

# Aspects of the modeling of GPDs at small skewness

**Hervé Dutrieux**

collaboration with V. Bertone, M. Winn

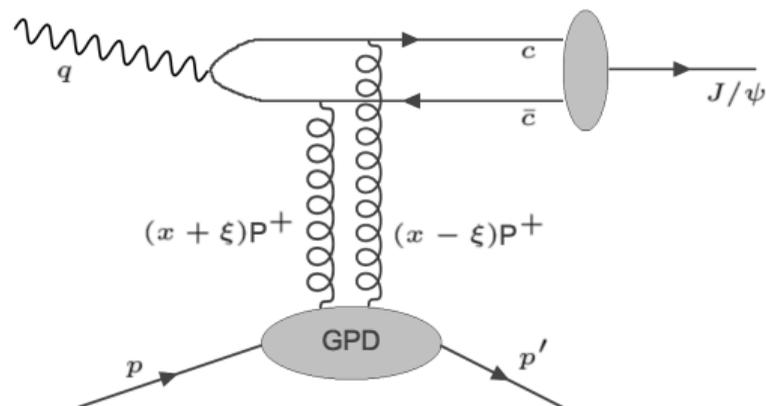
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hldutrieux@wm.edu



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# Vector meson production



*LO depiction of  $J/\psi$  photoproduction.*  $\xi$  is the **skewness** measuring the transfer of plus-momentum to the hadron.  $x$  is the average plus-momentum of the active parton.

Transfer of four-momentum to the hadron  $\rightarrow$  description in the framework of collinear factorization by **generalized parton distributions (GPDs)** and **non-relativistic QCD matrix element** for moderate or small photon virtuality  $Q^2 = -q^2$ . Hard scale provided by  $m_V/2$  [Jones et al, 2015].

$$\xi = \frac{p^+ - p'^+}{p^+ + p'^+} \approx \frac{x_B}{2}, \quad t = (p' - p)^2$$

# Vector meson production

- Vector meson production amplitude up to NLO [Ivanov et al, 2004]:

$$\mathcal{F}(\xi, t) \propto \left( \frac{\langle O_1 \rangle_V}{m_V^3} \right)^{1/2} \sum_{a=q,g} \int_{-1}^1 dx T^a(x, \xi) F^a(x, \xi, t) \quad (1)$$

where  $\langle O_1 \rangle_V^{1/2}$  is the NR QCD matrix element,  $T$  a hard-scattering kernel and  $F(x, \xi, t)$  is the GPD.

- The dominant region controlling the imaginary part of the amplitude is:

$$x \approx \xi \approx \frac{x_B}{2} \approx e^{-y} \frac{m_V}{2\sqrt{s}} \quad (2)$$

- At LHCb kinematics e.g., typical values of  $x_B$  as low as  $\sim 10^{-5}$ .

- Use this data to probe the gluon PDF at very small  $x$ , poorly constrained region with prospects of gluon saturation physics.
- The limit  $\xi \rightarrow 0$  of GPDs is crucial for **hadron tomography**:

Impact parameter distribution (IPD) [Burkardt, 2000]

$$I_a(x, \mathbf{b}_\perp) = \int \frac{d^2\Delta_\perp}{(2\pi)^2} e^{-i\mathbf{b}_\perp \cdot \Delta_\perp} F^a(x, 0, t = -\Delta_\perp^2) \quad (3)$$

is the density of partons with plus-momentum  $x$  and transverse position  $\mathbf{b}_\perp$  from the center of plus momentum in a hadron

- What role does this data play with respect to the **deconvolution problem** of reconstructing the full  $(x, \xi)$  dependence of GPDs?

# GPDs at small skewness

Properties of GPDs [Müller et al, 1994], [Radyushkin, 1996] and [Ji, 1997]

- The **forward limit**  $t \rightarrow 0$  – and consequently  $\xi \rightarrow 0$  – gives back the usual PDFs:

$$H^q(x, \xi = 0, t = 0) = f^q(x) \quad (4)$$

$$H^g(x, \xi = 0, t = 0) = xf^g(x) \quad (5)$$

- Assuming the  $t$ -dependence can be parametrized and evacuated from the picture (not obvious!), when  $x \gg \xi$ , negligible asymmetry between incoming ( $x - \xi$ ) and outgoing ( $x + \xi$ ) parton longitudinal momentum fraction  $\rightarrow$  **smooth limit of GPDs**:

$$H(x, \xi, t) \approx H(x, 0, t) \quad \text{for } x \gg \xi. \quad (6)$$

Since  $\xi \sim 10^{-5}$  at LHCb, one could be tempted to write **GPD = PDF** at small  $\xi$ , such as

$$H^g(\xi, \xi = 10^{-5}) \approx H^g(\xi, 0) = \xi f^g(\xi) \quad (7)$$

**But there is a problem...**

GPD's dependence on scale is given by **renormalization group equations**.

- In the limit  $\xi = 0$ , usual DGLAP equation:

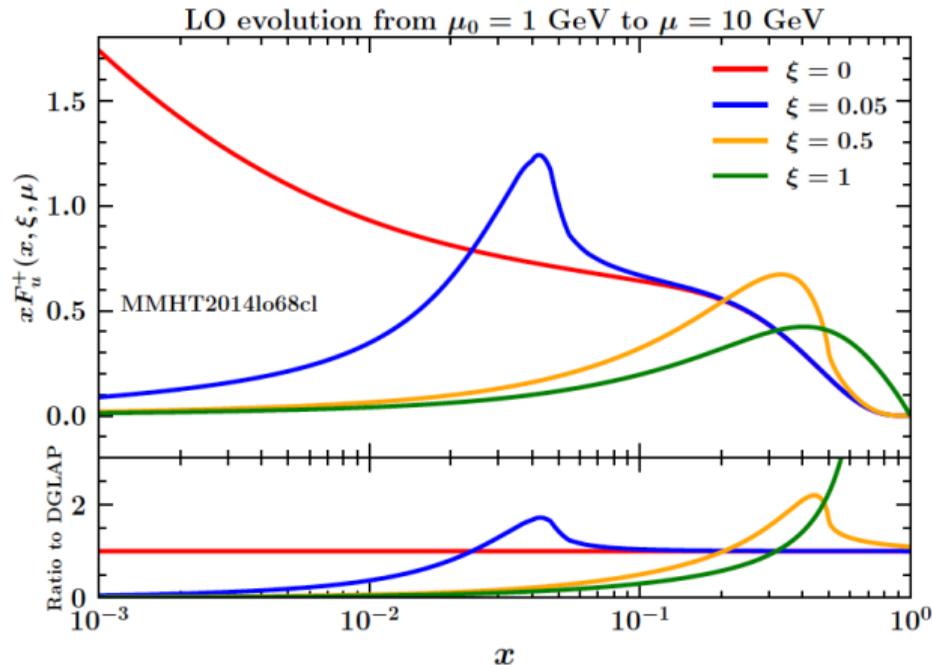
$$\frac{df^{q+}}{d \log \mu}(x, \mu) = \frac{C_F \alpha_s(\mu)}{\pi} \left\{ \int_x^1 dy \frac{f^{q+}(y, \mu) - f^{q+}(x, \mu)}{y - x} \left[ 1 + \frac{x^2}{y^2} \right] + f^{q+}(x, \mu) \left[ \frac{1}{2} + x + \log \left( \frac{(1-x)^2}{x} \right) \right] \right\} \quad (8)$$

- But in the limit  $x = \xi$ :

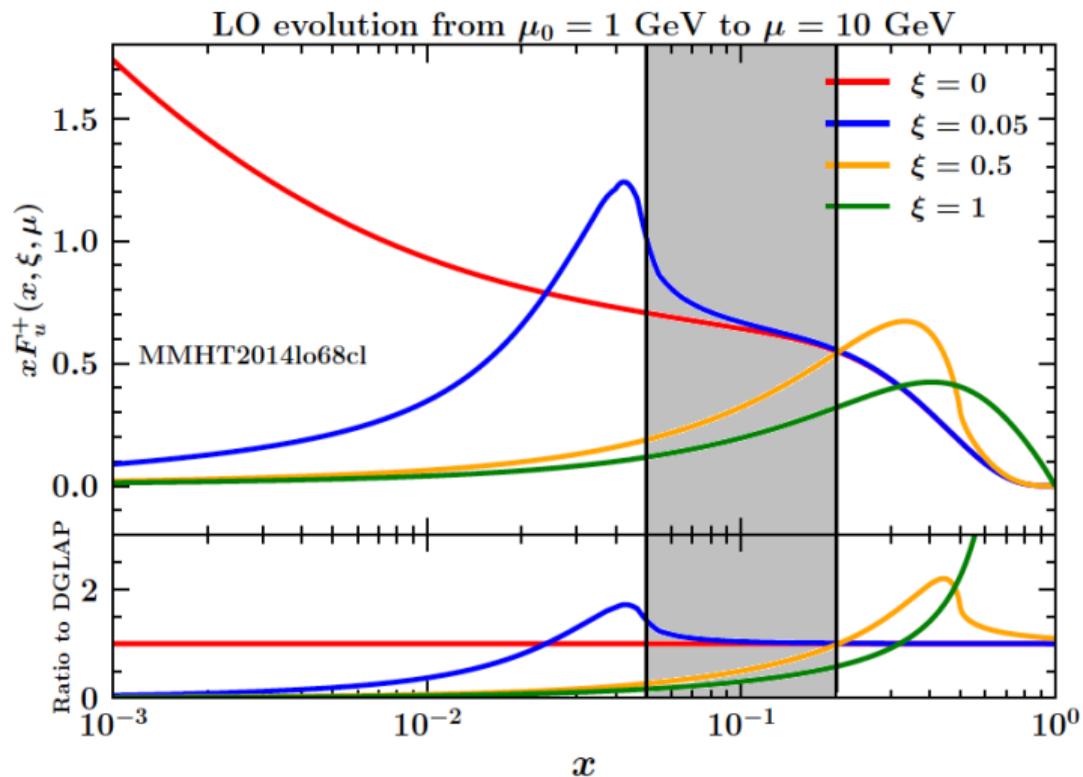
$$\frac{dH^{q+}}{d \log \mu}(x, x, \mu) = \frac{C_F \alpha_s(\mu)}{\pi} \left\{ \int_x^1 dy \frac{H^{q+}(y, x, \mu) - H^{q+}(x, x, \mu)}{y - x} + H^{q+}(x, x, \mu) \left[ \frac{3}{2} + \log \left( \frac{1-x}{2x} \right) \right] \right\} \quad (9)$$

**Assuming that GPD = PDF at small  $\xi$  and  $x \approx \xi$  is incompatible with evolution, which generates an intrinsic  $\xi$  dependence!**

# Evolution of GPDs



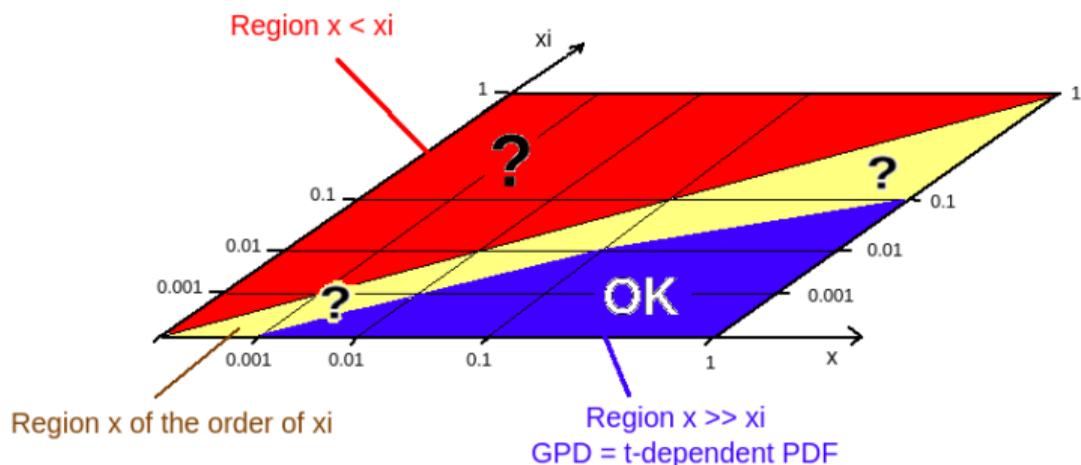
Evolution of a PDF with GPD LO evolution for various values of  $\xi$ . From [\[Bertone et al, 2022\]](#)



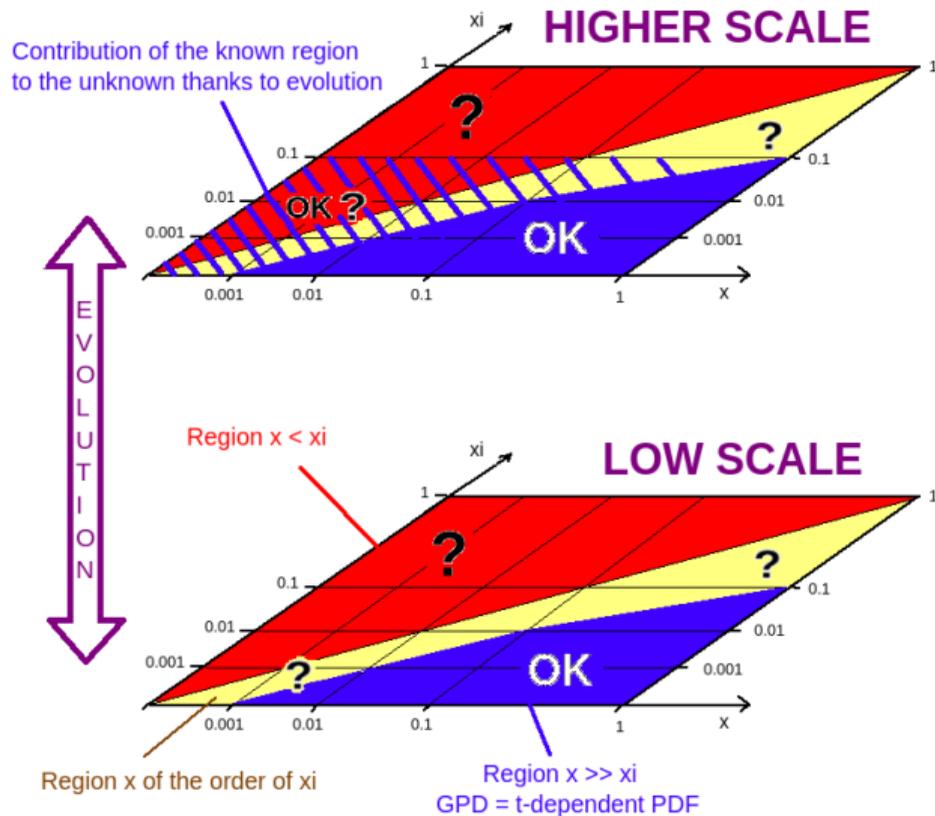
# GPDs at small skewness

- Significant asymmetry between incoming and outgoing ( $x + \xi \gg x - \xi$ ) parton momentum means very different dynamics, materialized e.g. by a very different behavior under evolution.

No reason for the  $\xi$  dependence to be negligible even at very small  $\xi$ .  
Skewness ratios  $\frac{H(x,x)}{H(x,0)}$  as large as 1.6 have been advocated at small  $x$ . [Frankfurt et al, 1998]  
[Shuvaev et al, 1999]



# GPDs at small skewness



- Evolution displaces the GPD from the large  $x$  to the small  $x$  region
- Significant  $\xi$  dependence arises perturbatively in the small  $x$  and  $\xi$  region
- But how does it compare to the unknown  $\xi$  dependence at initial scale?

Obviously depends on the range of evolution, value of  $x$  and  $\xi$ , and profile of the known  $t$ -dependent PDF.

- The generic idea that the perturbative  $\xi$  dependence at higher scale exceeds the initial unknown  $\xi$  dependence is the basis of the “Shuvaev transform” modeling of GPDs [Shuvaev et al, 1999] applied to HERA and LHC data (see e.g. [Jones et al, 2013], [Flett et al, 2020])
- **Shuveav model** conformal moments of GPDs:

$$O_n^q(\xi, \mu) = \xi^n \int_{-1}^1 dx C_n^{3/2} \left( \frac{x}{\xi} \right) H^q(x, \xi, \mu) \quad (10)$$

The GPD is reconstructed from its conformal moments by the Shuveav operator:

$$H^a(x, \xi, \mu) = S^a(x, \xi, n) \star O_n^a(\xi, \mu) \quad (11)$$

The model approximates the conformal moments by their limit when  $\xi = 0$ :

$$H_{Shuvaev}^a(x, \xi, \mu) = S^a(x, \xi, n) \star O_n^a(\xi = 0, \mu) \quad (12)$$

- Shuvaev model:

$$H_{Shuvaev}^a(x, \xi, \mu) = S^a(x, \xi, n) \star O_n^a(\xi = 0, \mu) \quad (13)$$

- Because the LO anomalous dimensions of GPDs are the same as those of PDFs (independent of  $\xi$ ), this modelling of the  $\xi$  dependence is compatible with LO evolution!
- The entire  $\xi$  dependence arises from the Shuvaev operator, and the “intrinsic”  $\xi$  dependence of the conformal moments is forgotten  $\rightarrow$  **assumption of complete dominance of the LO perturbative  $\xi$  dependence over any unknown initial  $\xi$  dependence.**
- But how good is that assumption? **The hard scale  $\mu$  must be large enough** for perturbation effects to bring significant contribution, and  $\xi$  **must be small enough** for a region  $x \gg \xi$  to exist which will control the evolution.

- LO GPD RGE:

$$\frac{1}{|x^{p_a}|} \frac{dH^q}{d \log \mu}(x, \xi, \mu) = \alpha_s(\mu) \int_0^1 \frac{dz}{z} g^{ab} \left( \frac{z}{x}, \frac{\xi}{x} \right) \frac{H^b(z, \xi, t, \mu)}{|z^{p_a}|} \quad (14)$$

where  $p_a = 1$  for gluons ( $a = g$ ) and 0 for quarks ( $a = q$ ), and  $g$  are the LO GPD splitting functions.

- Solving the LO RGE yields the leading log (LL) evolution operator:

$$\frac{1}{|x^{p_a}|} H^q(x, \xi, \mu) = \int_0^1 \frac{dz}{z} \Gamma^{ab} \left( \frac{z}{x}, \frac{\xi}{x}; \mu_0, \mu \right) \frac{H^b(z, \xi, t, \mu_0)}{|z^{p_a}|} \quad (15)$$

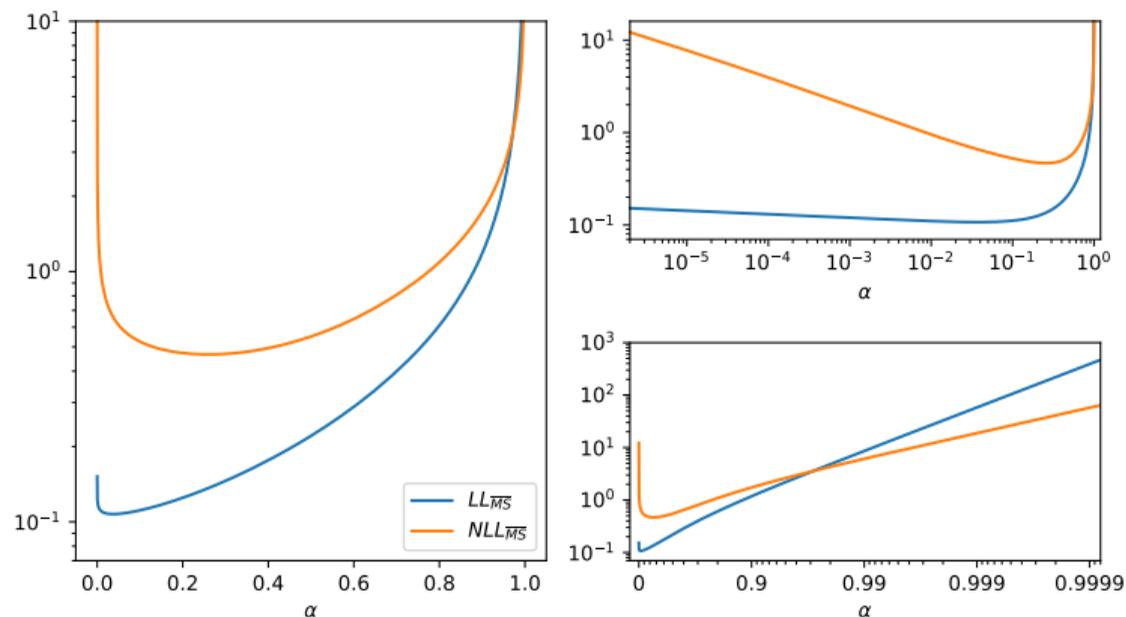
The LL operator admits a Taylor expansion:

$$\Gamma^{ab}(\alpha, \beta; \mu_0, \mu) = \delta(1-\alpha) + \beta_0 \alpha_s(\mu_0) \log \left( \frac{\mu}{\mu_0} \right) g^{ab}(\alpha, \beta) + \beta_0^2 \alpha_s^2(\mu_0) \log^2 \left( \frac{\mu}{\mu_0} \right) \dots \quad (16)$$

- Infinite sum of convolutions of the splitting functions  $g^{ab}$ , which are distributions (plus-prescriptions), **yet the LL operator is an ordinary function!**

# Evolution operators

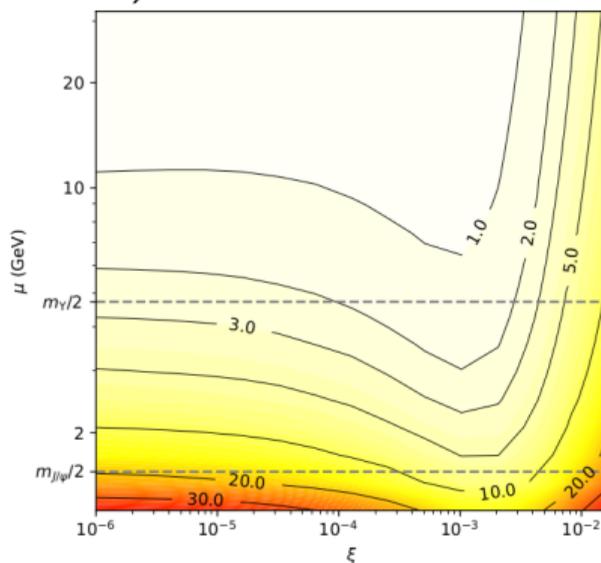
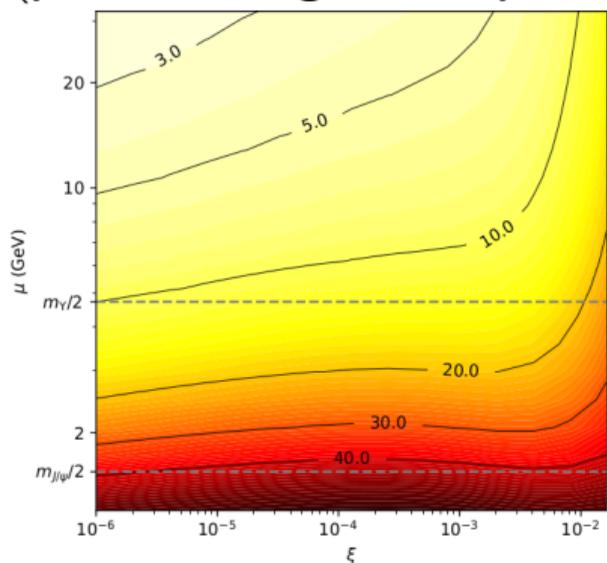
- Example with the limit  $\xi \rightarrow 0$  (DGLAP LL operator).  $\alpha$  is the ratio of final to initial momentum fraction. **small  $\alpha$  = small momentum fraction parton radiated by the active parton under evolution.**



- Since the evolution operator is a simple ordinary positive function, one can the **final scale GPD as a true reweighting of the initial GPD**  $\rightarrow$  gives a formal sense to the idea of “displacing” the distribution.
- **Evaluation of the dominance of the perturbative  $\xi$  dependence over the initial unknown  $\xi$  dependence:**
  - Start from a PDF at a low initial scale  $\mu_0 = 1$  GeV (need to be able to apply perturbation theory, so cannot go much below)
  - Produce an arbitrary  $\xi$  dependence at initial scale: pessimistic estimate – 60% of uncertainty on the diagonal  $x = \xi$  vs. PDF
  - Evolve to higher scale and observe how the LO evolution of the true GPD and the LO evolution of the model GPD = PDF differ
  - Both converge at very large scale as the  $\xi$  dependence of evolution overwhelms the initial unknown  $\xi$  dependence

# Dominance of the perturbative $\xi$ dependence

Example: working at  $t = 0$ , with the MMHT2014 PDF [Harland-Lang et al, 2015] at 1 GeV (prior knowledge of  $t$ -dependent PDF)



Uncertainty on the diagonal of the light sea quarks (left) and gluons (right) depending on  $x = \xi$  and  $\mu$ . Stronger  $\mu$  effect for gluons, divergence of PDFs at small  $x$  visible.

[HD, Winn, Bertone, 2023]

# Dominance of the perturbative $\xi$ dependence

- Strong effect of the hard scale: reduction of uncertainty on the  $\xi$  dependence from 60% at 1 GeV down to 10 ~ 20% at  $m_{J/\psi}/2$  and ~ 3% at  $m_\gamma/2$  for the gluons.
- Larger uncertainty for singlet quarks: less radiation under evolution, so less effect of perturbative  $\xi$  dependence!
- No clear effect of the  $\xi$  dependence below  $10^{-3}$ : as  $x = \xi$  becomes smaller, the PDF becomes steeper, so the dominance of the region  $x \gg \xi$  at initial scale becomes harder to establish. Trade-off between the fact that  $x$  becomes larger compared to  $\xi$  and the fact that the PDF becomes larger.
- Foreseeable improvements: use of higher order perturbative evolution. Resummation of  $\log(x)$  powers in the anomalous dimensions.

- **Generating perturbatively the  $\xi$  dependence offers a well defined functional space for GPDs at small  $\xi$  which verifies the main theoretical constraints (polynomiality of Mellin moments, positivity, limits, ...)**
- **By subtracting the degree of freedom of the  $\xi$  dependence, we have regularized the deconvolution problem, and we have an evaluation of the uncertainty associated to this regularization.**
- Practical use of the uncertainty exposed before
  - **simple solution:** use directly as an additional systematic uncertainty on the relation of the GPD to the PDF at  $(\mu, x_B)$
  - **more sophisticated solution:** use your best flexible model of the  $t$ -dependent PDF at the low scale  $\mu_0 = 1$  GeV. Evolve it to the hard scale with the full GPD evolution kernel  $\rightarrow$  gives GPD-like objects with pure perturbative  $\xi$  dependence.
  - Then use Bayesian inference to confront this prior with the actual data, taking into account the previous systematic uncertainty. Either there is good compatibility  $\rightarrow$  improves the knowledge of the  $t$ -dependent PDF at small  $x$ . Or there is incompatibility  $\rightarrow$  points out shortcomings in either the current estimate of the PDF at small  $x$ , or in the perturbative evolution framework.

- We propose a procedure to evaluate the systematic uncertainty in relating GPDs to PDFs at small  $x$ .
- This procedure provides a regularization of the deconvolution problem at small  $\xi$ , along with an estimate of the systematic uncertainty associated to the regularization.
- We point out that the uncertainty exhibits only a weak dependence on  $\xi$  due to the steep increase of PDFs at small  $x$ , but a major dependence on the hard scale of the process.  $\Upsilon$  production provides a much safer channel to extract PDFs at small  $x$  with limited systematic uncertainty!

Thank you for your attention!

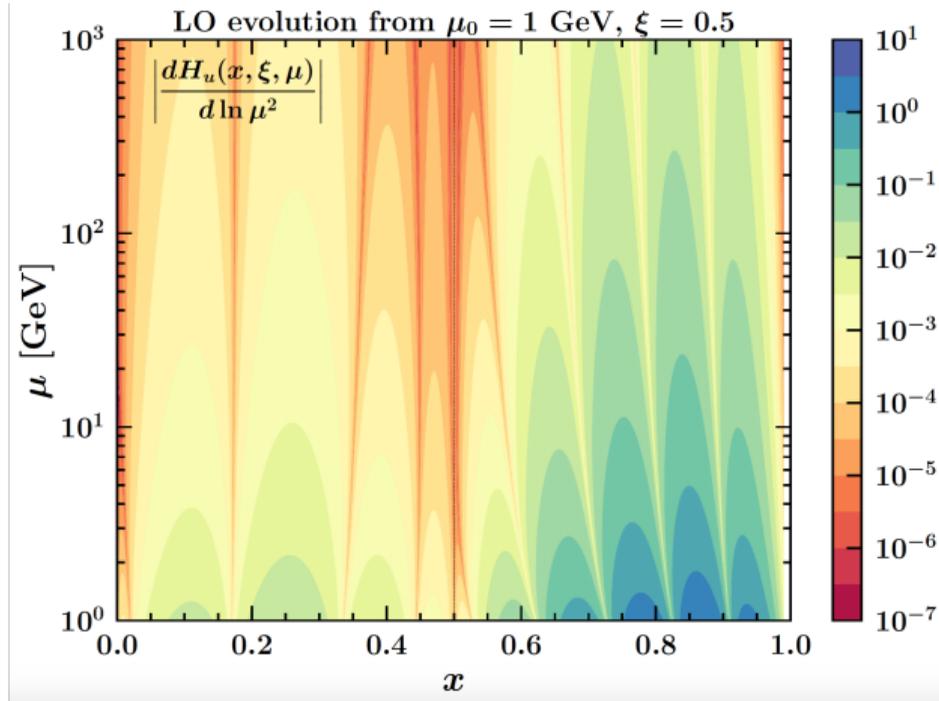
- Other exclusive processes can be expressed in terms of GPDs. Close parent to DVCS is **time-like Compton scattering** (TCS) [Berger et al, 2002]. Although its measurement will reduce the uncertainty, especially on  $\text{Re } \mathcal{H}$  [Jlab proposal PR12-12-001], and produce a valuable check of the universality of the GPD formalism, the similar nature of its convolution (see [Müller et al, 2012]) makes it subject to the same shadow GPDs.
- **Deeply virtual meson production** (DVMP) [Collins et al, 1997] is also an important source of knowledge on GPDs, with currently a larger lever arm in  $Q^2$ . The process involves form factors of the general form

$$\mathcal{F}(\xi, t) = \int_0^1 du \int_{-1}^1 \frac{dx}{\xi} \phi(u) T\left(\frac{x}{\xi}, u\right) F(x, \xi, t) \quad (17)$$

where  $\phi(u)$  is the leading-twist meson distribution amplitude (DA).

- At LO, the GPD and DA parts of the integral factorize and shadow GPDs cancel the form factor.
- Situation at NLO remains to be clarified, it is foreseeable new shadow GPDs (dependent on the DA) could be generated also for this process.

# Shadow GPDs at next-to-leading order



Color plot of an NLO shadow GPD at initial scale  $1 \text{ GeV}^2$ , and its evolution for  $\xi = 0.5$  up to  $10^6 \text{ GeV}^2$  via APFEL++ and PARTONS [Bertone].