

R. Plestid | UKY & FNAL | in collaboration with O. Tomalak & R.H.J. Hill

$$\bar{\nu} A \rightarrow \ell^+ p A' \quad \left[ \bar{\nu} n \rightarrow \ell^+ p \right]_{V(x)}$$

Coulomb



NEUTRINO NUCLEUS SCATTERING AND

---

**COULOMB CORRECTIONS**

# MOTIVATION

# MOTIVATION

- ▶ Percent level neutrino physics calls for percent level theory.

# MOTIVATION

- ▶ Percent level neutrino physics calls for percent level theory.
- ▶ QED effects are calculable, and large-logs and coherent effects can result in “large” effects  $\sim O(\alpha L^2/\pi)$  or  $O(Z\alpha)$ . See talk by O. Tomalak

# MOTIVATION

- ▶ Percent level neutrino physics calls for percent level theory.
- ▶ QED effects are calculable, and large-logs and coherent effects can result in “large” effects  $\sim O(\alpha L^2/\pi)$  or  $O(Z\alpha)$ . See talk by O. Tomalak
- ▶ Coulomb corrections are theoretically “easy”, but computationally expensive. Avoid introducing 9-dim integrals. Similar to optical potential

# MOTIVATION

- ▶ Percent level neutrino physics calls for percent level theory.
- ▶ QED effects are calculable, and large-logs and coherent effects can result in “large” effects  $\sim O(\alpha L^2/\pi)$  or  $O(Z\alpha)$ . See talk by O. Tomalak
- ▶ Coulomb corrections are theoretically “easy”, but computationally expensive. Avoid introducing 9-dim integrals. Similar to optical potential
- ▶ **This talk:** Work towards a systematically improvable analytic treatment of Coulomb corrections. Aim towards consistent power counting and reliable error estimates.



**CAUTION**

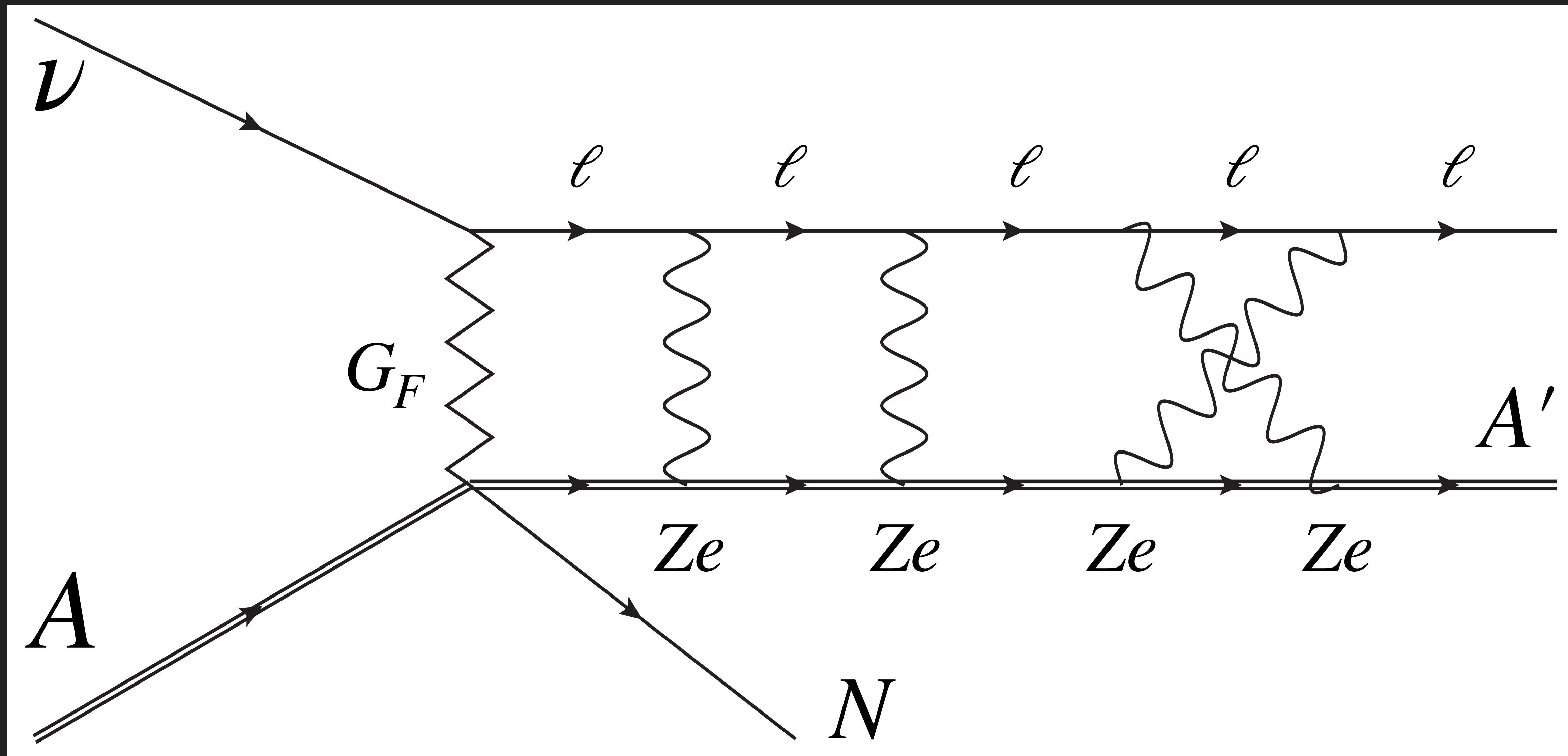
**WORK IN**

**PROGRESS**

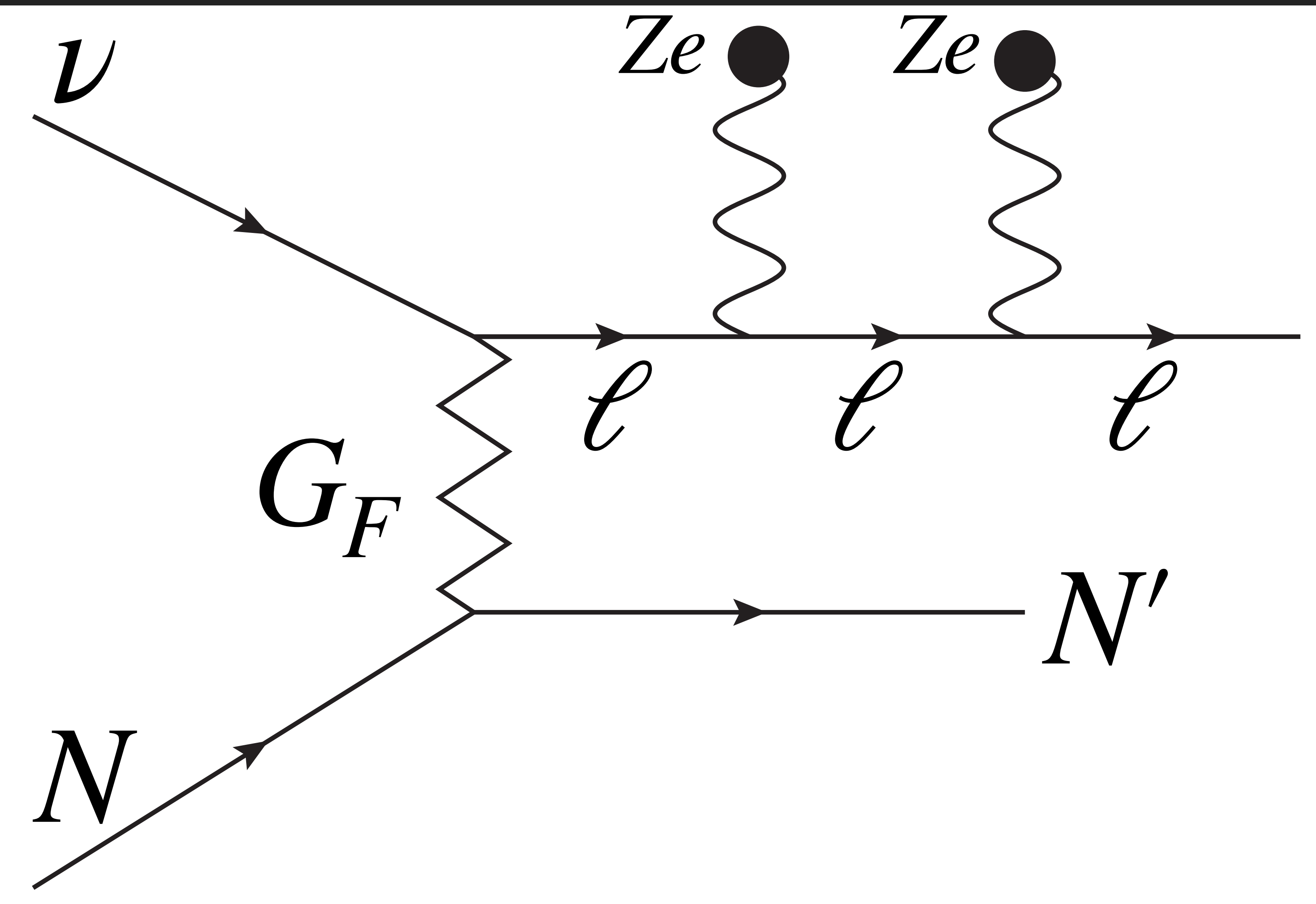
# INTRODUCTION



# SOFT PHOTON EXCHANGE WITH NUCLEUS

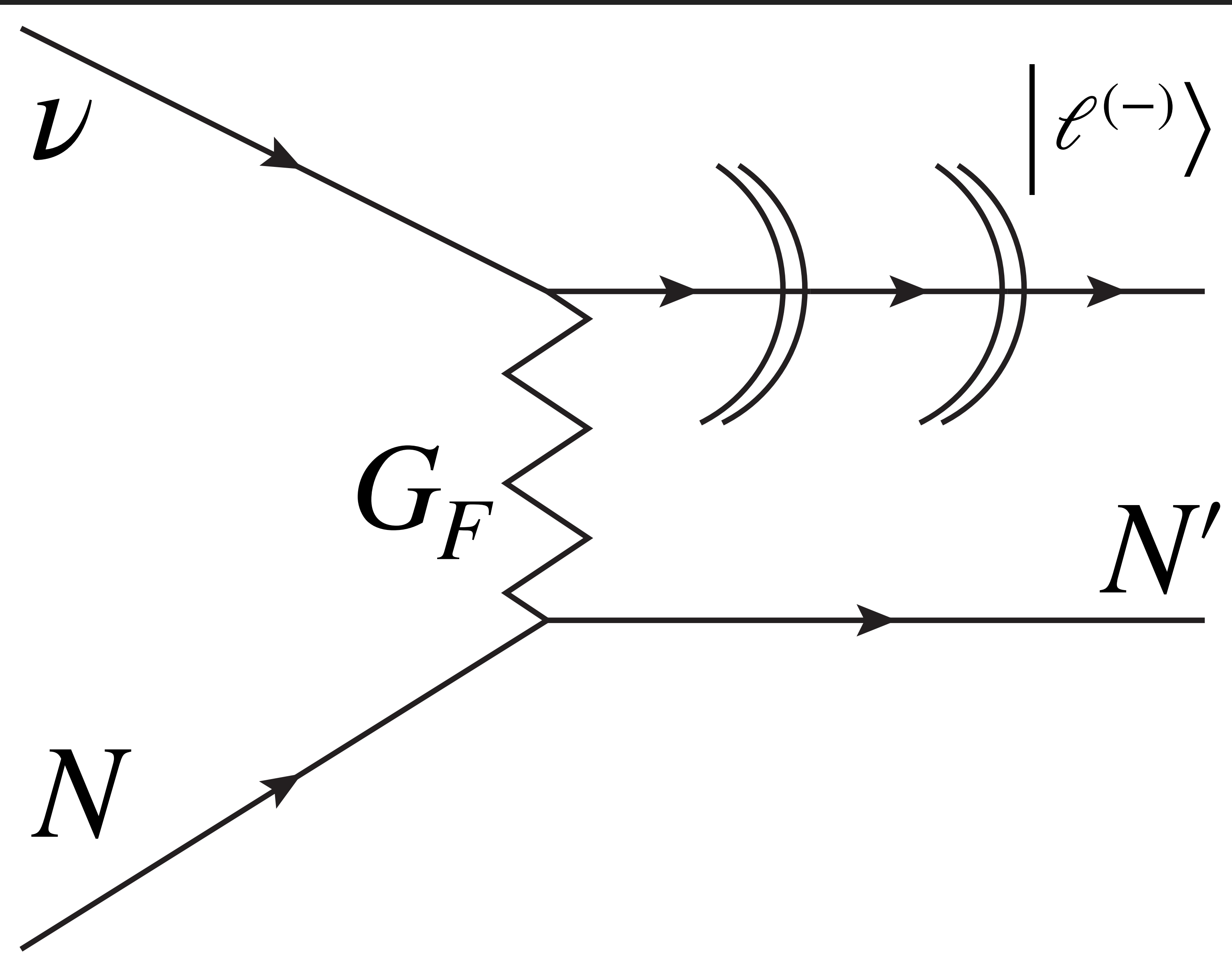


# COULOMB FIELD OF A NUCLEUS



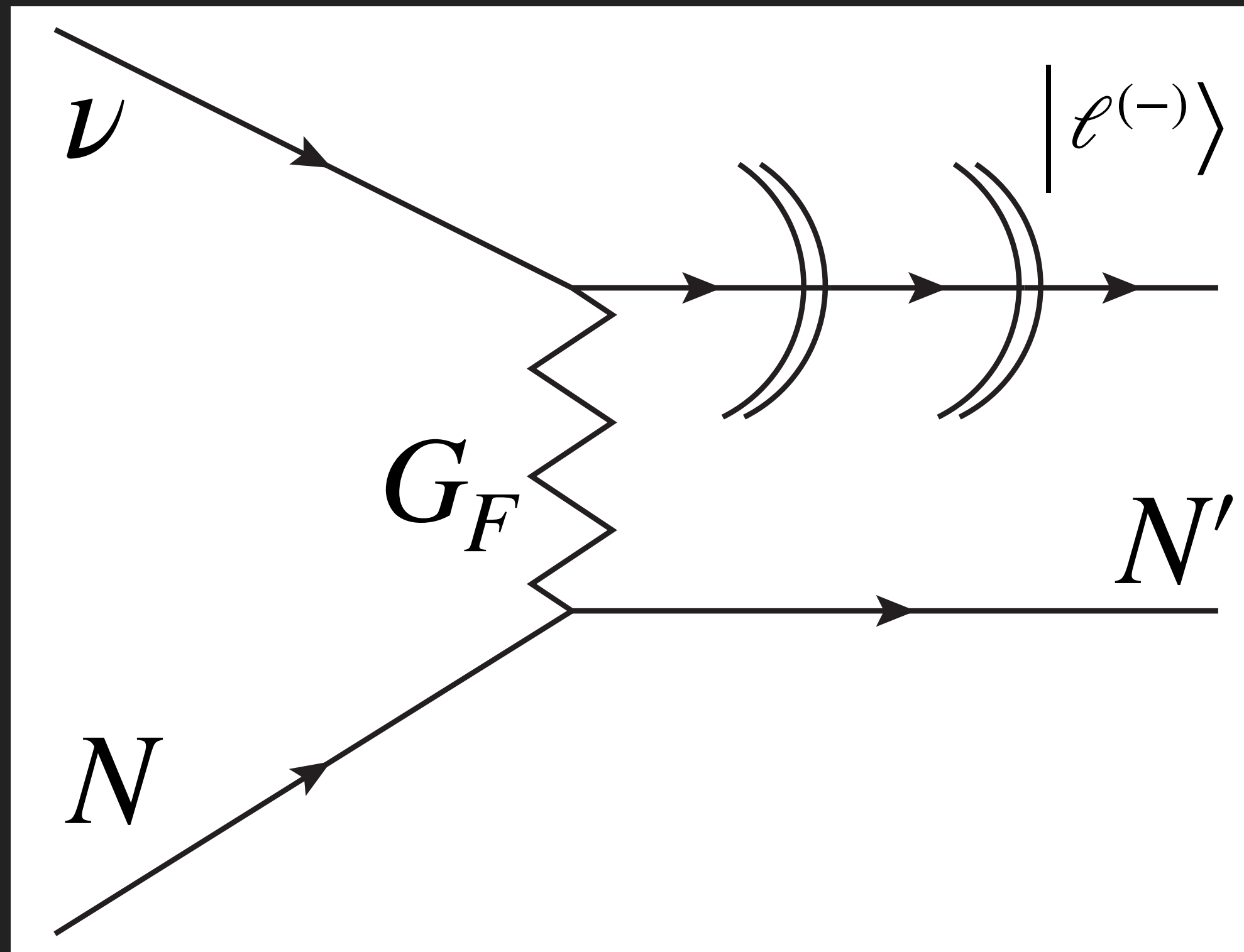
- ▶ Spectator nucleus becomes a background field.
- ▶ Coulomb field distorts lepton.
- ▶ In this talk we will ignore nucleon FSI.

# DISTORTED WAVE BORN SERIES



- ▶ Use out-state solution of Coulomb scattering problem.
- ▶ S-matrix does not conserve momentum.
- ▶ Loss of plane wave leads to loss of  $(2\pi)^3 \delta^{(3)}(\Sigma P)$ .

# DISTORTED WAVE BORN SERIES



- ▶ Use out-state solution of Coulomb scattering problem.
- ▶ Loss of plane wave leads to loss of  $(2\pi)^3 \delta^{(3)}(\Sigma P)$

$$e^{ik'x} \bar{u}_{k'} \gamma_\mu (1 - \gamma_5) u_k e^{-ikx} \rightarrow \bar{\mathcal{U}}_{k'}(x) \gamma_\mu (1 - \gamma_5) u_k e^{-ikx}$$

# DISTORTED WAVE MATRIX ELEMENTS

$$S = (2\pi)^4 \delta^{(4)}(\Sigma P) i \mathcal{M} \quad \longrightarrow \quad S = 2\pi \delta(\Sigma E) i \mathbf{M}$$

$$L_{\mu\nu} \longrightarrow \iint d^3x d^3y \operatorname{Tr} \left[ L_{\mu}(x) L_{\nu}(y) \right]$$

$$d\Pi (2\pi)^4 \delta^{(4)}(\Sigma P) |\mathcal{M}|^2 \longrightarrow d\Pi (2\pi) \delta(\Sigma E) |\mathbf{M}|^2 (\Sigma P)$$

# DISTORTED WAVE MATRIX ELEMENTS

$$S = (2\pi)^4 \delta^{(4)}(\Sigma P) i \mathcal{M} \quad \longrightarrow \quad S = 2\pi \delta(\Sigma E) i M$$

$$L_{\mu\nu} \rightarrow \int \int d^3x \ d^3y \ \text{Tr} \left[ L_{\mu}(x) L_{\nu}(y) \right]$$

$$d\Pi (2\pi)^4 \delta^{(4)}(\Sigma P) | \mathcal{M} |^2 \rightarrow d\Pi \ (2\pi) \delta(\Sigma E) | M |^2 (\Sigma P)$$

$$\text{Extra Integrals} = 3 + 3 + 3 = 9$$

# EXISTING LITERATURE

PHYSICAL REVIEW C

VOLUME 57, NUMBER 4

APRIL 1998

## **Approximate treatment of lepton distortion in charged-current neutrino scattering from nuclei**

Jonathan Engel

*Department of Physics and Astronomy, University of North Carolina, Chapel Hill, North Carolina 27599-3255*

(Received 18 November 1997)

The partial-wave expansion used to treat the distortion of scattered electrons by the nuclear Coulomb field is simpler and considerably less time-consuming when applied to the production of muons and electrons by low- and intermediate-energy neutrinos. For angle-integrated cross sections, however, a modification of the “effective-momentum” approximation seems to work so well that for muons the full distorted-wave treatment is usually unnecessary, even at kinetic energies as low as 1 MeV and in nuclei as heavy as lead. The method does not work as well for electron production at low energies, but there a Fermi function often proves perfectly adequate. Scattering of electron neutrinos from muon decay on iodine and of atmospheric neutrinos on iron is discussed in light of these results. [S0556-2813(98)04804-3]

PACS number(s): 25.30.Pt, 11.80.Fv

- ▶ This is the ***only*** paper on Coulomb corrections for neutrino-nucleus scattering (to the best of my knowledge).



PHYSICAL REVIEW C

VOLUME 57, NUMBER 4

APRIL 1998

**Approximate treatment of lepton distortion in charged-current neutrino scattering from nuclei**

Jonathan Engel

*Department of Physics and Astronomy, University of North Carolina, Chapel Hill, North Carolina 27599-3255*

(Received 18 November 1997)

The partial-wave expansion used to treat the distortion of scattered electrons by the nuclear Coulomb field is simpler and considerably less time-consuming when applied to the production of muons and electrons by low- and intermediate-energy neutrinos. For angle-integrated cross sections, however, a modification of the “effective-momentum” approximation seems to work so well that for muons the full distorted-wave treatment is usually unnecessary, even at kinetic energies as low as 1 MeV and in nuclei as heavy as lead. The method does not work as well for electron production at low energies, but there a Fermi function often proves perfectly adequate. Scattering of electron neutrinos from muon decay on iodine and of atmospheric neutrinos on iron is discussed in light of these results. [S0556-2813(98)04804-3]

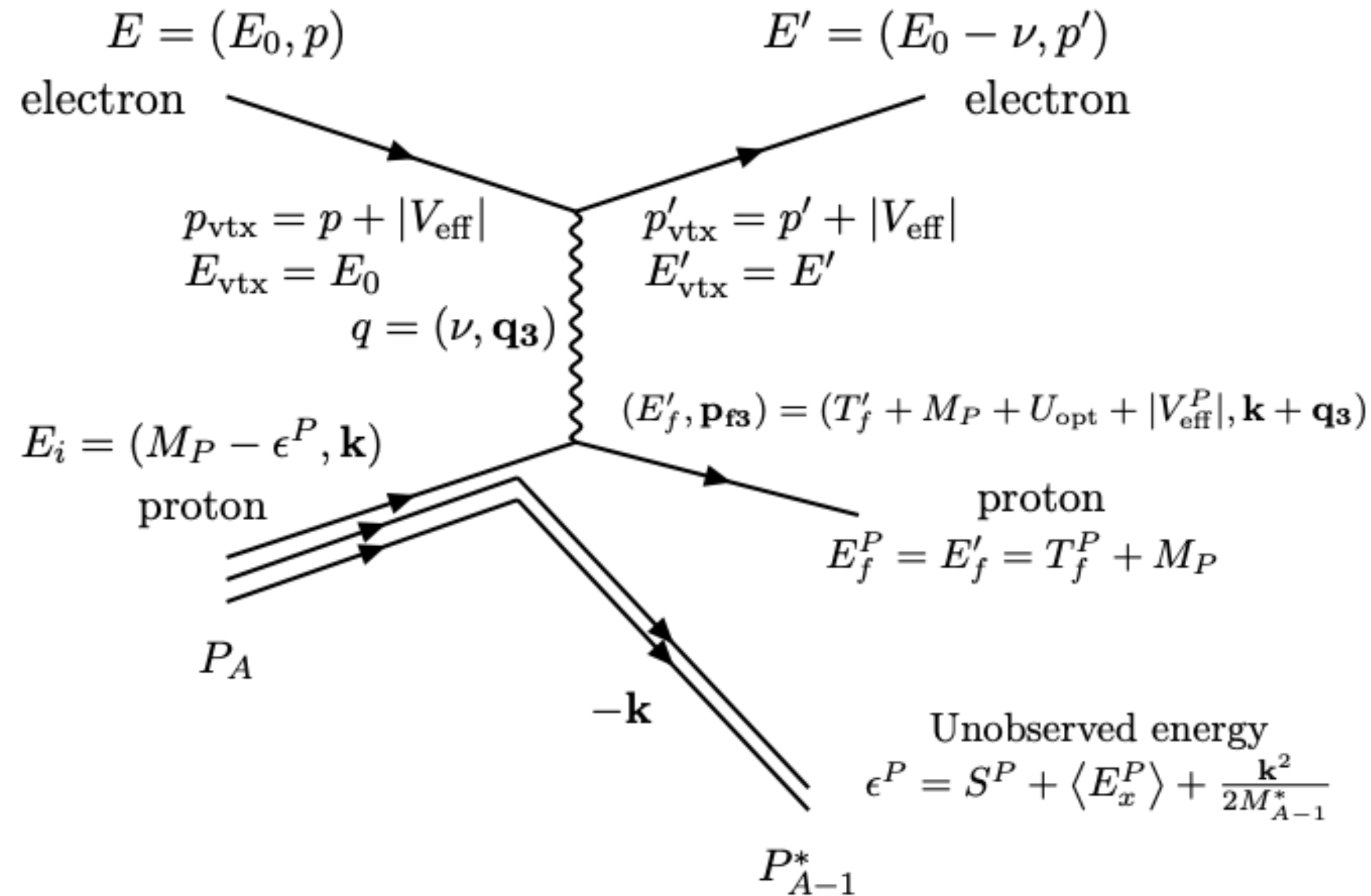
PACS number(s): 25.30.Pt, 11.80.Fv

- ▶ Advocates for a effective momentum approximation.
- ▶ Validates against toy model with vector current.

# OPTICAL POTENTIALS

# ELECTRON SCATTERING

## Electron scattering on proton



▶ Extensive literature in electron scattering.

▶ Tjon & Wallace (2006)  
[arXiv:nucl-th/0610115](https://arxiv.org/abs/nucl-th/0610115)

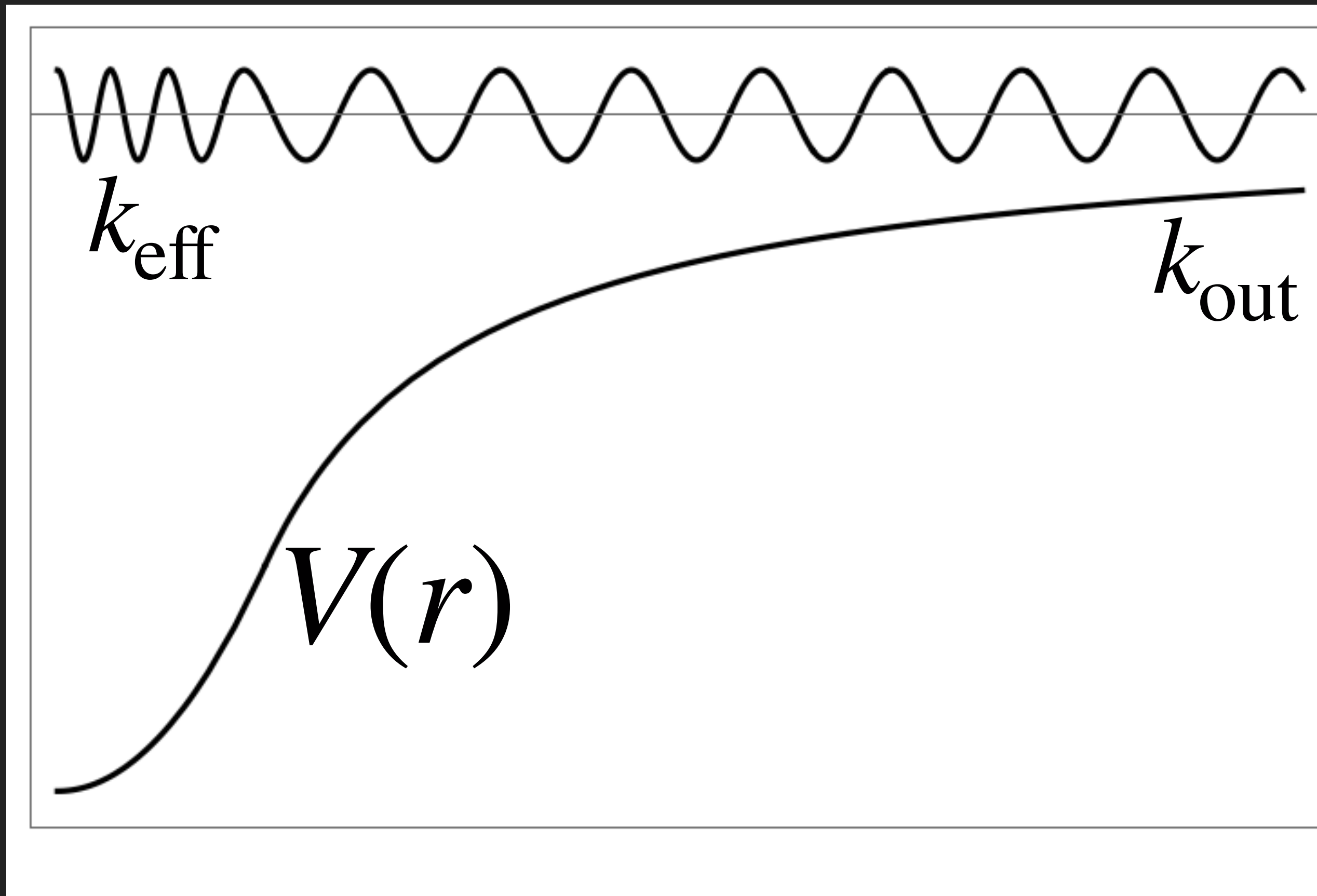
▶ Yennie Boos & Ravenhall  
[Phys. Rev. 137 \(1965\)](https://doi.org/10.1103/PhysRev.137.1965)

▶ Yennie, Ravenhall, & Willson  
[Phys. Rev. 95 \(1954\)](https://doi.org/10.1103/PhysRev.95.1603)

▶ Related work on optical potentials,  
 e.g. Bodek & Cai [arXiv:2004.00087](https://arxiv.org/abs/2004.00087)

# EFFECTIVE MOMENTUM

[arXiv:nucl-th/9711045](https://arxiv.org/abs/nucl-th/9711045)



- ▶ Effective momentum near nucleus.
- ▶ Re-scaled wave amplitude by  $\sqrt{kE/k_{\text{eff}}E_{\text{eff}}}$  .
- ▶ Effective momentum still conserved in phase space.

- ▶ Advocates for a effective momentum approximation
- ▶ This is what is inside GENIE.

# EIKONAL APPROXIMATION

# EIKONAL APPROXIMATION — DIRAC EQUATION

$$\mathcal{U}_k^{(\pm)}(x) = e^{-i\omega t} e^{ikx} e^{i\chi^{(\pm)}(x)} u_\beta(k)$$

Solve Dirac equation with Coulomb field iteratively

$$\chi^{(\pm)} = \chi_0^{(\pm)} + \frac{1}{E} \chi_1^{(\pm)} + \frac{1}{E^2} \chi_2^{(\pm)} + \dots$$

# EIKONAL APPROXIMATION — DIRAC EQUATION

$$\chi_0^{(+)} = -\frac{1}{v} \int_{-\infty}^z dz V(z, b) \quad (\text{for } \hat{z} \cdot \hat{k} = 1)$$

Solve Dirac equation with Coulomb field iteratively

$$\chi^{(\pm)} = \chi_0^{(\pm)} + \frac{1}{E} \chi_1^{(\pm)} + \frac{1}{E^2} \chi_2^{(\pm)} + \dots$$

# TOY NUCLEAR MODEL

ANTI-NEUTRINO + BOUND PROTON  $\rightarrow$  ANTI-LEPTON + FREE NEUTRON

$$|\bar{\nu}\rangle + |\phi\rangle \rightarrow |\ell_{\text{out}}^+\rangle + |n\rangle$$

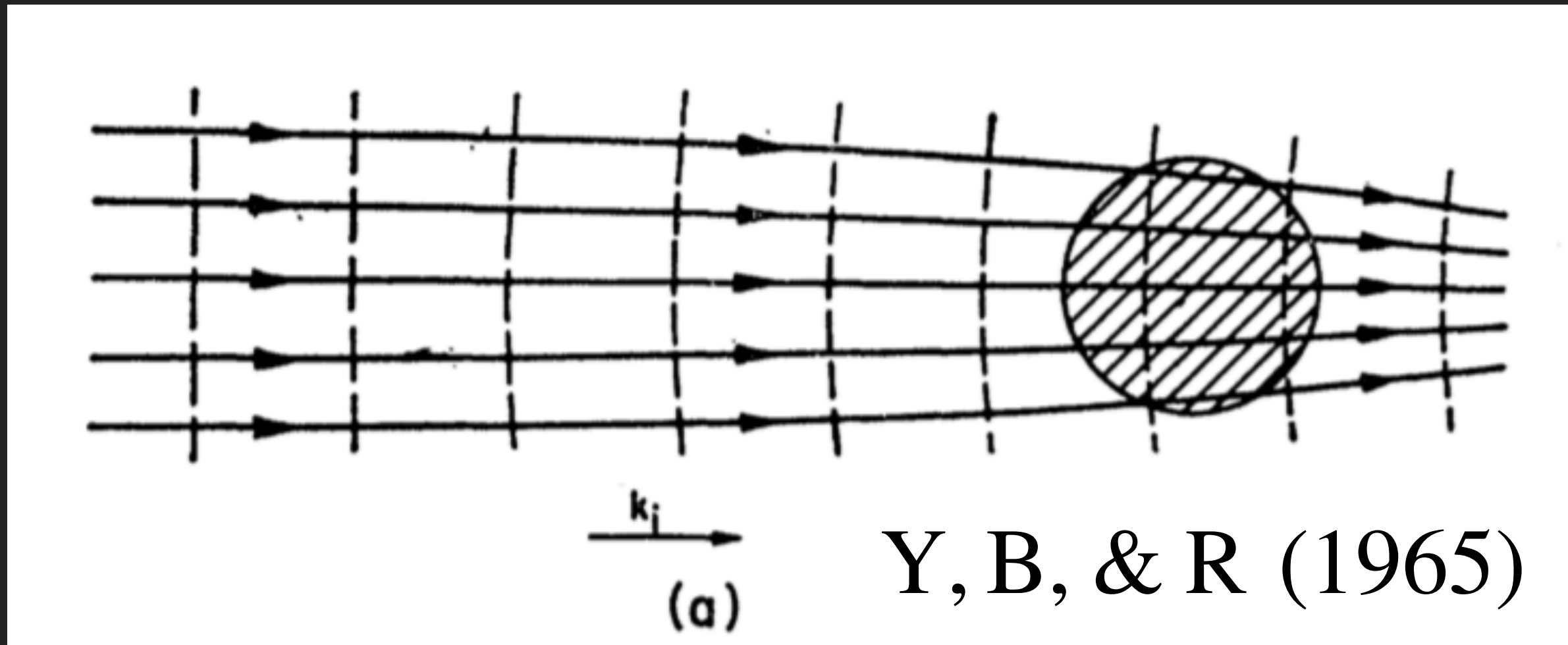
$$\phi(p) \sim \frac{1}{r_A^3} e^{-r_A^2 p^2} \quad e^{i\chi_0(x)}$$



# ANTI-NEUTRINO + BOUND PROTON $\rightarrow$ ANTI-LEPTON + FREE NEUTRON

$$e^{i\chi_0(x)}$$

Focussing in transverse plane



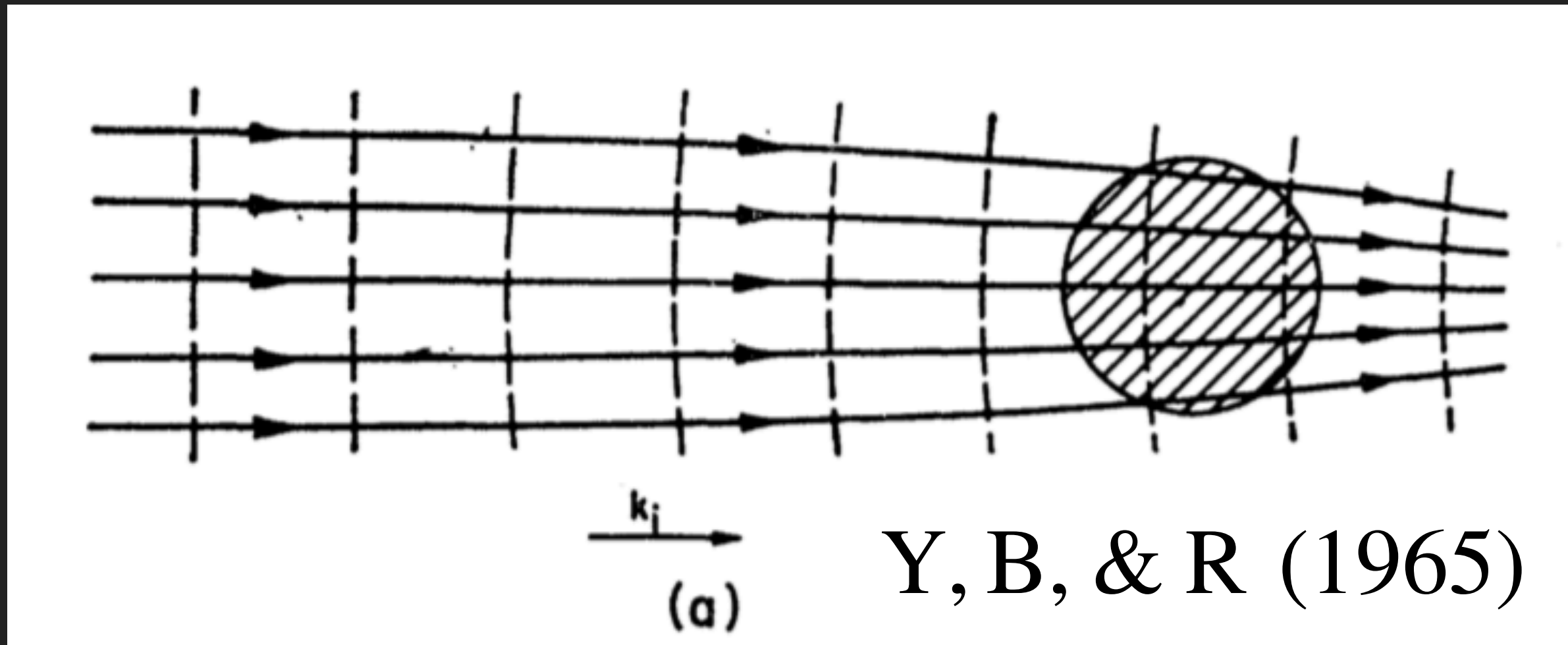
$$\sigma_{\perp}^2 = \frac{Z\alpha}{4\pi} \left\langle \frac{1}{r^2} \right\rangle$$

$$\chi_0(x) \approx \delta k \times z + \frac{1}{2} \sigma_{\perp}^2 \times b^2 + \dots$$

# ANTI-NEUTRINO + BOUND PROTON $\rightarrow$ ANTI-LEPTON + FREE NEUTRON

$$e^{i\chi_0(x)}$$

Focussing in transverse plane



$$\sigma_{\perp}^2 = \frac{Z\alpha}{4\pi} \left\langle \frac{1}{r^2} \right\rangle$$

$$\chi_0(x) \approx \delta k \times z + \frac{1}{2} \sigma_{\perp}^2 \times b^2 + \dots$$

ANTI-NEUTRINO + BOUND PROTON  $\rightarrow$  ANTI-LEPTON + FREE NEUTRON

Hierarchy  $\frac{1}{E_\nu} \ll r_A \lesssim \frac{1}{\sigma_\perp}$   $\phi(p) \sim \exp[-r_A^2 p^2]$

ANTI-NEUTRINO + BOUND PROTON  $\rightarrow$  ANTI-LEPTON + FREE NEUTRON

Hierarchy  $\frac{1}{E_\nu} \ll r_A \lesssim \frac{1}{\sigma_\perp}$   $\phi(p) \sim \exp[-r_A^2 p^2]$

$$d\sigma \sim d\sigma_{\text{PW}} / \cdot k_z \rightarrow k_z^{\text{eff}} / \cdot \delta^{(2)}(P_\perp) \rightarrow e^{-P_\perp^2 / \sigma_\perp^2}$$

Transverse Momentum Fluctuations

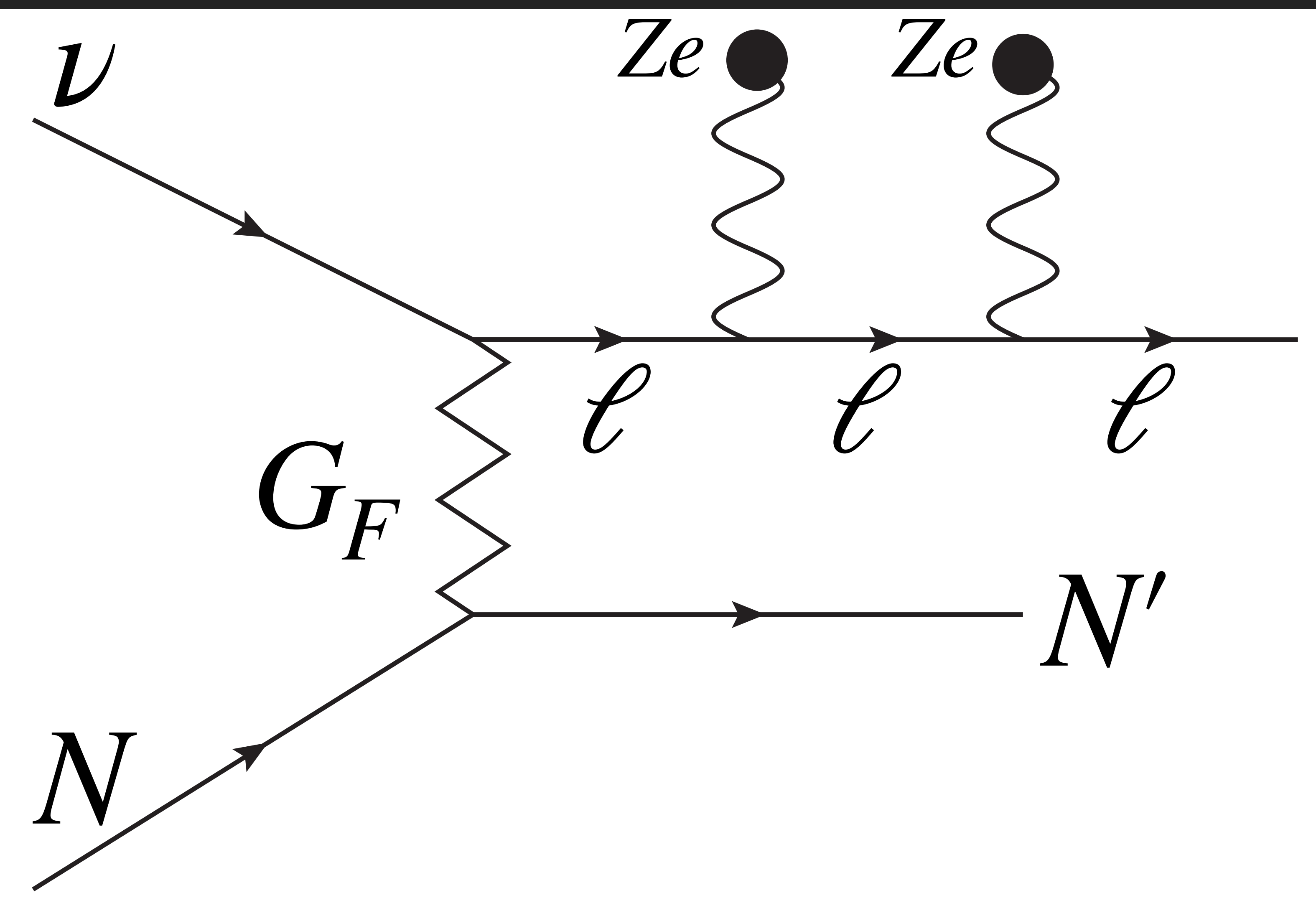
# CONCLUSIONS

### SUMMARY

- ▶ Analytic treatment possible using Eikonal expansion + hierarchy of scales (expansion in  $1/E_\nu r_A$ ).
- ▶ Only one distorted wave allows for analytic calculations (in contrast to electron scattering)
- ▶ Effective momentum approximation appears at leading order with calculable corrections.
- ▶ Coulomb field induces transverse momentum fluctuations.

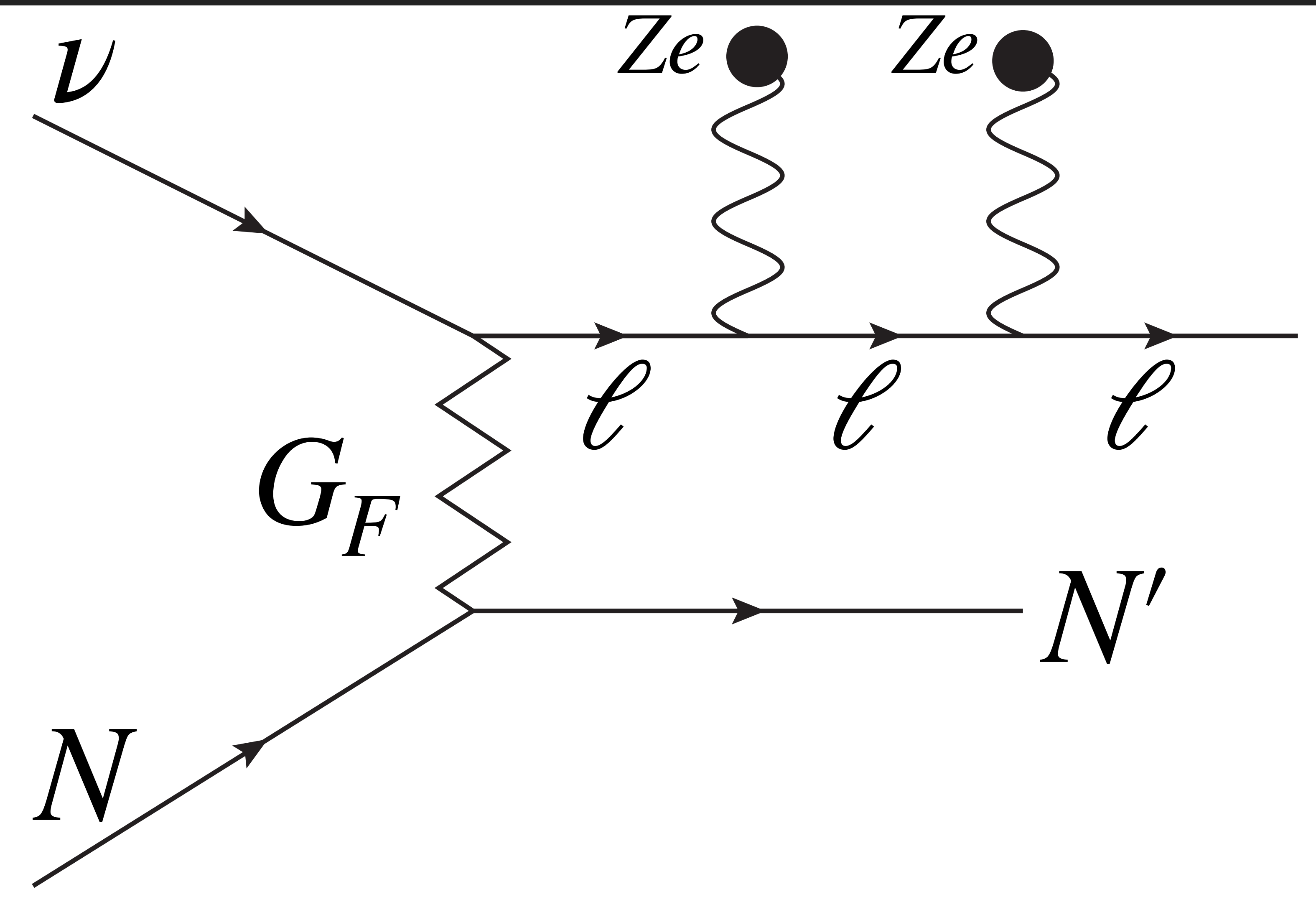
**EXTRA SLIDES**

# COULOMB FIELD OF A NUCLEUS



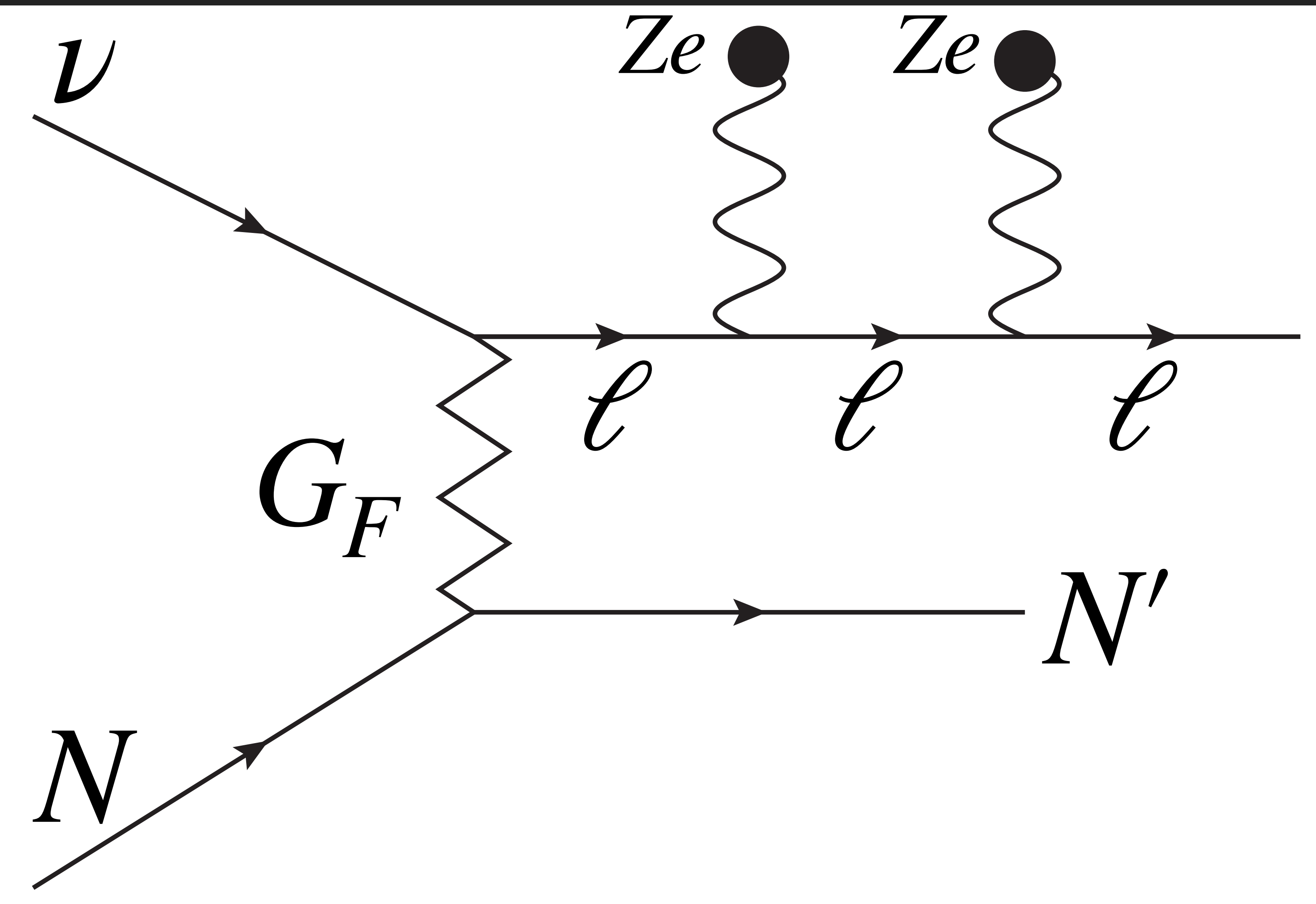


# COULOMB FIELD OF A NUCLEUS



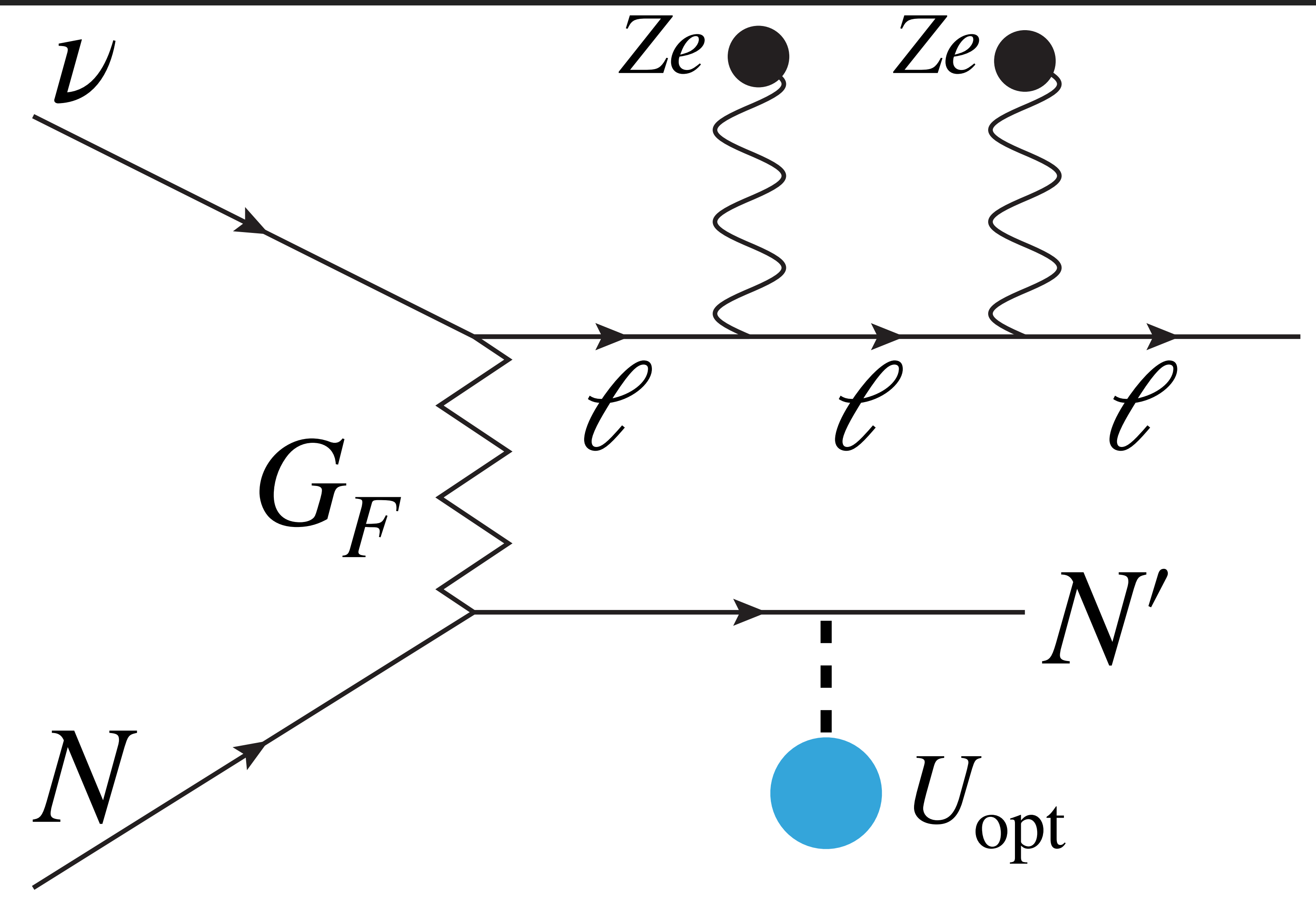
- ▶ Spectator nucleus becomes a background field.

# COULOMB FIELD OF A NUCLEUS



- ▶ Spectator nucleus becomes a background field.
- ▶ Coulomb field distorts lepton.

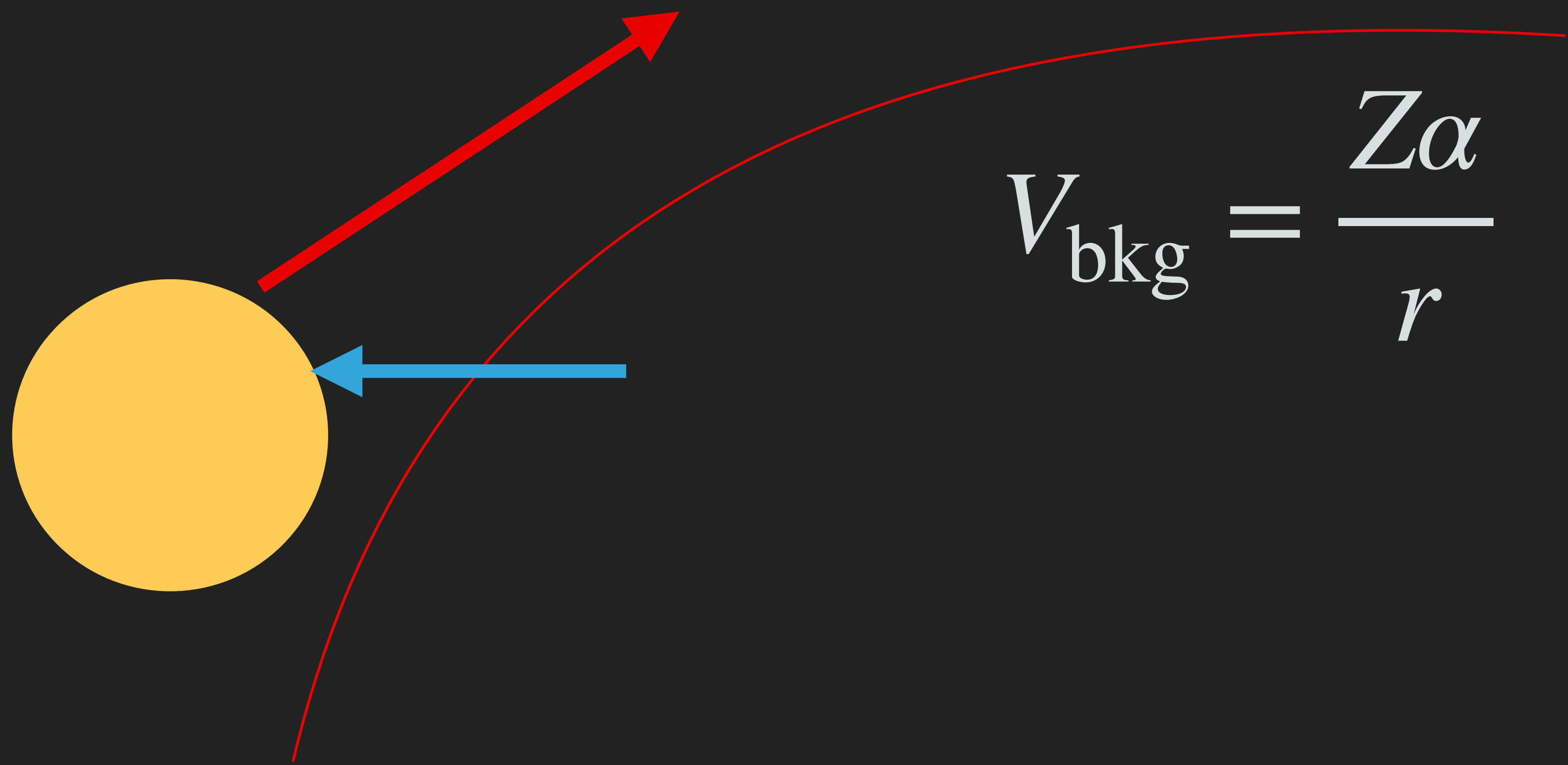
# COULOMB FIELD OF A NUCLEUS



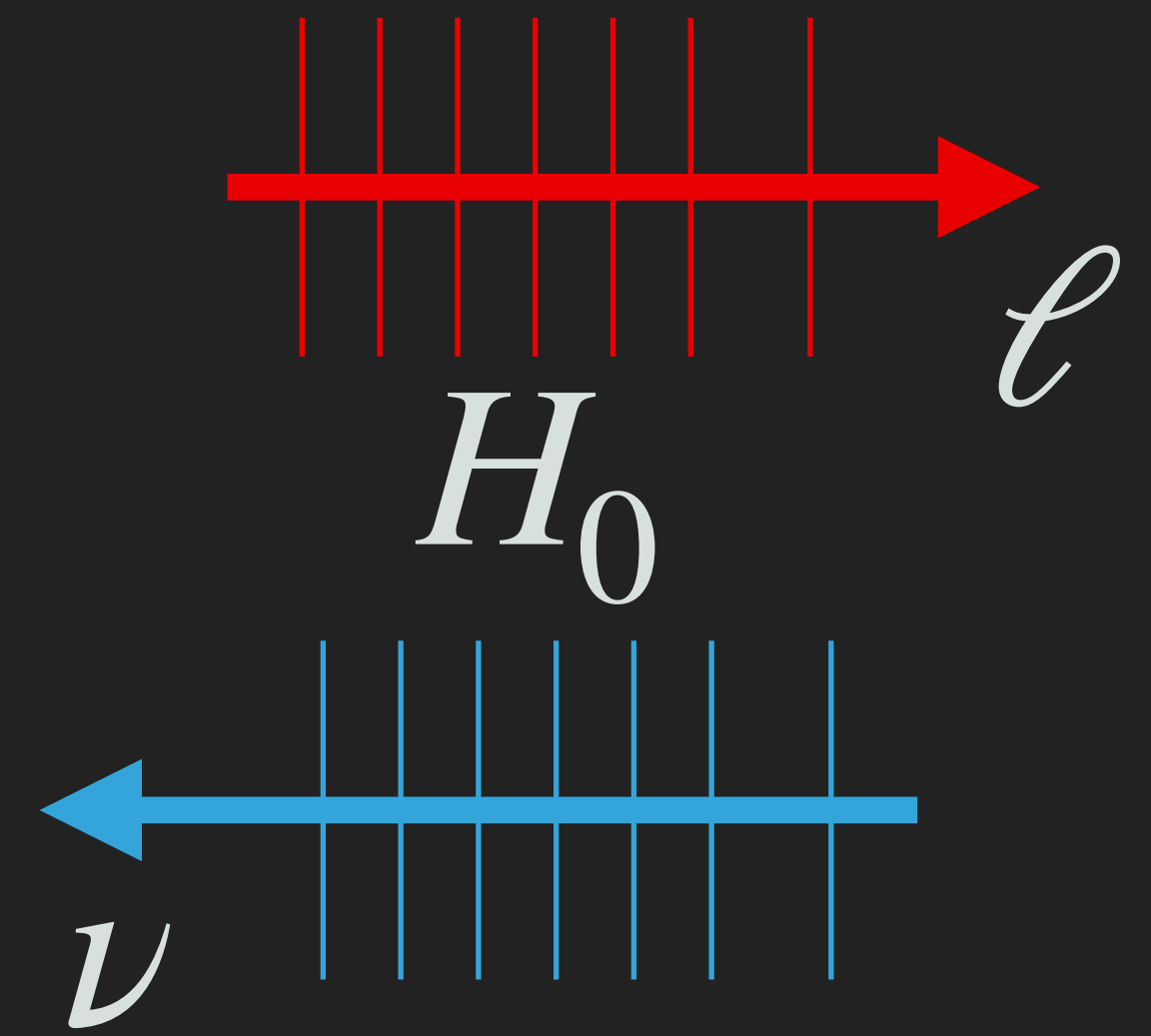
- ▶ Spectator nucleus becomes a background field.
- ▶ Coulomb field distorts lepton.
- ▶ One can also imagine adding nuclear optical potential.

# COULOMB FIELD OF A NUCLEUS

$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$

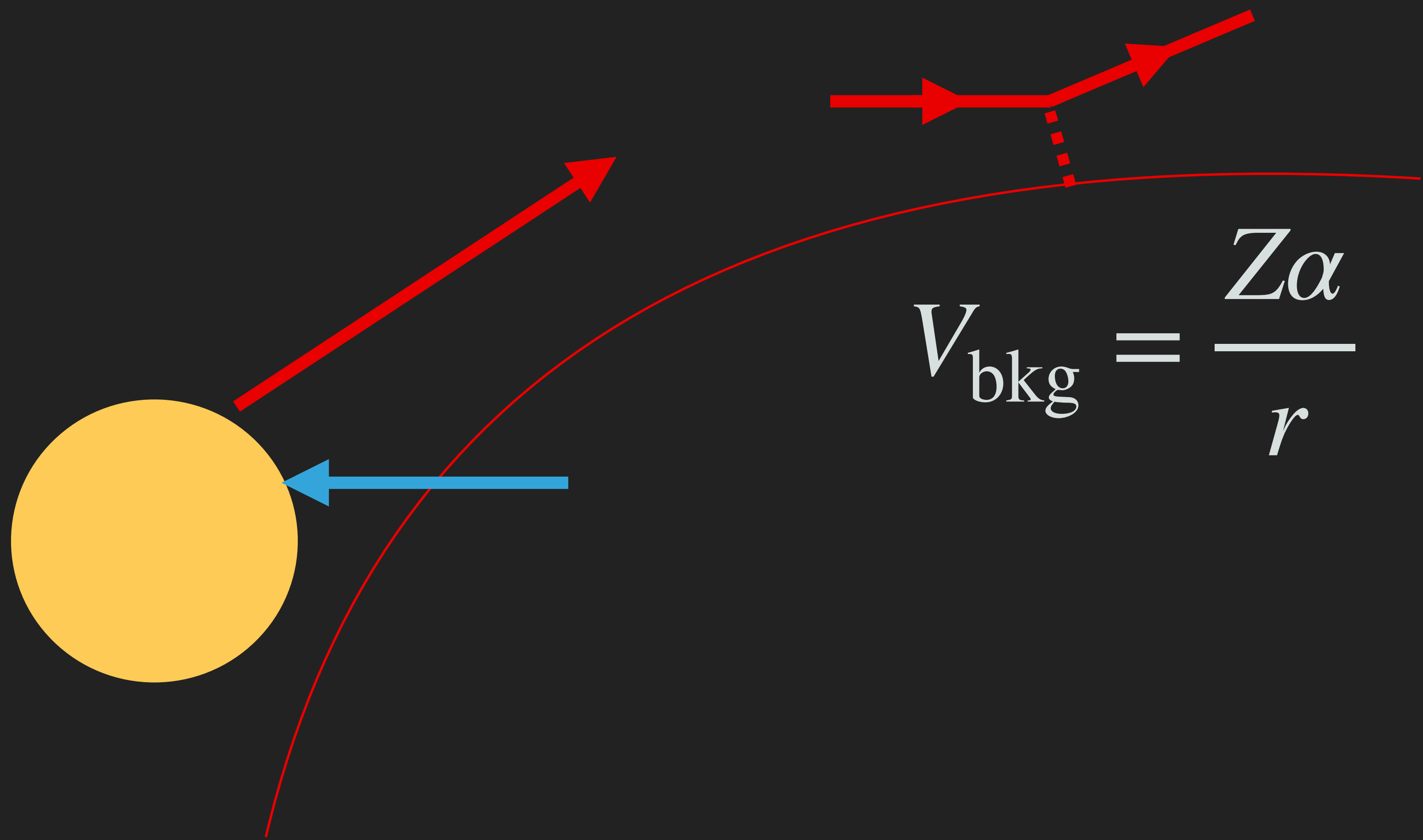


$$V_{\text{bkg}} = \frac{Z\alpha}{r}$$

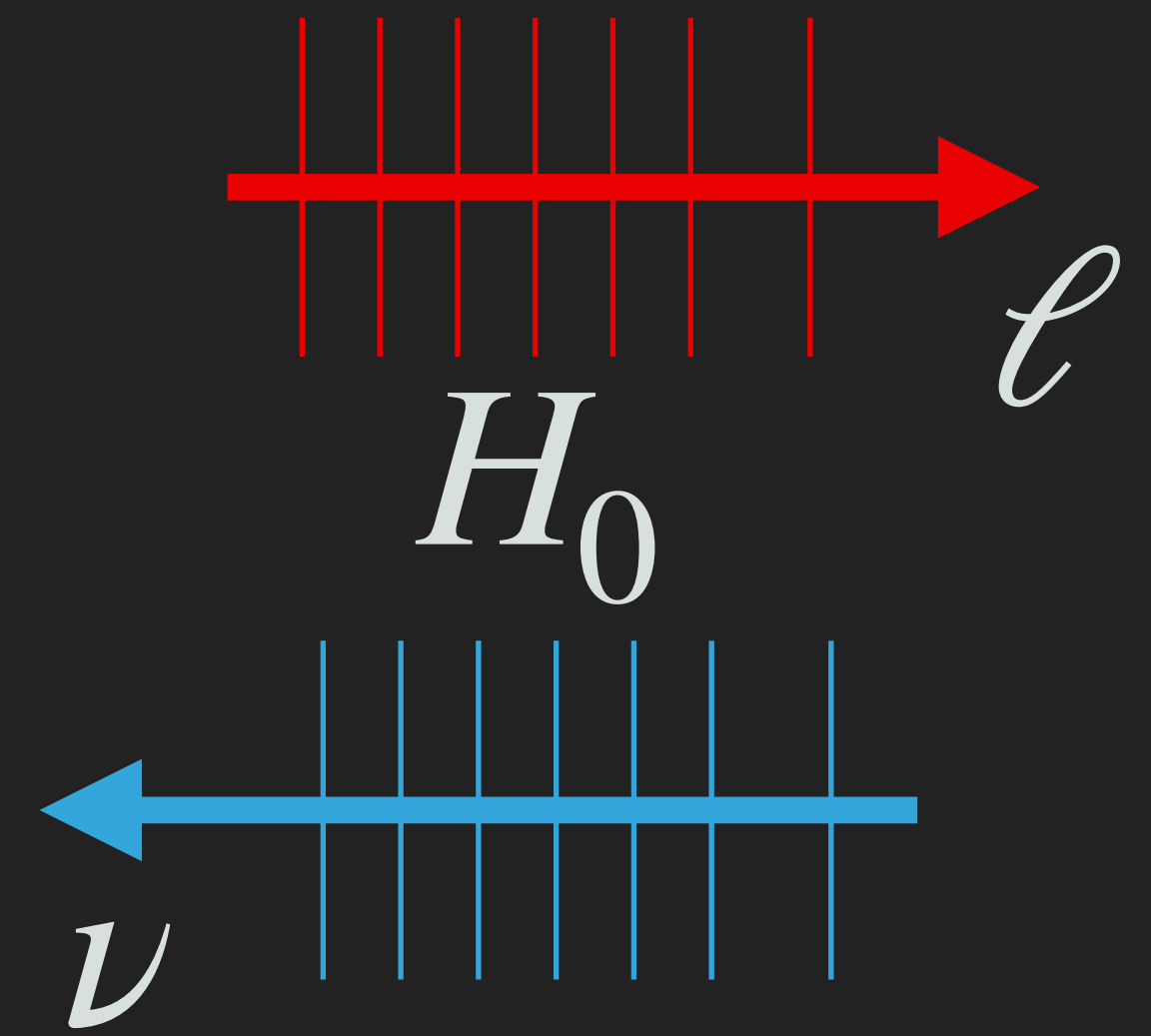


# COULOMB FIELD OF A NUCLEUS

$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$

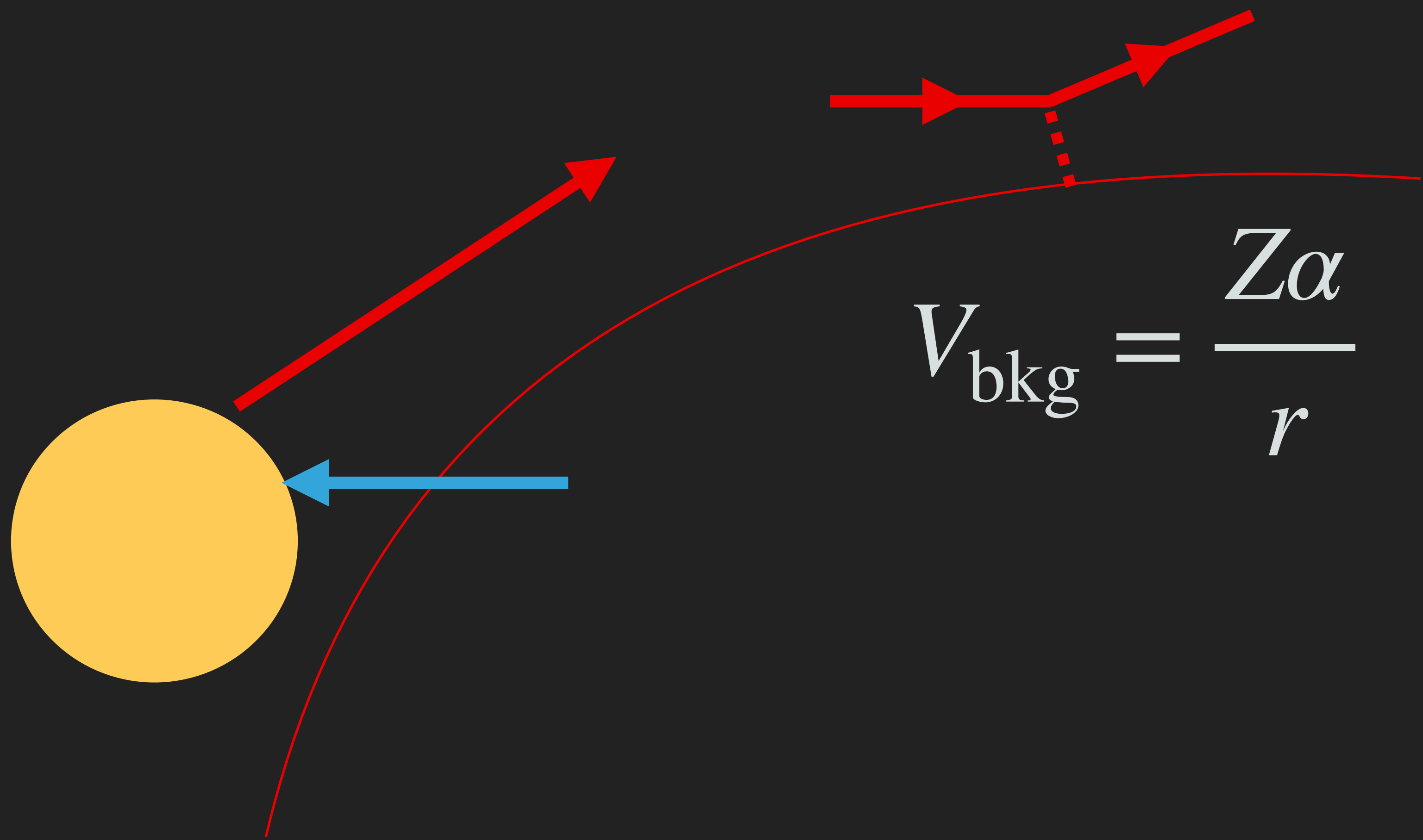


$$V_{\text{bkg}} = \frac{Z\alpha}{r}$$

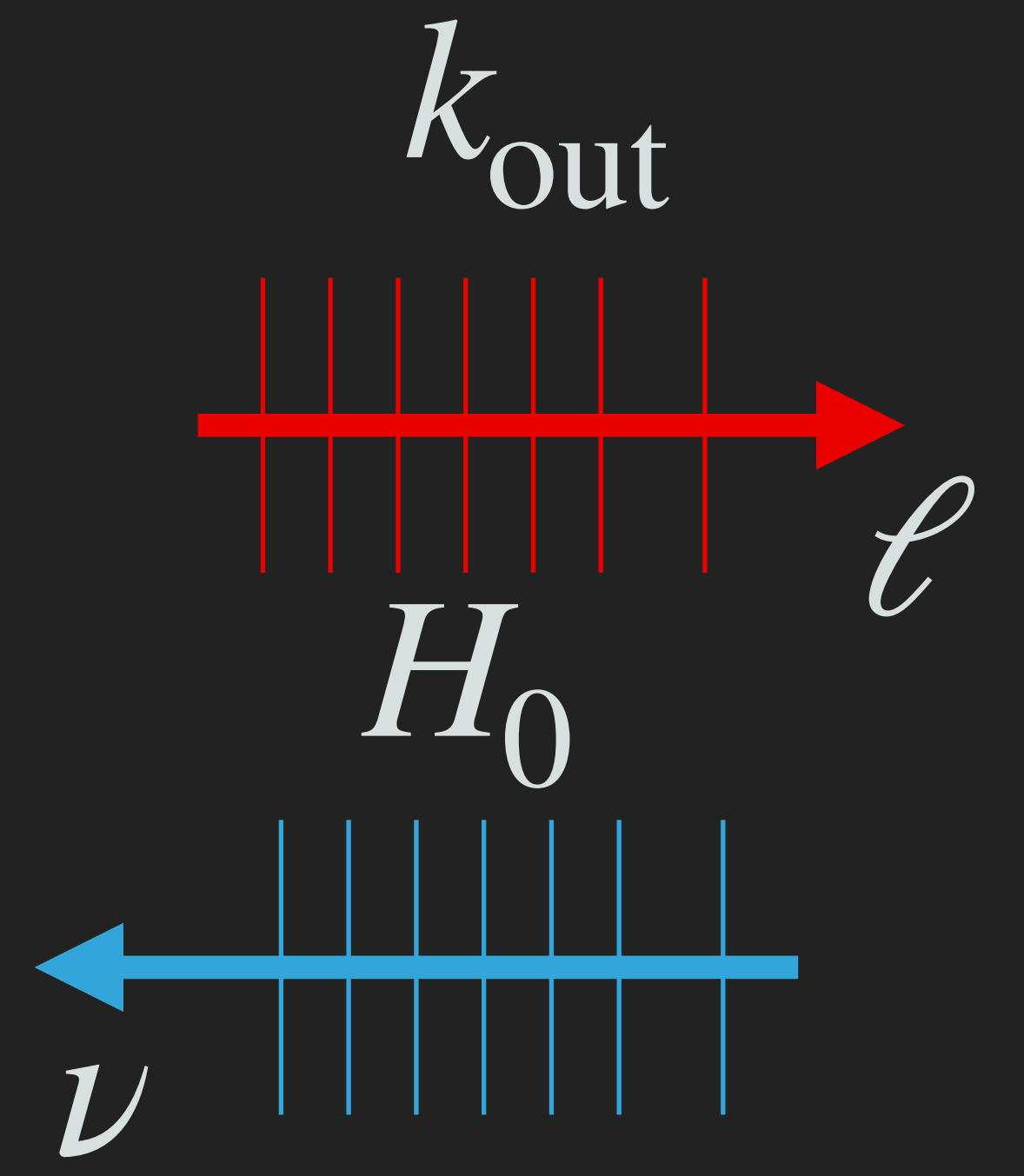


# COULOMB FIELD OF A NUCLEUS

$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$

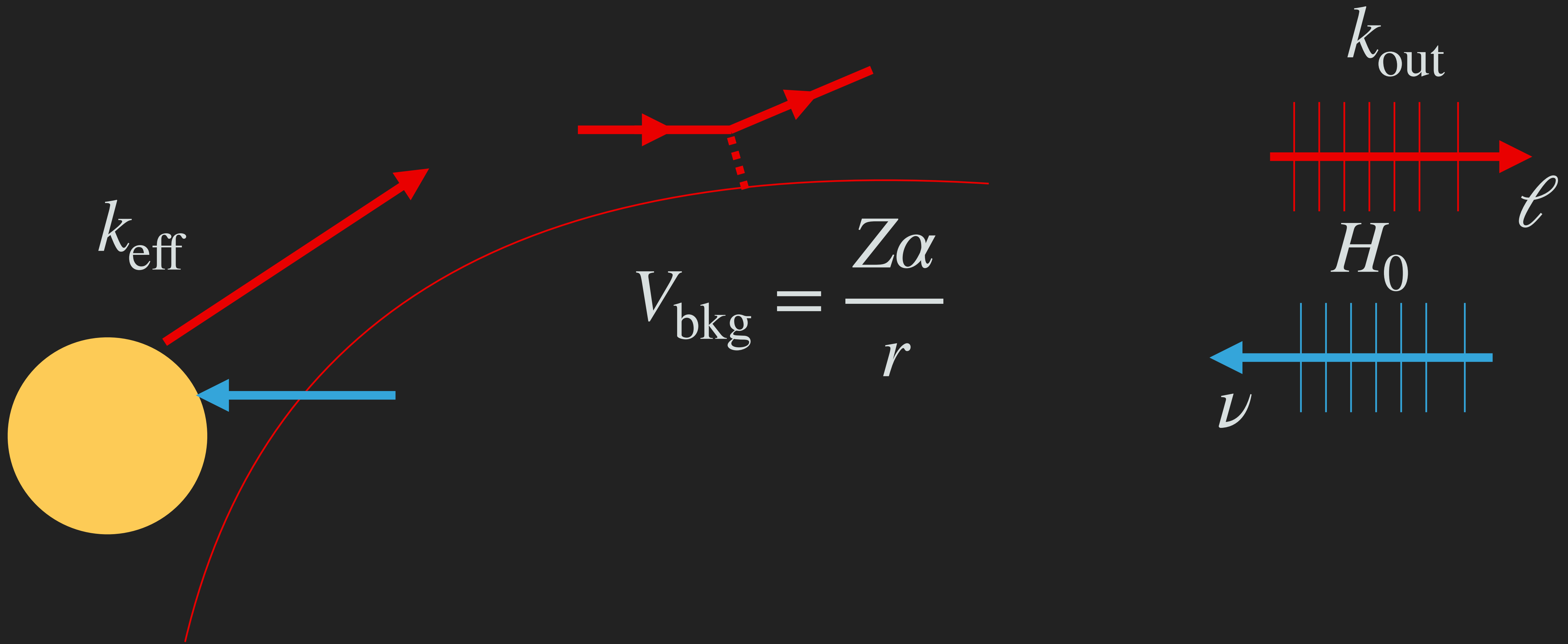


$$V_{\text{bkg}} = \frac{Z\alpha}{r}$$



# COULOMB FIELD OF A NUCLEUS

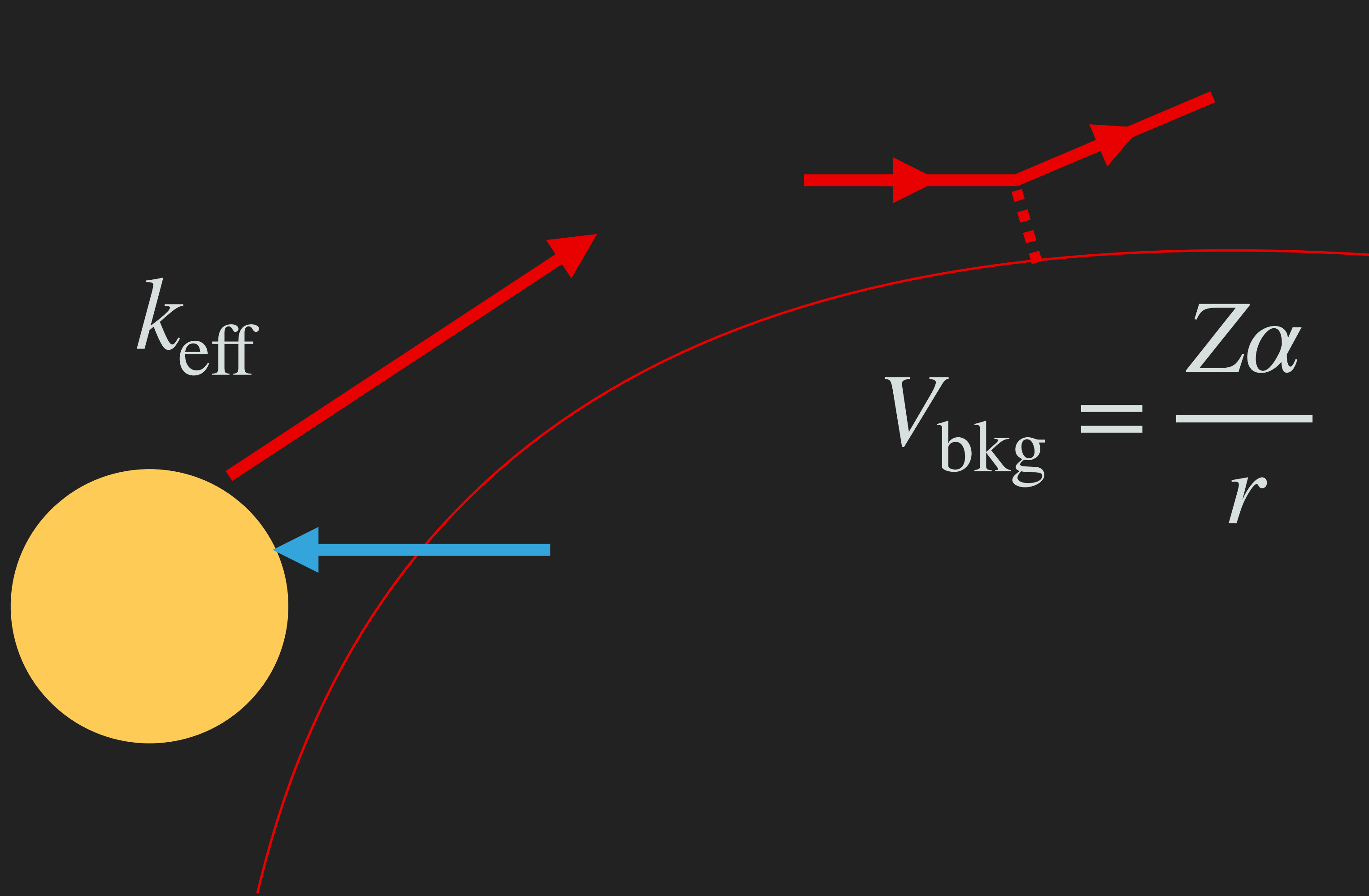
$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$



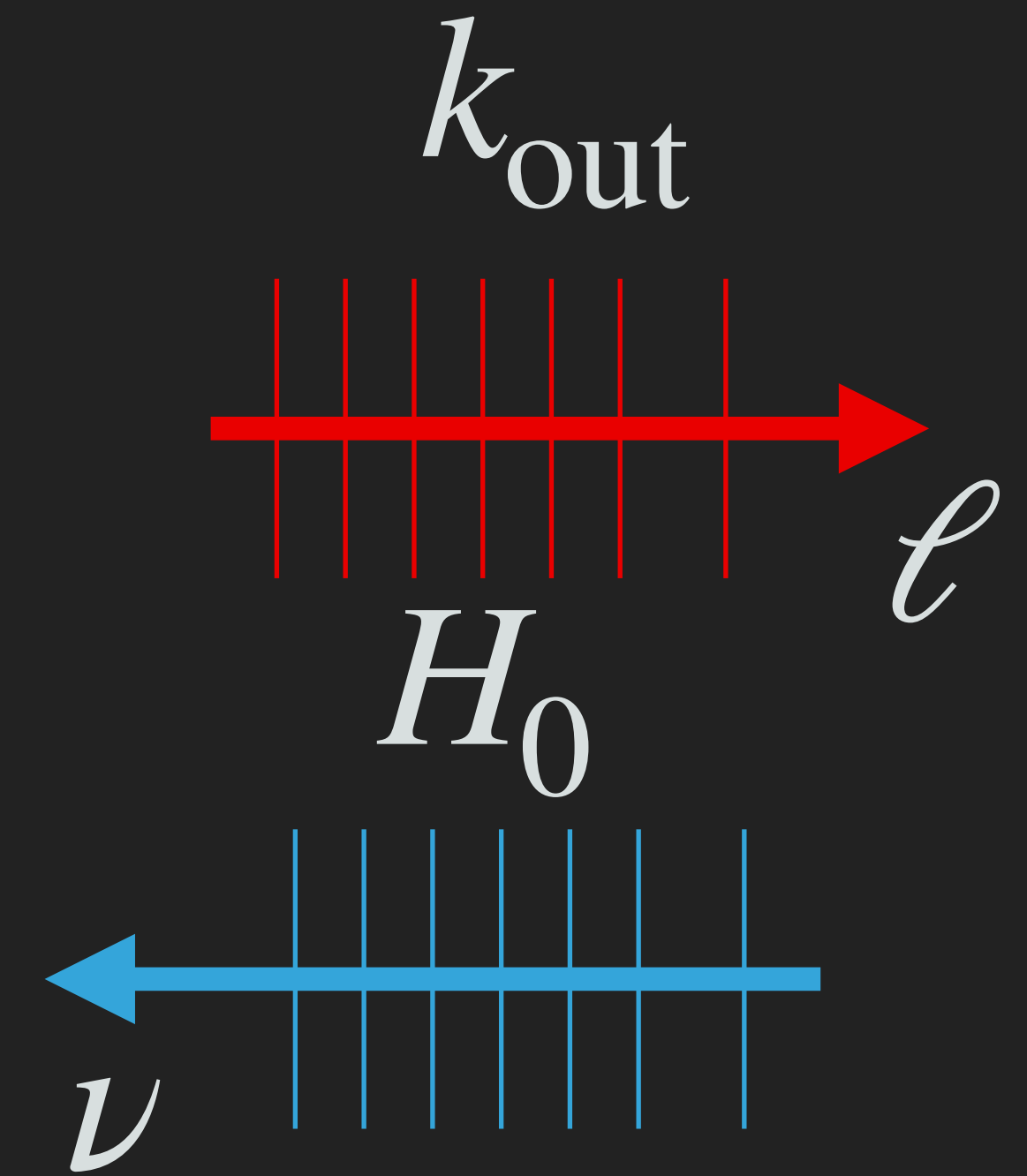
# COULOMB FIELD OF A NUCLEUS

$$k_{\text{eff}} \neq k_{\text{out}}$$

$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$



$$V_{\text{bkg}} = \frac{Z\alpha}{r}$$





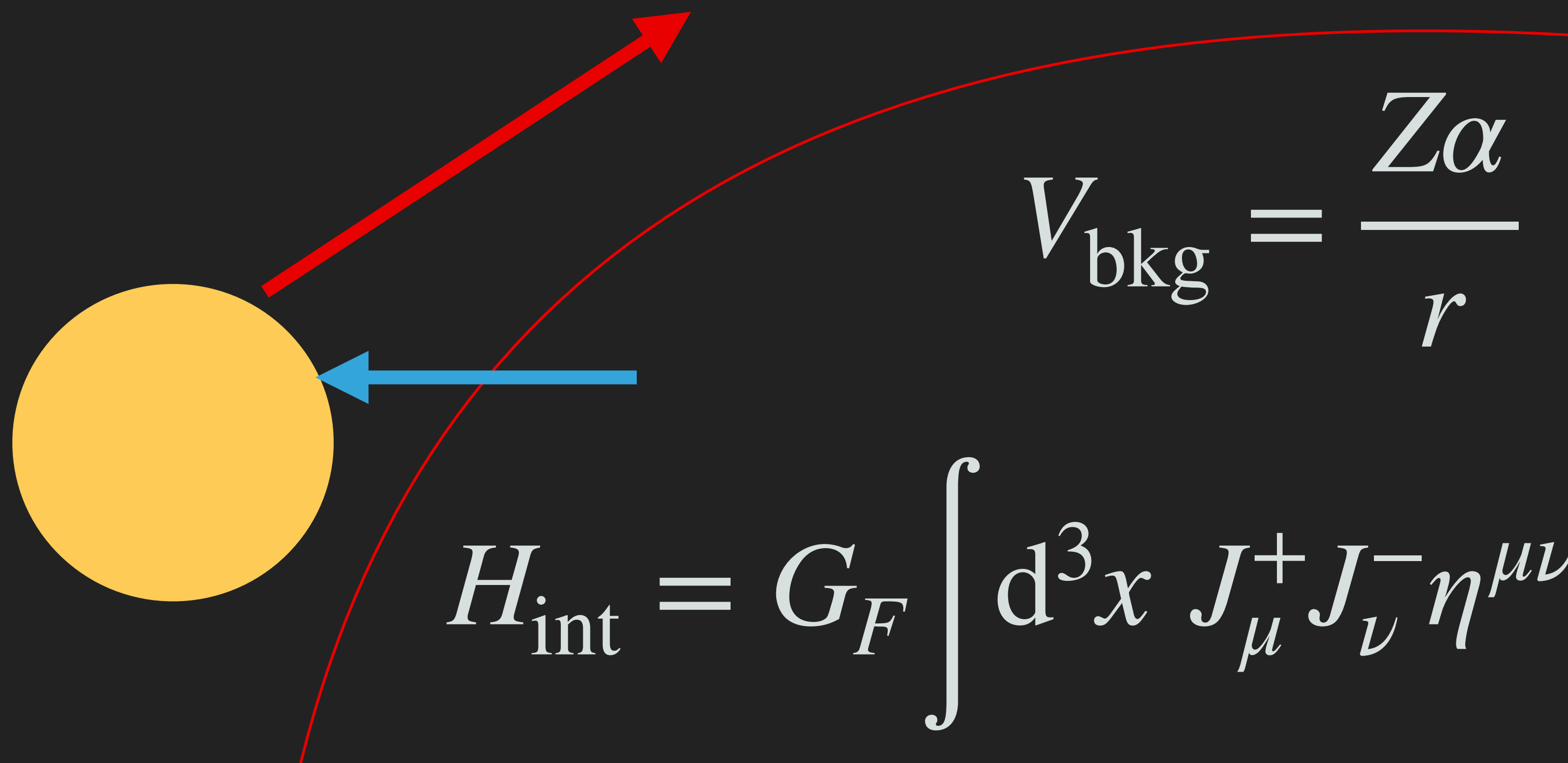
# COULOMB FIELD OF A NUCLEUS

$$H = H_0 + V_{\text{bkg}} + H_{\text{int}}$$

- ▶ Nucleus is "infinitely" heavy: recoilless.

- ▶ Can model as static Coulomb field.

- ▶ Lepton wavefunction distorted by Coulomb field.



$$V_{\text{bkg}} = \frac{Z\alpha}{r}$$

$$H_{\text{int}} = G_F \int d^3x J_{\mu}^{+} J_{\nu}^{-} \eta^{\mu\nu}$$

# DISTORTED WAVE BORN APPROXIMATION

$$H = \underbrace{H_0 + V_{\text{bkg}}}_{\text{exact}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}}$$

$$H_0 + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = \langle \phi^{(+)} | \psi^{(-)} \rangle \approx \langle \phi_0 | H_{\text{int}} | \psi_0 \rangle$$

$$H_{\text{bkg}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = \langle \phi^{(+)} | \psi^{(-)} \rangle \approx \langle \phi_{\text{bkg}}^{(+)} | H_{\text{int}} | \psi_{\text{bkg}}^{(-)} \rangle$$

# DISTORTED WAVE BORN APPROXIMATION — STATIC POTENTIAL

$$H = \underbrace{H_0 + V_{\text{bkg}}}_{\text{exact}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}}$$

$$H_0 + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Big| \quad S = J_\mu(q) \bar{u}_{k'} \Gamma^\mu u_k \quad (2\pi)^4 \delta^{(4)}(k' - k - q)$$

$$H_{\text{bkg}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Big| \quad S = J_\mu(q) \left[ \int d^3x \bar{\mathcal{U}}_{k'}(x) \Gamma_\mu \mathcal{U}_k(x) e^{iqx} \right] (2\pi) \delta(\Sigma E)$$

# DISTORTED WAVE BORN APPROXIMATION — STATIC POTENTIAL

$$H = \underbrace{H_0 + V_{\text{bkg}}}_{\text{exact}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}}$$

$$H_0 + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = J_\mu(q) \bar{u}_{k'} \Gamma^\mu u_k \quad (2\pi)^4 \delta^{(4)}(k' - k - q)$$

ENERGY CONSERVED

$$H_{\text{bkg}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = J_\mu(q) \left[ \int d^3x \bar{\mathcal{U}}_{k'}(x) \Gamma_\mu \mathcal{U}_k(x) e^{iqx} \right] (2\pi) \delta(\Sigma E)$$

# DISTORTED WAVE BORN APPROXIMATION — STATIC POTENTIAL

$$H = \underbrace{H_0 + V_{\text{bkg}}}_{\text{exact}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}}$$

$$H_0 + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = J_\mu(q) \bar{u}_{k'} \Gamma^\mu u_k \quad (2\pi)^4 \delta^{(4)}(k' - k - q)$$

**ENERGY CONSERVED**

$$H_{\text{bkg}} + \underbrace{H_{\text{int}}}_{\text{pert. th.}} \quad \Bigg| \quad S = J_\mu(q) \left[ \int d^3x \bar{\mathcal{U}}_{k'}(x) \Gamma_\mu \mathcal{U}_k(x) e^{iqx} \right] (2\pi) \delta(\Sigma E)$$

**MOMENTUM NOT CONSERVED**

# STEP 1: AMPLITUDE WITH DISTORTED WAVES

$$\langle n\ell | H_{\text{int}} | B\nu \rangle = (2\pi i) \delta(E_B + E_\nu - E_n - E_\ell) \mathbf{M}$$

$$|B\rangle = \int \frac{d^3p}{(2\pi)^3} \phi(p) |p\rangle$$

$$\mathbf{M} = \int \frac{d^3p}{(2\pi)^3} \phi(p) \langle n\ell | H_{\text{int}} | \nu p \rangle$$