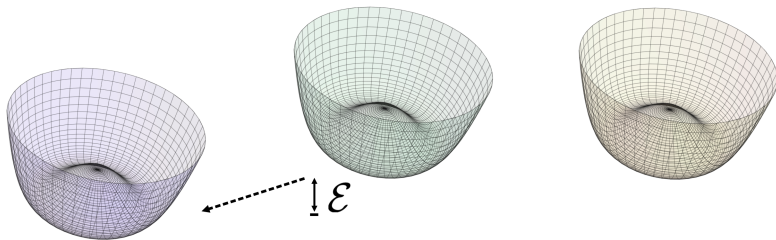


# Lifetimes of Near-Eternal False Vacua

Theo Jacobson, University of Minnesota

Based on 2012.10555,  
with Aleksey Cherman



# Outline

## This talk:

Gaining physical intuition about theories with exact 'decomposition'  
by **breaking** the higher form symmetries responsible

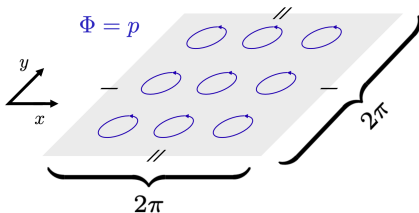
1d: Landau problem on a torus

2d: Charge- $p$  Schwinger model

4d: QCD with a modified instanton sum

# A Simple Quantum Mechanical System

Particle on a torus in a constant  $B$  field: “Landau problem”



In Landau gauge,  $\vec{A} = \frac{p}{2\pi} x \hat{y}$ :

$$L = \frac{1}{2g}(\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi} x \dot{y}$$

Relevant global symmetries:

$$(\mathbb{Z}_p)_x : x \rightarrow x + \frac{2\pi}{p}, \quad (\mathbb{Z}_p)_y : y \rightarrow y + \frac{2\pi}{p}$$

$$(\mathbb{Z}_2)_P : x \rightarrow -x, \quad y \rightarrow -y$$

## Mixed Anomaly

Lagrangian:

$$L = \frac{1}{2g}(\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi}x\dot{y}$$

Canonical momenta:  $p_x = \frac{\dot{x}}{g}$ ,  $p_y = \frac{\dot{y}}{g} + \frac{p}{2\pi}x$  (note:  $p_y \sim p_y + p$ )

Hamiltonian:

$$H = \frac{g}{2} \left[ \hat{p}_x^2 + \left( \hat{p}_y - \frac{p}{2\pi} \hat{x} \right)^2 \right]$$

Symmetry operators:

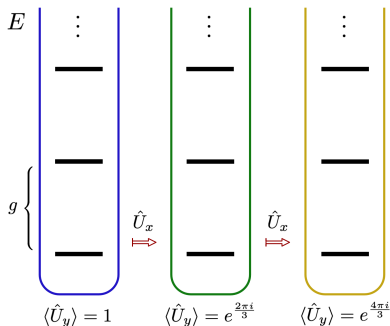
$$\hat{U}_x = e^{i\frac{2\pi}{p}\hat{p}_x} e^{-i\hat{y}}, \quad \hat{U}_y = e^{i\frac{2\pi}{p}\hat{p}_y}, \quad (\hat{U}_x)^p = (\hat{U}_y)^p = 1$$

$$\hat{U}_x \text{ charged under } (\mathbb{Z}_p)_y \implies \hat{U}_x \hat{U}_y = e^{2\pi i/p} \hat{U}_y \hat{U}_x$$

Central extension of  $(\mathbb{Z}_p)_x \times (\mathbb{Z}_p)_y \implies$  mixed 't Hooft anomaly

## Mixed Anomaly

Hilbert space 'decomposes' into charge sectors:  $\mathcal{H} = \bigoplus_{k=0}^{p-1} \mathcal{H}_k$



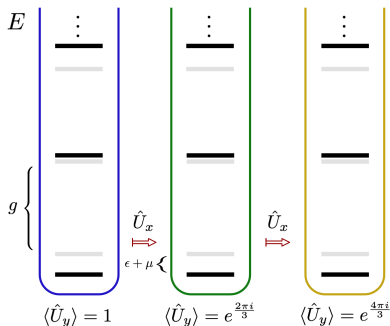
Mixed anomaly ensures sectors are degenerate:

smallest representation of  $\hat{U}_x \hat{U}_y = e^{2\pi i/p} \hat{U}_y \hat{U}_x$  is  $p$ -dimensional (clock&shift)

## Mixed Anomaly

$(\mathbb{Z}_p)_x \times (\mathbb{Z}_p)_y$ -symmetric  
perturbations:

$$L = \frac{1}{2g} (\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi} x \dot{y} + \epsilon \cos(px) + \mu \cos(py)$$



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perturbations:

$$L = \frac{1}{2g} (\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi} x \dot{y} + \epsilon \cos(px) + \mu \cos(py)$$

$$\begin{array}{ccc}
 E & & \vdots \\
 & \vdots & \\
 \langle \hat{U}_x \rangle = e^{\frac{2\pi i}{3}} & \langle \hat{U}_x \rangle = e^{\frac{4\pi i}{3}} & \langle \hat{U}_y \rangle = e^{\frac{2\pi i}{3}} \quad \langle \hat{U}_y \rangle = e^{\frac{4\pi i}{3}} \\
 \left. \begin{array}{c} \text{---} \quad \text{---} \\ \text{---} \end{array} \right\} g & & \left. \begin{array}{c} \text{---} \quad \text{---} \\ \langle \hat{U}_y \rangle = 1 \end{array} \right\} \epsilon - \mu
 \end{array}$$

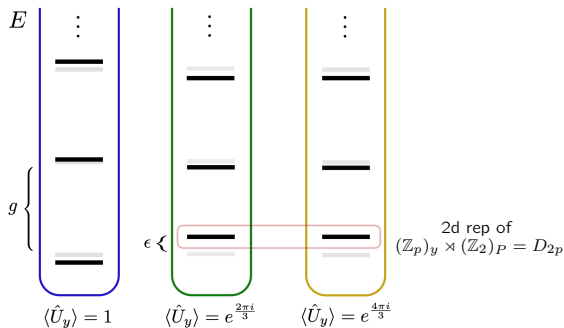
No mixed anomaly  $\implies$  ordinary representations of symmetries

Two copies of “ $T_p$ ” model studied in  
(Aitken, Cherman, Ünsal, '18)

## Breaking the Symmetries

Break  $(\mathbb{Z}_p)_x$ :

$$L = \frac{1}{2g}(\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi}x\dot{y} + \epsilon \cos(x)$$

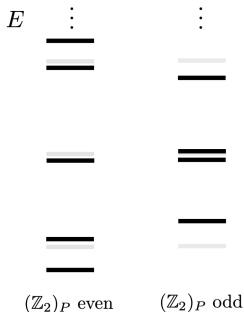


Ground state unique, but Hilbert space still 'decomposes' (somewhat trivially!)



## Breaking the Symmetries

Break  $(\mathbb{Z}_p)_x$  and  $(\mathbb{Z}_p)_y$ :  $L = \frac{1}{2g}(\dot{x}^2 + \dot{y}^2) + \frac{p}{2\pi}x\dot{y} + \epsilon \cos(x) + \mu \cos(y)$



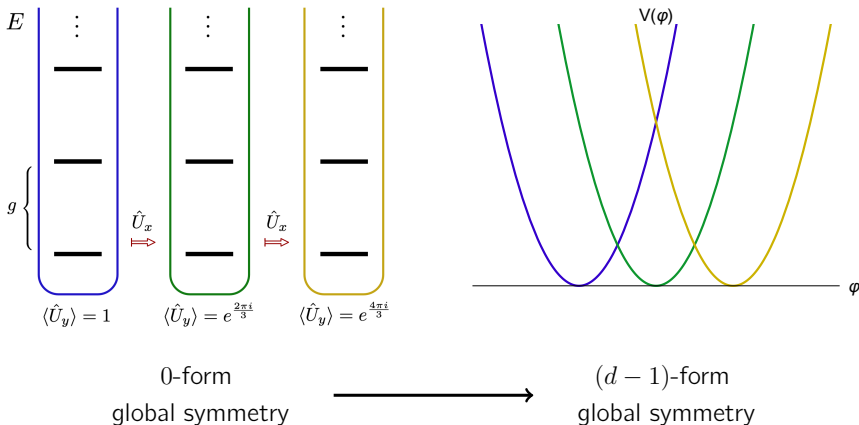
States are completely split—no selection rule prohibiting parity-even states from decaying to the unique ground state

# Generalizing to Decomposition in QFTs

Ground states in  
QM



Lorentz-invariant vacua  
in QFT



# Charge- $p$ Schwinger Model

1 + 1d QED:

$U(1)$  gauge field + (massless) Dirac fermion

Komargodski, Sharon,  
Thorngren, Zhou '17  
Anber, Poppitz '18  
Misumi, Tanizaki, Ünsal '19  
Komargodski, Ohmori,  
Roumpedakis, Seifnashri '20, ...

$$\mathcal{L} = \frac{1}{4e^2} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} \gamma^\mu (\partial_\mu - i p a_\mu) \psi + \frac{i\theta}{2\pi} \epsilon^{\mu\nu} \partial_\mu a_\nu \quad (p \in \mathbb{Z})$$

- ▶  $Q_{\text{top}} = \frac{1}{2\pi} \int_{M_2} \epsilon^{\mu\nu} \partial_\mu a_\nu \in \mathbb{Z}$ , so that  $\theta \sim \theta + 2\pi$
- ▶  $[e^2] = 2$ , photon has  $d - 2 = 0$  physical polarizations

## Discrete chiral symmetry:

- ▶  $U(1)_A$  is anomalous:  $\psi \rightarrow e^{i\alpha\gamma_5} \psi$ ,  $\mathcal{D}\bar{\psi}\mathcal{D}\psi \rightarrow \mathcal{D}\bar{\psi}\mathcal{D}\psi e^{i2p\alpha Q_{\text{top}}}$   
 $\implies \alpha = \frac{2\pi k}{2p}$ ,  $k = 0, 1, \dots, p-1$
- ▶  $U(1)_A \rightarrow \mathbb{Z}_{2p}$ , faithfully  $\mathbb{Z}_p^{(0)} = \mathbb{Z}_{2p}/\mathbb{Z}_2$

# Bosonization and 1-Form Symmetry

Abelian bosonization (Coleman, '75)

$$\mathcal{L} = \frac{1}{2e^2}(da)^2 + \frac{1}{8\pi}(d\varphi)^2 + \frac{ip}{2\pi}\varphi da \quad \varphi \sim \varphi + 2\pi$$

- ▶ 0-form chiral  $\rightarrow$  shift symmetry  $\mathbb{Z}_p^{(0)} : \varphi \rightarrow \varphi + \frac{2\pi}{p}$
- ▶ 1-form symmetry  $\mathbb{Z}_p^{(1)} : e^{i\oint_C a} \rightarrow e^{2\pi i/p} e^{i\oint_C a}$

EOM of  $a_\mu$ :  $d \underbrace{\left( \frac{p}{2\pi}\varphi - \frac{i}{e^2} \star da \right)}_{\equiv \star j^{(2)}} = 0 \implies U_\alpha(x) = e^{i\alpha \star j^{(2)}(x)}$   
 is topological (independent of  $x$ )  
 and *well-defined* if  $\alpha = \frac{2\pi k}{p}$

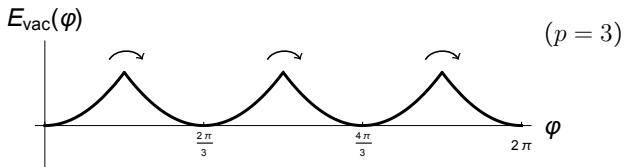
$$\langle U_{\frac{2\pi}{p}}(x) e^{i\oint_C a} \rangle = e^{2\pi i/p} \langle e^{i\oint_C a} \rangle \text{ if } x \text{ links } C$$

$$U_{\frac{2\pi}{p}}(x) = e^{\frac{2\pi}{p} \frac{1}{e^2} \star da(x)} e^{i\varphi(x)} \text{ is the symmetry operator for } \mathbb{Z}_p^{(1)}$$

$$U_\alpha(x) \text{ charged under } \mathbb{Z}_p^{(0)} \implies \mathbb{Z}_p^{(0)} \times \mathbb{Z}_p^{(1)} \text{ mixed anomaly}$$

# Scalar Effective Potential

Naive picture: vacuum energy density is **everywhere finite**

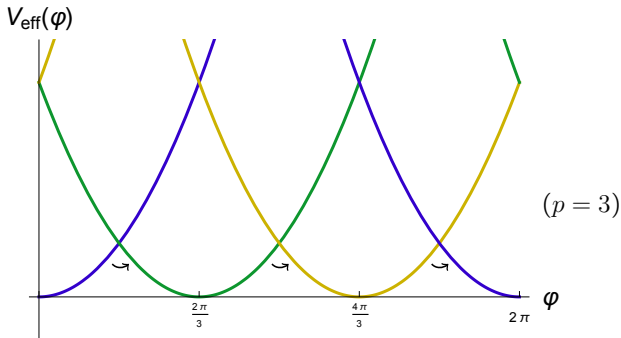


Discrete shift (chiral) symmetry spontaneously broken

Finite derivative  $\implies$  finite DW tension?

# Scalar Effective Potential

Actual picture: many branches



DW must implement transition between branches

Not possible in theory with *just* a charge- $p$  fermion

$\langle U_{\frac{2\pi}{3}}(x) \rangle = 1, e^{2\pi i/3}, e^{4\pi i/3}$  in the 3 distinct branches

# Connection to Mixed Anomaly

## More formally:

No dynamical domain wall  $\iff$  Finite-volume path integral with  
 in chiral limit  $\mathbb{Z}_p^{(0)}$ -twisted b.c.s ' $\tilde{Z}$ ' vanishes

Usually expect  $\tilde{Z}$  to be dominated by DW, finite action in finite volume  $\tilde{Z} \sim e^{-TV}$

$$\tilde{Z}(L, \beta) = \int_{\varphi(\beta)=\varphi(0)+\frac{2\pi}{p}} \mathcal{D}a \mathcal{D}\varphi e^{-\int_{S_L^1 \times S_\beta^1} \mathcal{L}} = Z \left( \begin{array}{c} \text{background} \\ \mathbb{Z}_p^{(0)} \text{ gauge field} \end{array} \right)$$

mixed 't Hooft anomaly  
 between  $\mathbb{Z}_p^{(0)}$  and  $\mathbb{Z}_p^{(1)}$

$\mathbb{Z}_p^{(1)}$  transformation:

$$a \rightarrow a + \epsilon^{(1)},$$

$$\oint_L \epsilon^{(1)} = \frac{2\pi}{p}$$

$$= e^{\frac{2\pi i}{p}} Z \left( \begin{array}{c} \text{background} \\ \mathbb{Z}_p^{(0)} \text{ gauge field} \end{array} \right) = 0$$

# 'Universes'

Finite-volume path integral with  $\mathbb{Z}_p^{(0)}$ -twisted boundary conditions and any local operator insertion vanishes

$$\int_{\varphi(\beta)=\varphi(0)+\frac{2\pi}{p}} \mathcal{D}a \mathcal{D}\varphi \mathcal{O}(x) e^{-\int_{T^2} \mathcal{L}} = 0$$

$m = 0$ : states with different  $\langle e^{i\varphi} \rangle$  are not mixed by local operators

Generic  $m$ : states with different  $\langle U_{\frac{2\pi}{p}} \rangle$  are not mixed by local operators

- ▶ only non-local operators  $e^{i\int a}$  can connect the states
- ▶ stronger than superselection rule: applies at finite volume

Noted in 2d Ando, Hellerman, Henriques, Pantev, Sharpe '07  
Sharpe '14, '19; Anber, Poppitz '18; Aminov '19

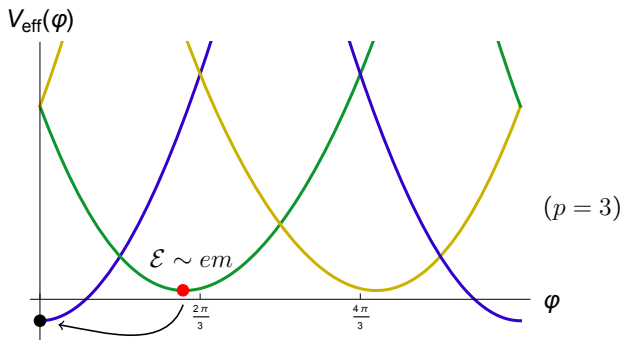
Recently such sectors have been dubbed 'universes'

Tanizaki, Ünsal '19;  
Komargodski, Ohmori, Roumpedakis, Seifnashri '20



# Scalar Effective Potential

Turn on a mass:  $m\bar{\psi}\psi \rightarrow -em \cos(\varphi) \in \mathcal{L}$

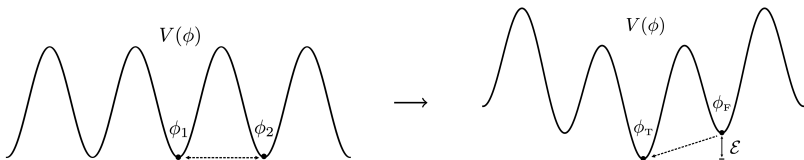


$\langle U_{\frac{2\pi}{3}}(x) \rangle = 1, e^{2\pi i/3}, e^{4\pi i/3}$  in the 3 distinct branches 'Universes'

Mass term lifts the degeneracy between universes

What is the fate of the false vacua?

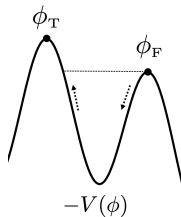
## The Fate of the False Vacuum



Euclidean picture (Coleman, '77)

$$\Gamma \sim \exp(-S_{\text{bounce}}) \sim \exp\left(-\# \frac{T^d}{\mathcal{E}^{d-1}}\right)$$

$d = \text{spacetime dimension}$

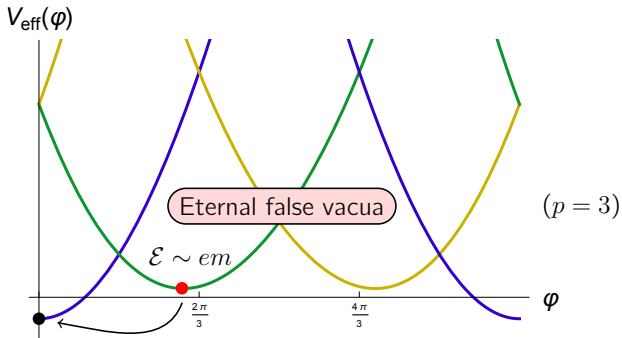


$T = \text{tension (energy per unit area in 4d)}$   
of domain wall (DW) between  $\phi_1 \leftrightarrow \phi_2$

$\Gamma \rightarrow 0$  as  $T \rightarrow \infty$  with  $\mathcal{E}$  held fixed

# Scalar Effective Potential

Turn on a mass:  $m\bar{\psi}\psi \rightarrow -em \cos(\varphi) \in \mathcal{L}$



$\langle U_{\frac{2\pi}{3}}(x) \rangle = 1, e^{2\pi i/3}, e^{4\pi i/3}$  in the 3 distinct branches 'Universes'

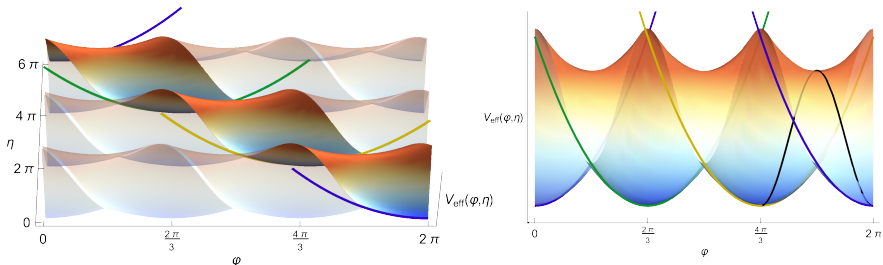
Mass term lifts the degeneracy between universes

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# Breaking the 1-Form Symmetry

Add a charge-1 fermion with mass  $M \gg m, e$

$\iff$  bosonized scalar  $\eta$  with  $V(\eta) = -M^2 \cos(\eta)$



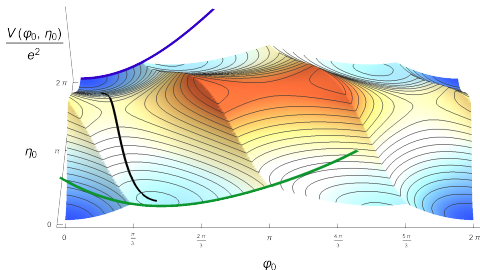
Domain walls are sine-gordon kinks,  $T \sim M$

DW = worldline of the heavy charge-1 fermion

## Breaking the 1-Form Symmetry

Add a charge-1 fermion with mass  $M \gg m, e$

$\iff$  bosonized scalar  $\eta$  with  $V(\eta) = -M^2 \cos(\eta)$



$$\Gamma \sim \exp\left(-\frac{T^2}{\mathcal{E}}\right) \sim \exp\left(-\frac{M^2}{em}\right)$$

$\longleftarrow$  arbitrarily large 'UV' scale  
 $\longleftarrow$  'natural' scales of the theory

# Modifying the Instanton Sum in QCD

Possible to restrict instanton number  $Q_{\text{top}}$

- ▶  $Q_{\text{top}} = 0$  without violating locality: couple to an **axion**

$$\mathcal{L} = \mathcal{L}_{\text{QCD}} + f^2 |d\chi|^2 + \frac{i}{8\pi^2} \chi \text{tr} F \wedge F$$

Integral over zero mode  $\chi_0$   
gives delta function constraint:  
 $Q_{\text{top}} = 0$

- ▶  $Q_{\text{top}} \in p\mathbb{Z}$  without violating locality + without new propagating dof:  
couple to a **TQFT** (Seiberg '10; Tanizaki, Ünsal '19)

$$\mathcal{L} = \mathcal{L}_{\text{QCD}} + i\chi \left( \frac{1}{8\pi^2} \text{tr} F \wedge F - p \frac{1}{2\pi} dc^{(3)} \right)$$

$\chi \sim \chi + 2\pi$   
 $c^{(3)}$  = 3-form  $U(1)$  gauge field:  
 $\int \frac{dc^{(3)}}{2\pi} \in \mathbb{Z}$

- ▶ Local constraint:  $\frac{1}{8\pi^2} \text{tr} F \wedge F = \frac{p}{2\pi} dc^{(3)} \implies Q_{\text{top}} = \frac{p}{2\pi} \int dc^{(3)} \in p\mathbb{Z}$
- ▶ Theta periodicity shorter  $\theta \sim \theta + \frac{2\pi}{p}$ , no change to  $\mathcal{X}_{\text{top}}$

## Symmetries and Universes

$$\mathcal{L} = \frac{1}{2g^2} \text{tr} F \wedge \star F + \bar{\psi}_i (\not{D} + m) \psi_i + \frac{i\theta}{8\pi^2} \text{tr} F \wedge F + i\chi \left( \frac{1}{8\pi^2} \text{tr} F \wedge F - \frac{p}{2\pi} dc^{(3)} \right)$$

$$\mathbb{Z}_{2N_{fp}}^{(0)}: \psi_i \rightarrow e^{i\frac{2\pi}{2N_{fp}}\gamma_5} \psi_i, \chi \rightarrow \chi - \frac{2\pi}{p}, \text{ order parameters for } \mathbb{Z}_p^{(0)}: \det \bar{\psi}_i \psi_j, e^{i\chi}$$

$$\mathbb{Z}_p^{(3)}: e^{i\oint_{M_3} c^{(3)}} \rightarrow e^{2\pi i/p} e^{i\oint_{M_3} c^{(3)}}$$

$$U(1)^{(2)}: j^{(3)} = \star d\chi$$

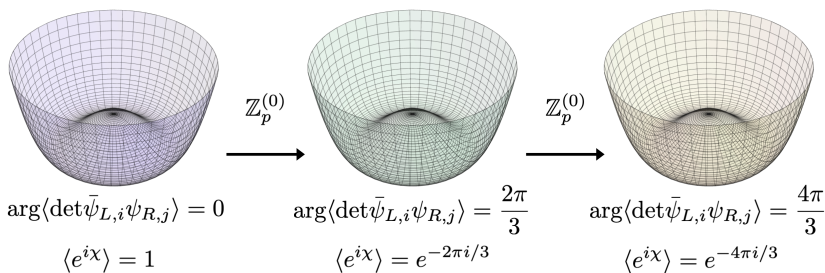
- ▶ EOM of  $c^{(3)}: d\chi = 0 \implies \chi = \text{constant}$
- ▶ Sum over topological charges of  $c^{(3)}: \chi = \frac{2\pi k}{p}, \quad k = 0, 1, \dots, p-1$
- ▶ Path integral over  $\chi$ : sum over  $k$

$\implies e^{i\chi}$  is a topological (constant) operator:  
it is the symmetry operator for  $\mathbb{Z}_p^{(3)}$

**Universes** labelled by expectation values of  $\langle e^{i\chi} \rangle = e^{i2\pi k/p}$

# Vacua

Assume that when  $N_f$  is not too large, massless QCD breaks continuous and discrete chiral symmetries:



Dynamical DWs are not possible: different  $\langle e^{i\chi} \rangle \iff$  different universes

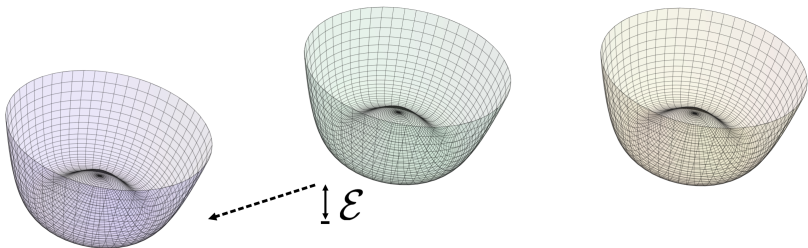
Probe DWs are possible after inserting volume operator  $e^{i\int_{M_3} c^{(3)}}$



## Eternal False Vacua

Add  $m \bar{\psi}_i \psi_i$  to Lagrangian: breaks  $\mathbb{Z}_{2N_f p}^{(0)} \rightarrow \mathbb{Z}_2^{(0)}$

$SU(N_f)_A$  softly broken: unique vacuum



False vacua with  $\mathcal{E} \sim m \Lambda_{\text{QCD}}^3$  are protected by  $\mathbb{Z}_p^{(3)}$  symmetry

## Near-Eternal False Vacua

Could break  $\mathbb{Z}_p^{(3)}$  by including dynamical charged 2-branes or dynamical axion

More natural to imagine that  $\mathbb{Z}_p^{(3)}$  is emergent

IR

$$i\chi \wedge \left( \frac{1}{8\pi^2} \text{tr} F \wedge F - \frac{p}{2\pi} dc^{(3)} \right)$$

$\mathbb{Z}_p^{(3)}$

## Near-Eternal False Vacua

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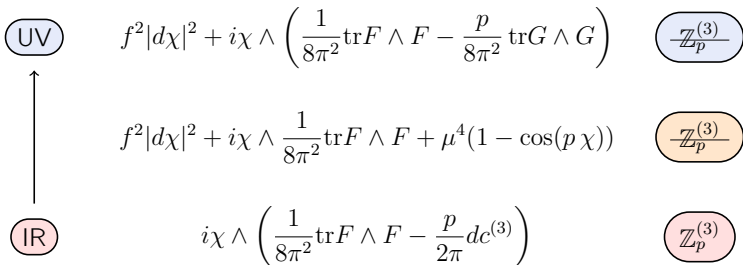
More natural to imagine that  $\mathbb{Z}_p^{(3)}$  is emergent

UV	$f^2  d\chi ^2 + i\chi \wedge \frac{1}{8\pi^2} \text{tr} F \wedge F + \mu^4 (1 - \cos(p\chi))$	$\frac{\mathbb{Z}_p^{(3)}}{}$
↑		
IR	$i\chi \wedge \left( \frac{1}{8\pi^2} \text{tr} F \wedge F - \frac{p}{2\pi} dc^{(3)} \right)$	$\mathbb{Z}_p^{(3)}$

## Near-Eternal False Vacua

Could break  $\mathbb{Z}_p^{(3)}$  by including dynamical charged 2-branes or dynamical axion

More natural to imagine that  $\mathbb{Z}_p^{(3)}$  is emergent



$$\Gamma \sim \exp \left( - \frac{(f\mu^2)^4}{(m\Lambda_{\text{QCD}}^3)^3} \right)$$

UV scales

IR scales

## Higher-Group and Emergence

Higher groups arise when background gauge field for symmetry  $A$  cannot be introduced without a background field for symmetry  $B$

Should be able to turn on  $A$  backgrounds at all stages of an RG flow

$\implies$  If  $A$  is unbroken then  $B$  must be unbroken shown for continuous higher groups in (Córdova, Dumitrescu, Intriligator '18)

# Higher-Group and Emergence

Imagine turning on  $U(1)_B = U(1)/\mathbb{Z}_N$  background field  
(which induces fractional topological charges) at different stages in the RG flow

IR

$$i\chi_0 \int \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{2\pi} dc^{(3)}}_{\in p\mathbb{Z}} \right)$$

$\mathbb{Z}_p^{(3)}$

# Higher-Group and Emergence

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$$\textcircled{\text{IR}} \quad i\chi_0 \int \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{2\pi} dc^{(3)}}_{\in p\mathbb{Z}} + \underbrace{\frac{p}{2\pi} D^{(4)}}_{\in \frac{\mathbb{Z}}{N}} \right) \quad \textcircled{\mathbb{Z}_p^{(3)}}$$

$D^{(4)}$  = (modified) 4-form  $\mathbb{Z}_p^{(3)}$  gauge field (Tanizaki, Ünsal '19)

# Higher-Group and Emergence

Imagine turning on  $U(1)_B = U(1)/\mathbb{Z}_N$  background field (which induces fractional topological charges) at different stages in the RG flow

$$\begin{array}{ccc}
 \text{UV} & i\chi_0 \int \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{8\pi^2} \text{tr} G \wedge G}_{\in p\mathbb{Z}} \right) & \frac{\mathbb{Z}^{(3)}}{\mathbb{Z}_p} \\
 \uparrow & & \\
 \text{IR} & i\chi_0 \int \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{2\pi} dc^{(3)}}_{\in p\mathbb{Z}} + \underbrace{\frac{p}{2\pi} D^{(4)}}_{\in \frac{\mathbb{Z}}{N}} \right) & \mathbb{Z}_p^{(3)}
 \end{array}$$

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# Higher-Group and Emergence

Imagine turning on  $U(1)_B = U(1)/\mathbb{Z}_N$  background field (which induces fractional topological charges) at different stages in the RG flow

UV

$$i \int \chi \wedge \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{8\pi^2} \text{tr} G \wedge G}_{\in p\mathbb{Z}} + \underbrace{\frac{1}{2\pi} H^{(4)}}_{\in \frac{\mathbb{Z}}{N}} \right)$$

$$\frac{\mathbb{Z}^{(3)}}{\mathbb{Z}_p}$$

$H^{(4)}$  = (modified) field strength of 3-form  $U(1)^{(2)}$  gauge field

Hidaka, Nitta, Yokokura '20  
Brennan, Cordova '20

IR

$$i\chi_0 \int \left( \underbrace{\frac{1}{8\pi^2} \text{tr} F \wedge F}_{\in \frac{\mathbb{Z}}{N}} - \underbrace{\frac{p}{2\pi} dc^{(3)}}_{\in p\mathbb{Z}} + \underbrace{\frac{p}{2\pi} D^{(4)}}_{\in \frac{\mathbb{Z}}{N}} \right)$$

$$\mathbb{Z}_p^{(3)}$$

$D^{(4)}$  = (modified) 4-form  $\mathbb{Z}_p^{(3)}$  gauge field (Tanizaki, Ünsal '19)

# Summary and Future Work

- ▶ Mixed 0-form ( $d - 1$ )-form anomalies imply the absence of dynamical DWs
- ▶ Explicitly breaking 0-form symmetry  $\rightarrow$  eternal false vacua
- ▶ Lifetimes exponentially suppressed by ratio of UV to IR scales

## Open Questions

- ▶ physical implications of the higher-group
  - ▶ how is the anomaly matched across RG scales?
- ▶ are there interesting non-invertible local topological operators that give rise to universes?
  - ▶ 2D YM decomposes:  $Z = \sum_R d_R^{2-2g} e^{-c_R A}$  and has local, non-invertible topological operators (Nguyen, Tanizaki, Ünsal, '21)