of the Nucleon?

→ Pressure distributions, tensor charge, ... of resonances?

Traditional way: Study of transition form factors (**2D picture** of transv. position)

3D picture of the excitation process: Encoded in **transition GPDs**

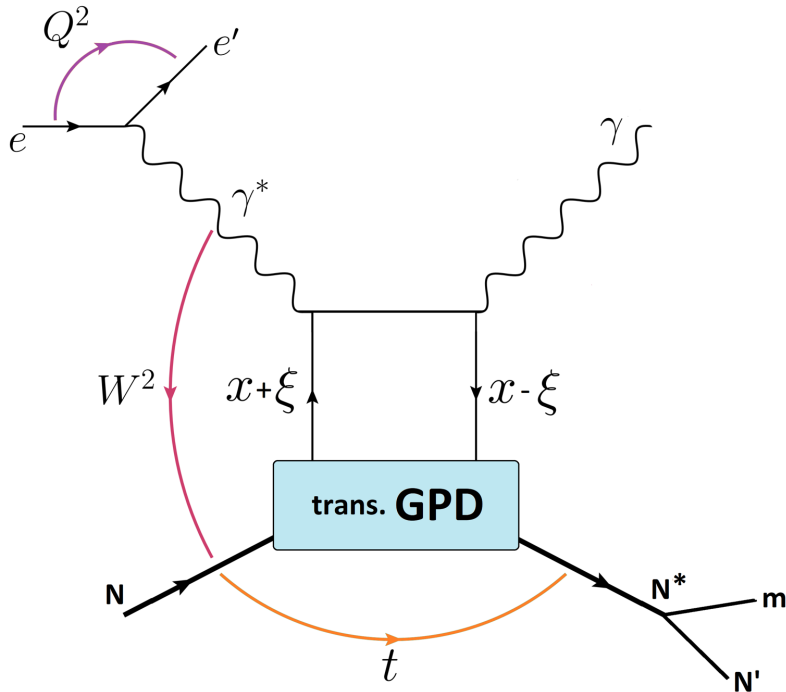
Simplest case: $N \rightarrow \Delta$ transition

→ **16 transition GPDs**

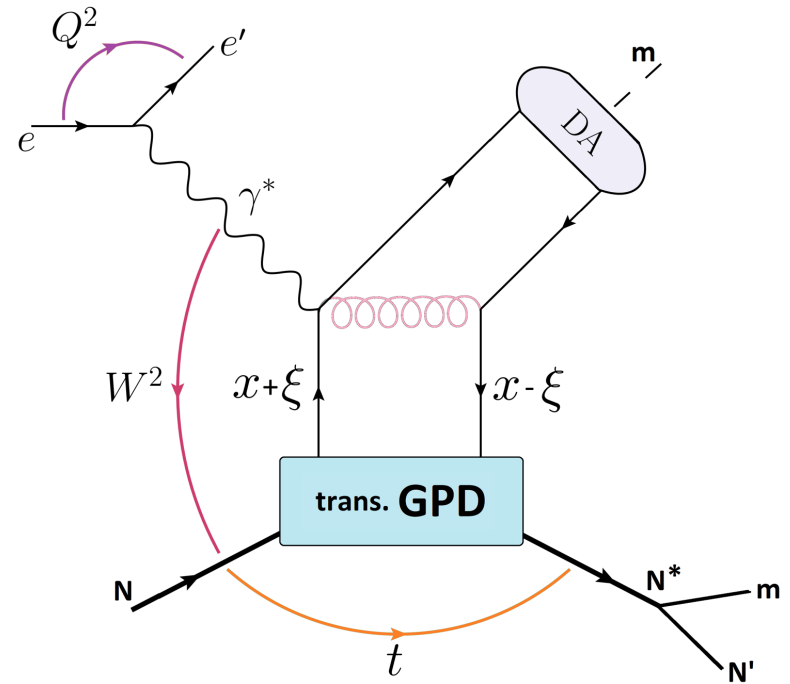
- **8 helicity non-flip transition GPDs (twist 2)**
 - Related to the Jones-Scardon and Adler EM FF for the $N \rightarrow \Delta$ transition
- **8 helicity flip transition GPDs (transversity)**

Non-diagonal DVCS / DVMP

non-diagonal DVCS



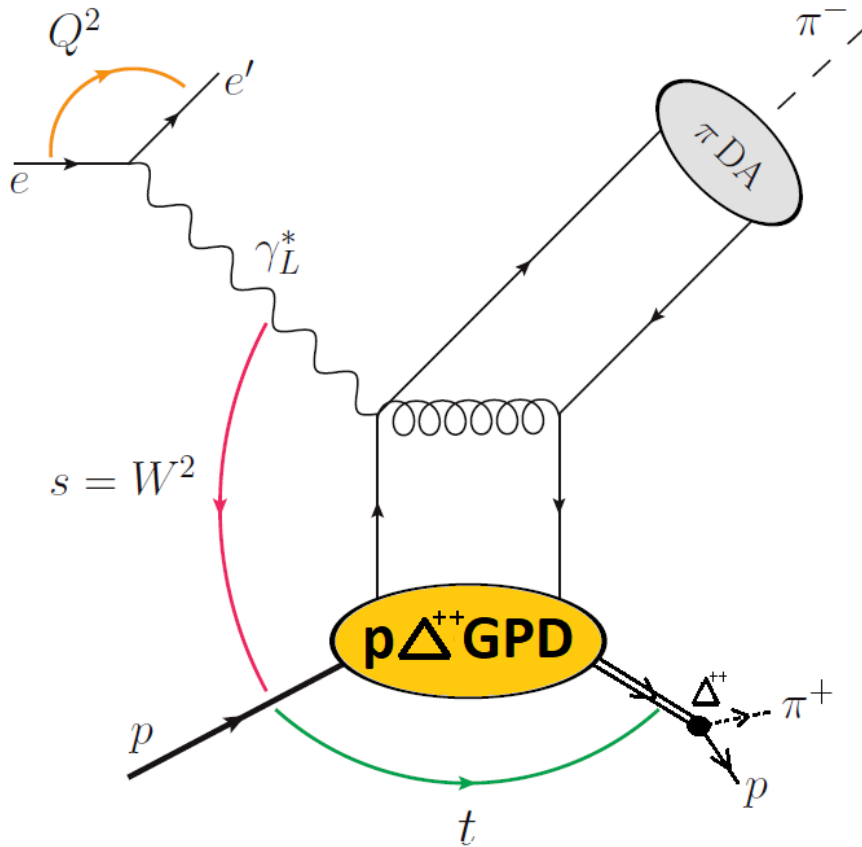
non-diagonal DVMP



factorization expected for: $-t/Q^2$ small, $Q^2 > M_{N^*}^2$ x_B fixed

8 helicity non-flip trans. GPDs + 8 helicity flip trans. GPDs

$$ep \rightarrow e\Delta^{++}\pi^{-} \rightarrow ep\pi^{+}\pi^{-}$$



Factorization expected for:

$$-t / Q^2 \ll 1, x_B \text{ fixed, and } Q^2 > M_\Delta^2$$

➔ Provides access to p - Δ transition GPDs

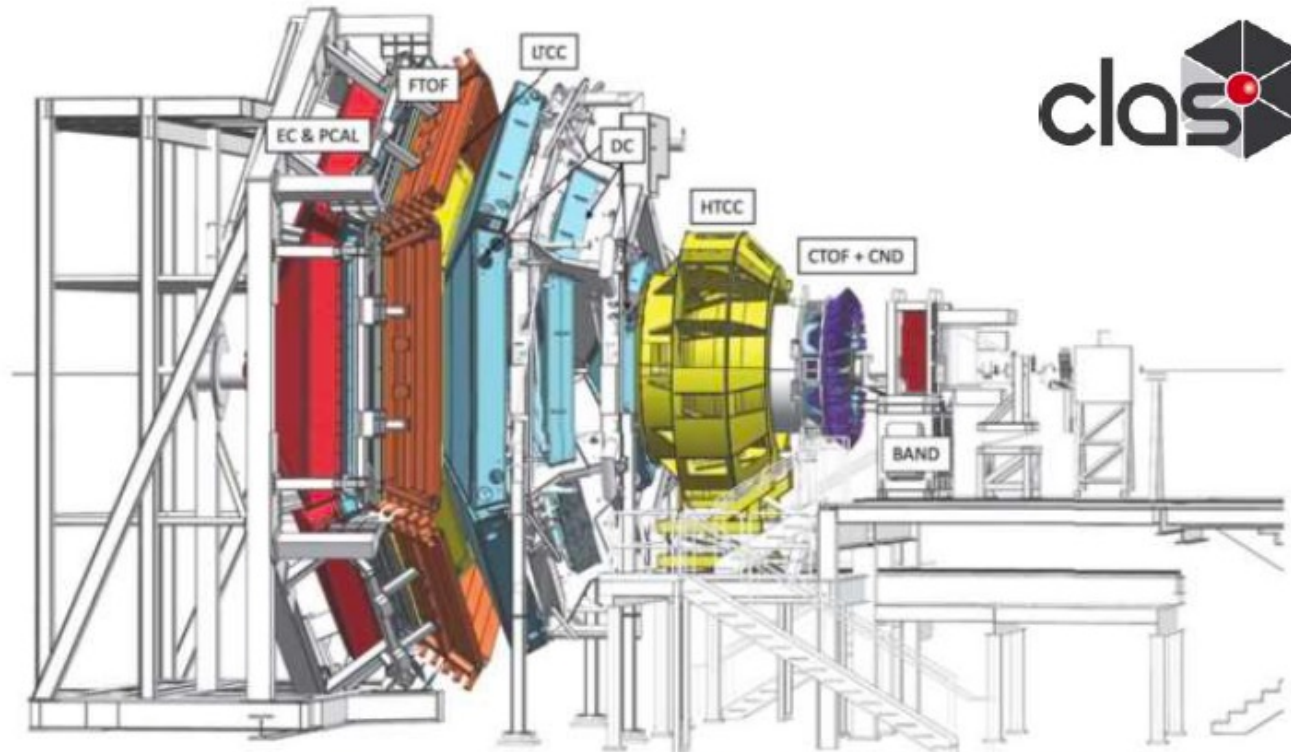
➔ 3D structure of the Δ resonance and of the excitation process

**First Measurement of Hard Exclusive $\pi^- \Delta^{++}$ Electroproduction
Beam-Spin Asymmetries off the Proton**

S. Diehl^{34,6}, N. Trotta,⁶ K. Joo,⁶ P. Achenbach,³⁹ Z. Akbar,^{46,12} W. R. Armstrong,¹ H. Atac,³⁸ H. Avakian,³⁹ L. Baashen,¹¹
 N. A. Baltzell,³⁹ L. Barion,¹⁵ M. Bashkanov,⁴⁵ M. Battaglieri,¹⁷ I. Bedlinskiy,²⁸ F. Benmokhtar,⁸ A. Bianconi,^{42,20}
 A. S. Biselli,⁹ F. Bossù,⁴ K.-T. Brinkmann,³⁴ W. J. Briscoe,¹³ D. Bulumulla,³³ V. Burkert,³⁹ R. Capobianco,⁶
 D. S. Carman,³⁹ J. C. Carvajal,¹¹ A. Celentano,¹⁷ G. Charles,^{21,33} P. Chatagnon,^{39,21} V. Chesnokov,³⁶ G. Ciullo,^{15,10}
 P. L. Cole,²⁵ M. Contalbrigo,¹⁵ G. Costantini,^{42,20} V. Crede,¹² A. D'Angelo,^{18,35} N. Dashyan,⁴⁸ R. De Vita,¹⁷ A. Deur,³⁹
 C. Djalali,^{32,37} R. Dupre,²¹ M. Ehrhart,^{21,*} A. El Alaoui,⁴⁰ L. El Fassi,²⁷ L. Elouadrhiri,³⁹ S. Fegan,⁴⁵ A. Filippi,¹⁹
 G. Gavalian,³⁹ D. I. Glazier,⁴⁴ A. A. Golubenko,³⁶ G. Gosta,^{42,20} R. W. Gothe,³⁷ Y. Gotra,³⁹ K. Griffioen,⁴⁷ K. Hafidi,¹
 H. Hakobyan,⁴⁰ M. Hattawy,^{33,1} T. B. Hayward,⁶ D. Heddle,^{5,39} A. Hobart,²¹ M. Holtrop,²⁹ I. Illari,¹³ D. G. Ireland,⁴⁴
 E. L. Isupov,³⁶ H. S. Jo,²⁴ R. Johnston,²⁶ D. Keller,⁴⁶ M. Khachatryan,³³ A. Khanal,¹¹ A. Kim,⁶ W. Kim,²⁴ V. Klimenko,⁶
 A. Kripko,³⁴ V. Kubarovsky,³⁹ S. E. Kuhn,³³ V. Lagerquist,³³ L. Lanza,^{18,35} M. Leali,^{42,20} S. Lee,¹ P. Lenisa,^{15,10} X. Li,²⁶
 I. J. D. MacGregor,⁴⁴ D. Marchand,²¹ V. Mascagna,^{42,41,20} G. Matousek,⁷ B. McKinnon,⁴⁴ C. McLaughlin,³⁷
 Z. E. Meziani,^{1,38} S. Migliorati,^{42,20} R. G. Milner,²⁶ T. Mineeva,⁴⁰ M. Mirazita,¹⁶ V. Mokeev,³⁹ P. Moran,²⁶ C. Munoz
 Camacho,²¹ P. Naidoo,⁴⁴ K. Neupane,³⁷ S. Niccolai,²¹ G. Niculescu,²³ M. Osipenko,¹⁷ P. Pandey,³³ M. Paolone,^{30,38}
 L. L. Pappalardo,^{15,10} R. Paremuzyan,^{39,29} S. J. Paul,⁴³ W. Phelps,^{5,13} N. Pilleux,²¹ M. Pokhrel,³³ J. Poudel,^{33,†} J. W. Price,²
 Y. Prok,³³ A. Radic,⁴⁰ B. A. Raue,¹¹ T. Reed,¹¹ J. Richards,⁶ M. Ripani,¹⁷ J. Ritman,^{14,22} P. Rossi,^{39,16} F. Sabatié,⁴
 C. Salgado,³¹ S. Schadmand,¹⁴ A. Schmidt,^{13,26} Y. G. Sharabian,³⁹ U. Shrestha,^{6,32} D. Sokhan,^{4,44} N. Sparveris,³⁸
 M. Spreafico,¹⁷ S. Stepanyan,³⁹ I. Strakovsky,¹³ S. Strauch,³⁷ M. Turisini,¹⁶ R. Tyson,⁴⁴ M. Ungaro,³⁹ S. Vallarino,¹⁵
 L. Venturelli,^{42,20} H. Voskanyan,⁴⁸ E. Voutier,²¹ D. P. Watts,⁴⁵ X. Wei,³⁹ R. Williams,⁴⁵ R. Wishart,⁴⁴ M. H. Wood,³
 M. Yurov,²⁷ N. Zachariou,⁴⁵ Z. W. Zhao,^{7,33} and M. Zurek¹

(CLAS Collaboration)

CLAS12 at JLAB



V. Burkert et al., Nucl. Instr. Meth. A 959, 163419 (2020)

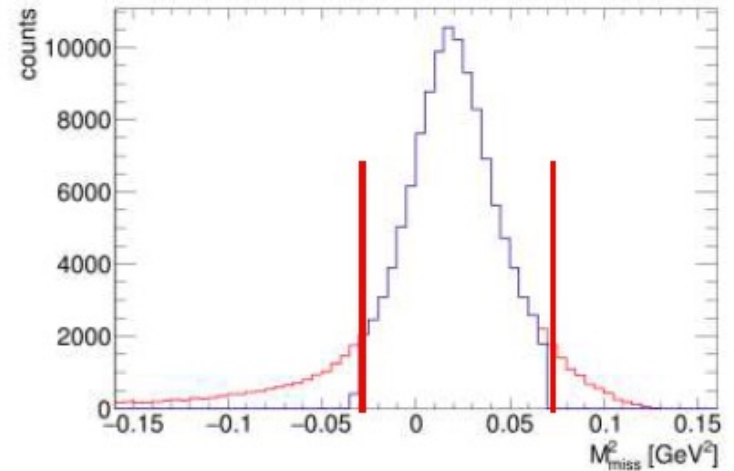
- Data recorded with CLAS12 during fall 2018 and spring 2019 (RG-A)
 - 10.6 GeV / 10.2 GeV electron beam ~ 86 % average polarization
 - liquid H₂ target

Event Selection and Kinematic Cuts

Event selection: $ep \rightarrow ep\pi^- X$

$$X = \pi^+$$

→ 2 sigma cut around the missing π^+



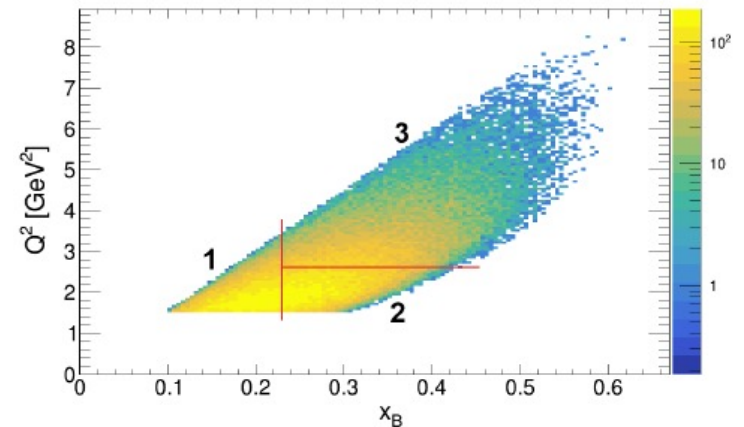
Kinematic cuts:

$$Q^2 > 1.5 \text{ GeV}^2$$

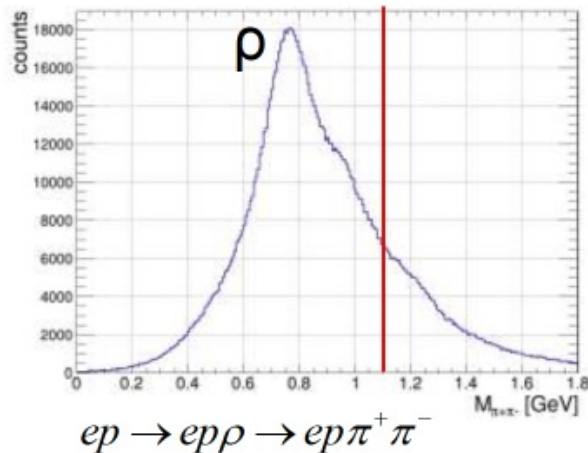
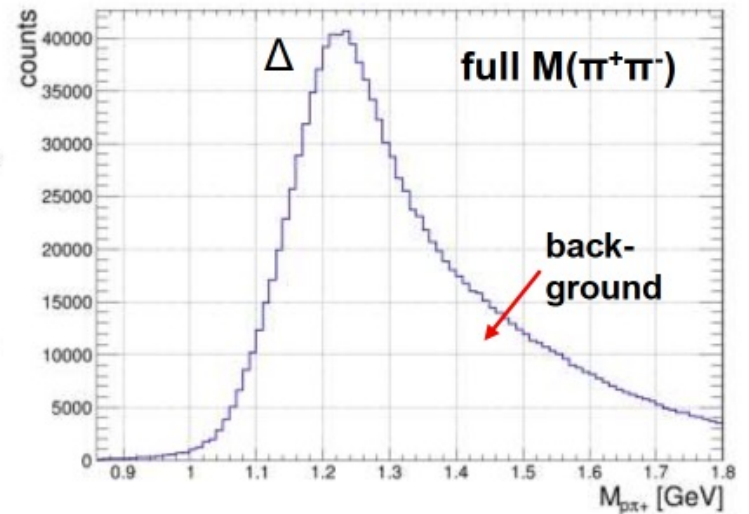
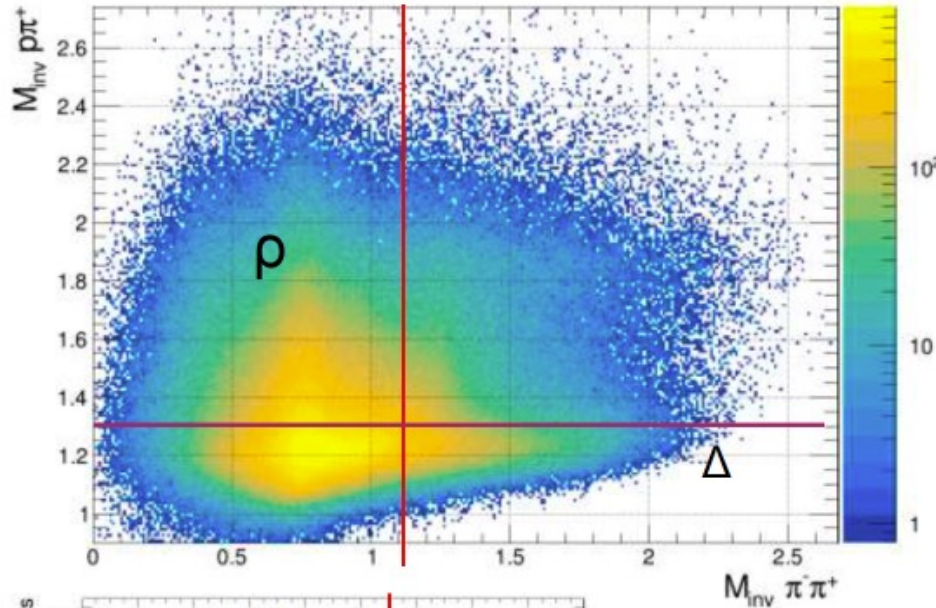
$$W > 2 \text{ GeV}$$

$$y < 0.75$$

$$-t < 1.5 \text{ GeV}^2$$



Event Selection and Background Rejection

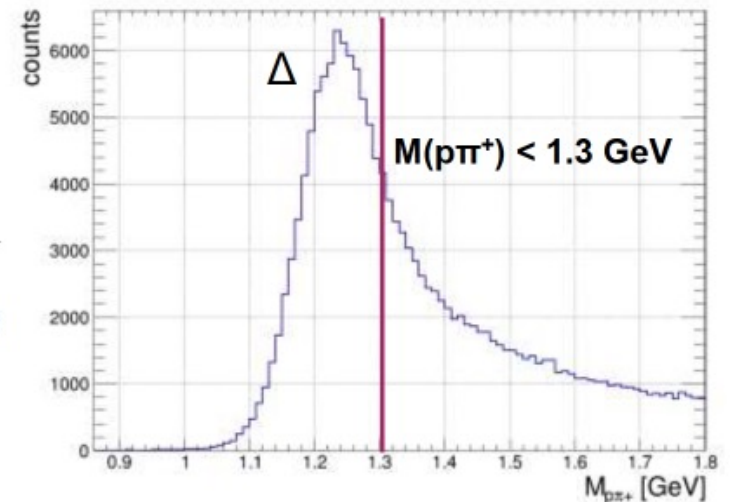


$M(\pi^+\pi^-) > 1.1$ GeV



ρ contamination

$< 0.8\%$



Monte Carlo Simulations

2 MC samples have been used:

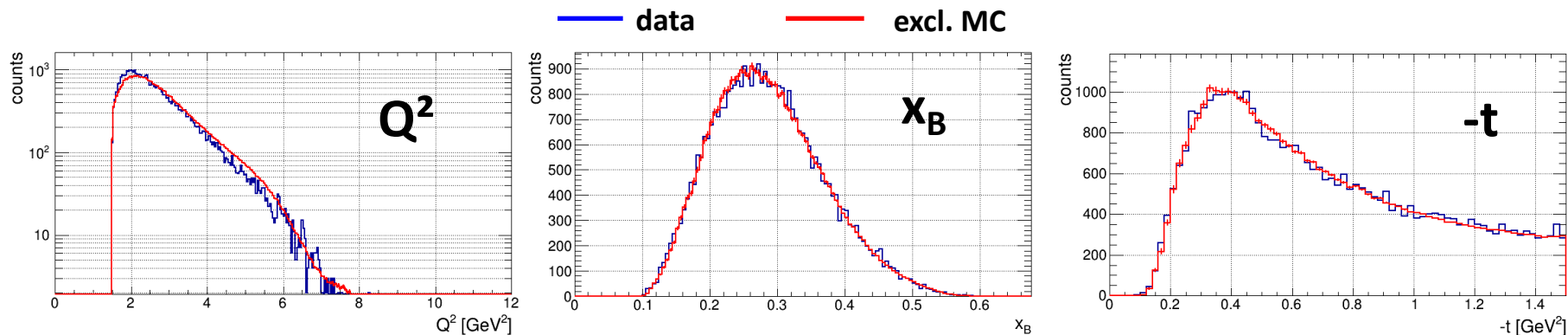
a) Background: Semi-inclusive DIS MC

- Does not contain the $\pi^-\Delta^{++}$ production in „forward“ kinematics
- Contains nonres. background as well as ρ production and other potential background channels
- Used to estimate background shape and contaminations

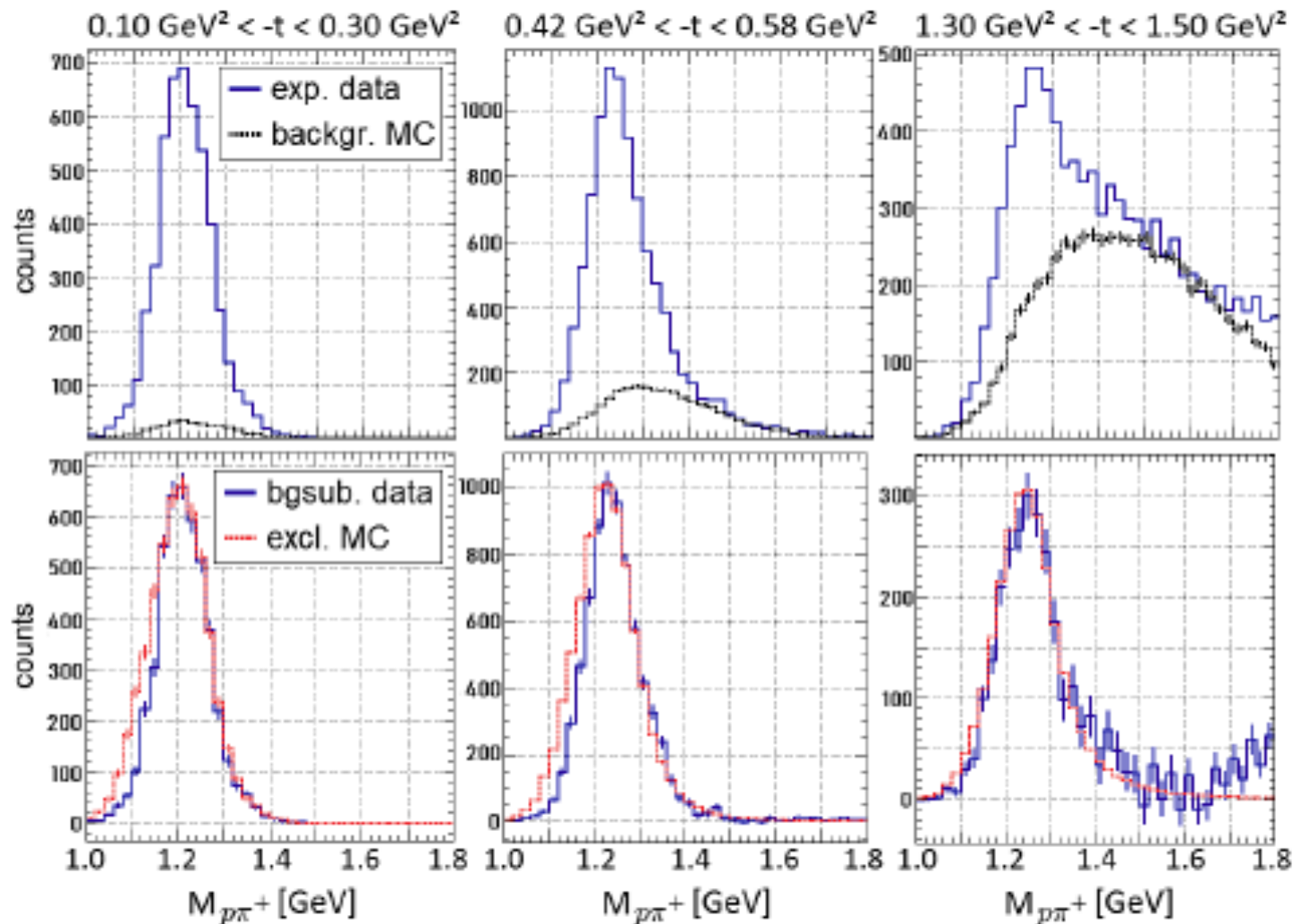
b) Signal: Exclusive $\pi^-\Delta^{++}$ MC

- Phase space simulation with a weight added to match experimental data
- Δ peak with PDG mass and FWHM

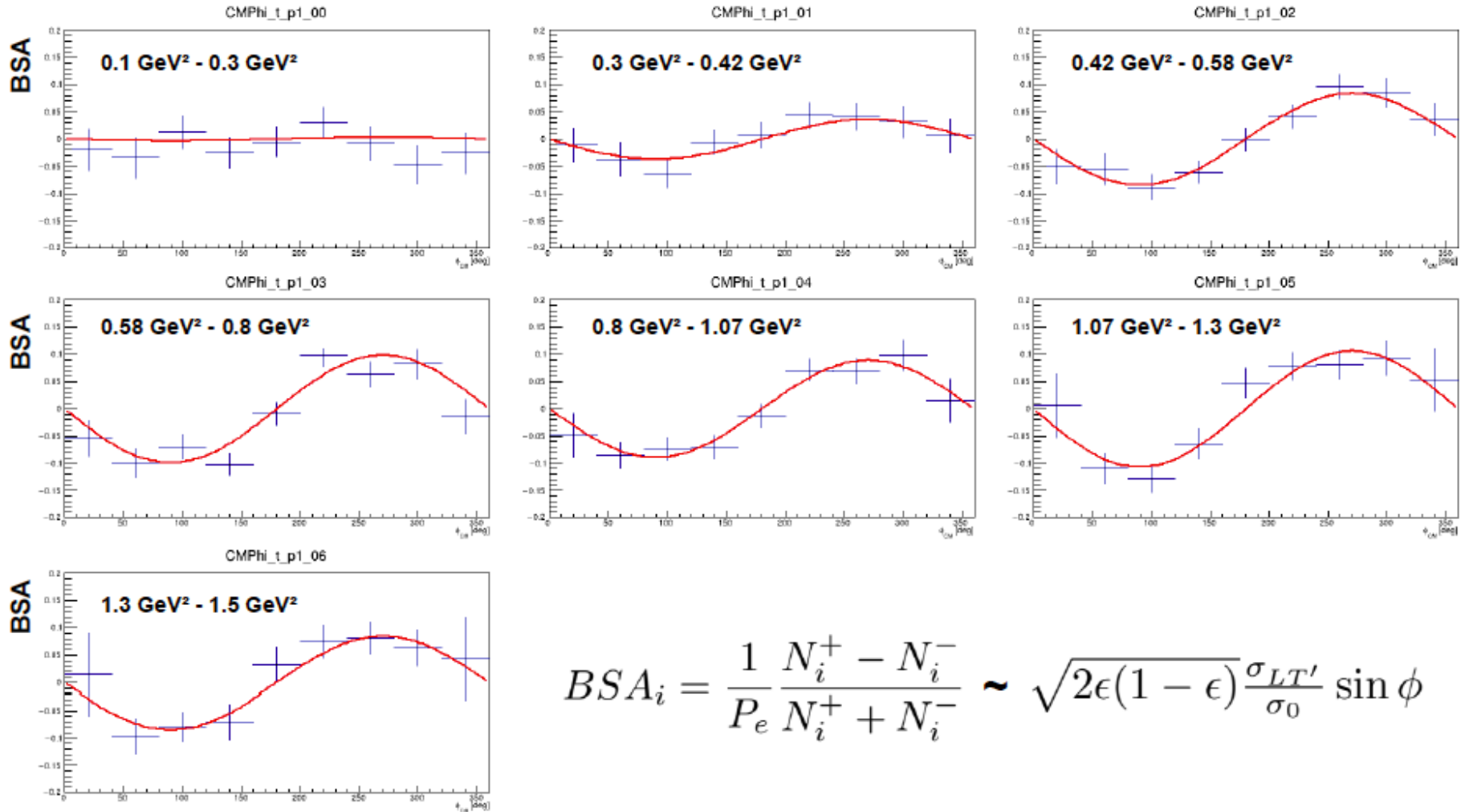
➔ Both MCs are processed through the full simulation and reconstruction chain



Signal and Background Separation



Resulting Beam Spin Asymmetries (Q^2 - x_B integrated)



$$BSA_i = \frac{1}{P_e} \frac{N_i^+ - N_i^-}{N_i^+ + N_i^-} \sim \sqrt{2\epsilon(1-\epsilon)} \frac{\sigma_{LT'}}{\sigma_0} \sin \phi$$

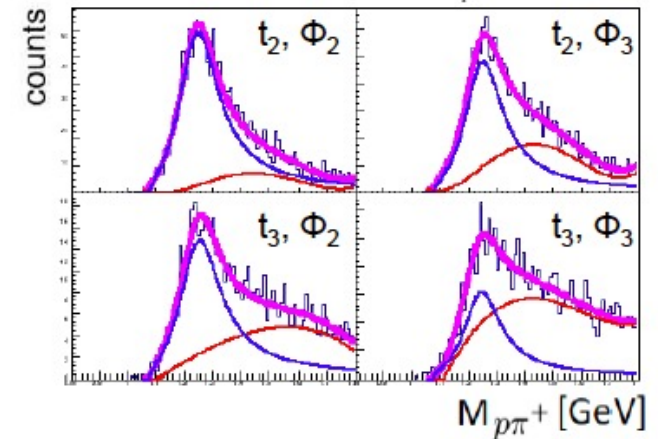
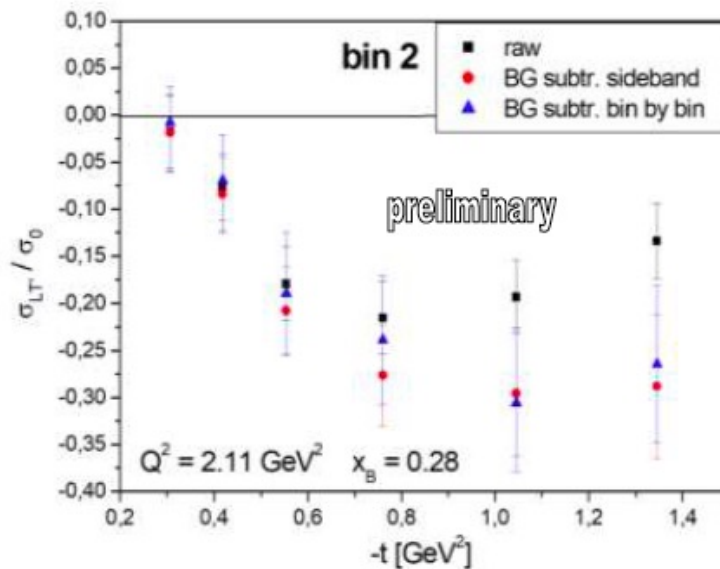
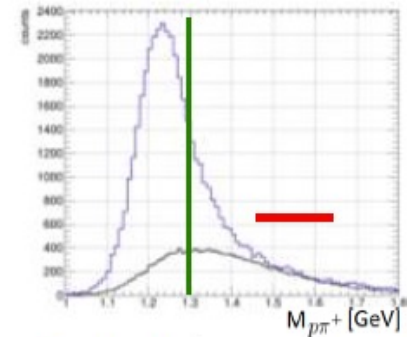
Background Asymmetry Subtraction

Method 1: A sideband based background subtraction

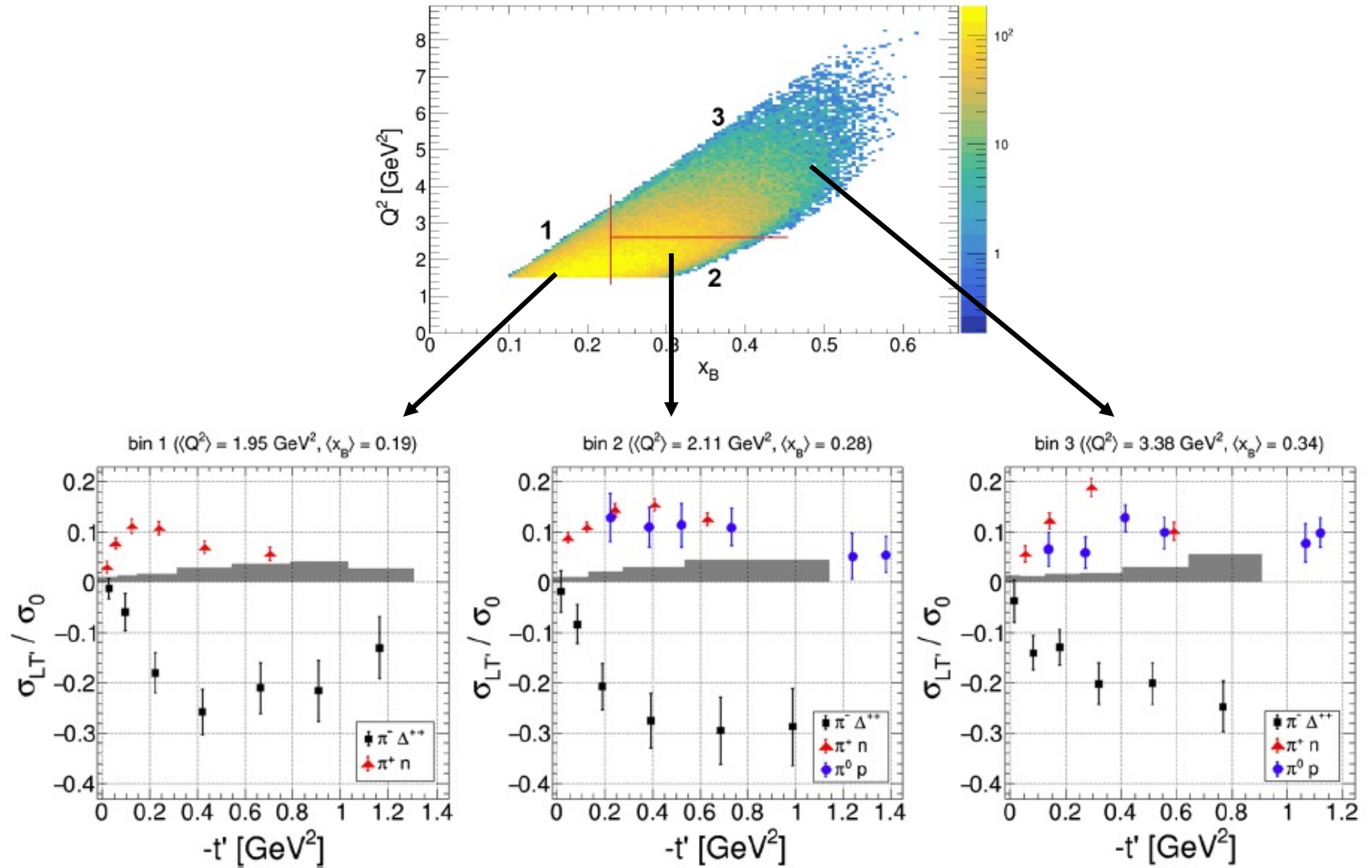
- S/B ratio from a fit of the signal shape and background asymmetry from the sideband

Method 2: A bin-by-bin background subtraction

- Fit of the $p\pi^+$ inv. mass with a „Sill“ function and a 5th order polynomial in each Q^2 , x_B , $-t$, Φ bin.

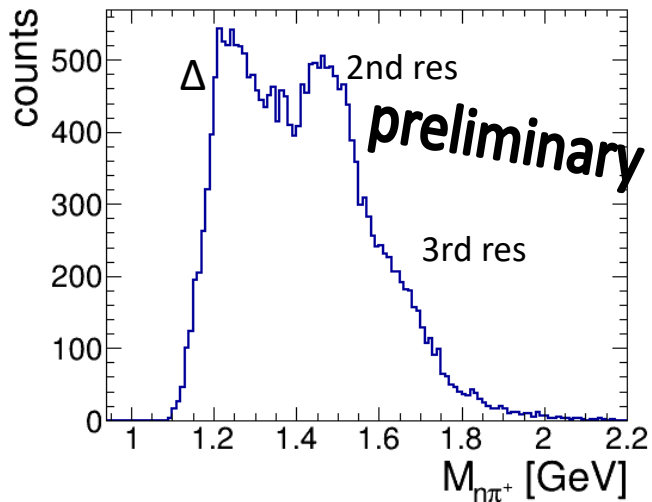


Results

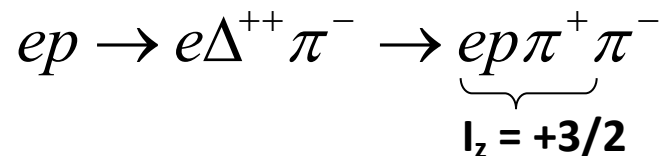
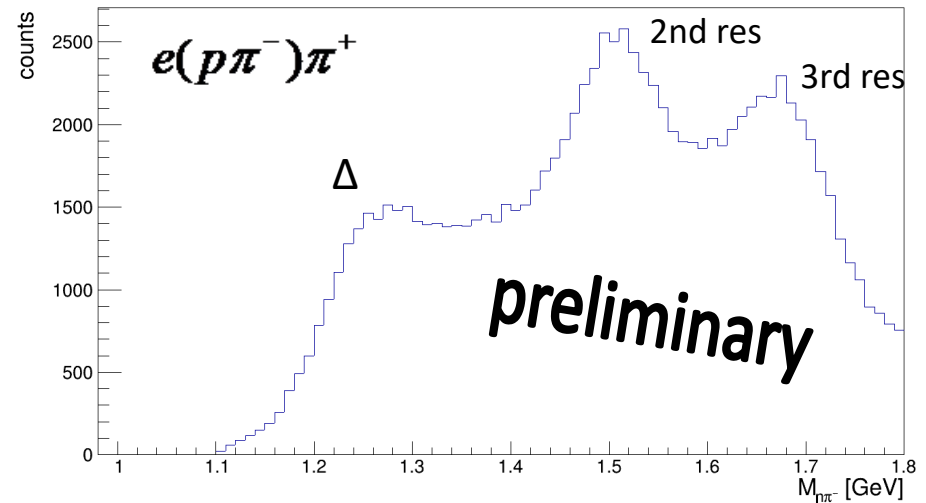
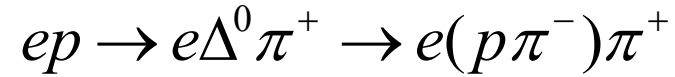


Outlook and Next Steps

non-diagonal DVCS



Other non-diagonal DVMP channels



➔ The $p\pi^+$ final state can **only** be populated by **Δ -resonances**

- Large gap between $\Delta(1232)$ and higher resonances

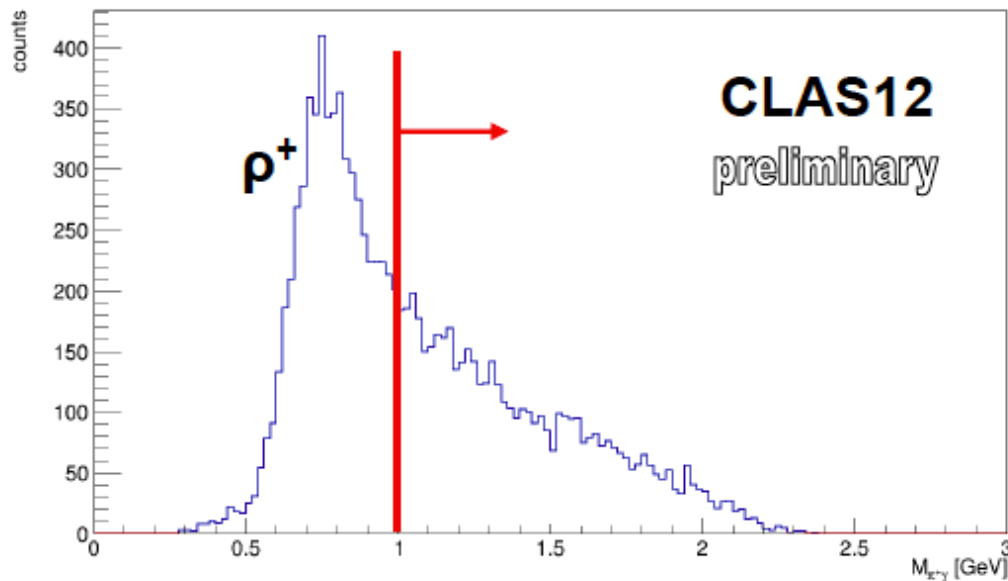
Non-Diagonal DVCS

$$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$$

Kinematic cuts: $W > 2 \text{ GeV}$ $Q^2 > 1 \text{ GeV}^2$ $y < 0.8$ $-t < 2 \text{ GeV}^2$ $E_{\text{DVCS}} > 2 \text{ GeV}$

Background:

$M(\pi^+ \gamma)$ for $1.13 \text{ GeV} < M(\pi^+ n) < 1.33 \text{ GeV}$

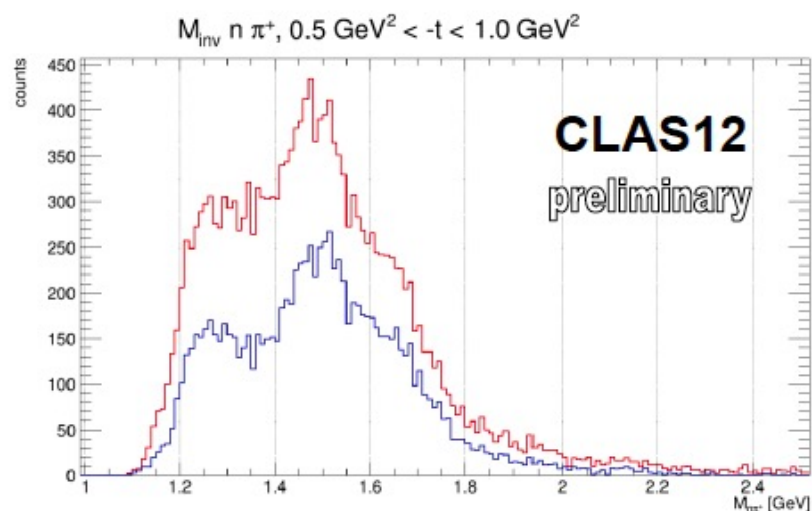
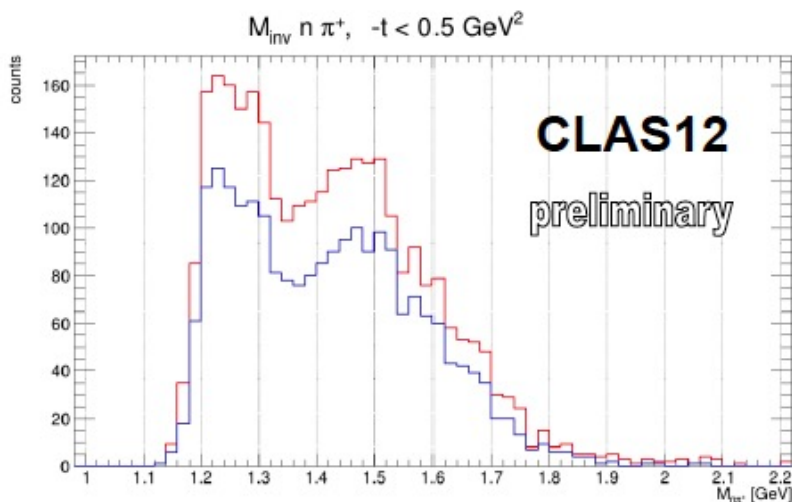


- Dominant background from $\rho^+ \rightarrow \pi^+ \gamma$

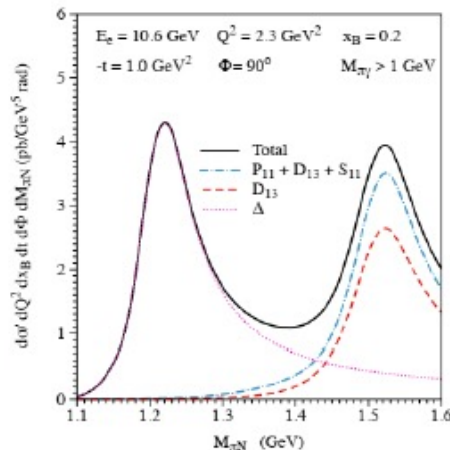
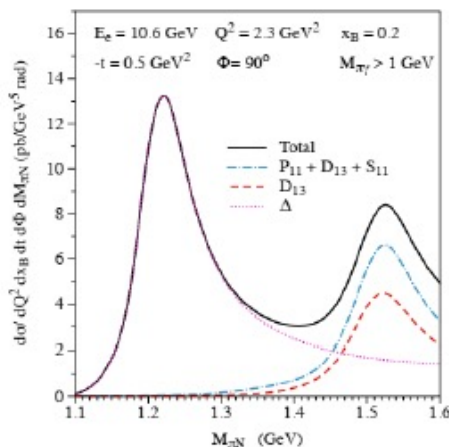
$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$

$e p \rightarrow e' \Delta^+ \gamma \rightarrow e' n \pi^+ \gamma$

— raw — $M(\pi^+\gamma) > 1.0 \text{ GeV}$



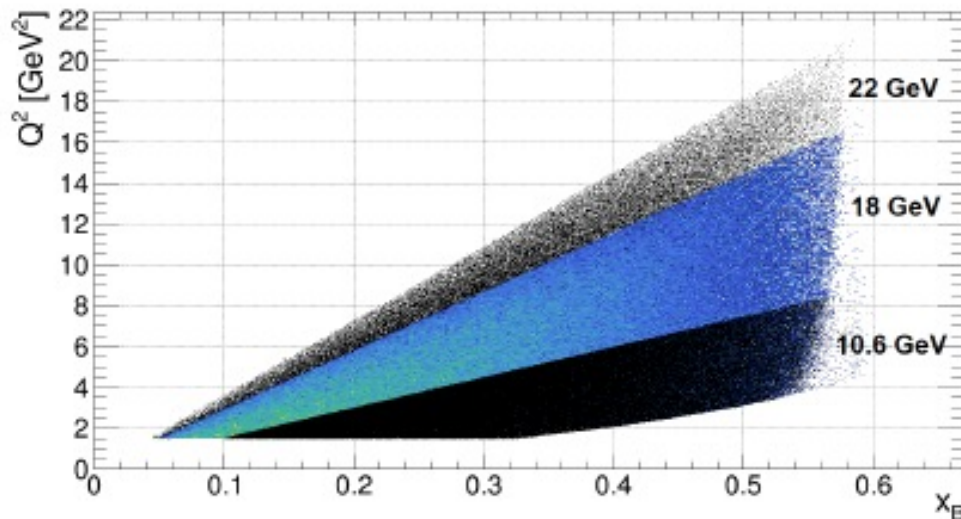
Semenov-Tian-Shansky,
Vanderhaeghen,
arXiv:2303.00119 (2023)



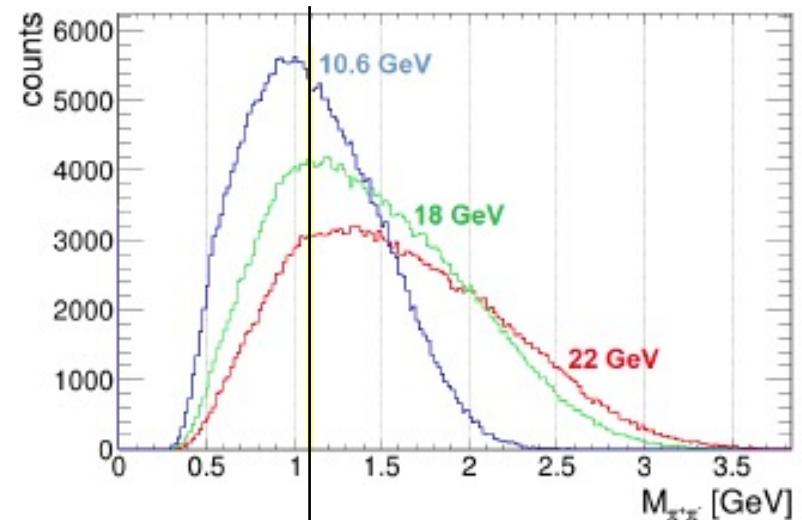
- study in progress
- π^+ mainly in CD and low momentum
- Good agreement of BSA
- awaiting pass 2

Studies of Transition GPDs at JLab 22 GeV

Q^2-x_B

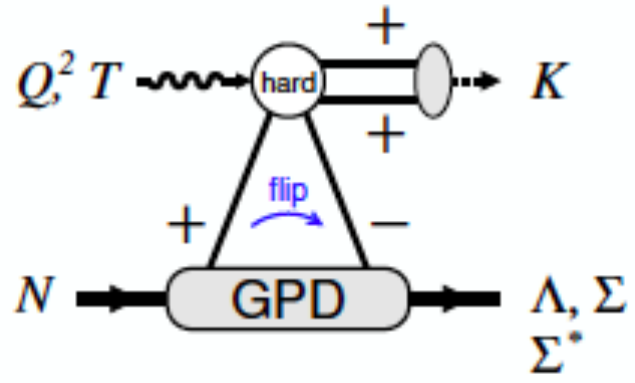


$M_{\pi^+\pi^-}$



- Comparison of the available phase space, accessible with the present CLAS12 setup, in $Q^2 - x_B$ or the $\pi^- \Delta^{++}$ process under forward kinematics ($-t < 1.5$ GeV²) (left) and for the $\pi^+\pi^-$ invariant mass of the same process.

$N \rightarrow \Lambda, \Sigma, \Sigma^*$ GPDs in K production with CLAS12



Production mechanism

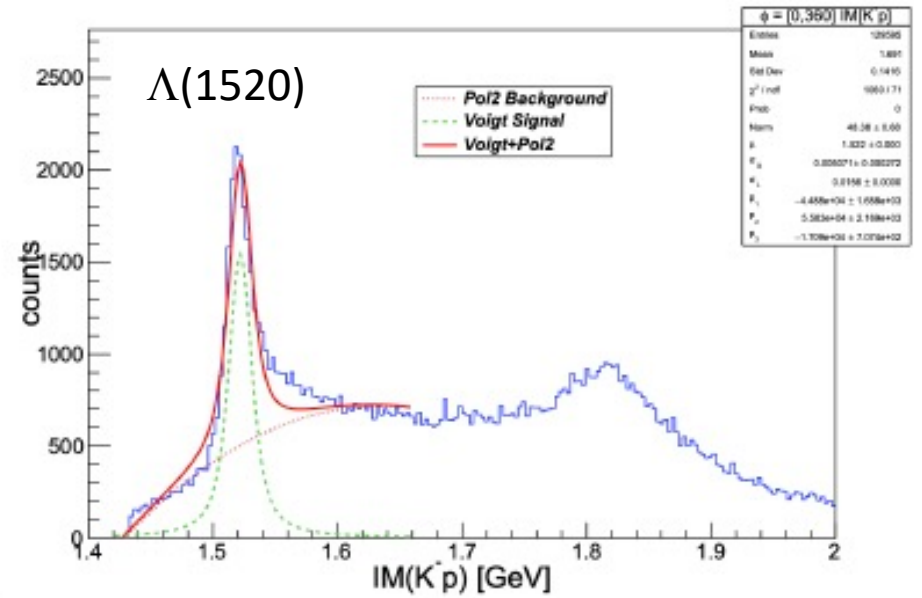
Same twist-3 mechanism with chiral-odd structures as π, η production

Symmetry relations for strange chiral-odd GPDs

$N \rightarrow \Lambda, \Sigma$ related to $N \rightarrow N$
by conventional SU(3) flavor symmetry

$N \rightarrow \Sigma^*$ related to $N \rightarrow N, \Lambda, \Sigma$
by SU(6) spin-flavor symmetry in large- N_c limit

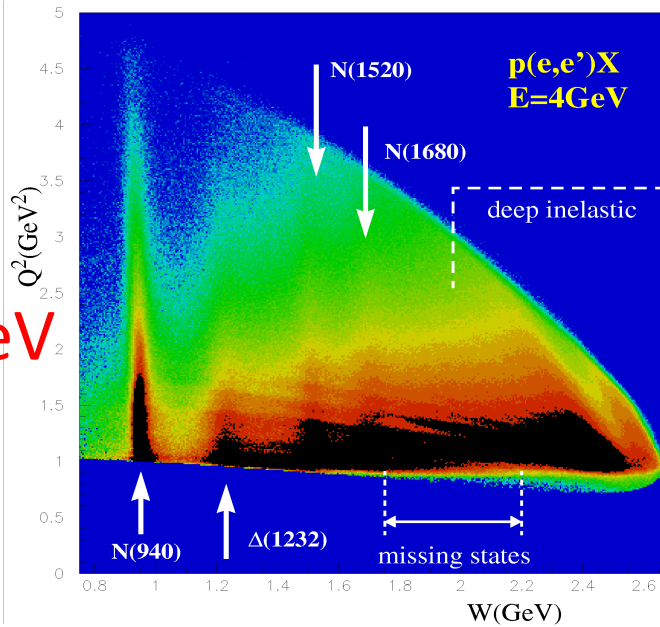
Predictive power; quantitative predictions possible



Invariant mass distribution of pK^-
after $ep \rightarrow e'p'K^+K^-$ events are selected.

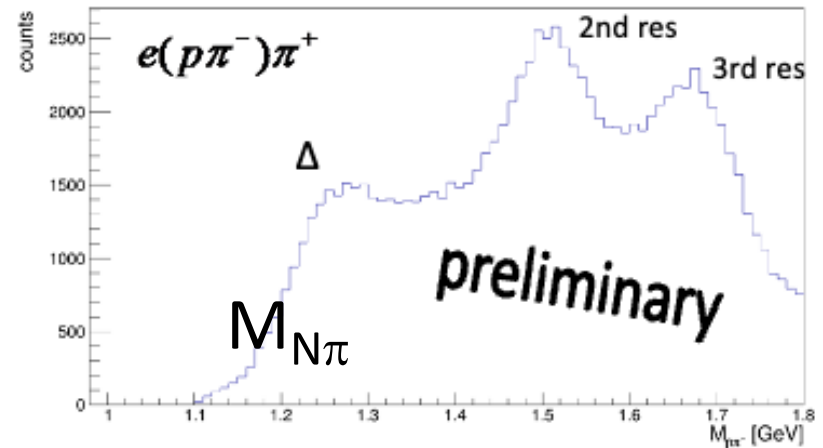
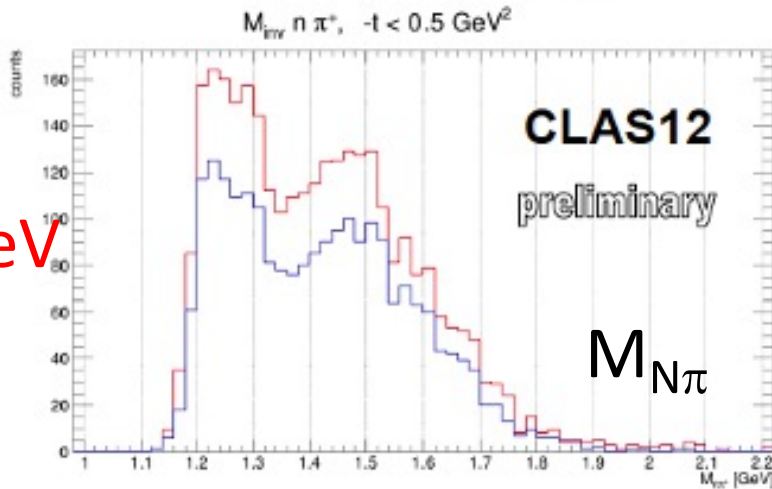
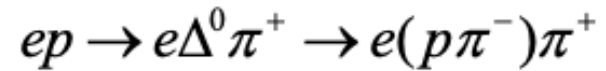
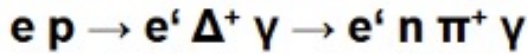
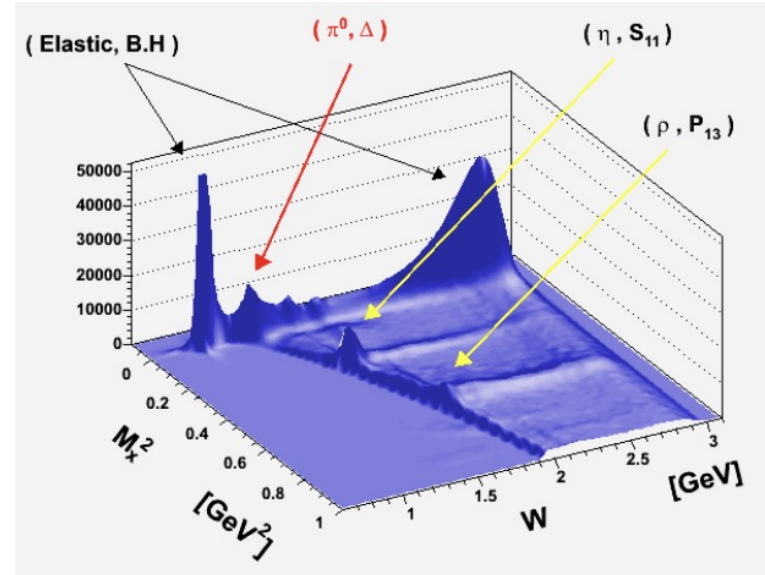
- 22 GeV kinematic coverage is similar to exclusive $\Delta^{++} \pi^-$ production.

$p(e,e')X$



4-6 GeV

$p(e,e'p)X$



11 GeV

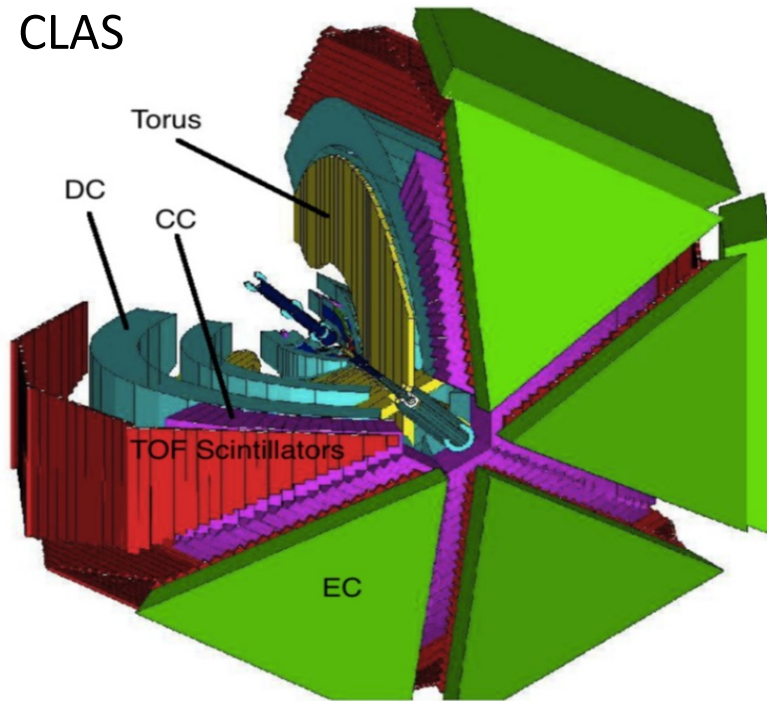
Conclusion and Outlook

1. Hard exclusive $\pi^-\Delta^{++}$ production has been measured with CLAS12 and provides a first observable sensitive to p->D transition GPDs. (Phys. Rev. Lett. 131, 021901 (2023))
2. The obtained BSA is clearly negative and ~ 2 times larger than that for π^+
3. A transition GPD based description of the reaction exists by P. Kroll and K. Passek-Kumericki (Phys. Rev. D 107, 054009 (2023)), but a reliable prediction of BSAs is not possible due to missing experimental constraints to the transversity transition GPDs.

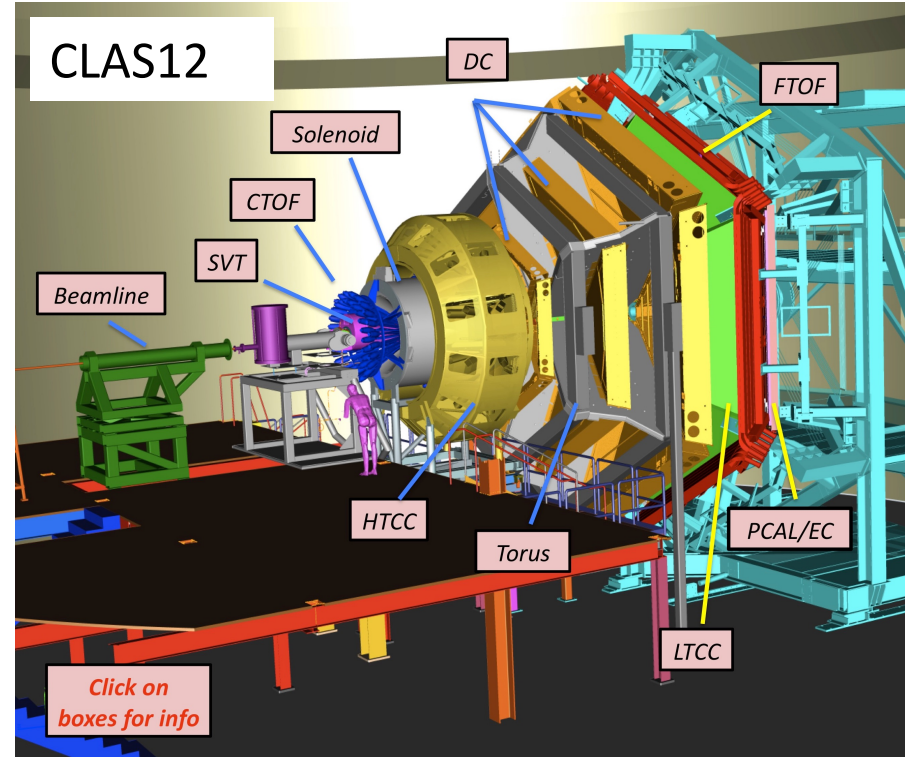
Outlook

1. Cross sections and A_{LL} of $\pi^-\Delta^{++}$ (Run Group C) will provide further constraints to the transition GPDs.
2. Other N->N* DVMP processes are under investigation by scanning invariant mass of N π
3. The N->N* DVCS process will further constrain the twist-2 transition GPDs. K. Semenov, M. Vanderhaeghen, arXiv:2303.00119 (2023)

CLAS



CLAS12



Transition Form Factors
(N^* Physics) at 6 GeV JLab Era



Transition GPDs ($3D N^*$ Physics)
at 12-22 GeV JLab Era

Sources of Systematic Uncertainty

1. Uncertainty of the background subtraction

→ 2 sources of uncertainty: S/B ratio and sideband asymmetry

→ Both sources were varied within their uncertainty range

→ Typically in the order of 1.5 % (low -t) - 12.5 % (high -t) (stat. \sim 12 – 25 %)

→ Dominant sys. uncertainty for the high -t bins

2. Uncertainty of the beam polarization \sim 3.1 %

3. Effect of the extraction method and the denominator terms \sim 2.8 %

4. Acceptance and bin-migration effects \sim 2.9 %

→ Comparison of injected and reconstructed BSA in the MC

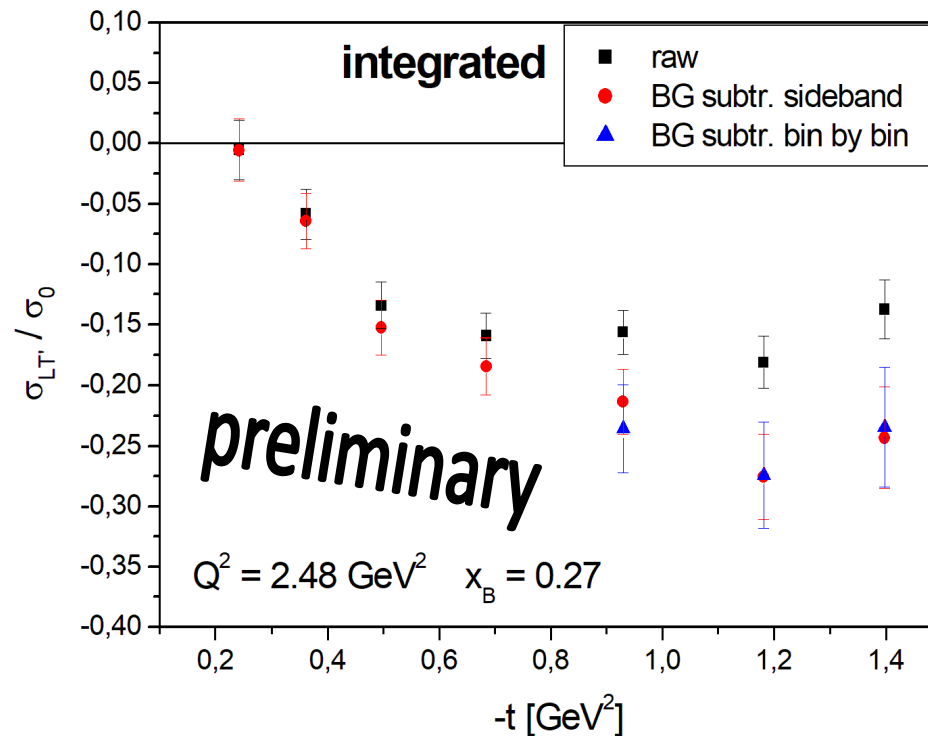
5. Radiative effects \sim 3.0 %

6. Other sources (particle ID, fiducial cuts, ...) $<$ 2.0 %

Total: 7.1 - 14.3 %

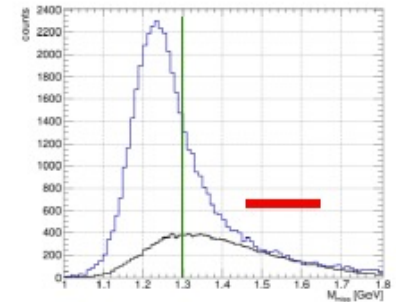
Background Subtraction

- Based on the obtained S/B ratio and based on the asymmetry of the sideband, the contribution of the non-resonant background has been subtracted.
- As a crosscheck, a bin-by-bin background subtraction has been performed with a fit of the signal and background function in each phi bin and for each helicity state.
- A good agreement of the two methods has been found.

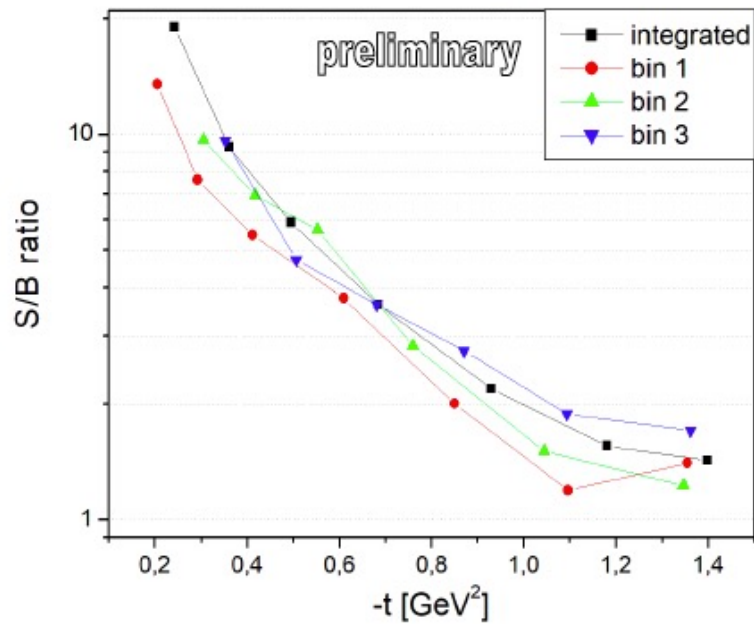


Background Subtraction

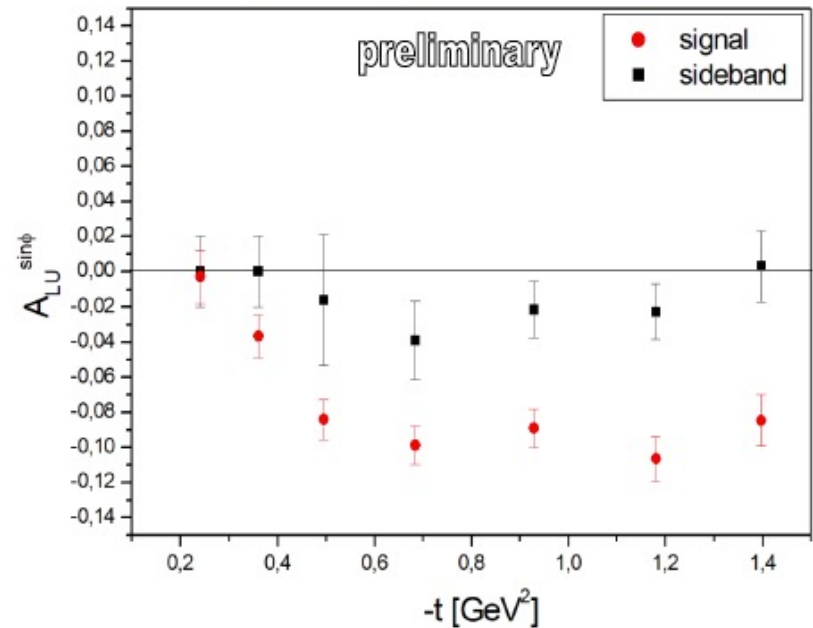
Method 1: A sideband based background subtraction



S/B ratio based on
data - MC comparison



asymmetry of the sidebands



Background Subtraction

Method 2: A bin-by-bin background subtraction

- Fit of the $\rho\pi^+$ inv. mass with a „Sill“ function and a 5th order polynomial in each Q^2 , x_B , $-t$, Φ bin.

