

2020.08.19

Grade restriction rule and its applications

Kentaro Hori

Based on

Herbst, Hori, Page 2008

Hori, Romo 2013

Eager, Hori, Knapp, Romo, work in progress

2d (2,2) supersymmetric gauge theory

gauge group G

matter representation V

superpotential $W(\phi)$

twisted superpotential $\tilde{W}(\sigma)$

2d (2,2) supersymmetric gauge theory

gauge group G compact Lie group

matter representation V representation/ \mathfrak{g} of G

superpotential $W(\phi)$ G -invariant polynomial of $\phi \in V$

twisted superpotential $\tilde{W}(\sigma)$

G -invariant polynomial of $\sigma \in \mathfrak{g}_{\mathbb{C}}$

Assume

$U(1)_V$ R-symmetry :

$$\exists R_V \in \text{End}(V)_{ss}^G, \quad W(\lambda^{R_V} \phi) = \lambda^2 W(\phi)$$

$U(1)_A$ R-symmetry :

• $G \subset SL(V)$ “Calabi-Yau condition”

• $\tilde{W}(\sigma) = -\langle t, \sigma \rangle$ linear

$$t = \underbrace{\zeta}_{\uparrow} - i \underbrace{\theta}_{\nwarrow} \in \mathfrak{g}_\mathbb{C}^* \otimes G = t_\mathbb{C}^* \otimes W = \mathfrak{z}_\mathbb{C}^*$$

Fayet-Iliopoulos

Theta $\in H^2(BG, U(1))$

Classical potential

$$U(\sigma, \phi) = \frac{1}{8e^2} |[\sigma, \bar{\sigma}]|^2 + \frac{1}{2} |\sigma \phi|^2 + \frac{1}{2} |\bar{\sigma} \phi|^2 \\ + \frac{e^2}{2} (\mu(\phi) - \xi)^2 + |dW(\phi)|^2$$

D-term potential

F-term potential

μ : moment map $V \rightarrow i\mathfrak{g}^*$

$$\langle \mu(\phi), D \rangle := (\phi, D\phi)$$

Classical vacua

Higgs branch

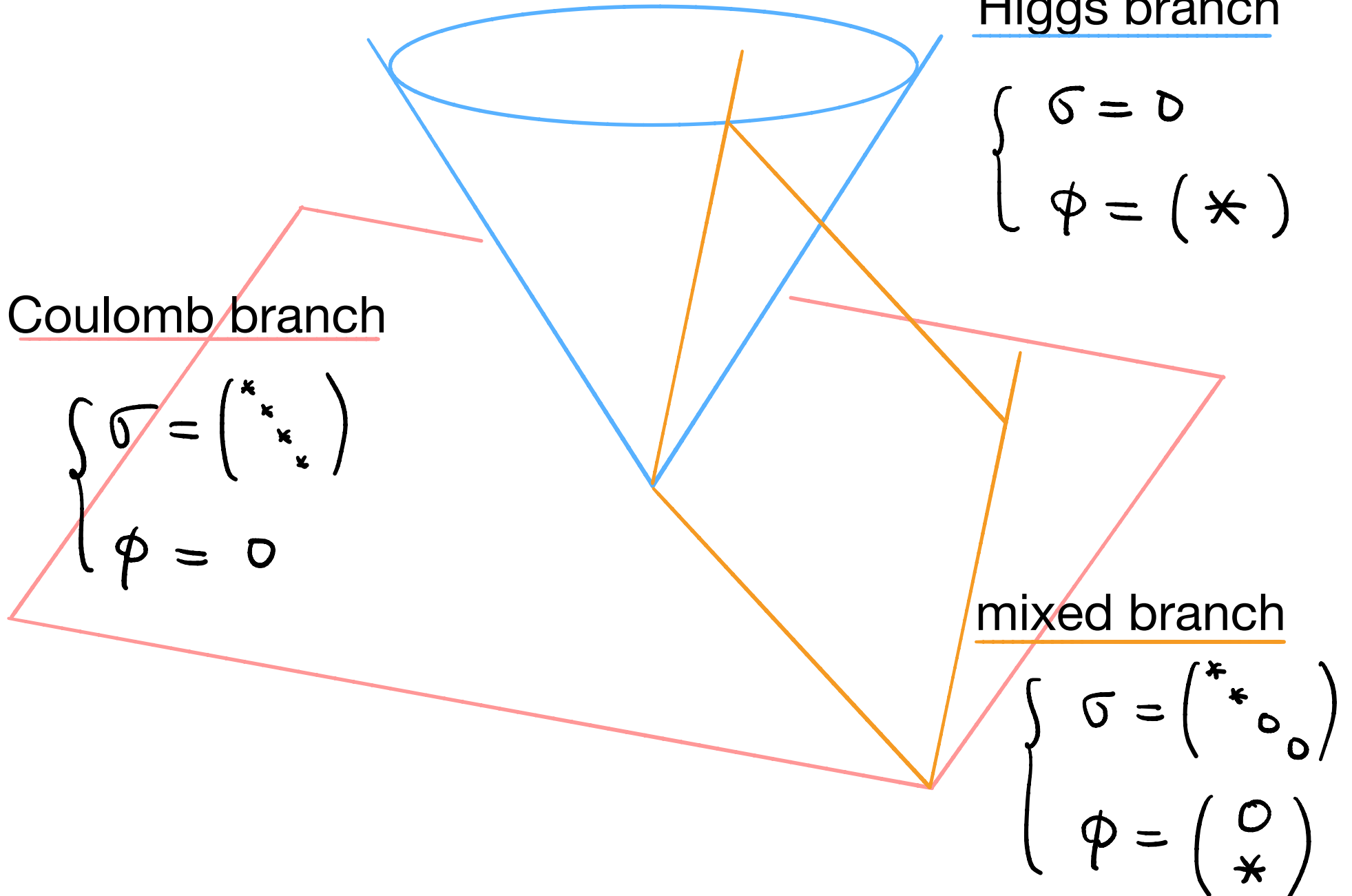
$$\begin{cases} \sigma = 0 \\ \phi = (*) \end{cases}$$

Coulomb branch

$$\begin{cases} \sigma = \begin{pmatrix} * & & & \\ & * & & \\ & & * & \\ & & & * \end{pmatrix} \\ \phi = 0 \end{cases}$$

mixed branch

$$\begin{cases} \sigma = \begin{pmatrix} * & & & \\ & * & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \\ \phi = \begin{pmatrix} 0 \\ * \end{pmatrix} \end{cases}$$



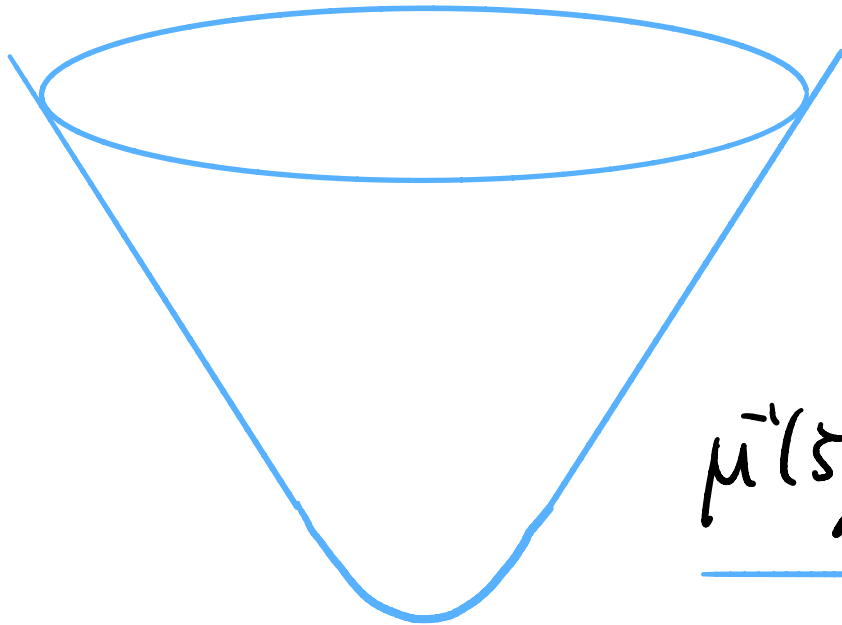
§ “generic” : $\bar{\mu}^{-1}(s) \cap \text{Crit } W \hookrightarrow G$

free or finite stabilizers

$$\Rightarrow \sigma = 0$$

no Coulomb nor mixed

smooth or orbifold
near $\text{Crit } W$



$\bar{\mu}^{-1}(s)/G$

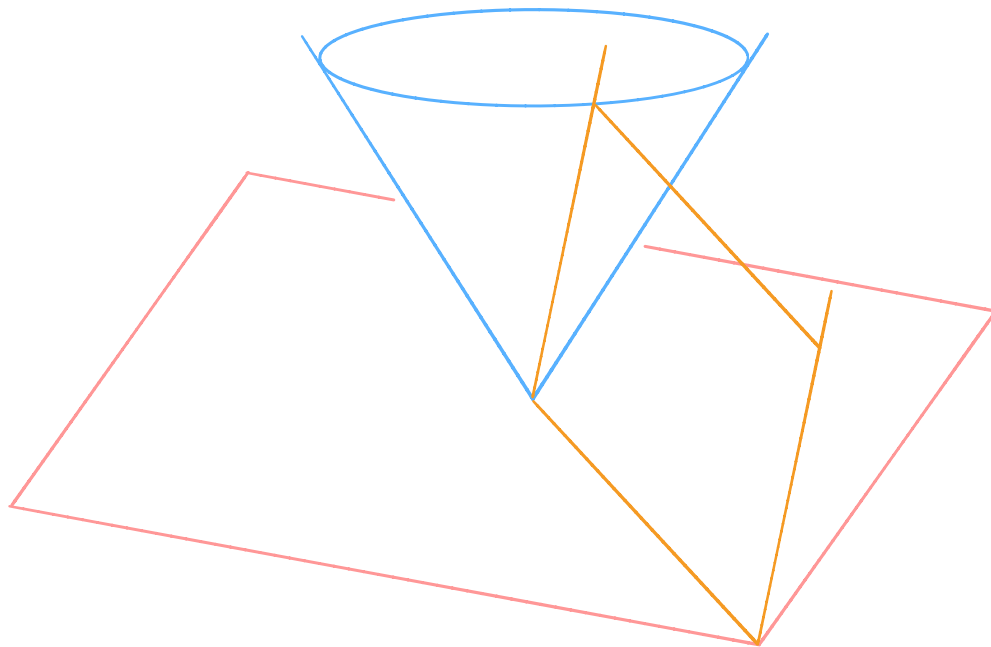
RG

\rightarrow LG-model $(\bar{\mu}^{-1}(s)/G, W_{\text{ind}})$ $\xrightarrow{\text{RG}}$ σ -model $(\text{Crit } W_{\text{ind}})$

if W_{ind} Morse-Bott

§ “non-generic” : $\bar{\mu}^{-1}(\xi) \cap \text{Crit } W \hookrightarrow G$

\exists points with continuous stabilizers



\exists Coulomb and/or mixed

$\bar{\mu}^{-1}(\xi)/G$ badly singular
near $\text{Crit } W$

However, quantum correction may lift Coulomb and mixed :

$$U_{\text{eff}} = \frac{e_{\text{eff}}^2}{2} |d\tilde{W}_{\text{eff}}(\sigma)|^2 > 0 \quad \text{at } |\sigma| \rightarrow \infty.$$

We shall only consider “regular theories” :

there is no Coulomb nor mixed branches

after quantum correction is taken into account.

E.g. Supersymmetric QCD

no choice!

$$G = USp(k), \quad V = (\mathbb{C}^k)^{\oplus N}, \quad W \text{ any}, \quad \tilde{W} = 0$$

is regular if and only if N is odd.

H 2013

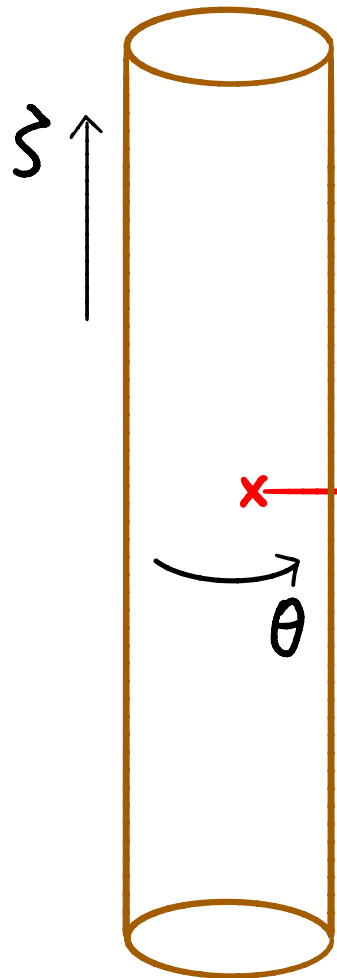
H Tong 2006

E.g. Quintic GLSM

Witten 1993

$$G = U(1), \quad V = \mathbb{C}(-5) \oplus \mathbb{C}(1)^5, \quad W = pf(x), \quad \widetilde{W} = -t\sigma$$

$P \quad \alpha$



σ -model $X_f = \{f=0\} \subset \mathbb{C}P^4$

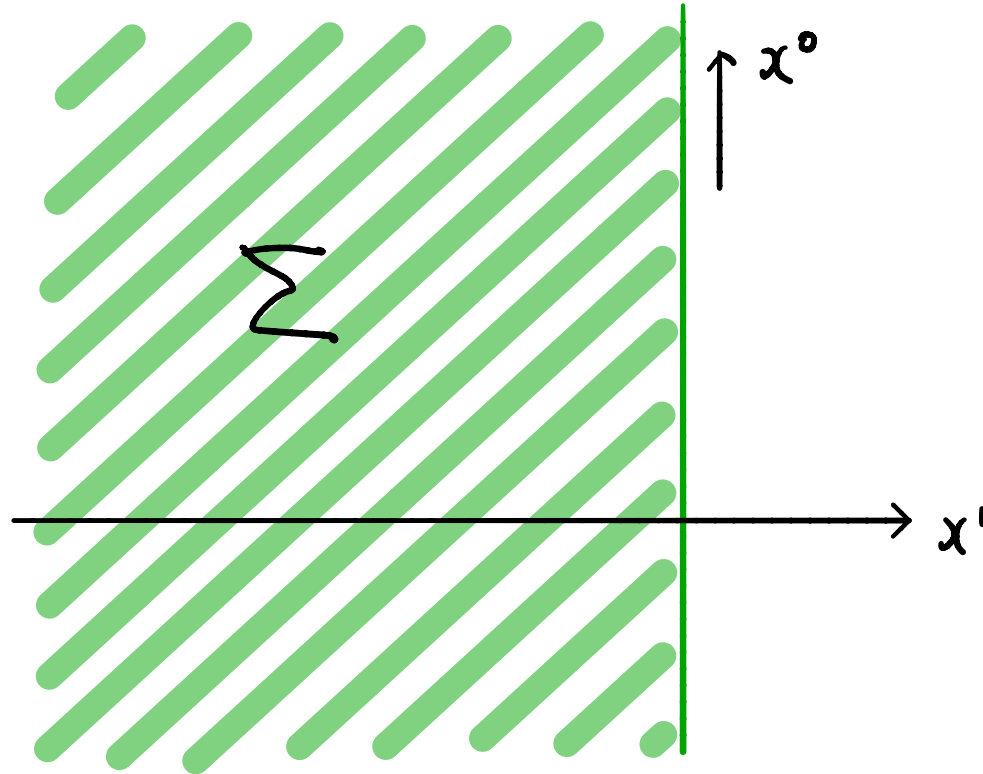
quintic hypersurface

$\tau \equiv 5 \log(-5) : \text{non-regular}$

regular everywhere else

LG-orbifold $(\mathbb{C}^5/\mathbb{Z}_5, f)$

Let us formulate the theory on a surface with boundary



and impose boundary conditions preserving

B-type supersymmetry $\bar{Q}_+ + \bar{Q}_-$, $Q_+ + Q_-$.

We shall consider “Neumann” B.C.

$$\begin{cases} D_i \phi - \text{Im}(\sigma) \phi = 0, \dots \\ \nu_i = \text{Im}(\sigma) = 0, \dots \end{cases}$$

with boundary interaction $P \exp \left(-i \int_{\partial \Sigma} \mathcal{A}_0 dx^0 \right)$

$$\mathcal{A}_0 = (\nu_0 - \text{Re} \sigma)_M$$

$$-\frac{1}{2} \Psi^i \partial_i Q(\phi) + \frac{1}{2} \bar{\Psi}^{\bar{i}} \partial_{\bar{i}} Q(\phi)^\dagger + \frac{1}{2} \{ Q(\phi), Q(\phi)^\dagger \}$$

for Chan-Paton factor M and

matrix factorization $Q(\phi)$ of $W(\phi)$.

- $M = M^{\text{ev}} \oplus M^{\text{od}}$ \mathbb{Z}_2 -graded, θ -projective representation of G .

- $Q : V \rightarrow \text{End}^{\text{od}}(M)$ G -equivariant polynomial function

$$Q(\phi)^2 = W(\phi) \text{id}_M.$$

Assume $U(1)_V$ R-symmetry :

$$\exists R_M \in \text{End}^{\text{ev}}(M)_{SS}^G, \quad \lambda^{R_M} Q(\lambda^{R_V} \phi) \lambda^{-R_M} = \lambda \cdot Q(\phi).$$

Question

Does it define a well behaved boundary condition in the quantum theory ?

Possible failure

The effective boundary potential $V_{\text{eff}}(\sigma)$ on the 'Coulomb branch' can be unbounded below.

Regularity may be spoiled in the boundary.

Indeed, for Abelian G , [HHP2008](#) found

$$V_{\text{eff}}(\sigma) = -\text{Re}\langle 2\pi q, \sigma \rangle - \text{Im} \tilde{W}_{\text{eff}}(\sigma)$$

↖ a charge of M

and it can be unbounded below for some q 's on the
“real locus” $\{\text{Im} \sigma = 0\} = it \subset t_{\mathbb{C}}$.

Also, [HR2013](#) found an integral formula for the

hemisphere partition function $\langle \text{hemisphere} \rangle$

and it can be divergent for some M 's on the real locus.

$T \subset G$ maximal torus,

$V|_{T \times U(1)_v} = \bigoplus_i \mathbb{C}(\alpha_i, R_i)$ weight decomposition

$$\left\langle \text{[Diagram of a cylinder with internal lines]} \right\rangle = \int_{\gamma} d^d \sigma \prod_{\alpha > 0} \Gamma(\langle \alpha, \sigma \rangle) \sinh(\pi \langle \alpha, \sigma \rangle) \times$$

$$\prod_i \Gamma(i \langle \alpha_i, \sigma \rangle + \frac{R_i}{2}) \times$$

$$e^{i \langle t, \sigma \rangle} \cdot \text{tr}_M (e^{\pi i R_M} e^{2\pi \sigma})$$

HR, Sugishita-Terashima, Honda-Okuda 2013

It can be divergent for some M 's for $\gamma = it$.

A proposal

It defines a well-behaved boundary condition when there is a deformation of the real locus $it \rightarrow \gamma$ without hitting the poles $i\langle Q_i, \sigma \rangle + \frac{R_i}{2} = 0, -1, -2, \dots$ so that the integral is absolutely convergent.

- Deep inside a phase s.t. $G \rightarrow$ finite, there is a deformation $it \rightarrow \gamma$ that works for any M .
- If $G \rightarrow$ continuous,
 (e.g. (regular) theory with simple gauge group
 near phase boundary of GLSM)

existence of admissible deformation $it \rightarrow \gamma$
 imposes a severe constraint on representations
 of G that can be included in M .

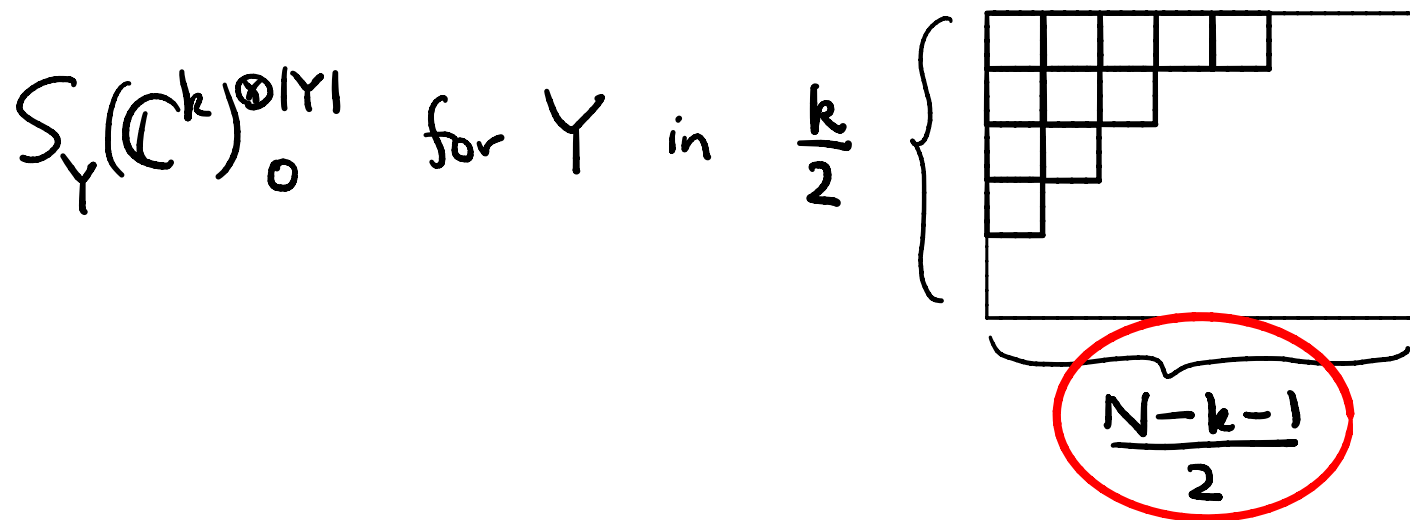
This is the grade restriction rule. **HHP2008**

Example G simple, V symmetric: $\{\pm Q_i\}_{i>0} \cup \{0\}$

The representation of highest weight λ can be included

in M when $\langle \lambda + \rho, \sigma \rangle < \frac{1}{2} \sum_{i>0} |\langle Q_i, \sigma \rangle| \quad \forall_{\sigma \neq 0} \sigma \in \text{it.}$

E.g. super-QCD $G = USp(k)$, $V = (\mathbb{C}^k)^{\oplus N}$ (N odd):



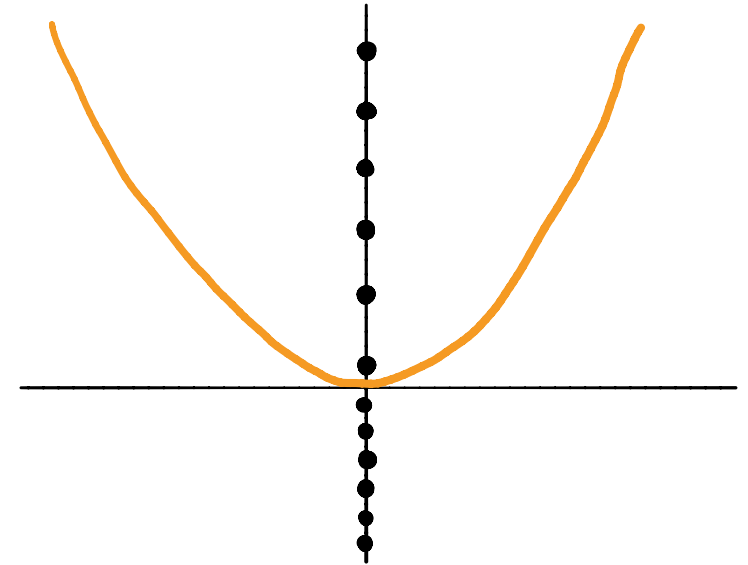
Consistent with 2d Seiberg duality **H2011**.

Example Quintic GLSM

CY phase $\xi \gg 0$:

$$\gamma_+ = \{ \operatorname{Im} \sigma = (\operatorname{Re} \sigma)^2 \}$$

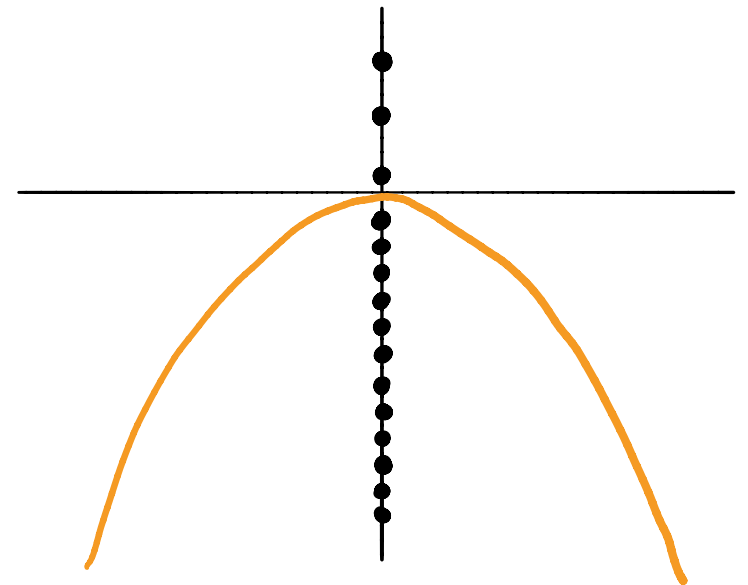
works for any representation.



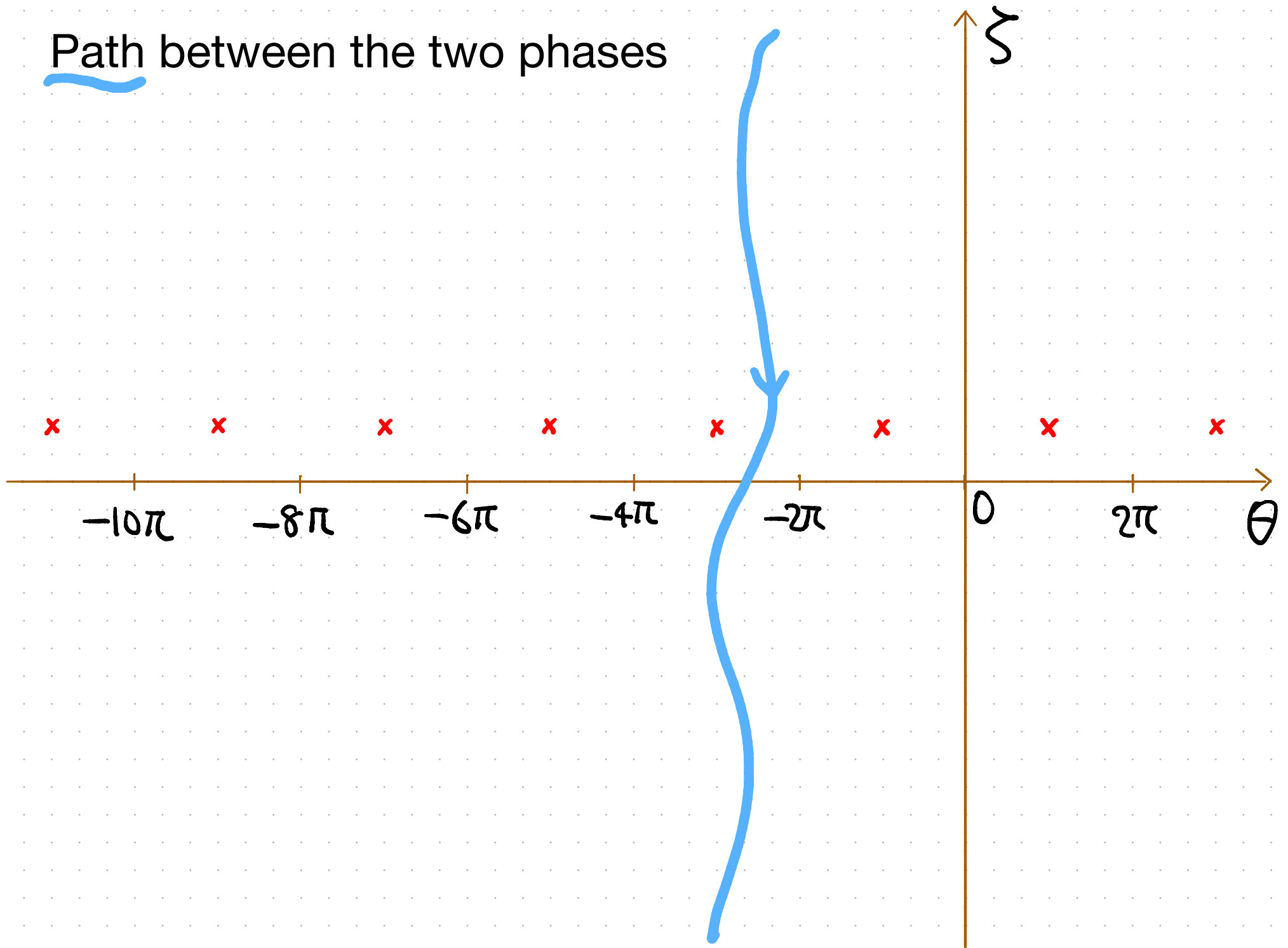
LG phase $\xi \ll 0$:

$$\gamma_- = \{ \operatorname{Im} \sigma = -(\operatorname{Re} \sigma)^2 \}$$

works for any representation.



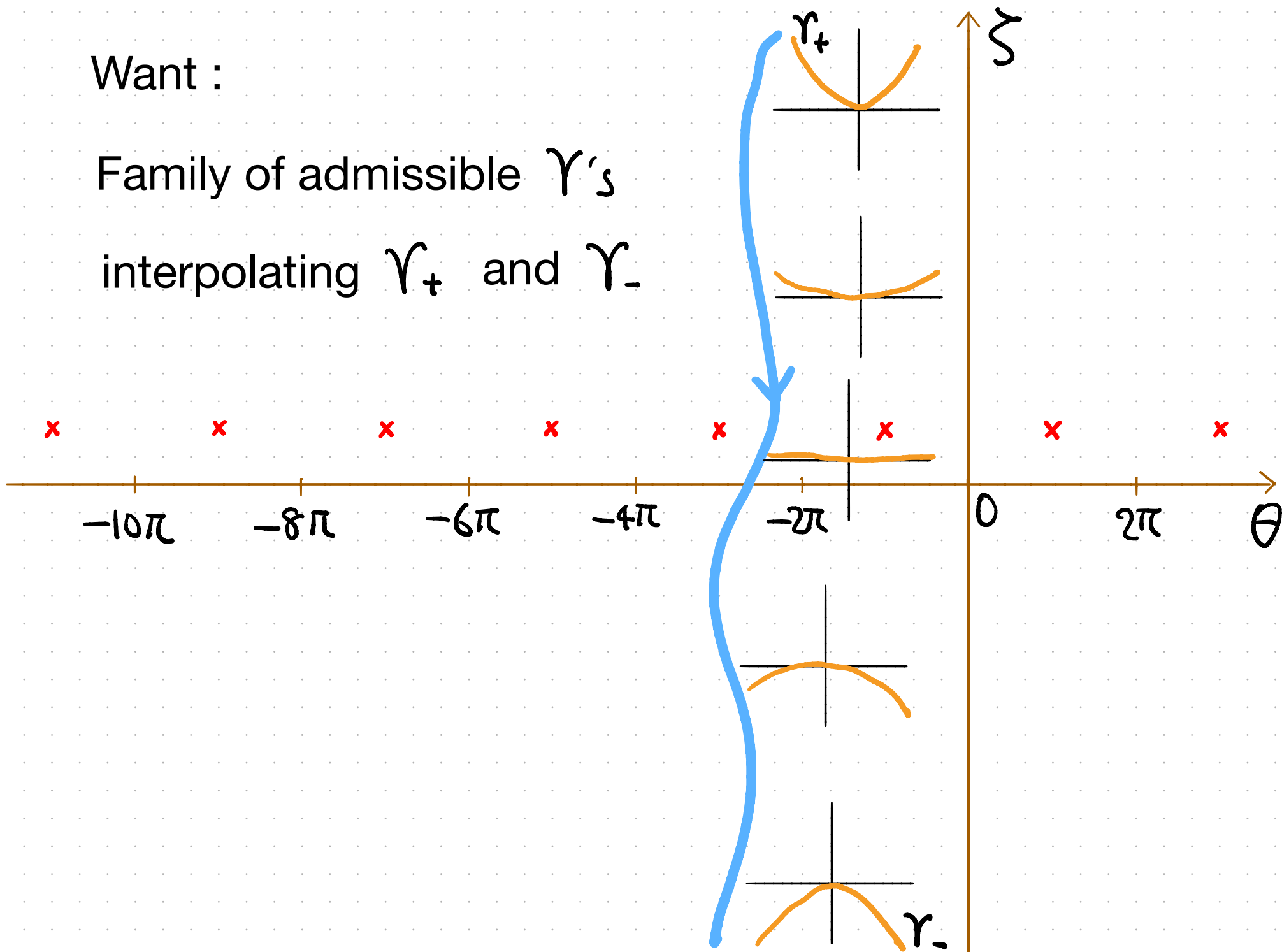
Path between the two phases



Want :

Family of admissible γ 's

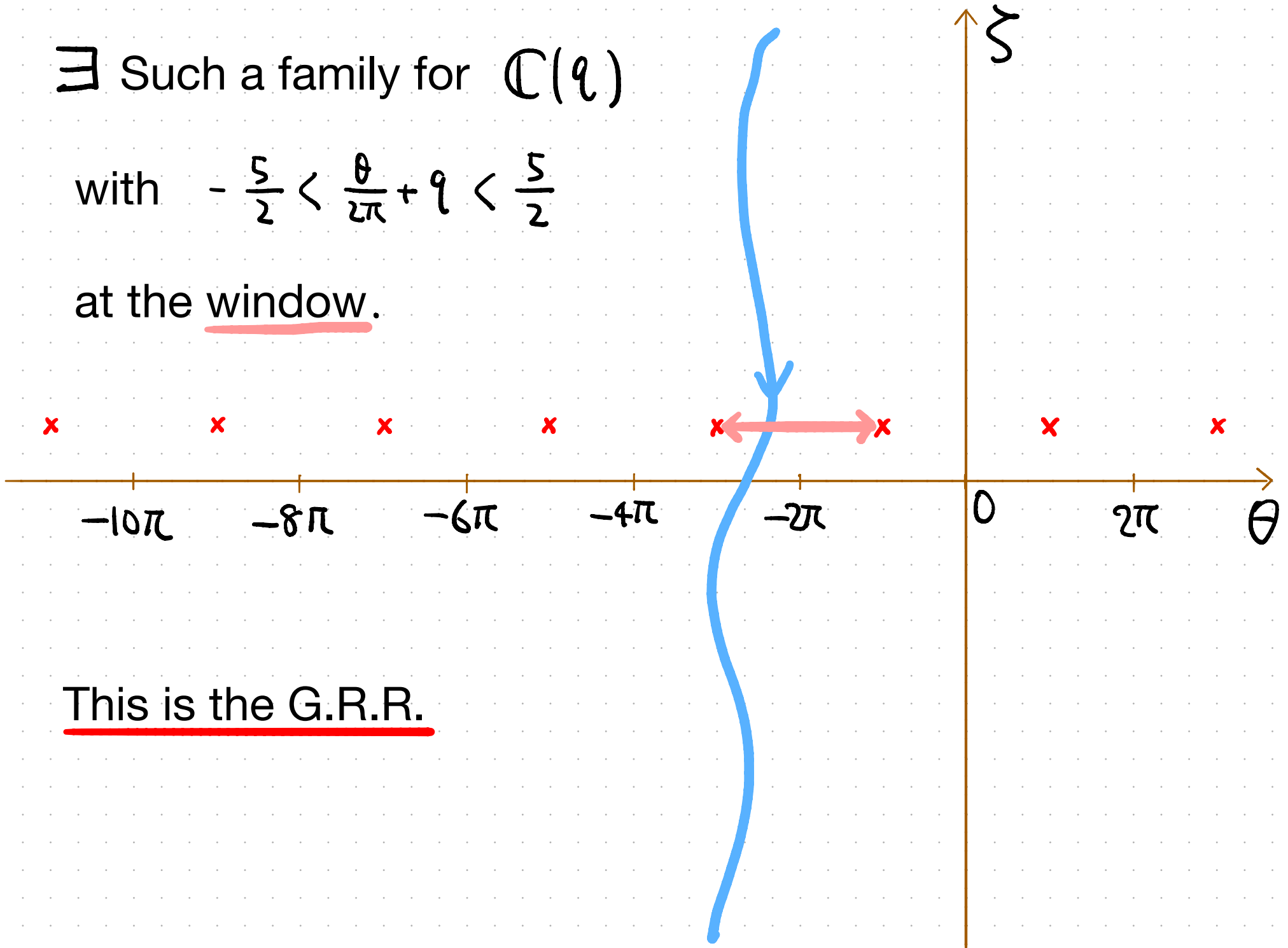
interpolating γ_+ and γ_-



\exists Such a family for $\mathbb{C}(q)$

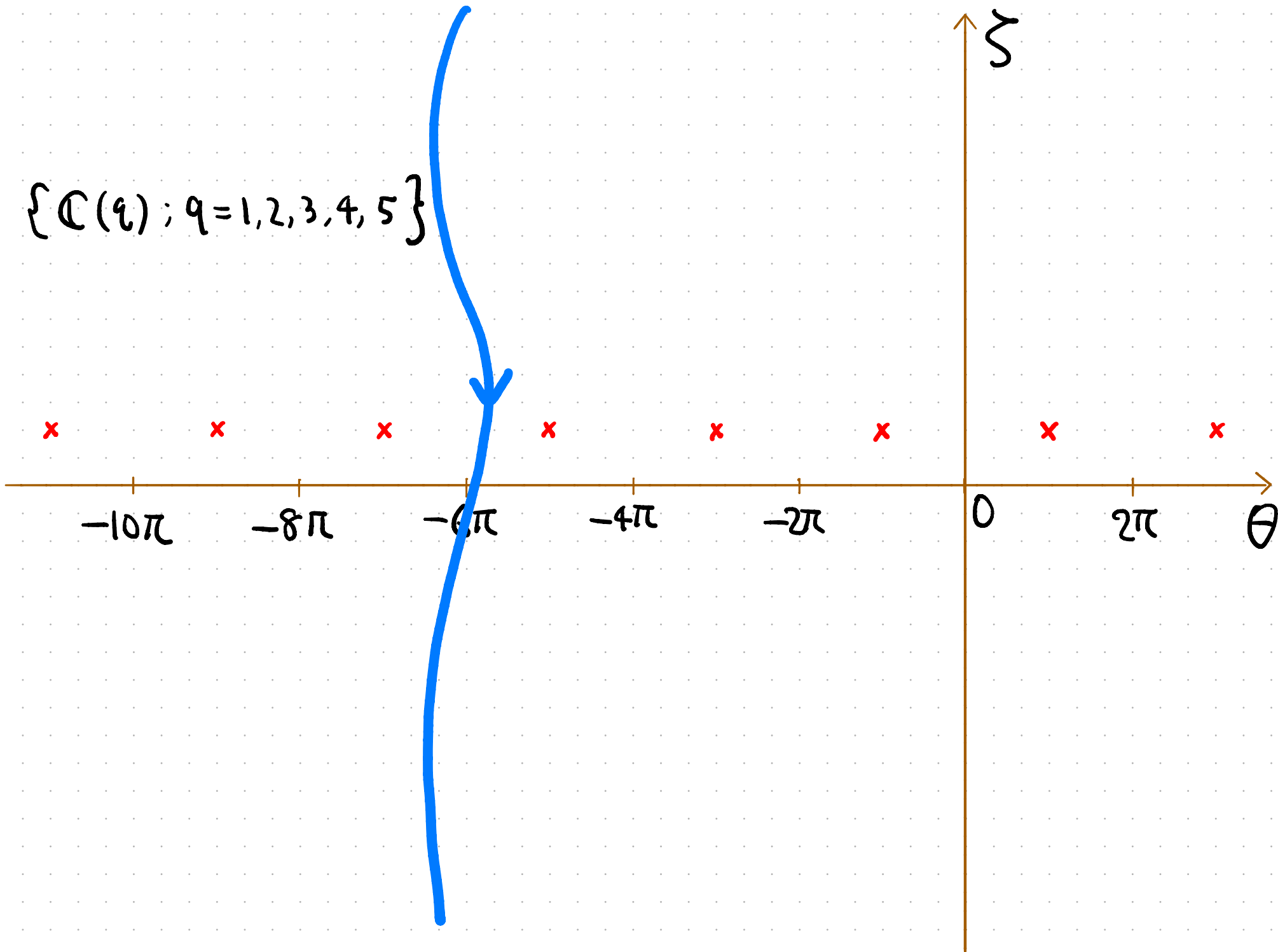
with $-\frac{5}{2} < \frac{\theta}{2\pi} + q < \frac{5}{2}$

at the window.

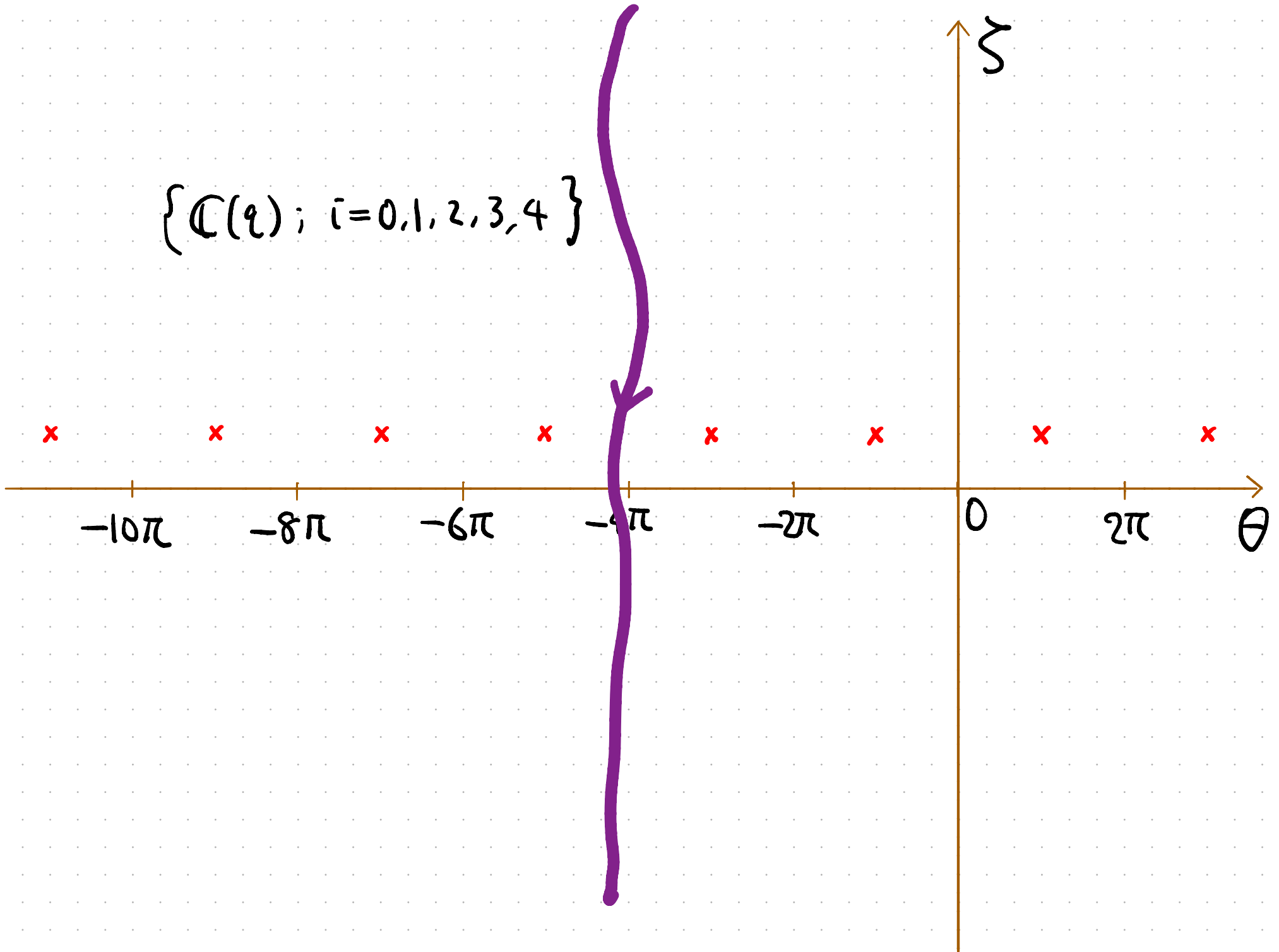


This is the G.R.R.

$$\{C(q); q=1,2,3,4,5\}$$



$$\{C(\varrho); i=0,1,2,3,4\}$$



(M, Q) is grade restricted w.r.t. a window W

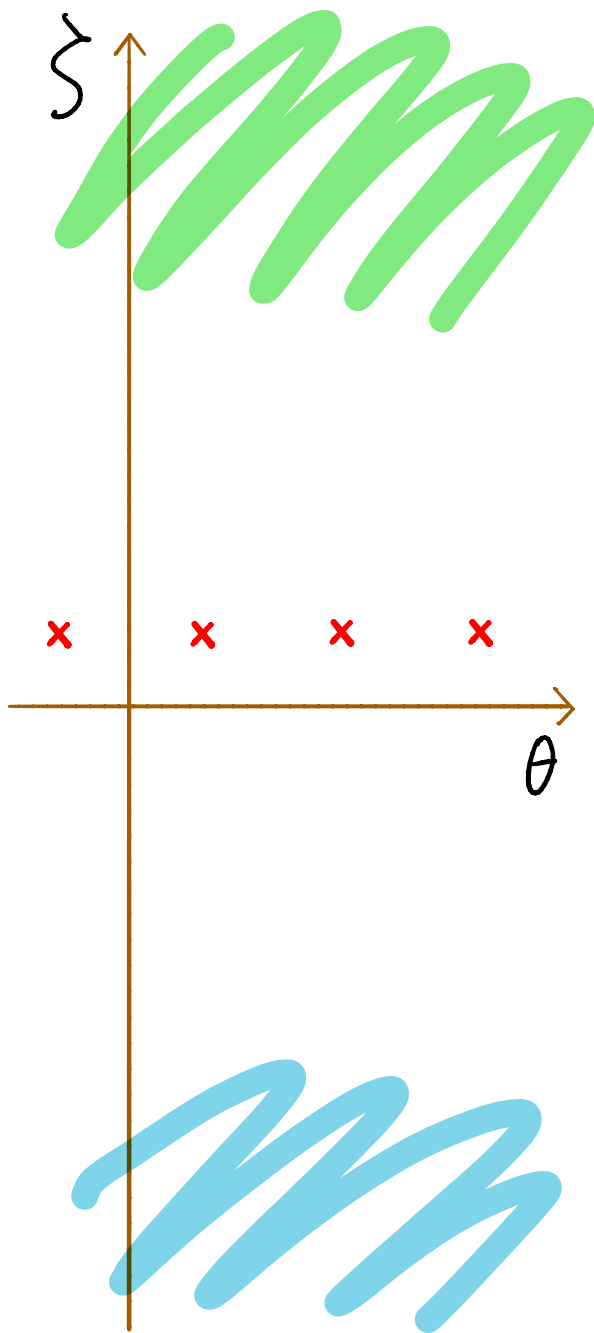
$\iff M$ is a direct sum of $\mathbb{C}(q)$'s with q

in the range $-\frac{5}{2} < \frac{\theta}{2\pi} + q < \frac{5}{2}$ at W .

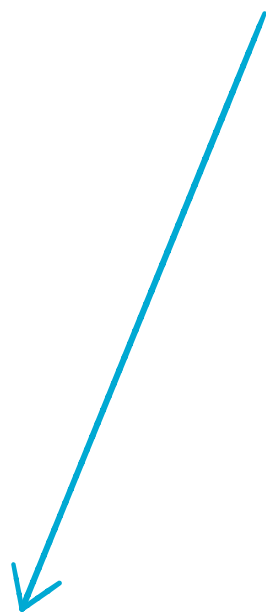
$MF_G(W) :=$ the category of G -equivariant
matrix factorizations of W

\cup

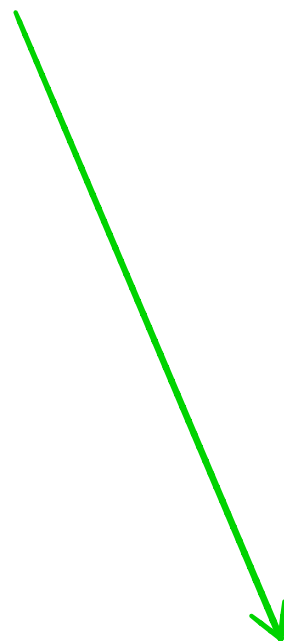
$GR_{\underline{W}} :=$ the subcategory of grade restricted
ones w.r.t. W



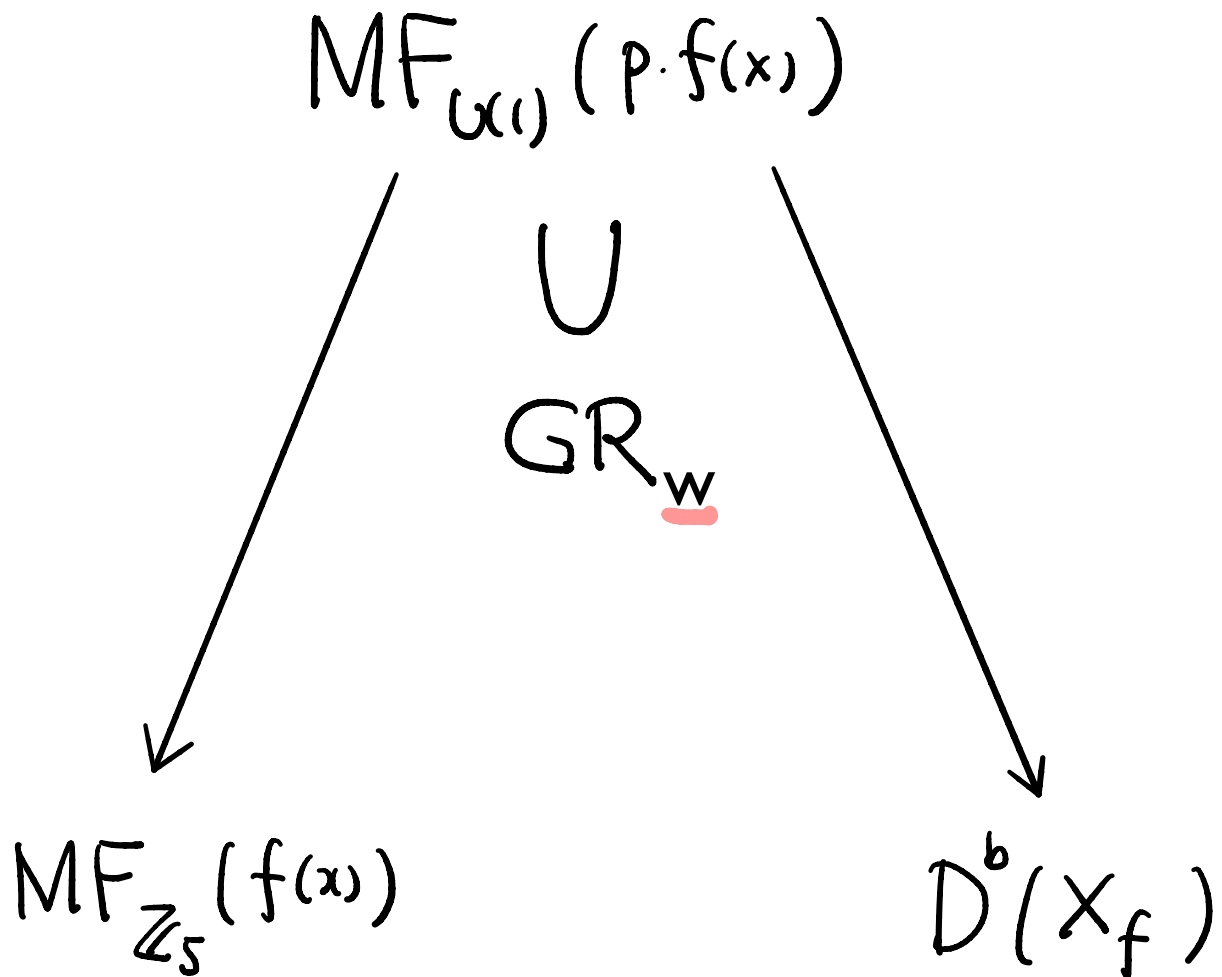
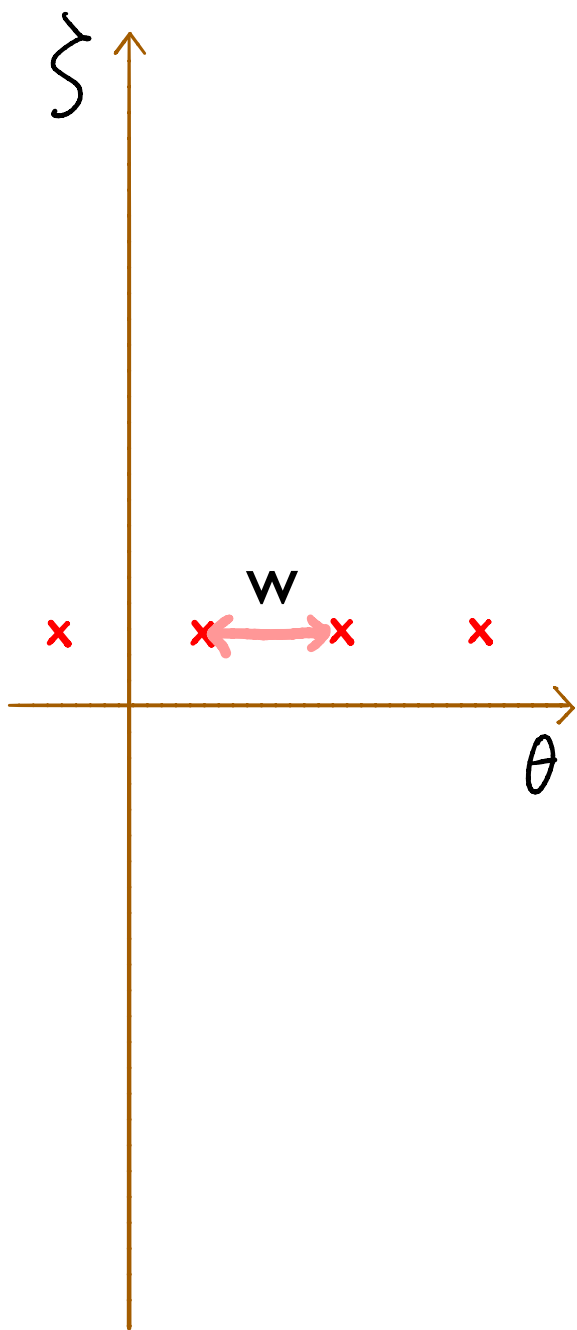
$MF_{\mathbb{C}(1)}(P \cdot f(x))$

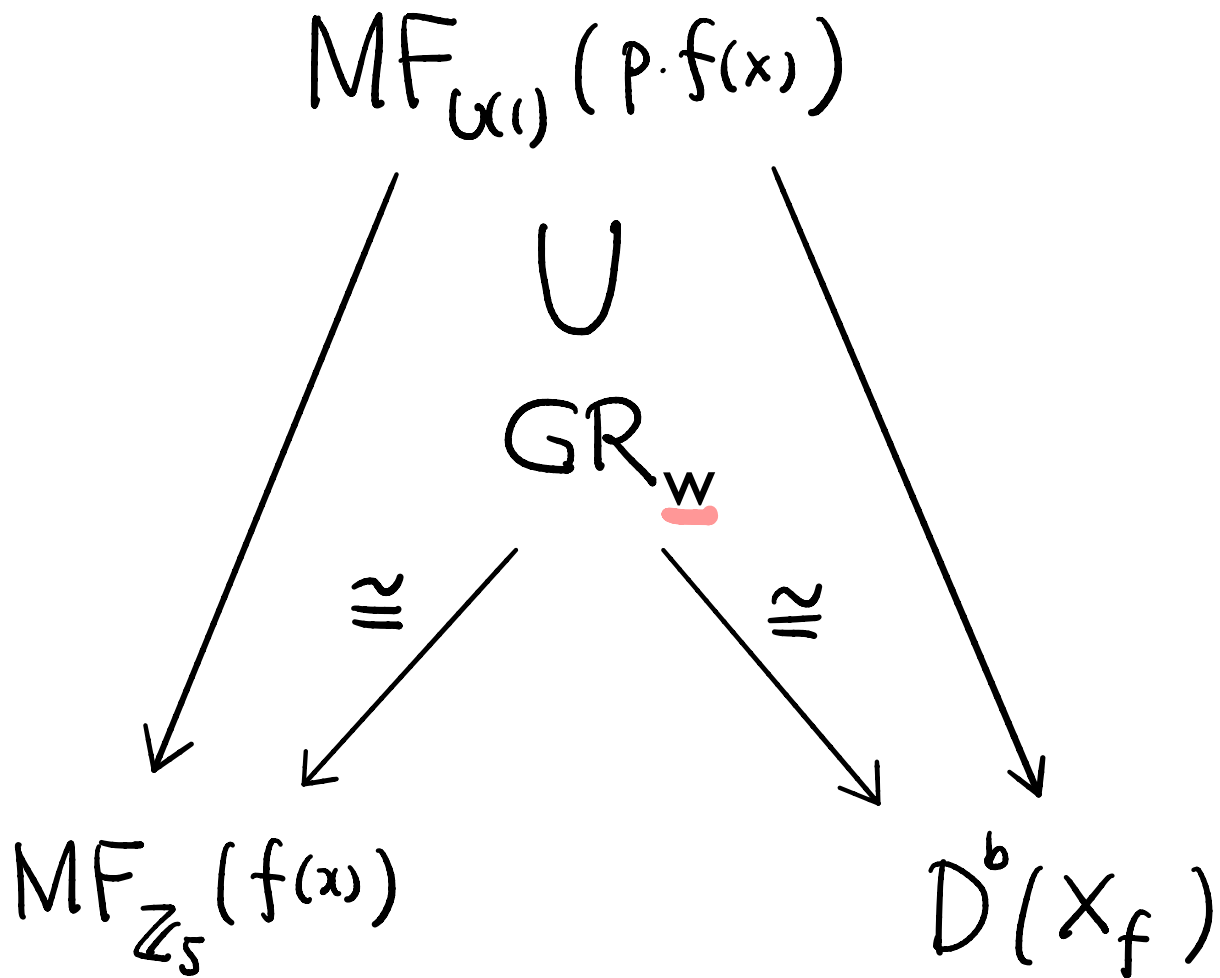
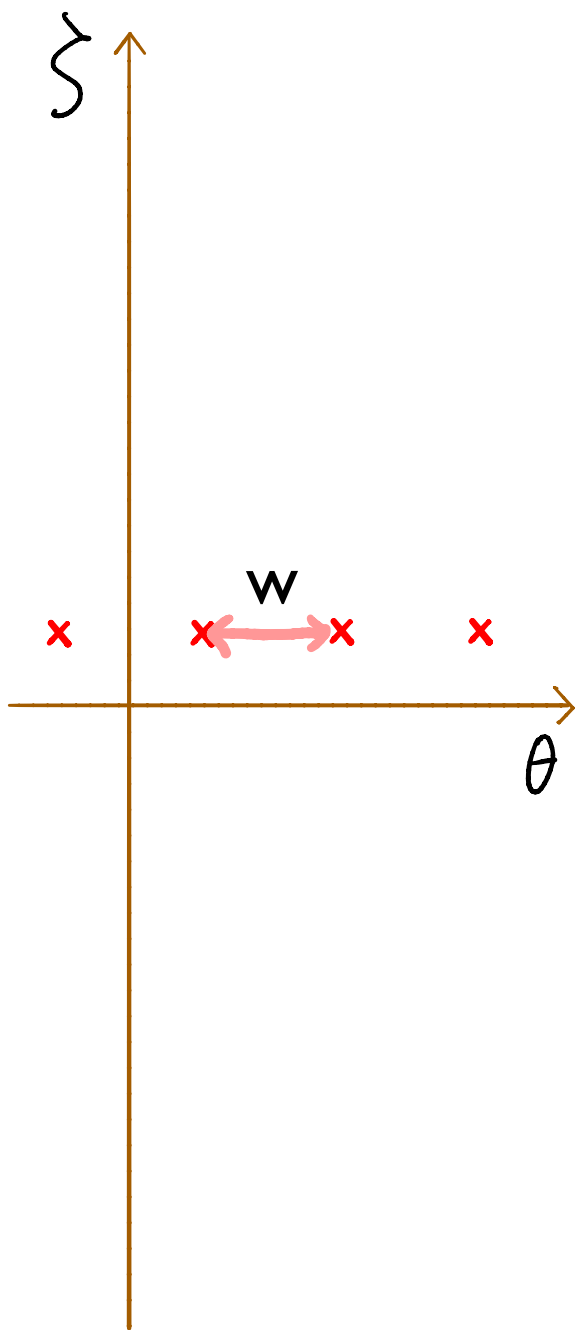


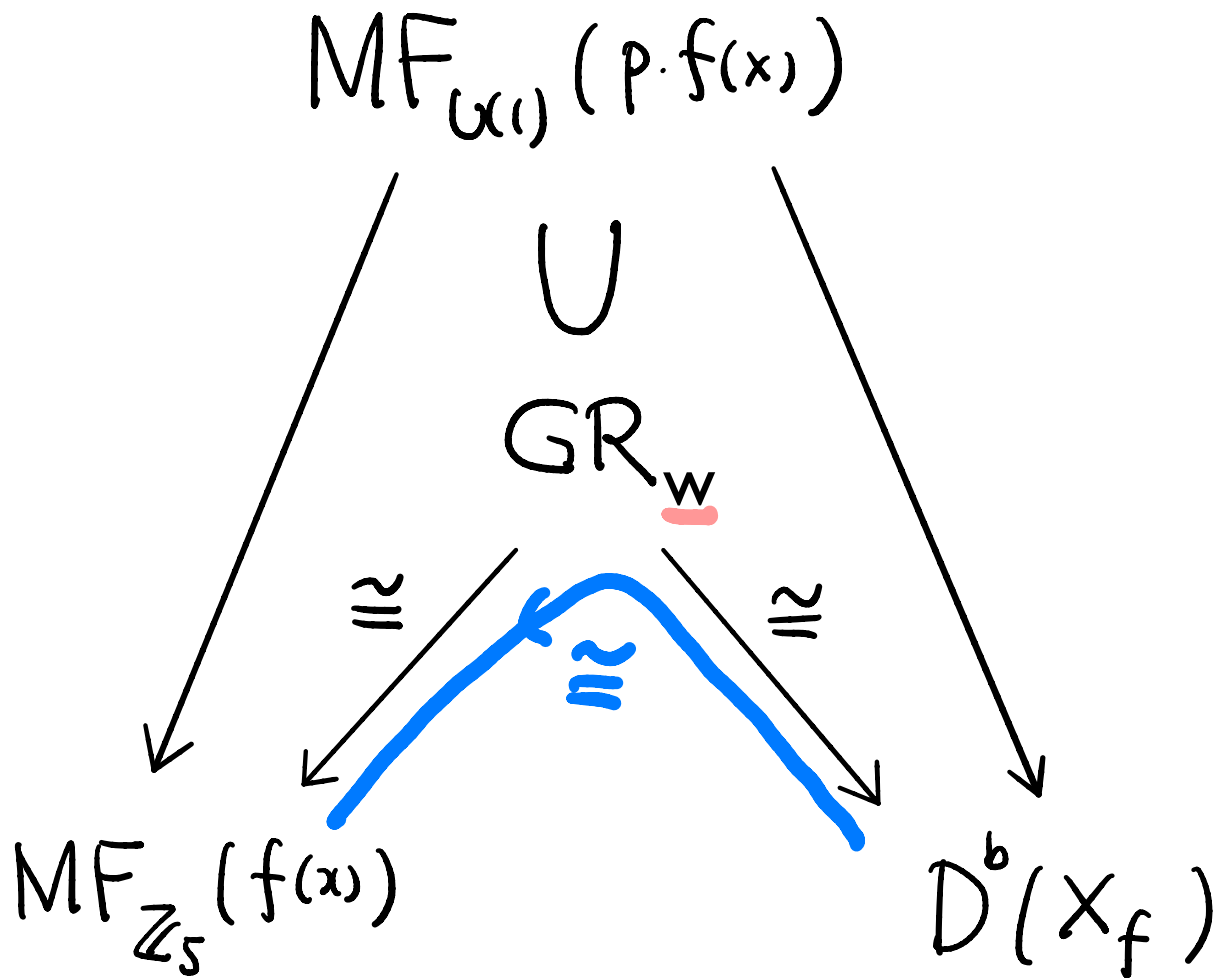
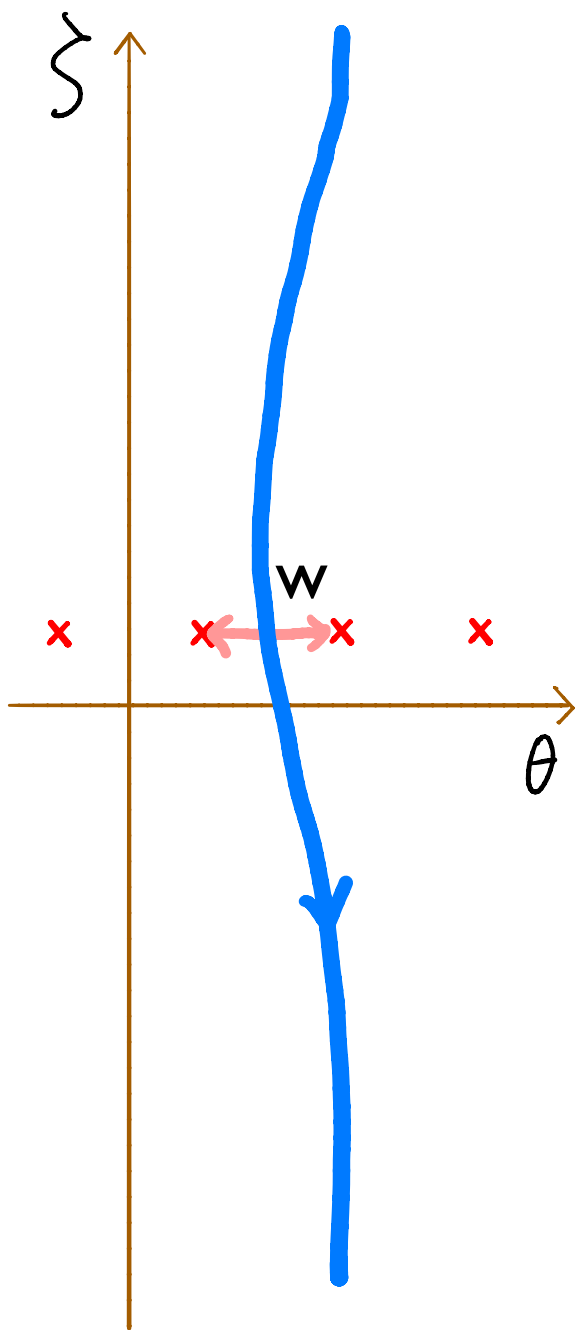
$MF_{\mathbb{Z}_5}(f(x))$



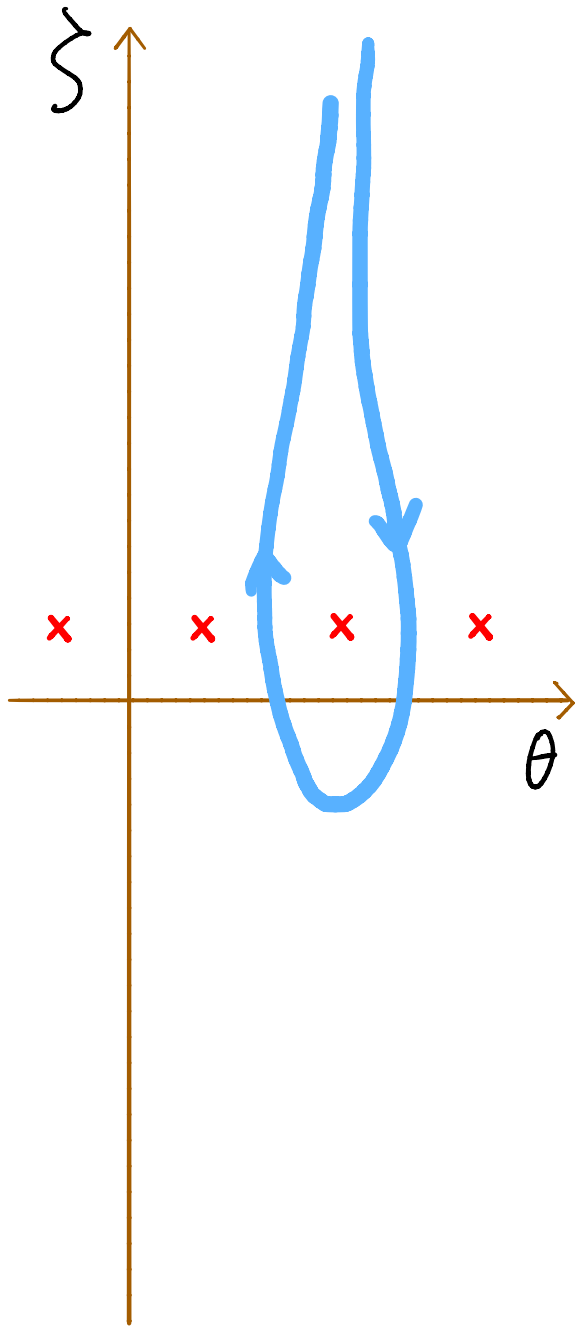
$D^b(X_f)$



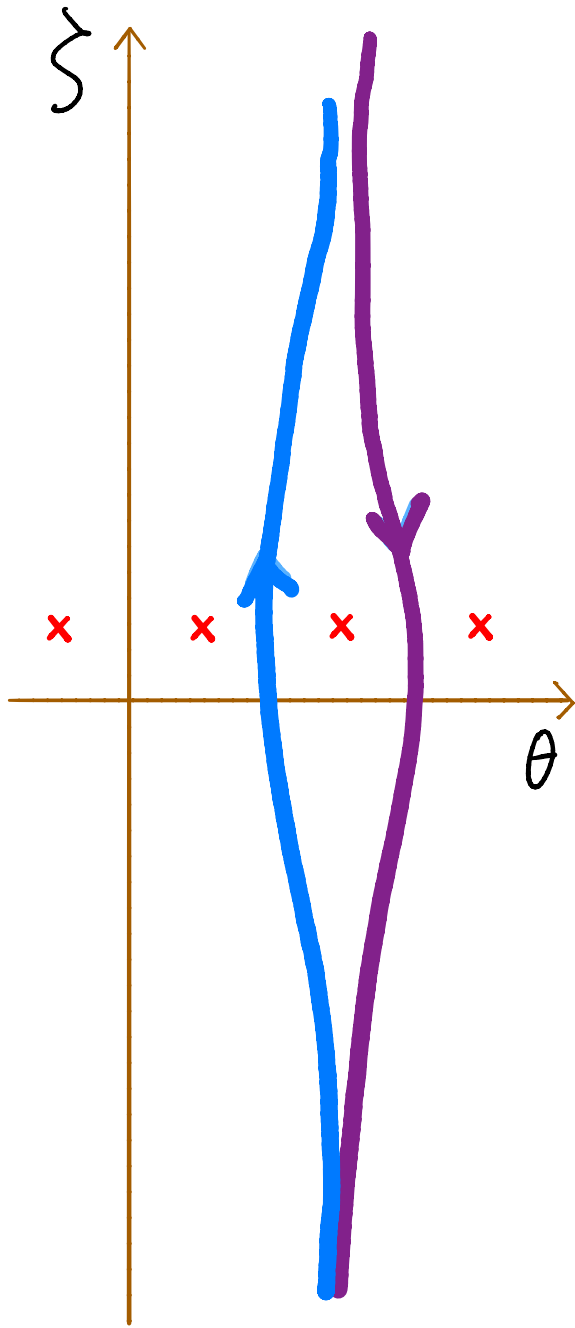




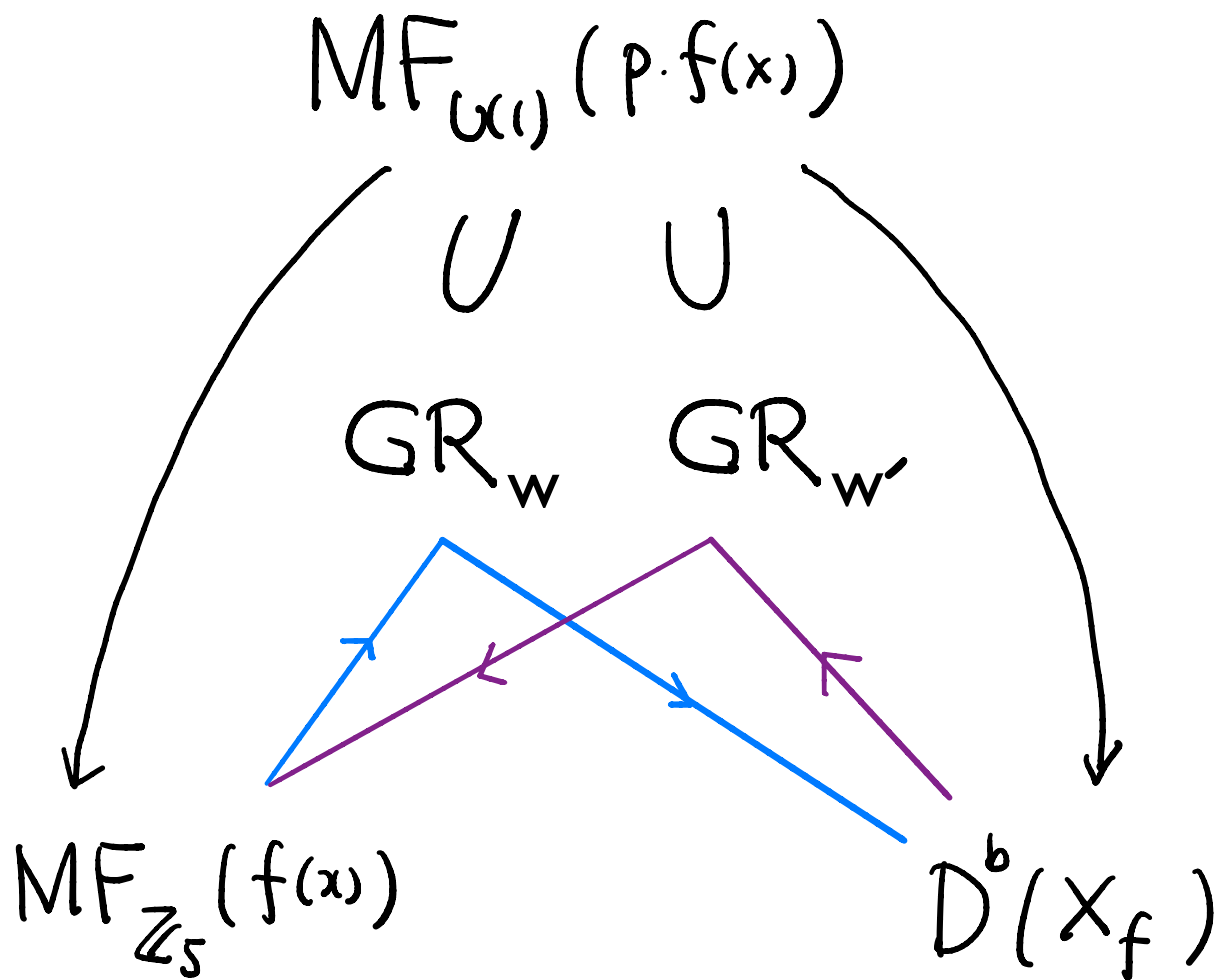
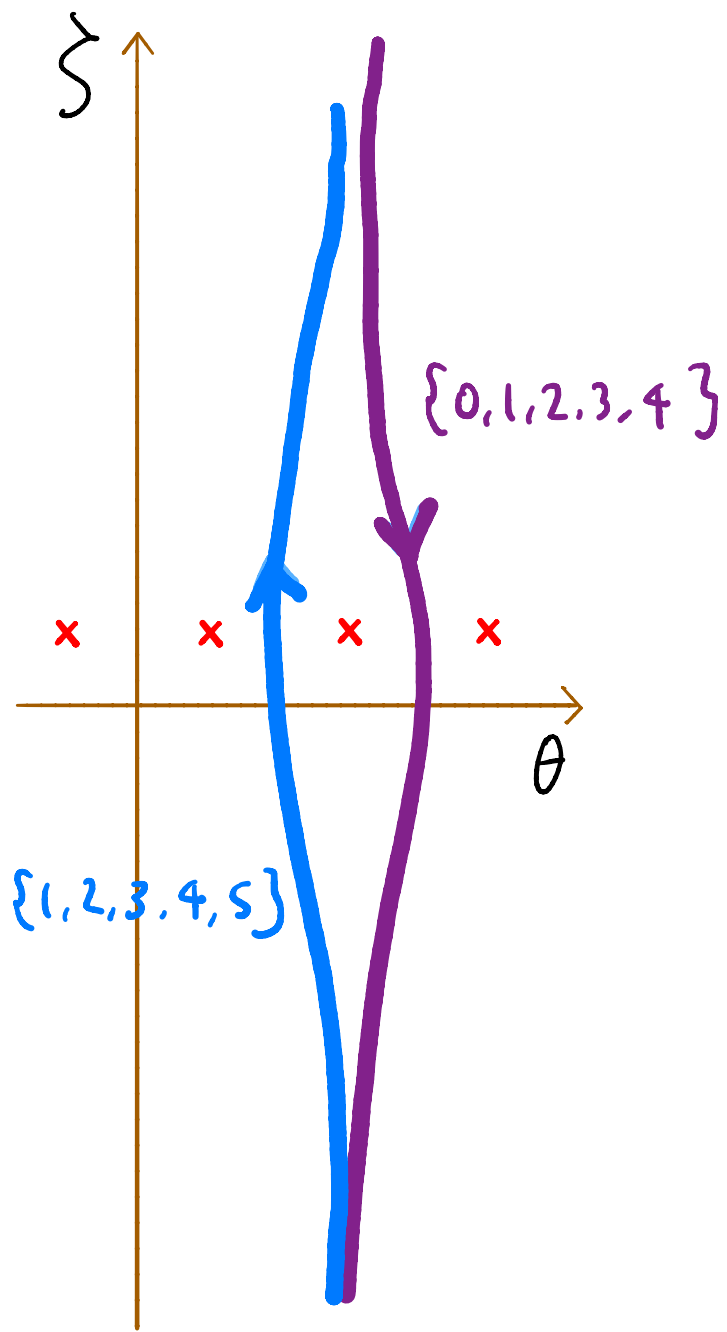
Get an equivalence of categories

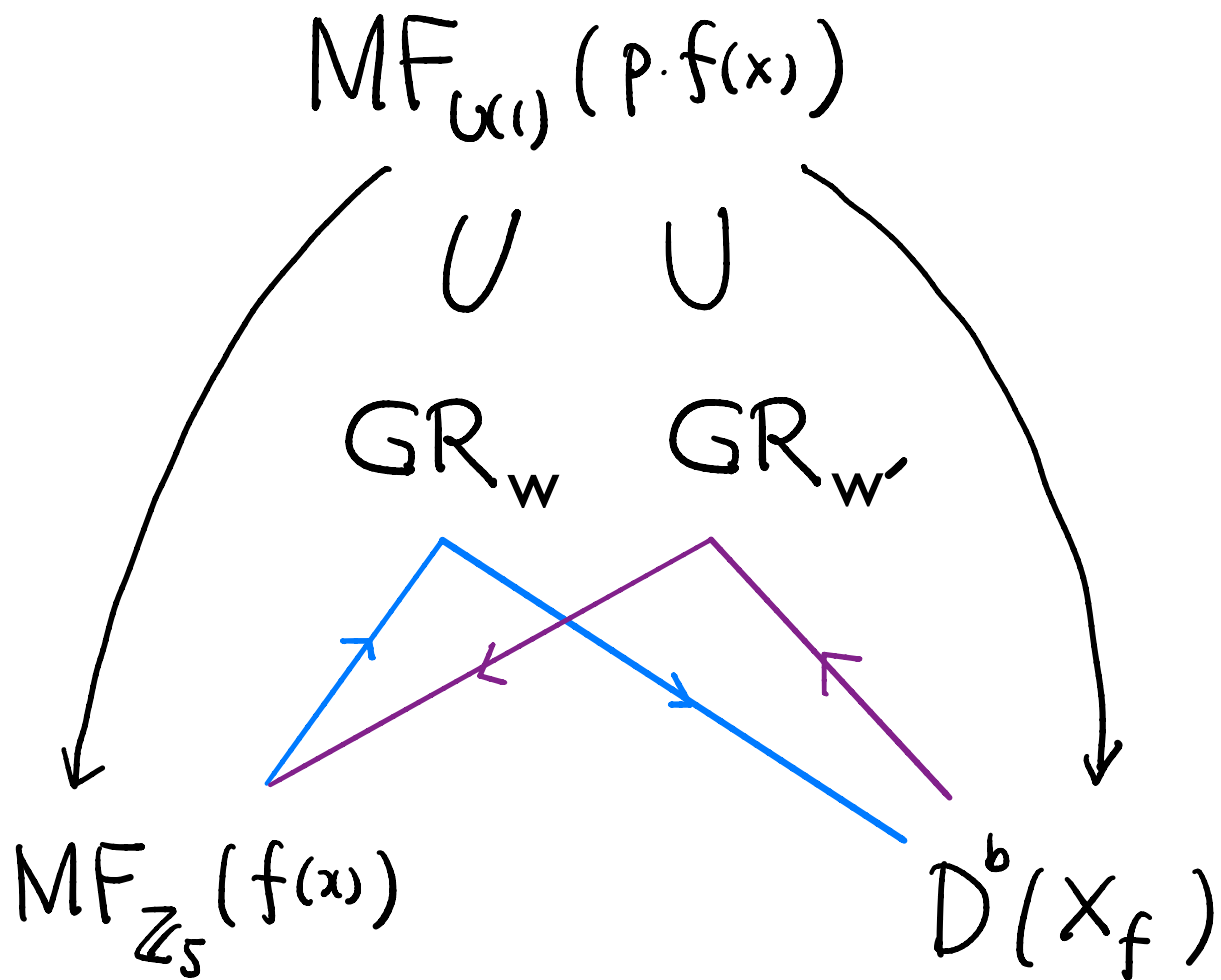
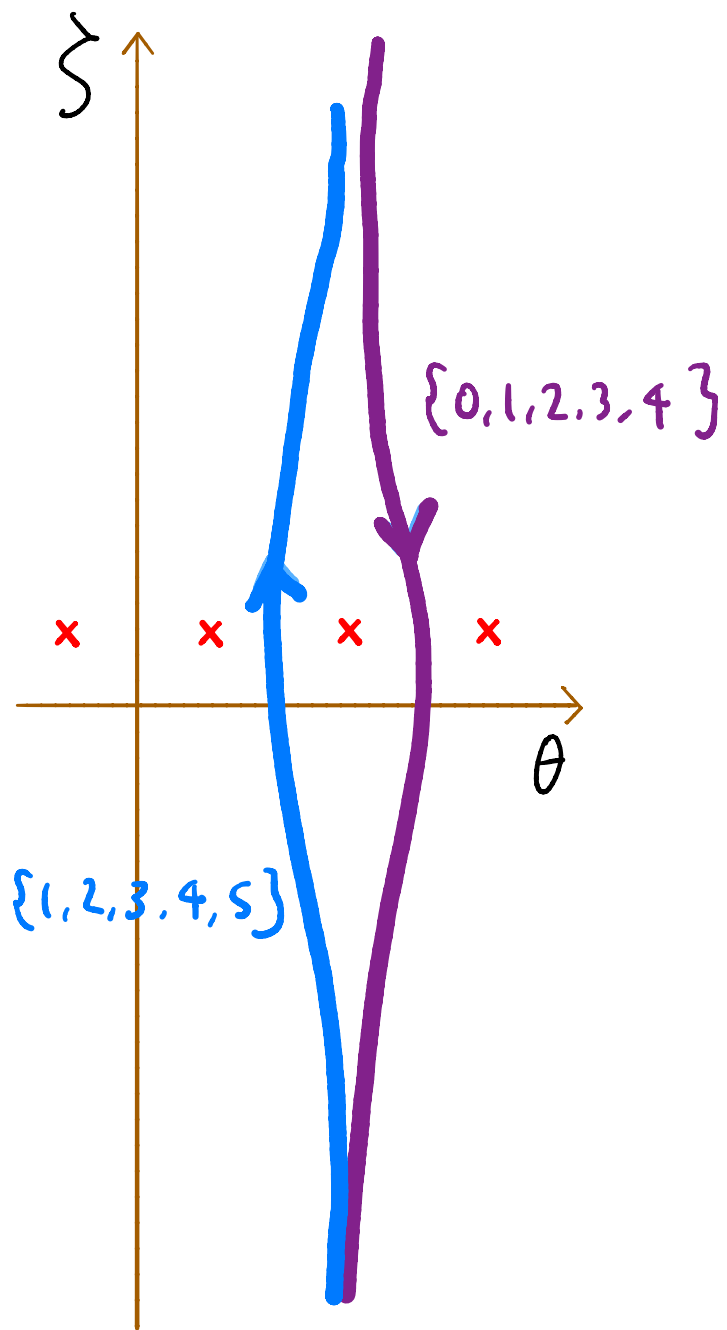


What happens when $\tau = \zeta - i\theta$
goes around a singular point ?

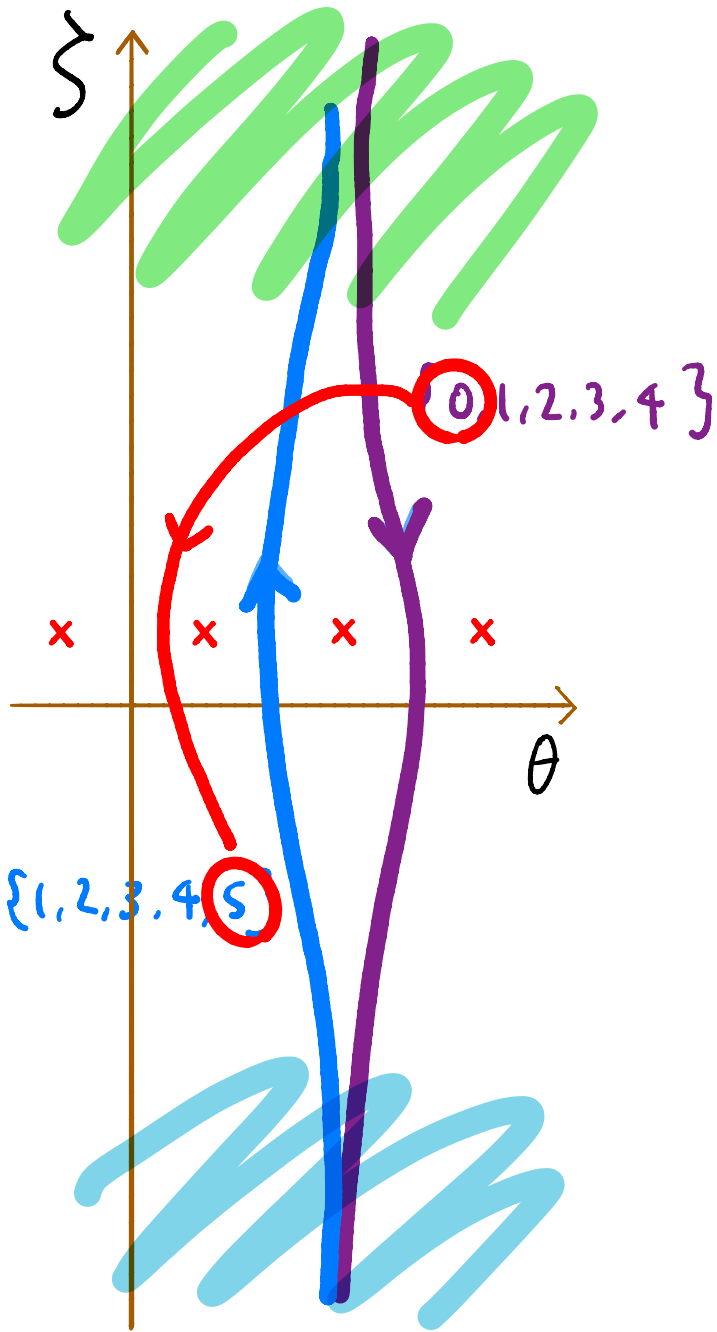


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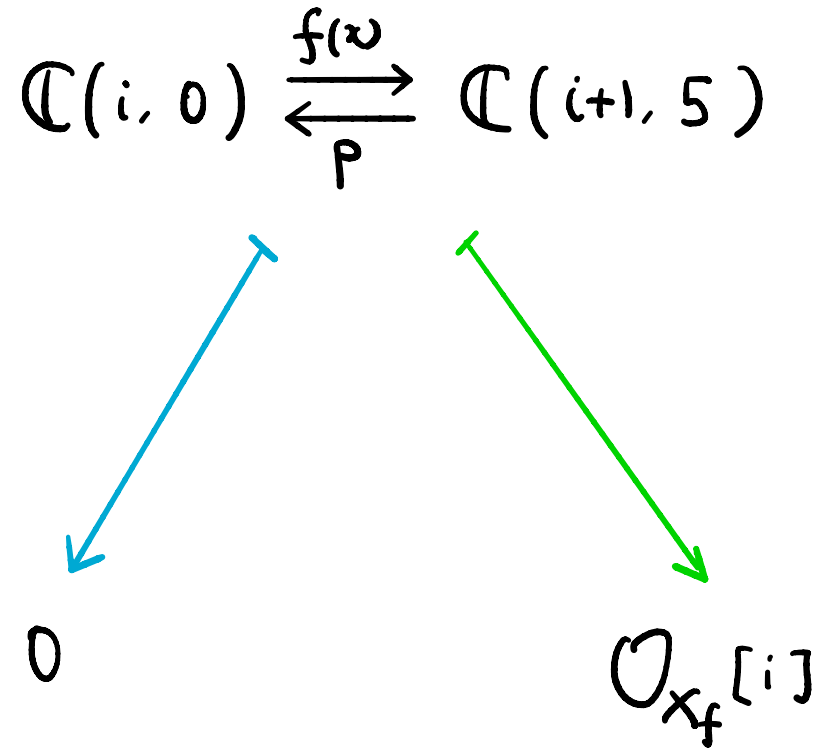




non-trivial autoequivalence



Replacement $GR_{w'} \rightsquigarrow GR_w$
 in LG phase can be done with



The effect is Seidel-Thomas twist by \mathcal{O}_{X_f} :

$$B \mapsto \text{Cone} \left(B \rightarrow \bigoplus_i \text{Hom}(B, \mathcal{O}_{X_f}[i]) \otimes \mathcal{O}_{X_f}[i] \right)$$

Example

Rødland GLSM

H Tong 2006

$$G = U(2)$$

$$V = (\det^{-1})^{\oplus 7} \oplus (\mathbb{C}^2)^{\oplus 7} \Rightarrow (p^1, \dots, p^7, x_1, \dots, x_7)$$

$$W = \sum_{i,j,k} A_k^{ij} p^k [x_i x_j]$$

$$\tilde{W} = -t \cdot \text{tr}(\sigma) \quad t = s - i\theta \in \mathbb{C}/2\pi i\mathbb{Z}$$

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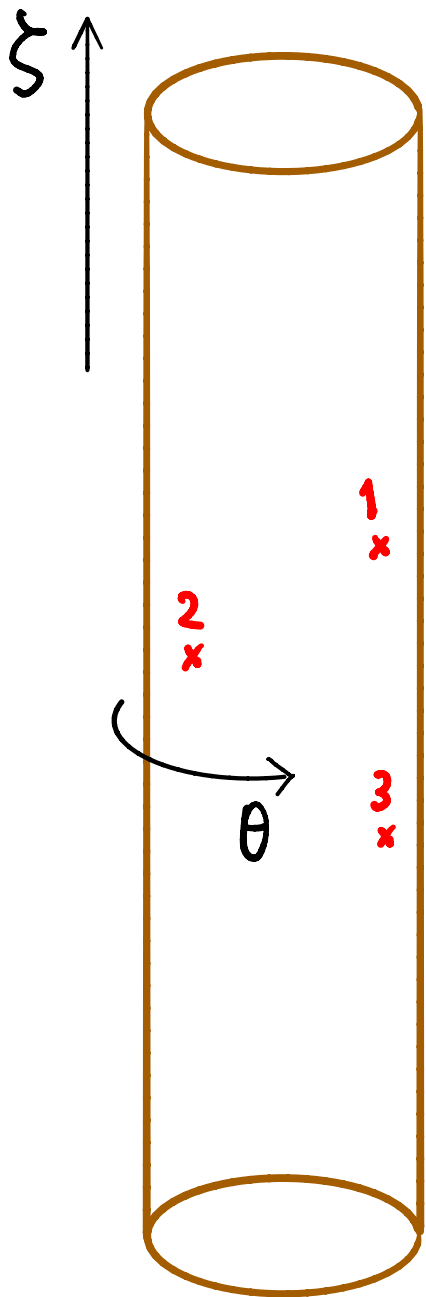
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$$\tilde{W} = -t \cdot \text{tr}(\sigma) \quad t = s - i\theta \in \mathbb{C}/2\pi i\mathbb{Z}$$

$$[x_i x_j] := x_i^1 x_j^2 - x_i^2 x_j^1,$$

$$\text{write } W = \sum_{ij} A^{ij}(p) [x_i x_j] = \sum_k p^k A_k(x)$$

$$\lambda^{R_U}(p, x) = (\lambda^2 p, x)$$



$$X_A = \{ A_1(x) = \dots = A_7(x) = 0 \} \subset G(2, 7)$$

Grassmannian Calabi-Yau

non-regular at $\begin{cases} \vec{s}_a = 7 \log \left(2 \cos \left(\frac{\pi a}{7} \right) \right) \\ \theta_a \equiv \pi a \\ a = \underline{1}, \underline{2}, \underline{3} \end{cases}$

$$Y_A = \{ \text{rk } A(p) \leq 4 \} \subset \mathbb{C}P^6$$

Pfaffian Calabi-Yau

The analysis at $\xi \ll 0$ is non-trivial.

- $p \neq 0$: $U(2) \rightarrow SU(2) = USp(2)$

- $USp(2)$ with $(\mathbb{C}^2)^{\oplus 7}$, $W = \sum \underbrace{A^{ij}(p)}_{\text{mass matrix}} [X_i X_j]$ over $\mathbb{C}P^6$

- $\text{rk} A(p) = 6$: $USp(2)$ with 1 massless \mathbb{C}^2
 \rightarrow no zero energy state

- $\text{rk} A(p) = 4$: $USp(2)$ with 3 massless \mathbb{C}^2
 \rightarrow free theory of $[X_1 X_2], [X_2 X_3], [X_3 X_1]$

\rightsquigarrow σ -model (Y_A) .

Let us find the grade restriction rule.

- Parametrize $t_{\mathbb{C}} \ni \begin{pmatrix} \sigma_1 & 0 \\ 0 & \sigma_2 \end{pmatrix}$

- Representations of $U(2)$:

$$S^l(i) = \text{Sym}^l \mathbb{C}^2 \otimes \det^{\otimes i}$$

$$l = 0, 1, 2, 3, \dots; \quad i \in \mathbb{Z}$$

Grassmannian phase $\xi \gg 0$:

$$\gamma_+ = \left\{ \begin{array}{l} \text{Im } \sigma_a = (\text{Re } \sigma_a)^2 \\ a = 1, 2 \end{array} \right\} \text{ works for } \forall S^\ell(i) \\ \ell = 0, 1, \dots, i \in \mathbb{Z}.$$

Pfaffian phase $\xi \ll 0$:

$$\gamma_- = \left\{ \begin{array}{l} \text{Im } \sigma_1 = \text{Im } \sigma_2 \\ = -(\text{Re } \sigma_1 + \text{Re } \sigma_2)^2 \end{array} \right\} \text{ is best possible.}$$

It works for $\mathbb{C}(i)$, $S(i)$, $S^2(i)$, $i \in \mathbb{Z}$.

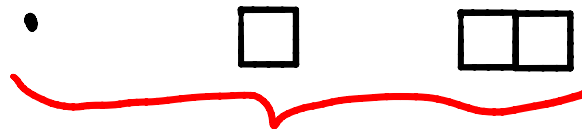
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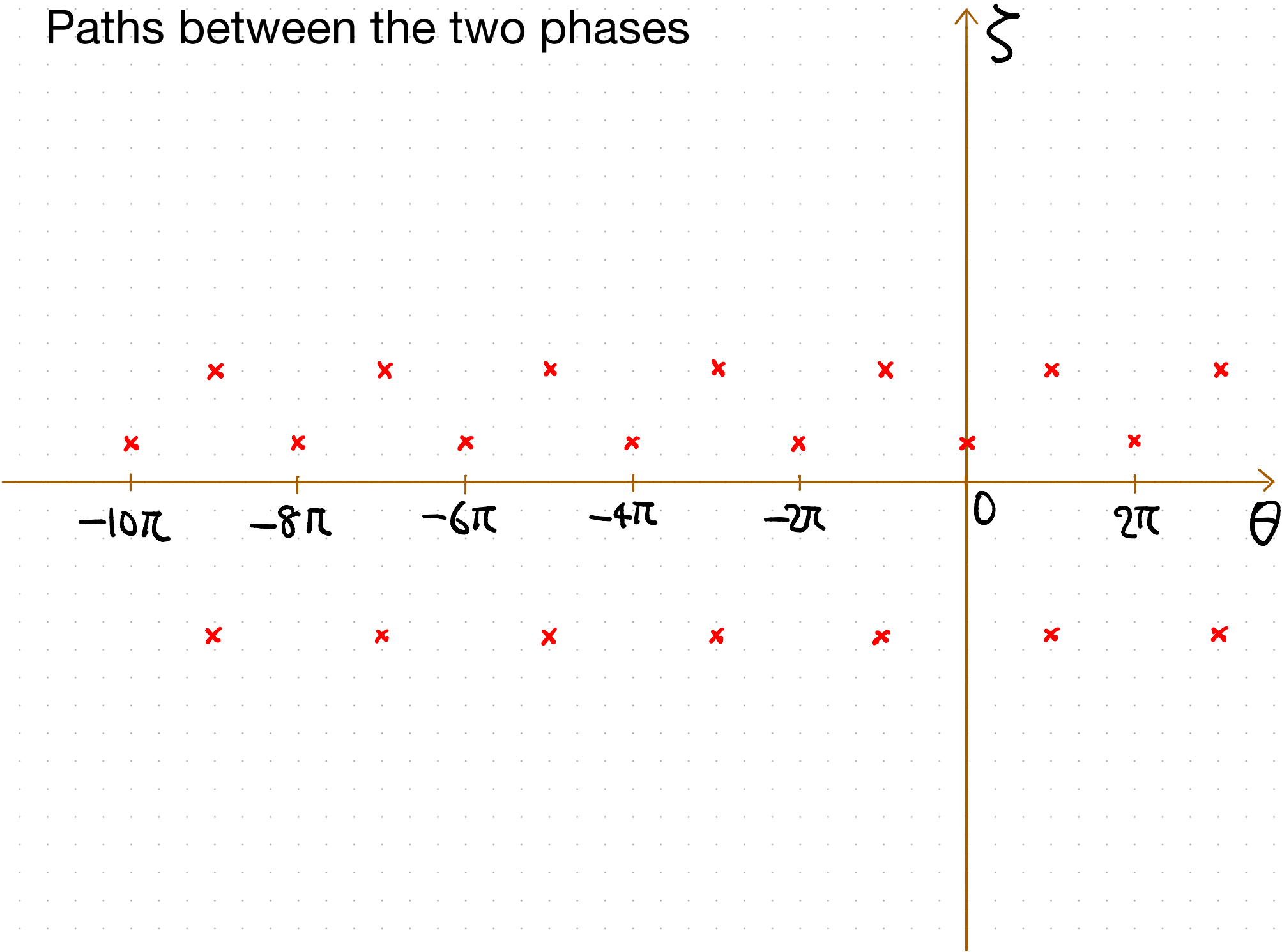
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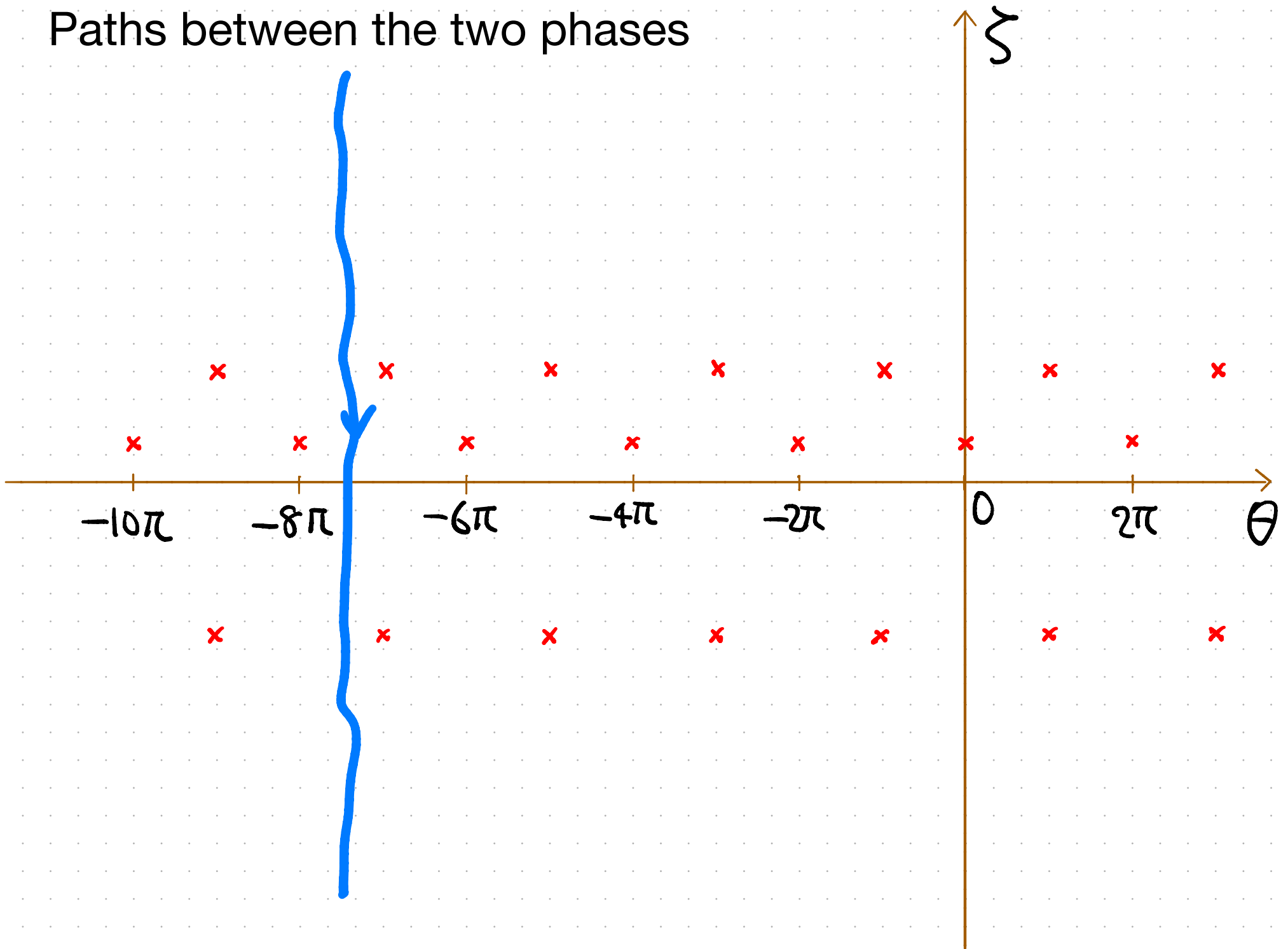


GRR for $US_p(2)$ with $(\mathbb{C}^2)^{\oplus 7}$

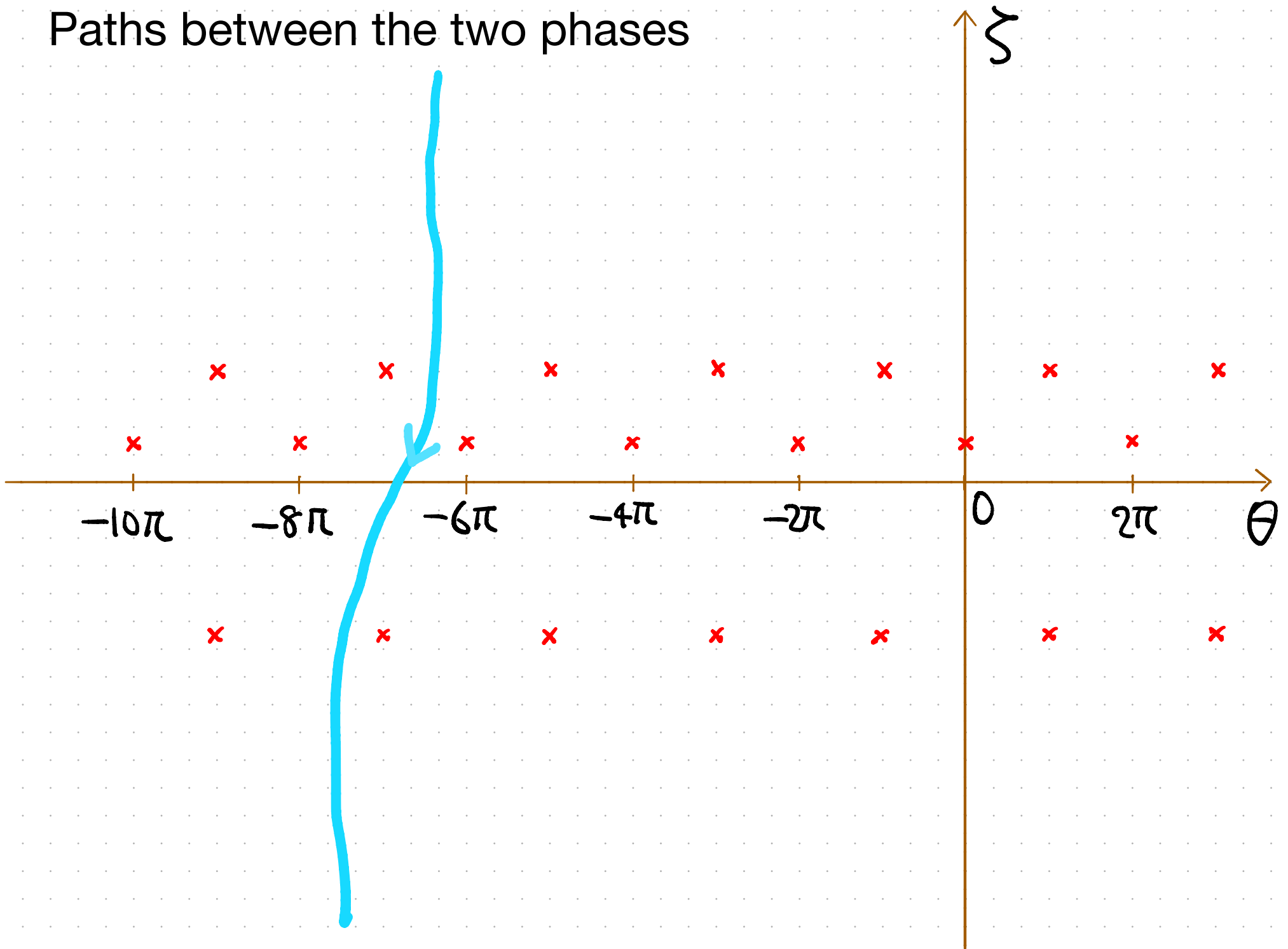
Paths between the two phases



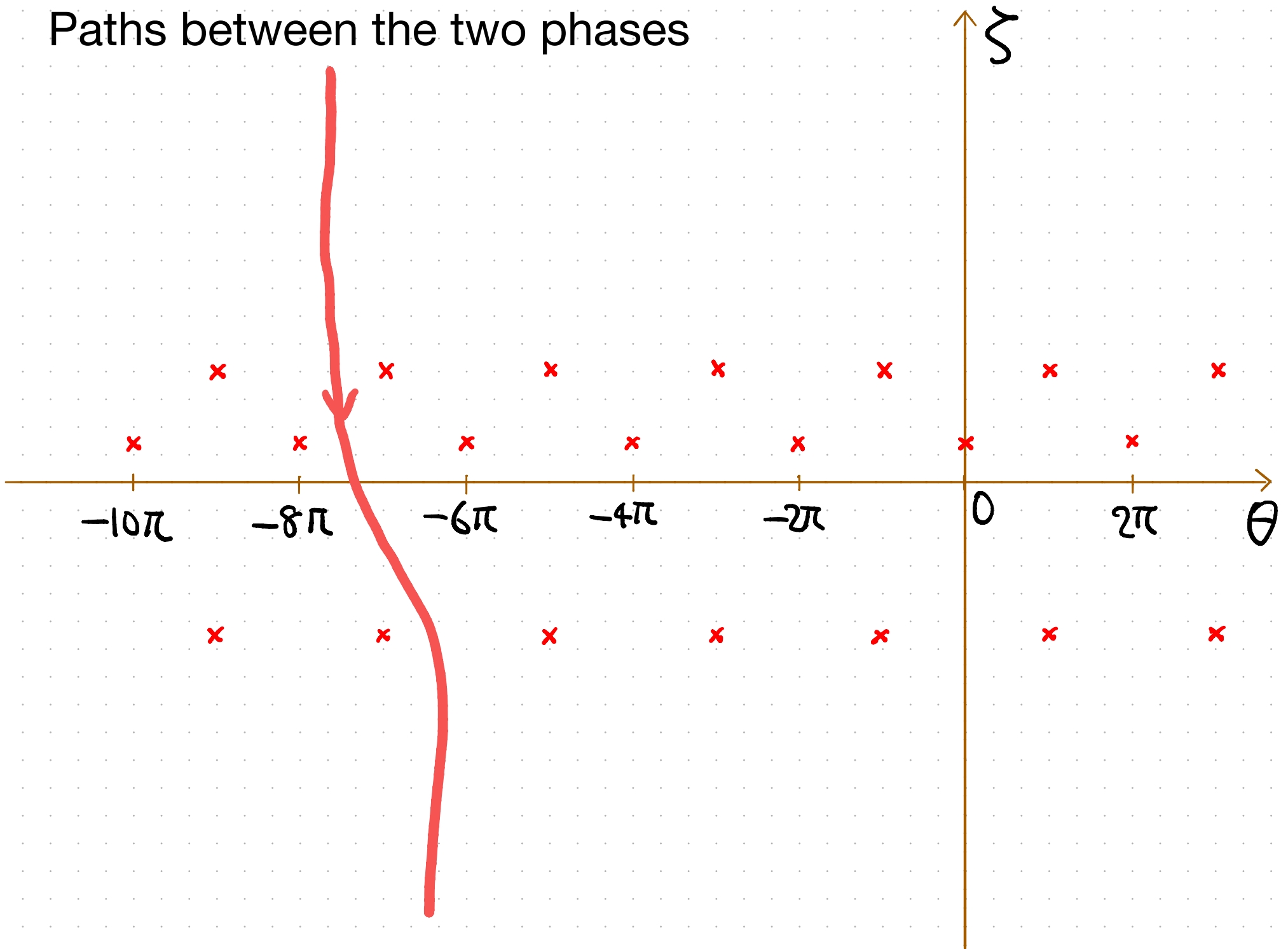
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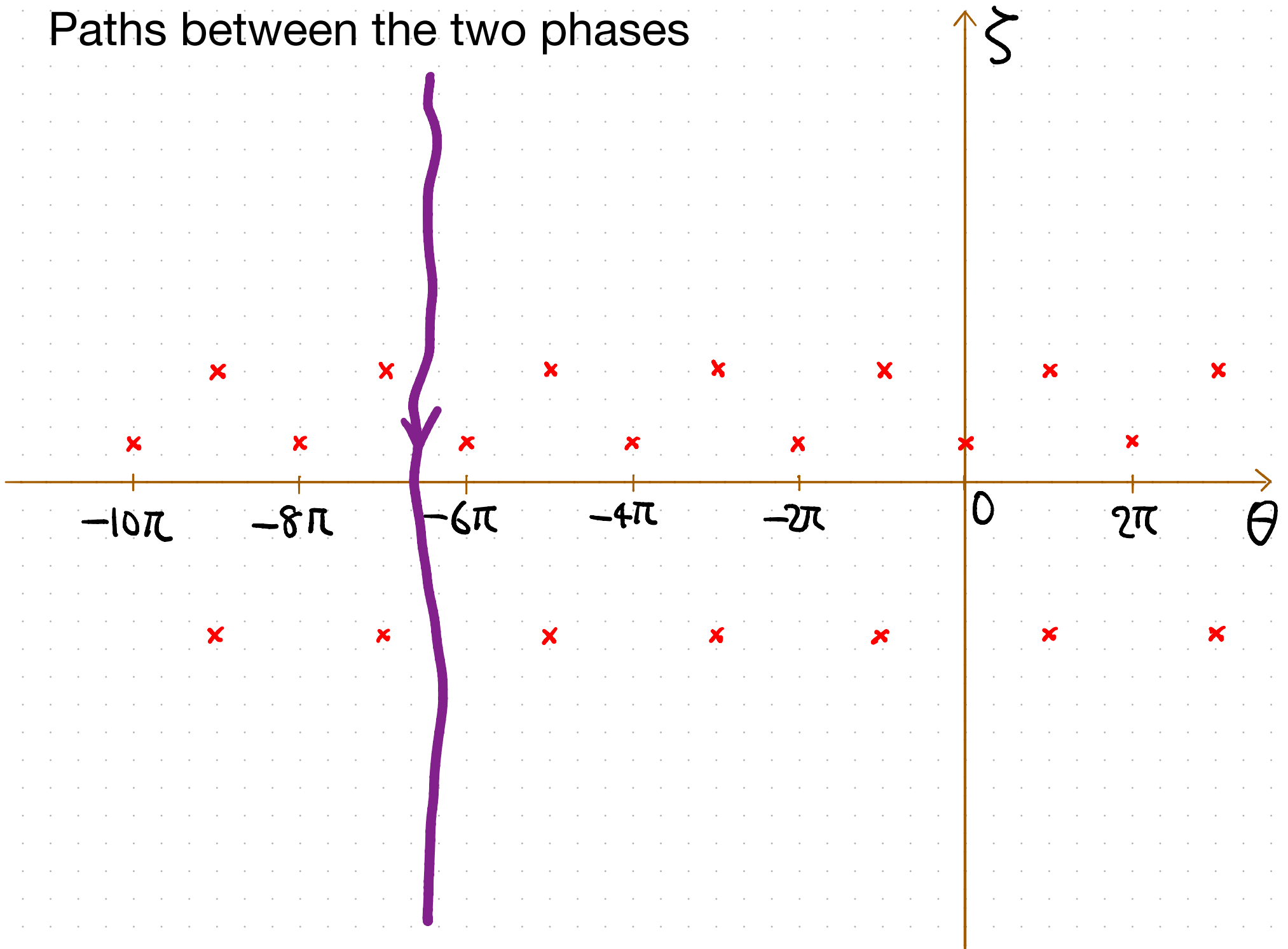
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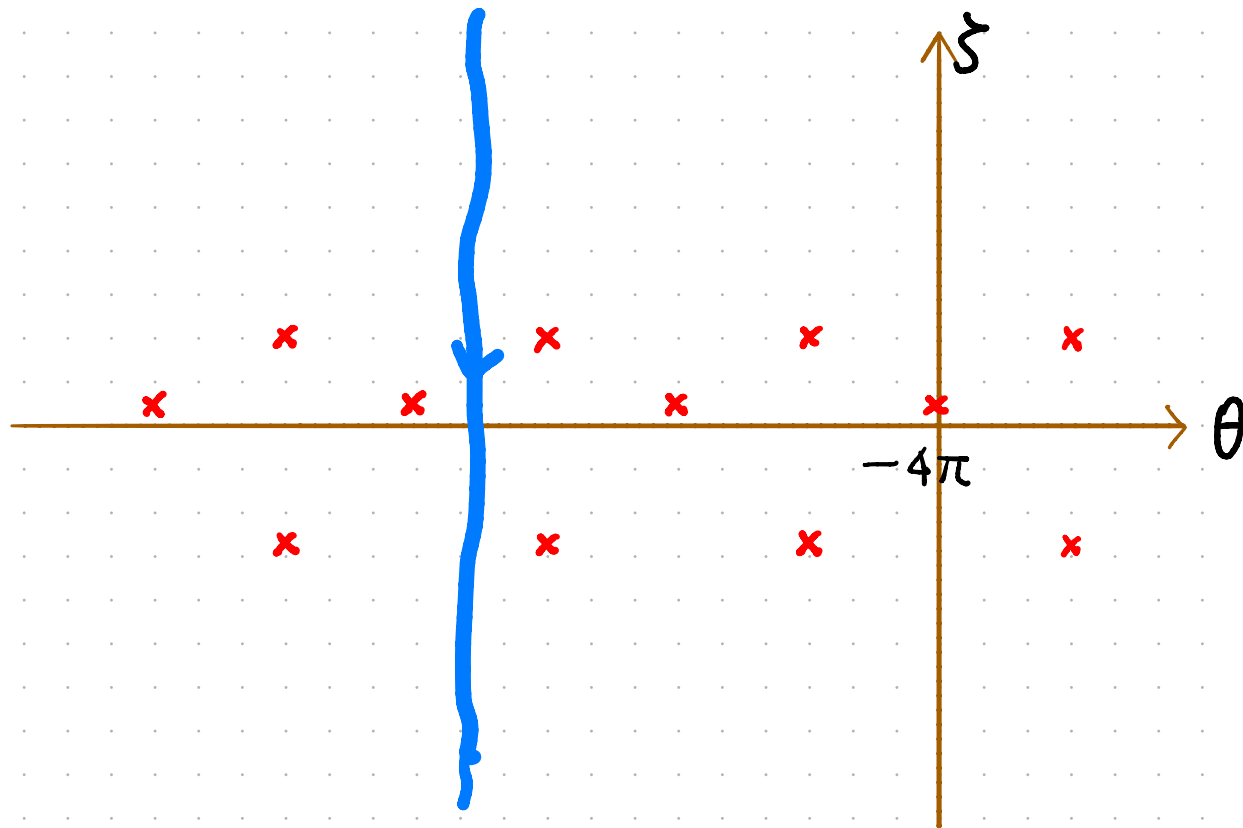


Paths between the two phases

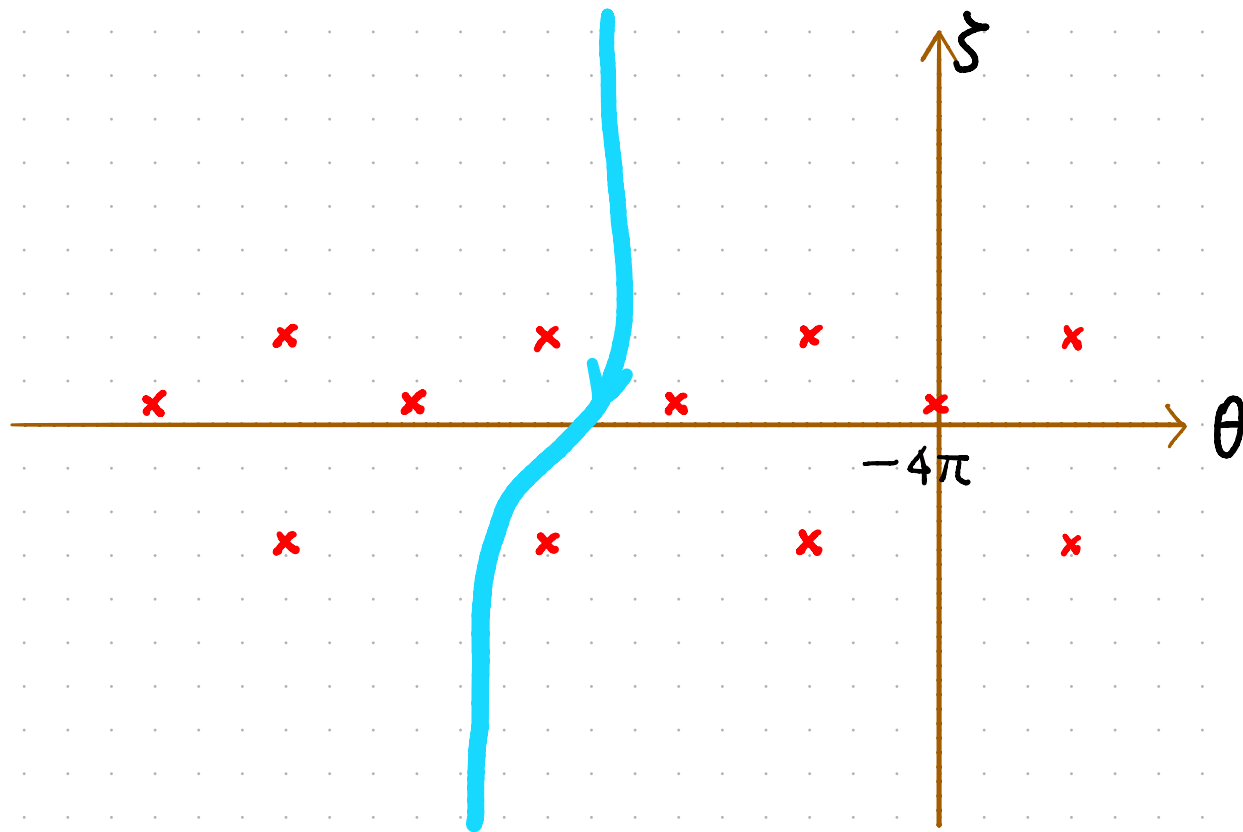


We may only consider $\{ \mathbb{C}(i), S(i), S^2(i) \}_{i \in \mathbb{Z}}$

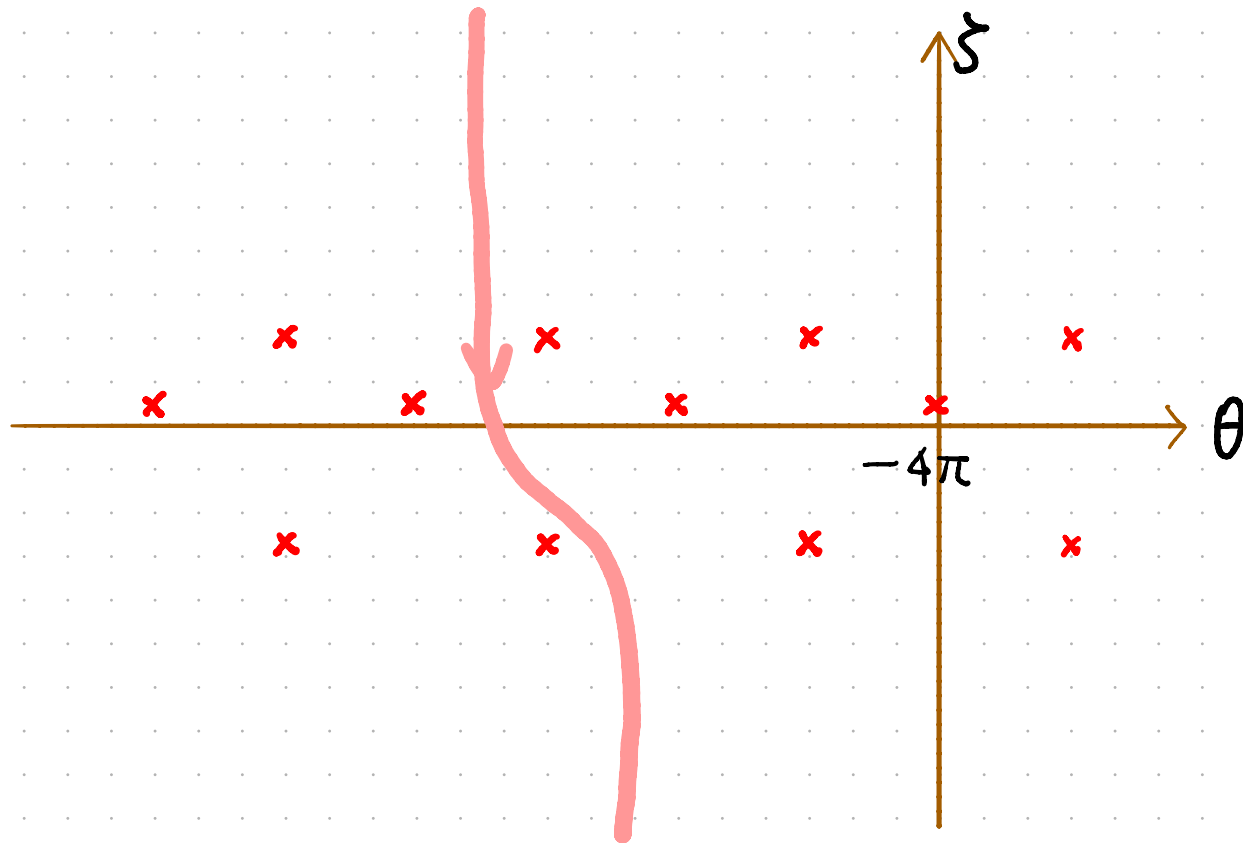
... $\mathbb{C}(-2)$ $\mathbb{C}(-1)$ \mathbb{C} $\mathbb{C}(1)$ $\mathbb{C}(2)$ $\mathbb{C}(3)$ $\mathbb{C}(4)$ $\mathbb{C}(5)$ $\mathbb{C}(6)$ $\mathbb{C}(7)$ $\mathbb{C}(8)$...
... $S(-2)$ $S(-1)$ S $S(1)$ $S(2)$ $S(3)$ $S(4)$ $S(5)$ $S(6)$ $S(7)$ $S(8)$...
... $S^2(-2)$ $S^2(-1)$ S^2 $S^2(1)$ $S^2(2)$ $S^2(3)$ $S^2(4)$ $S^2(5)$ $S^2(6)$ $S^2(7)$ $S^2(8)$...



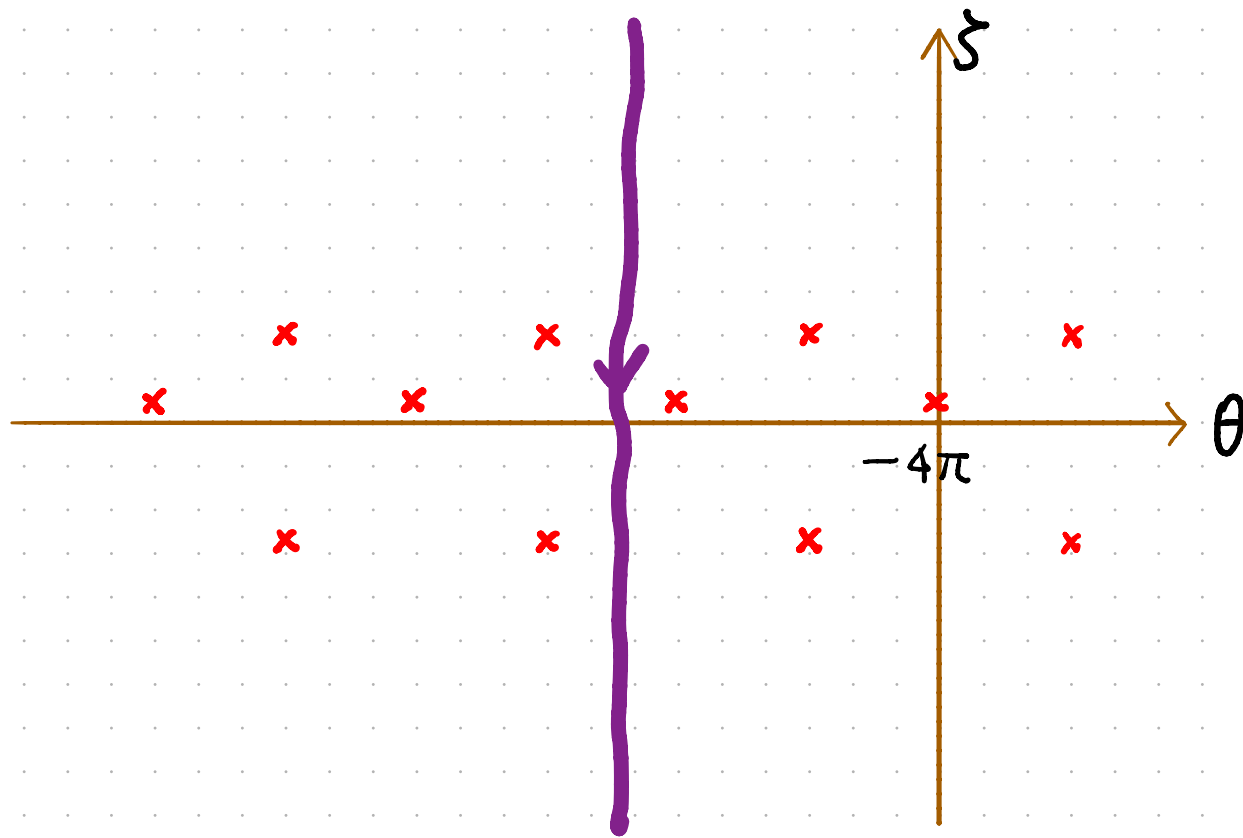
...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...



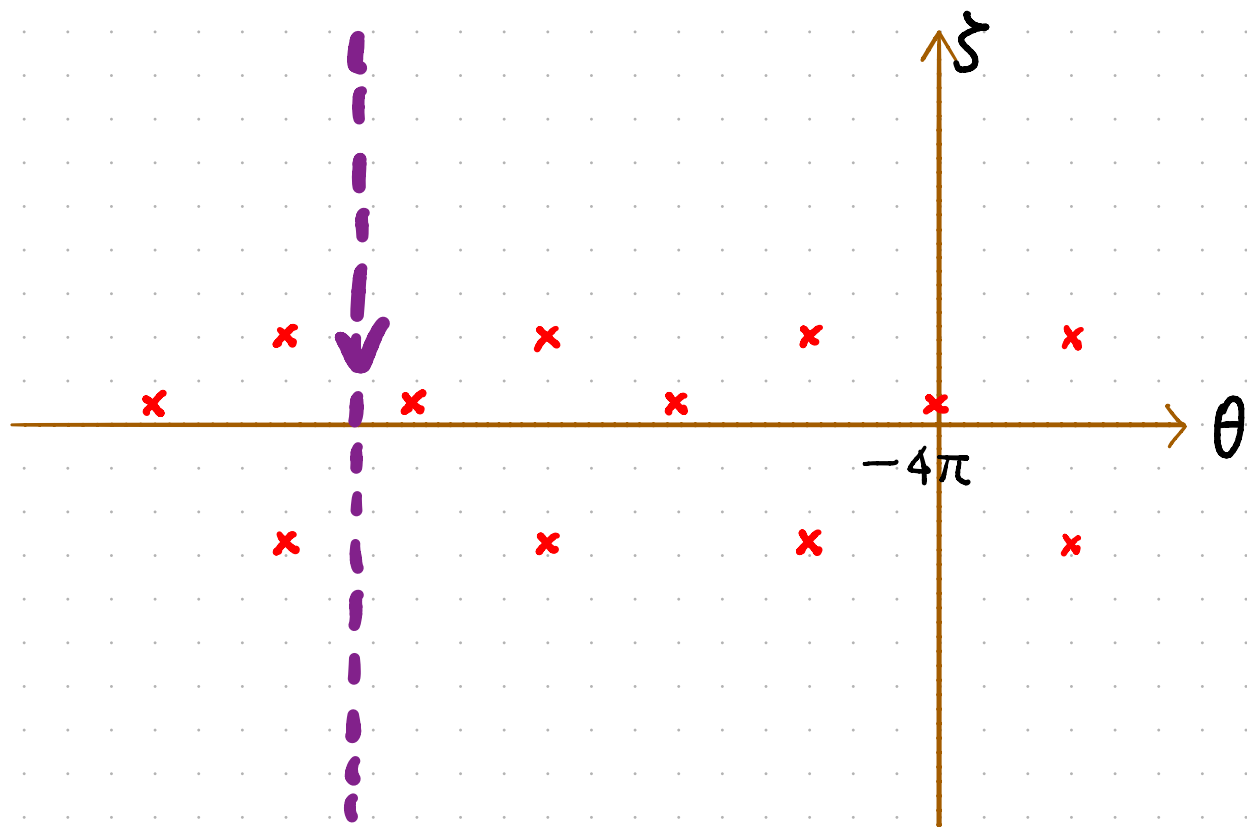
...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...



...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...

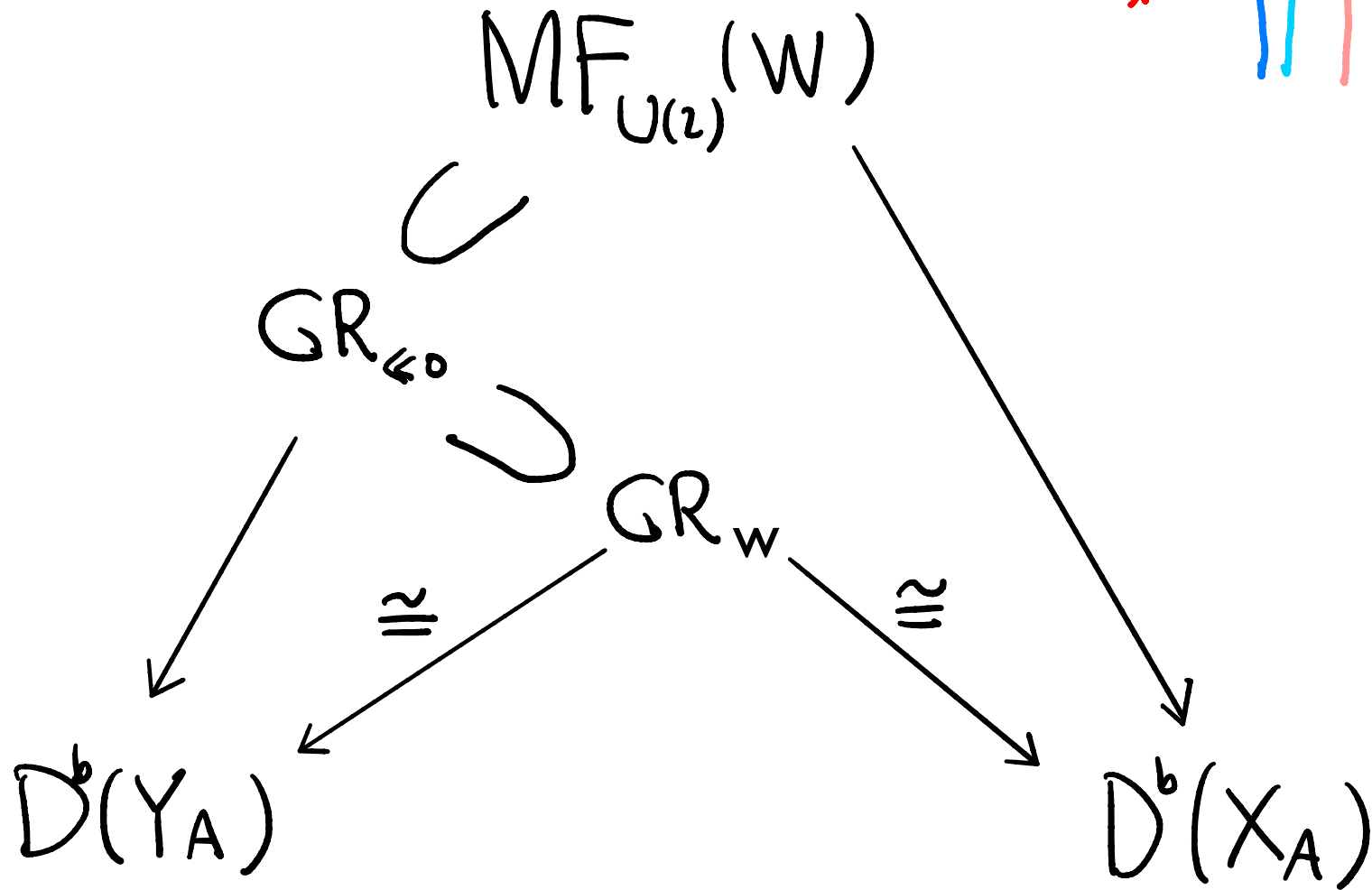
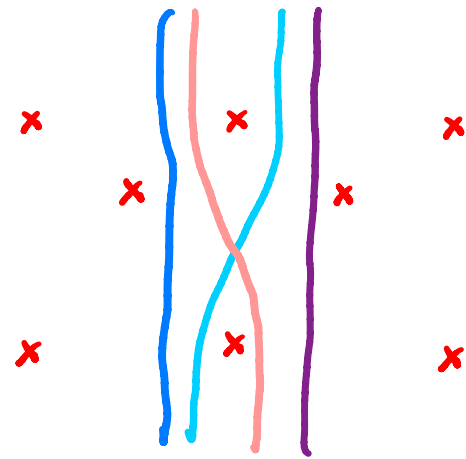


...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...

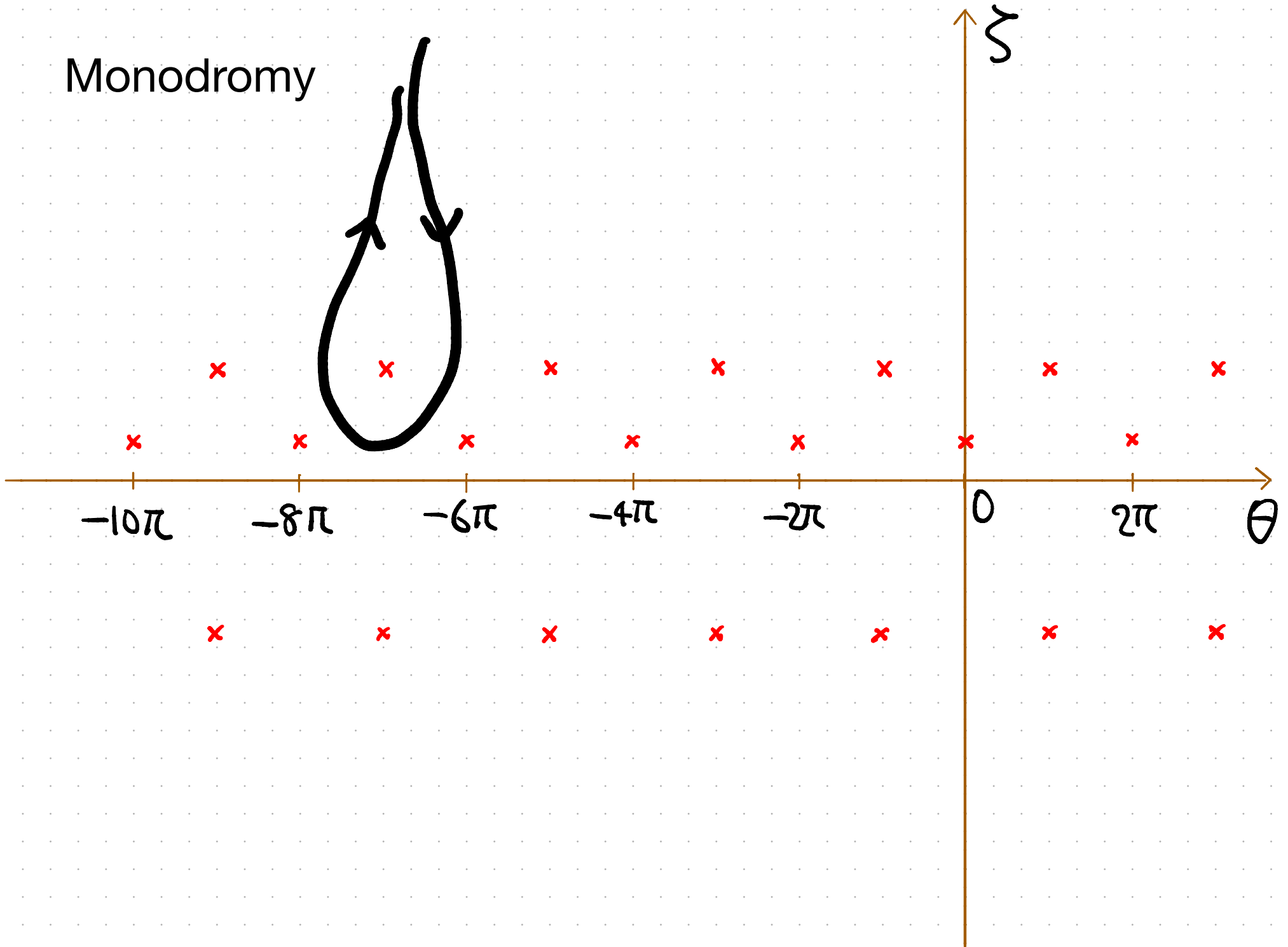


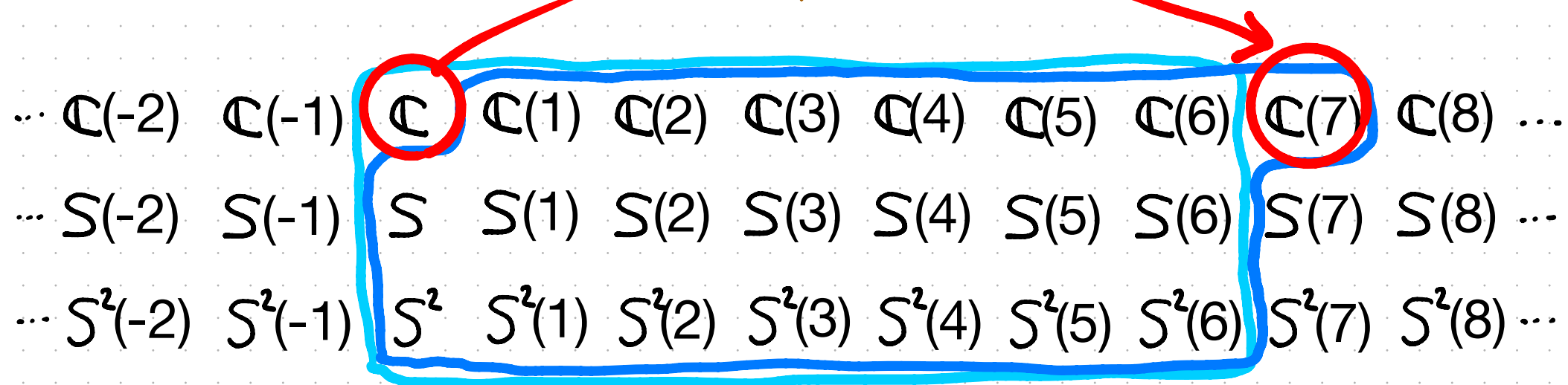
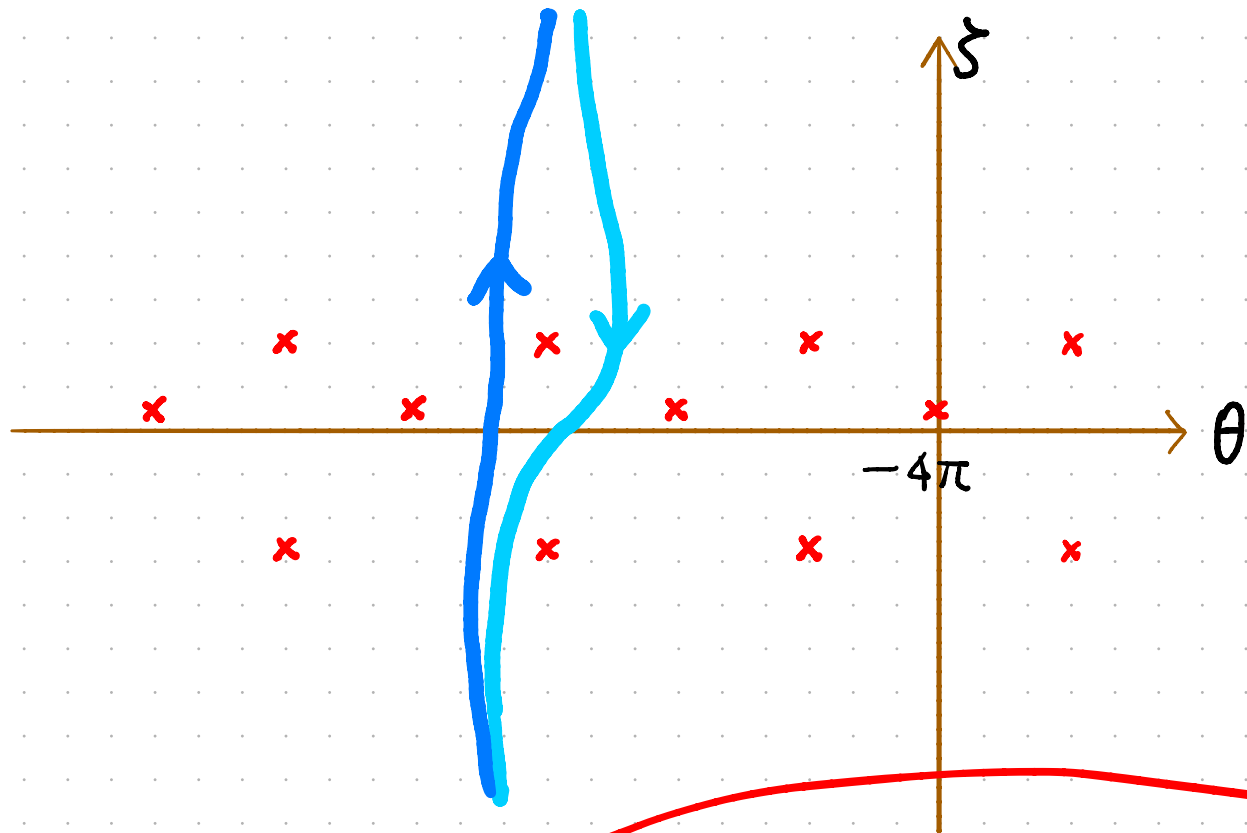
...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...

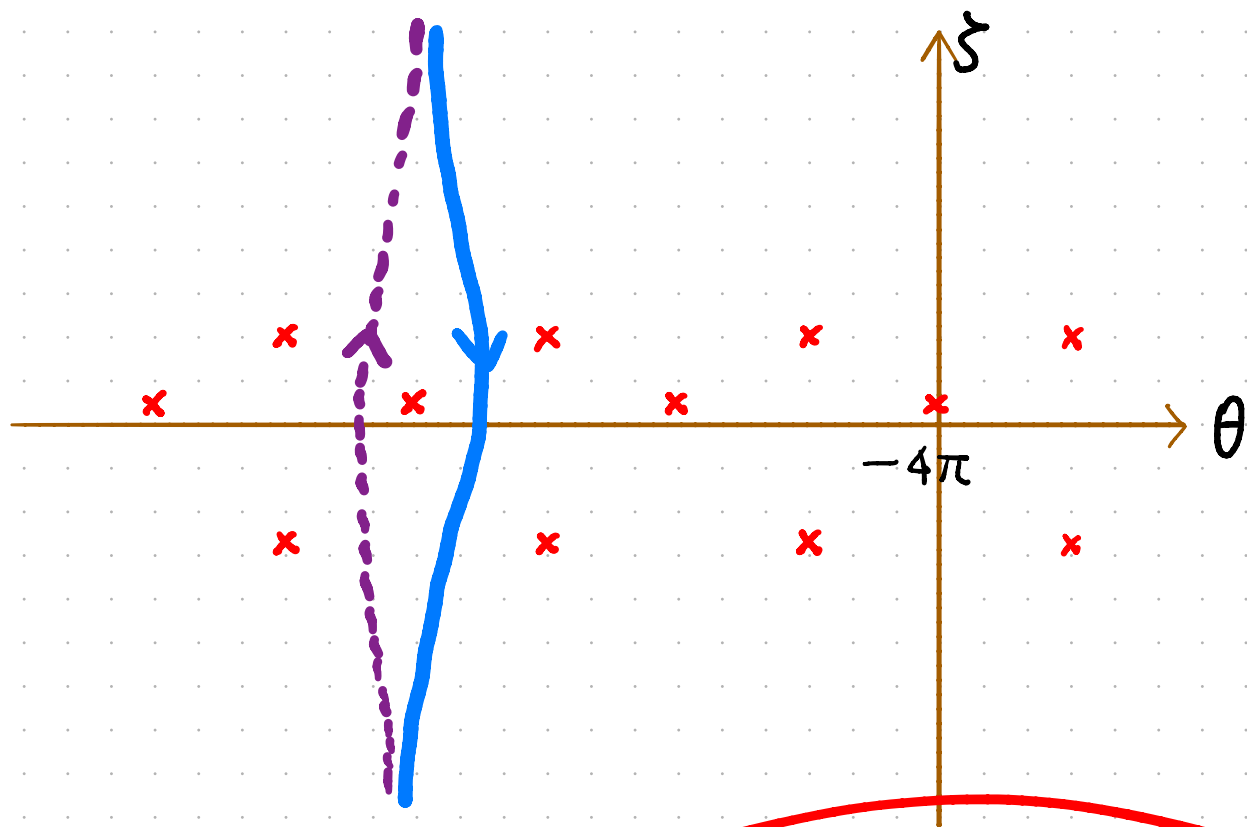
For each window \mathbf{W} such as



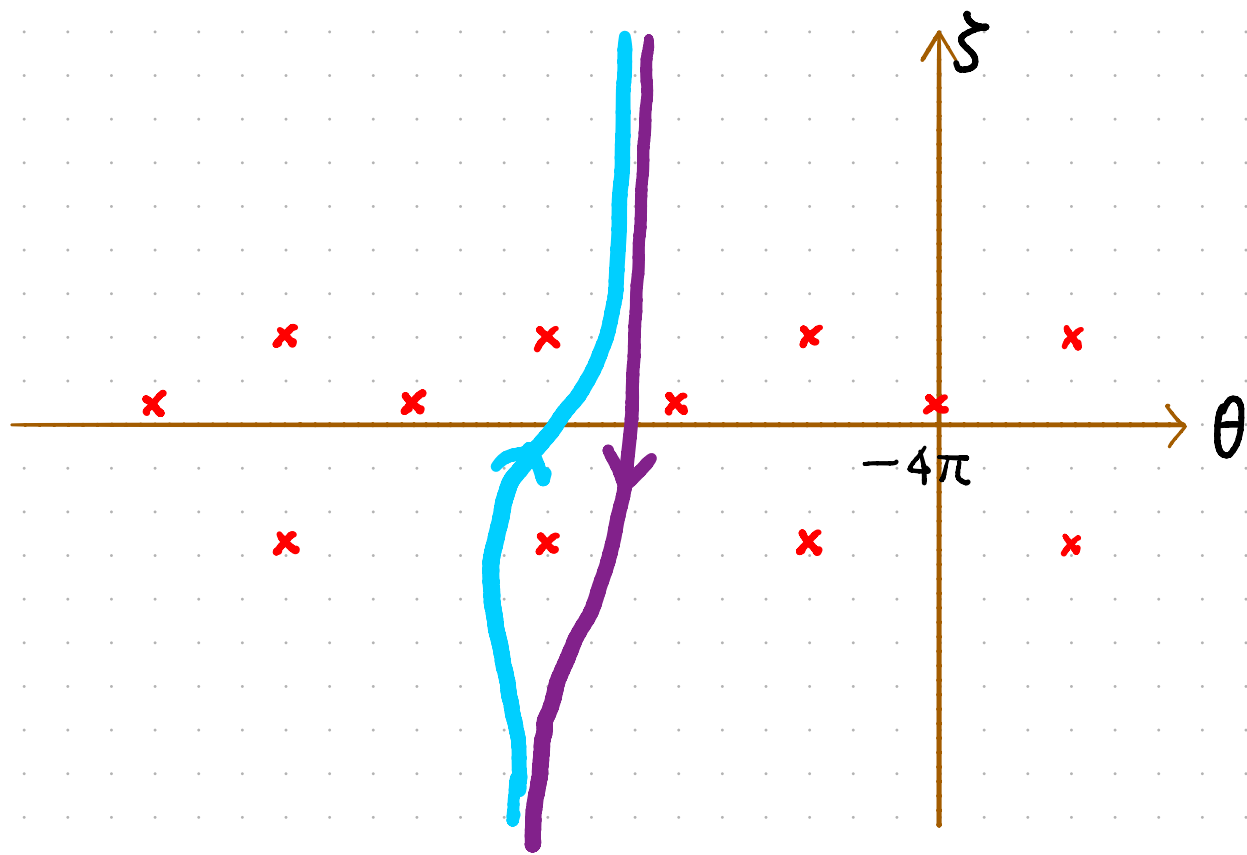
Monodromy



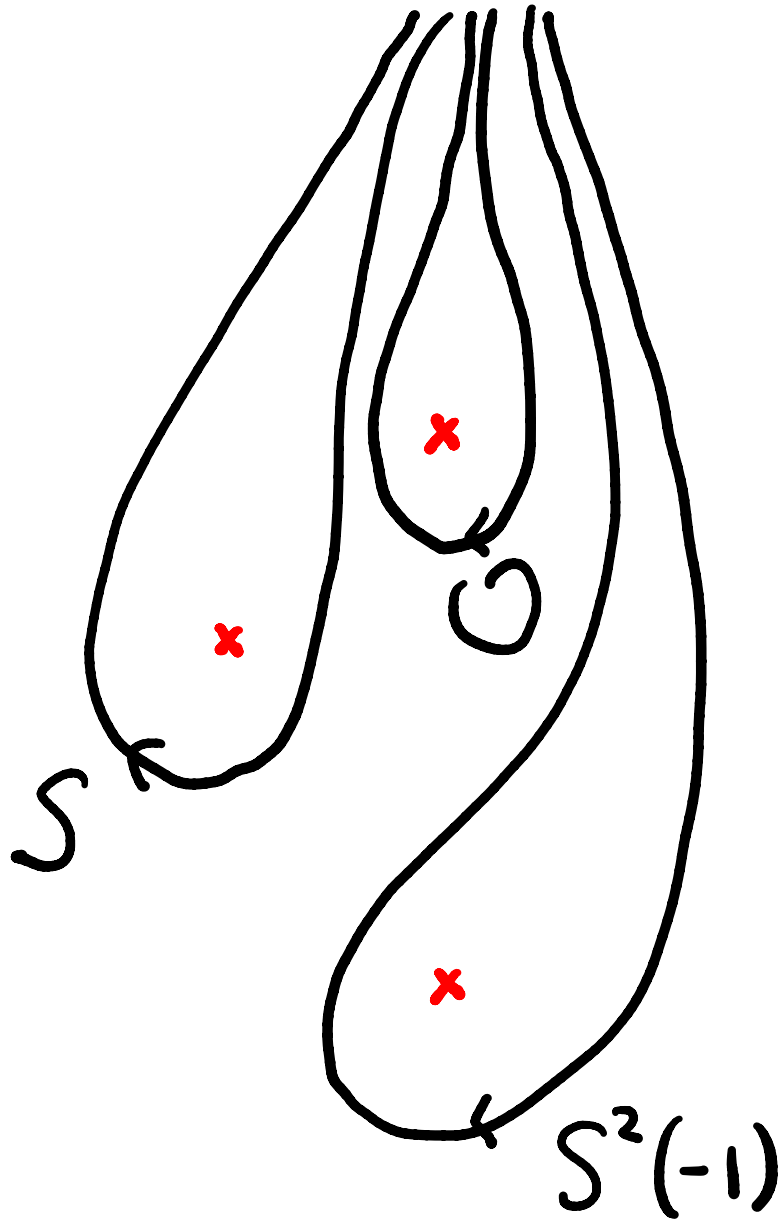




...	$\mathcal{C}(-2)$	$\mathcal{C}(-1)$	\mathcal{C}	$\mathcal{C}(1)$	$\mathcal{C}(2)$	$\mathcal{C}(3)$	$\mathcal{C}(4)$	$\mathcal{C}(5)$	$\mathcal{C}(6)$	$\mathcal{C}(7)$	$\mathcal{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...



...	$\mathbb{C}(-2)$	$\mathbb{C}(-1)$	\mathbb{C}	$\mathbb{C}(1)$	$\mathbb{C}(2)$	$\mathbb{C}(3)$	$\mathbb{C}(4)$	$\mathbb{C}(5)$	$\mathbb{C}(6)$	$\mathbb{C}(7)$	$\mathbb{C}(8)$...
...	$S(-2)$	$S(-1)$	S	$S(1)$	$S(2)$	$S(3)$	$S(4)$	$S(5)$	$S(6)$	$S(7)$	$S(8)$...
...	$S^2(-2)$	$S^2(-1)$	S^2	$S^2(1)$	$S^2(2)$	$S^2(3)$	$S^2(4)$	$S^2(5)$	$S^2(6)$	$S^2(7)$	$S^2(8)$...



Seidel-Thomas twists

These works are strongly motivated by mathematics.

HHP is motivated by Orlov 2005 $D^b(X_f) \cong MF_{\mathbb{Z}_5}(f)$.

EHKR is motivated by Addington-Donovan-Segal 2014

$$D^b(X_A) \cong D^b(Y_A).$$

There are also many other relevant works.

Halpern-Leistner, Ballard-Favero-Katzarkov 2012

Categorical VGIT.

Borisov-Caldararu, Kuznetsov 2006

first proof of $D^b(X_A) \cong D^b(Y_A)$.

Spenko-Van Den Bergh 2015

Non-commutative crepant resolution
of quotient singularities

Hosono-Takagi 2011

Donovan-Segal 2012

Halpern-Leistner-Sam 2016

Rennemo-Segal 2016

⋮

Kuznetsov 2005 Homological projective duality