

# DUNE Oscillation Physics



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for the DUNE Collaboration

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**Blacksburg, Virginia**



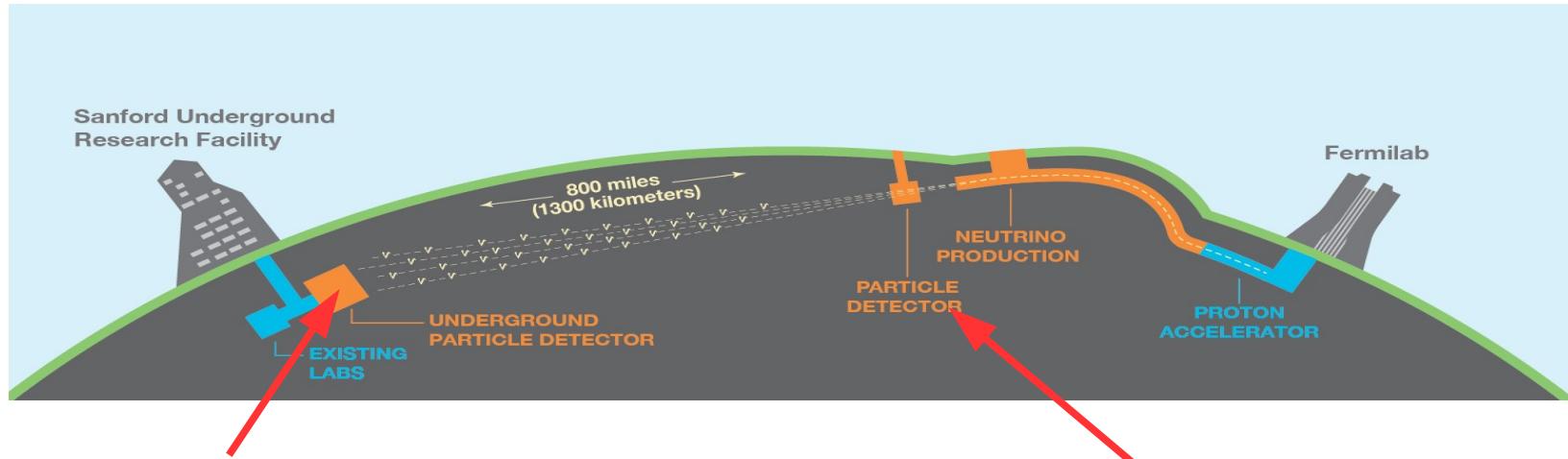
UNIVERSITY OF  
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ARLINGTON



# DUNE

## Deep Underground Neutrino Experiment

A next generation experiment for neutrino science, nucleon decay, and supernova physics



Far detector at Sanford  
Underground Research  
Facility (SURF)



Neutrino beam and near  
detector at Fermilab

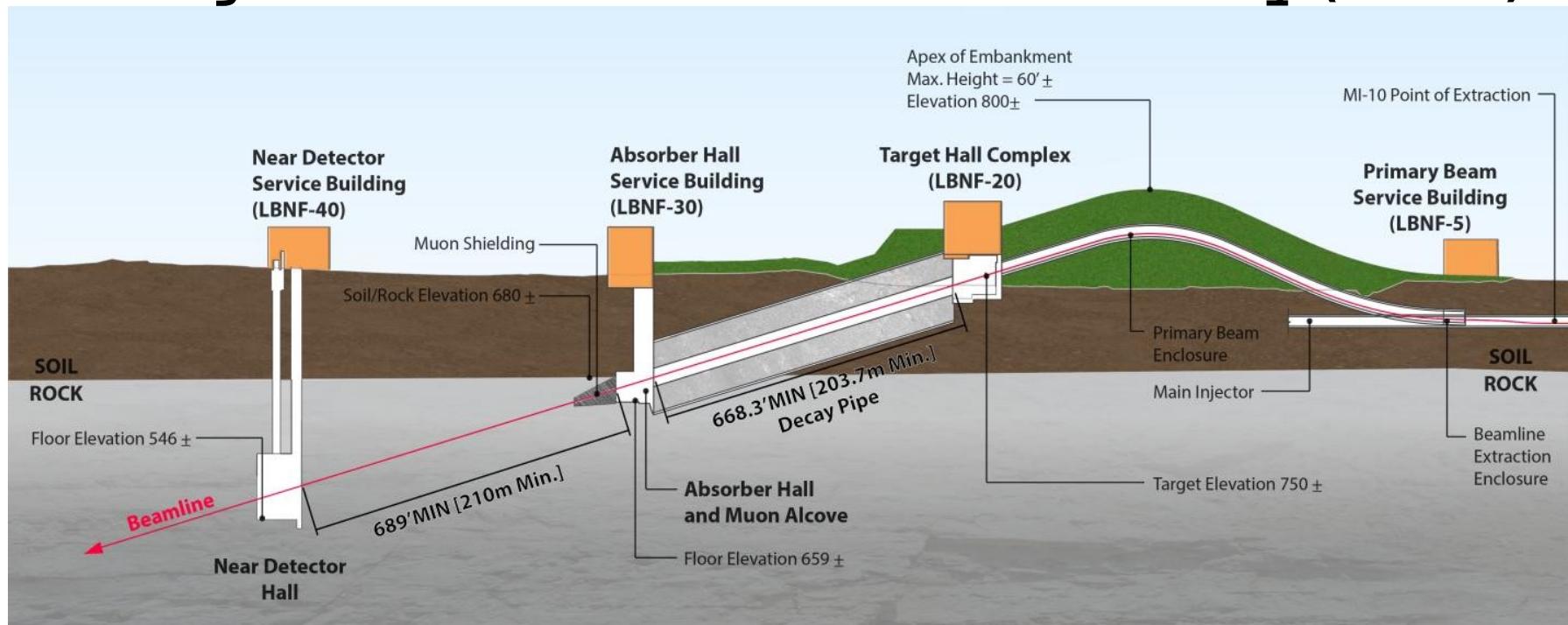


# Primary Physics goals

- Neutrino oscillation physics
  - \* Precise measurements of the oscillation parameters  $\theta_{23}$ ,  $\theta_{13}$ ,  $\Delta m^2_{31}$
  - \* Neutrino mass hierarchy
  - \* Octant of  $\theta_{23}$
  - \* CP Violation and measurement of  $\delta_{cp}$
- Nucleon decay
- Supernova neutrinos
- Physics beyond the standard model

# DUNE Neutrino Beam

## Long Baseline Neutrino Facility (LBNF)

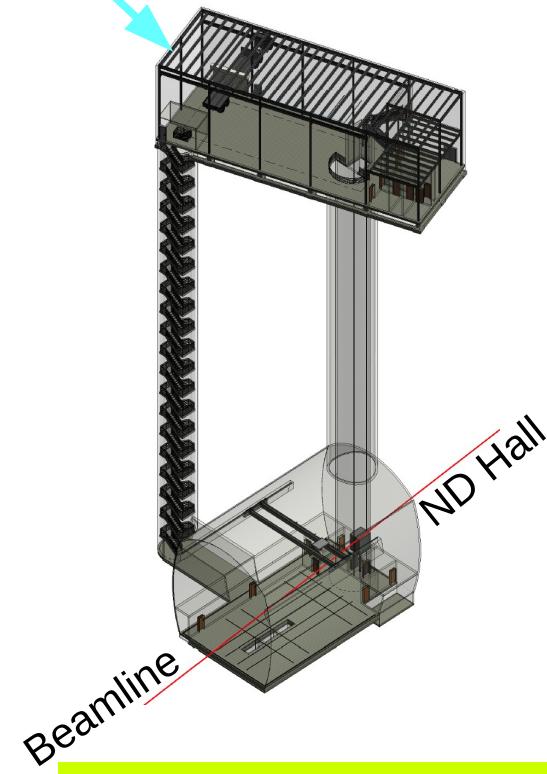
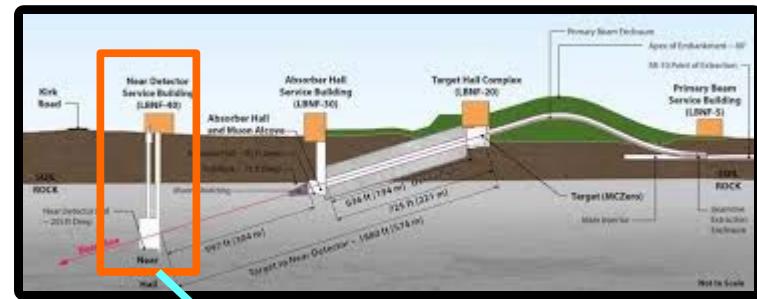


- \* Most intense neutrino beam : "LBNF"
- \* 60-120 GeV protons from Fermilab Main Injector
- \* Horn-focused beam line similar to NuMI beam line
- \* Initial power: 1.2 MW; plan(2032) to upgrade to 2.4 MW

Detail talk by Mary Bishai

# DUNE Near Detector (ND)

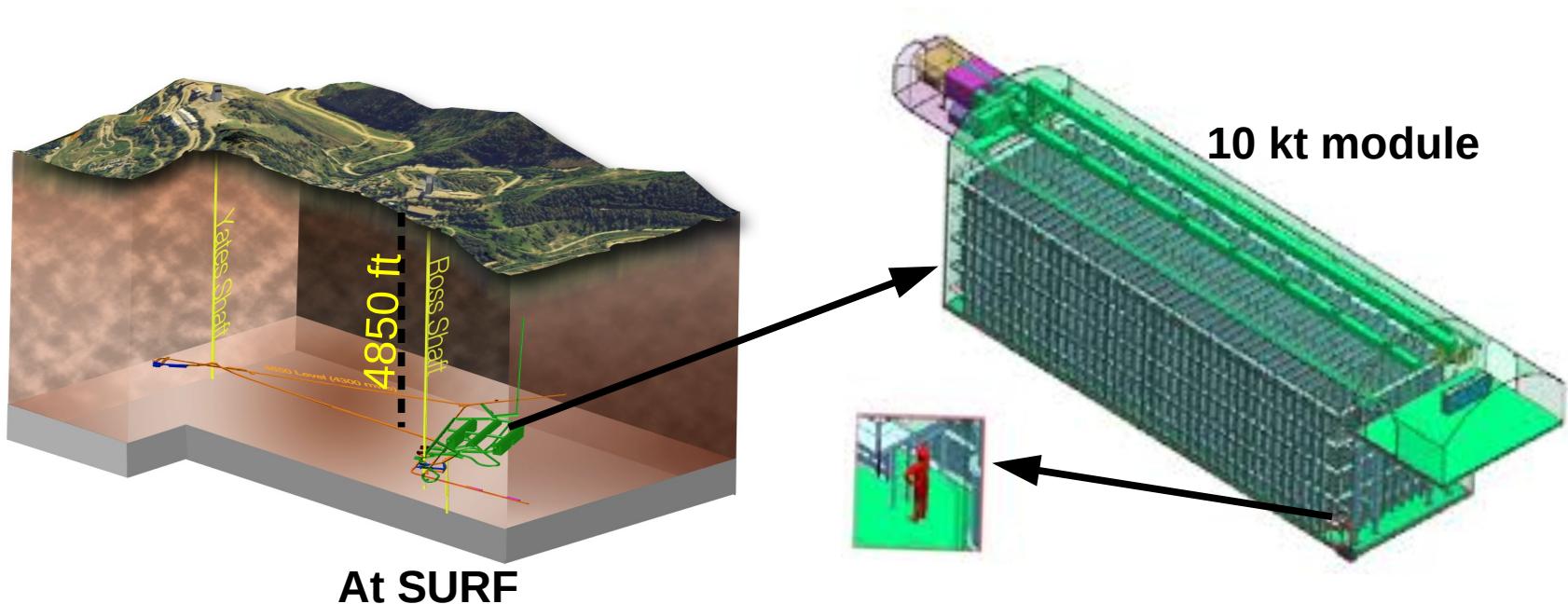
- Primary Purpose: constrain systematic uncertainty for long baseline oscillation analysis
- High precision cross-section and short baseline measurements
- DUNE ND design concept near final
- DUNE ND design concept is an integrated system composed of multiple detectors:
  - \* Highly segmented LArTPC
  - \* Straw tube tracker
  - \* Electromagnetic calorimeter
  - \* Muon chambers



Detail talk by Albert De Roeck

# DUNE Far Detector (FD)

- Two FD designs of Liquid Argon Time Projection Chamber ([LArTPC](#)) are considered: **single phase** (only LAr) and **dual phase** (LAr + GAr)
- **Four 10-kt (fiducial) modules, totally 40-kt (fiducial) LAr** at 4850ft level



# DUNE Timeline



\* DUNE Far Detector Interim Design Reports are available  
(on arXiv:1807.10334,  
1807.10327, 1807.10340)

2018 : protoDUNEs at CERN

2019 : Technical Design Report

2019 : Far Site Primary Excavation Begins

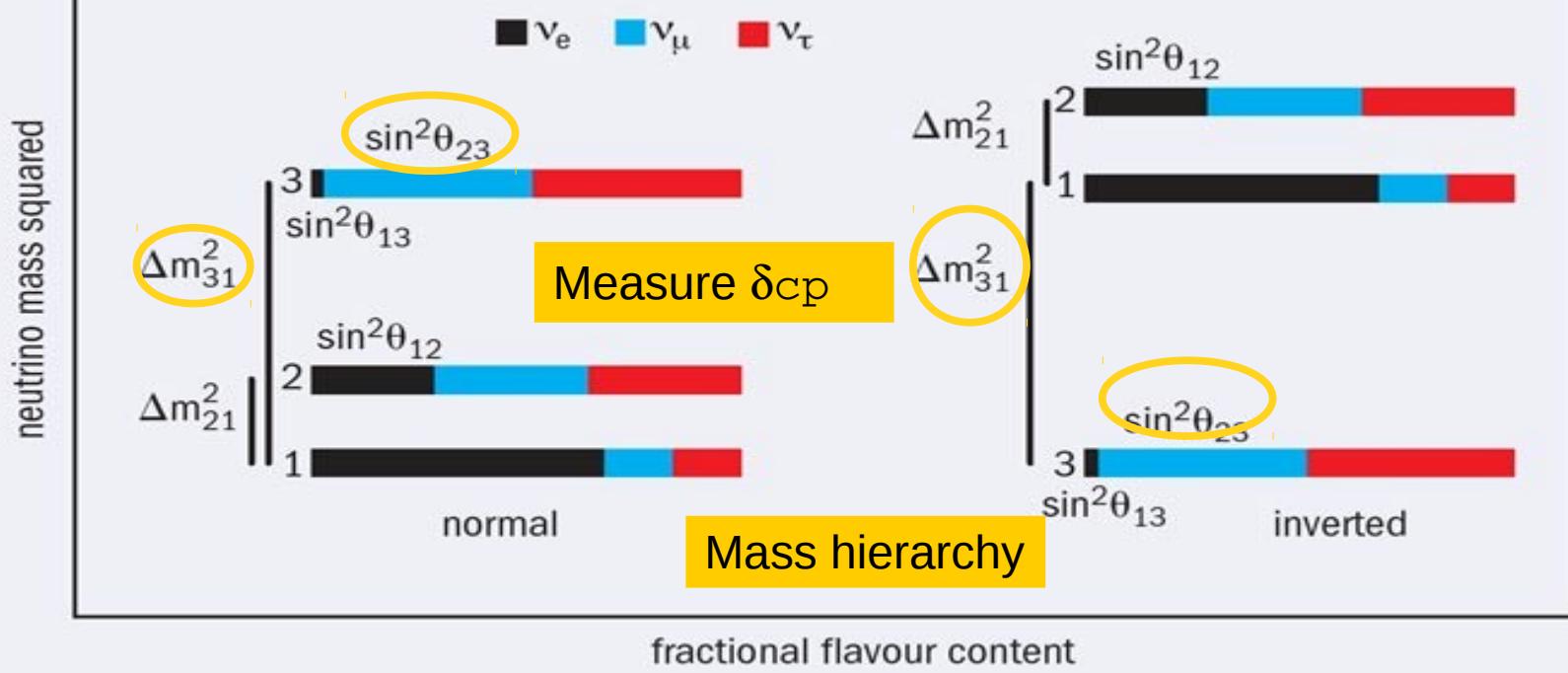
2022 : First Module Installation Begins

2024 : Physics data Begins

2026 : Neutrino Beam Available(1.2MW)

# Neutrino Oscillations

## Primary Questions



Neutrino mixing matrix :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

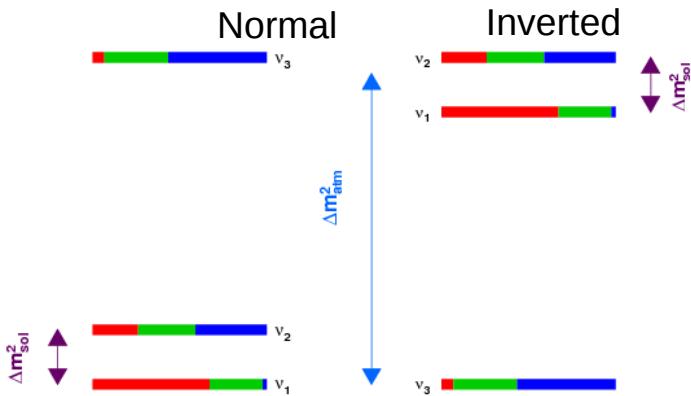
$|U_{e3}| \neq 0$   
(recent discovery)

# Neutrino oscillations :

## Primary questions

### $\nu$ mass hierarchy:

★ Are the states  $\nu_1$  &  $\nu_2$  heavier or lighter than  $\nu_3$  ?



### CP violation:

★  $\delta_{\text{CP}} \neq (0, \pi)$ ? , Neutrino and anti-neutrino asymmetry

Octant of  $\theta_{23}$  : ★  $\sin^2 \theta_{23} \neq 0.5$  ? Non maximal mixing? If so, which way?

### Precision oscillation measurements

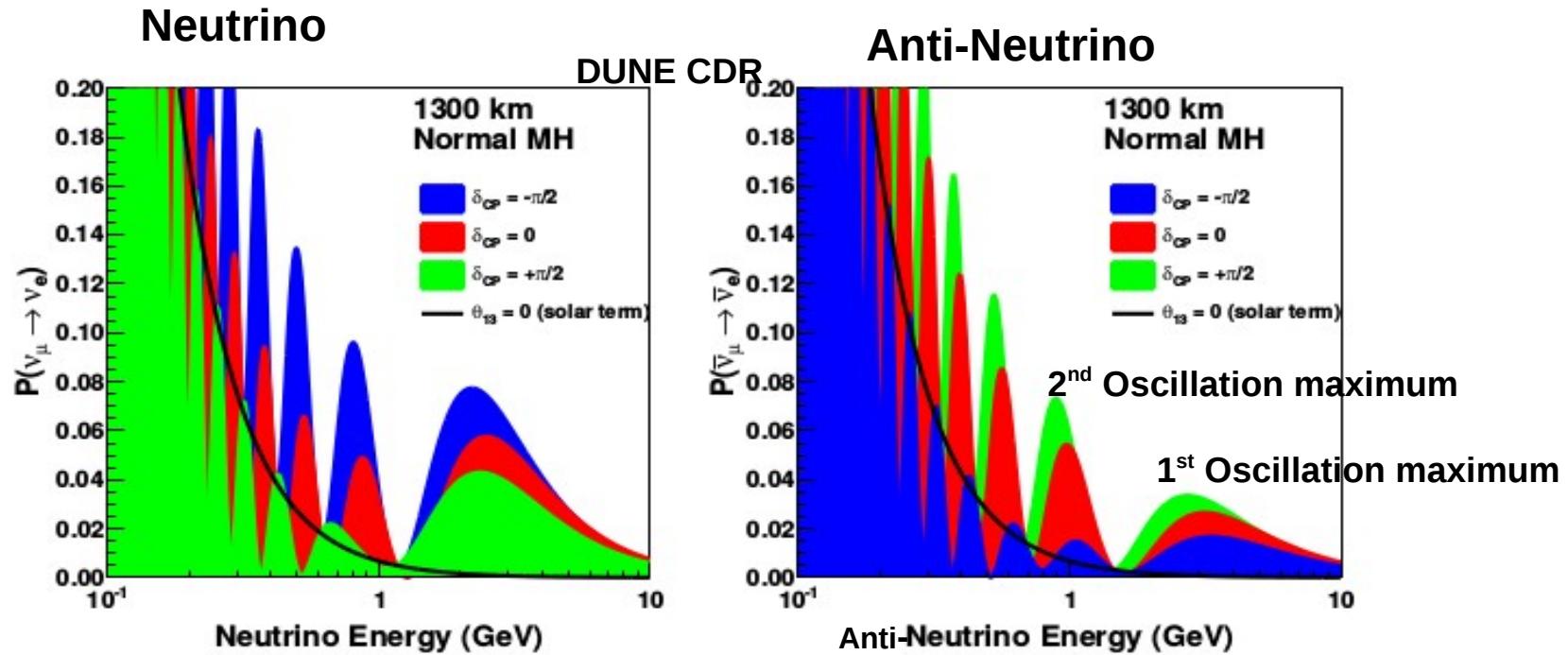
# $\nu_\mu \rightarrow \nu_e$ Appearance

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \simeq & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin(aL)}{(aL)} \Delta_{21} \cos(\Delta_{31} + \delta_{CP}) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

$\Delta_{ij} = \Delta m_{ij}^2 L / 4E_\nu$   
 $a = G_F N_e / \sqrt{2}$

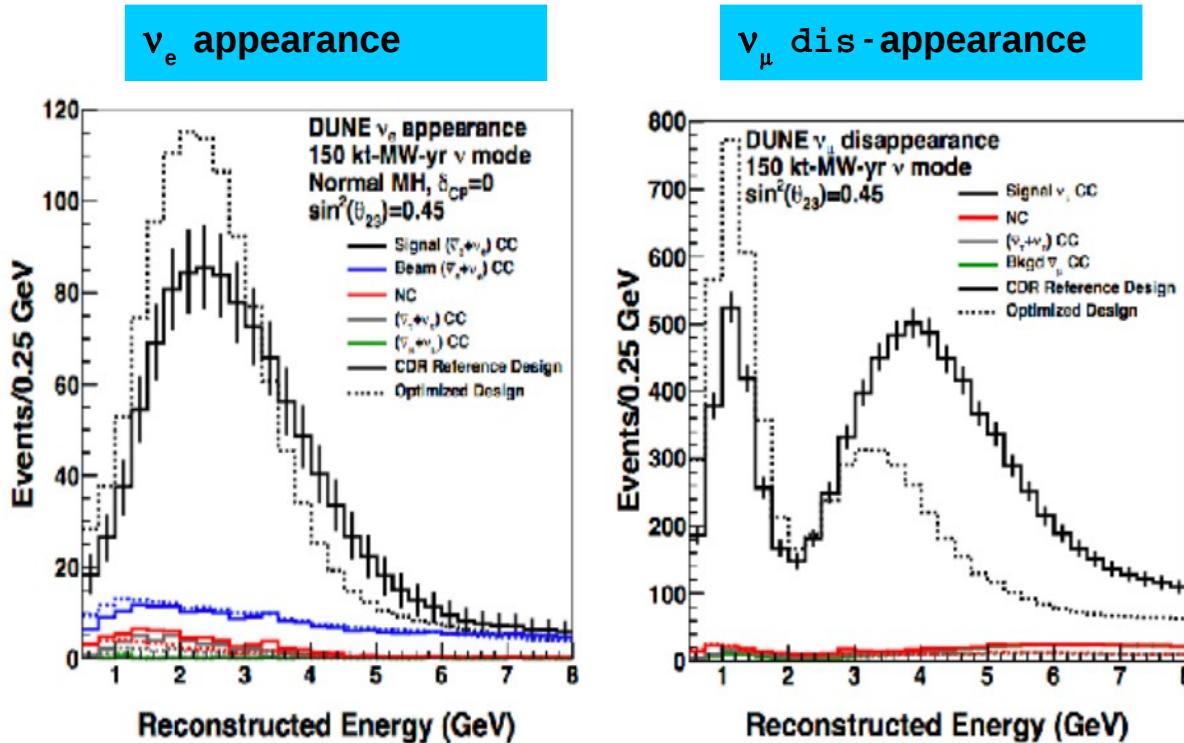
- $\nu_e$  appearance probability depends on :  $\theta_{23}$ ,  $\theta_{13}$ ,  $\delta_{CP}$ , matter effect
- Large value of  $\sin^2 2\theta_{13}$  provides significant  $\nu_e$  events
- Large matter effect help for the determination of hierarchy
- $\theta_{23}$  octant measurement from  $\nu_\mu$  disappearance channel

# Oscillations at DUNE



- $\delta_{cp}$  affects both the amplitude and the frequency of oscillation
- Measure  $\nu_e$  appearance with  $\nu_\mu$  beam to determine  $\delta_{cp}$ , MH
- Measure  $\nu_\mu$  dis-appearance with  $\nu_\mu$  beam to determine octant, MH
- Wide band beam covers 1st and 2nd oscillation maxima

# Appearance and disappearance spectra



- Reconstructed spectra based on GEANT4 beam simulation, GENIE event generator, and Fast MC
- Simultaneous fit to four spectra to extract oscillation parameters
- Systematics applied using normalization uncertainties
- GLoBES configurations arXiv:1606.09550

## Staging

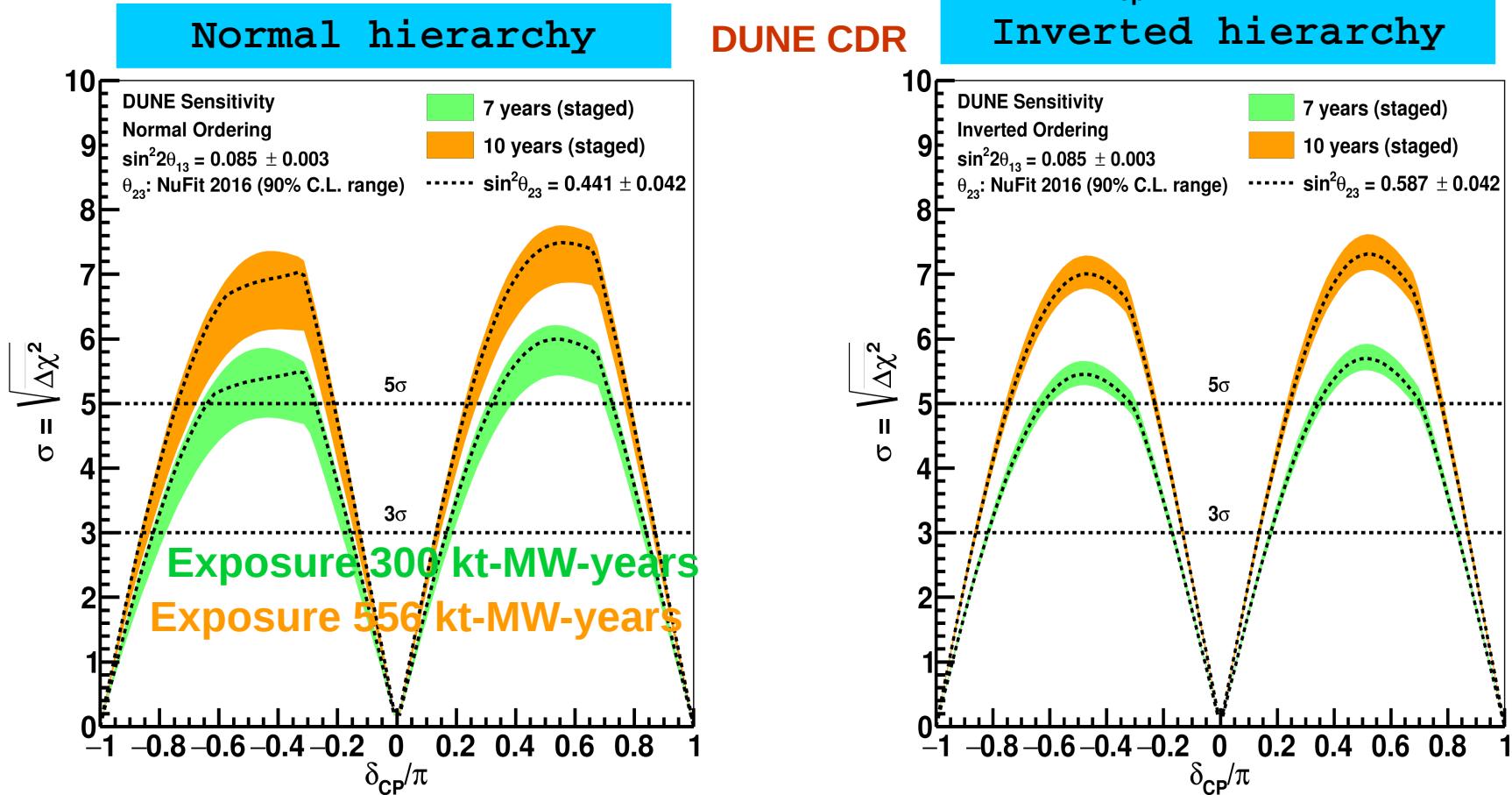
- 2026: 20kt far detector with 1.2MW beam
- 2027: 30kt far detector
- 2029: 40kt far detector
- 2032 : Upgrade to 2.4MW beam

# Systematic Uncertainties

- The effect of systematic uncertainty is approximated using signal and background normalization uncertainties
- Signal normalization uncertainty is  $5\pm 2\%$  in both neutrino and anti-neutrino modes.
  - $\nu_\mu$  &  $\bar{\nu}_\mu = 5\%$  (constraints from the near detector)
  - $\nu_e$  &  $\bar{\nu}_e = 2\%$  (constraints from the near detector and fit)
- Oscillation parameter central values and uncertainties are taken from NuFit 2016 (arXiv:1611.01514)
- Parameters are allowed to vary constrained by 1/6 of the  $\pm 3\sigma$  range in the global fit.

# CP violation sensitivity

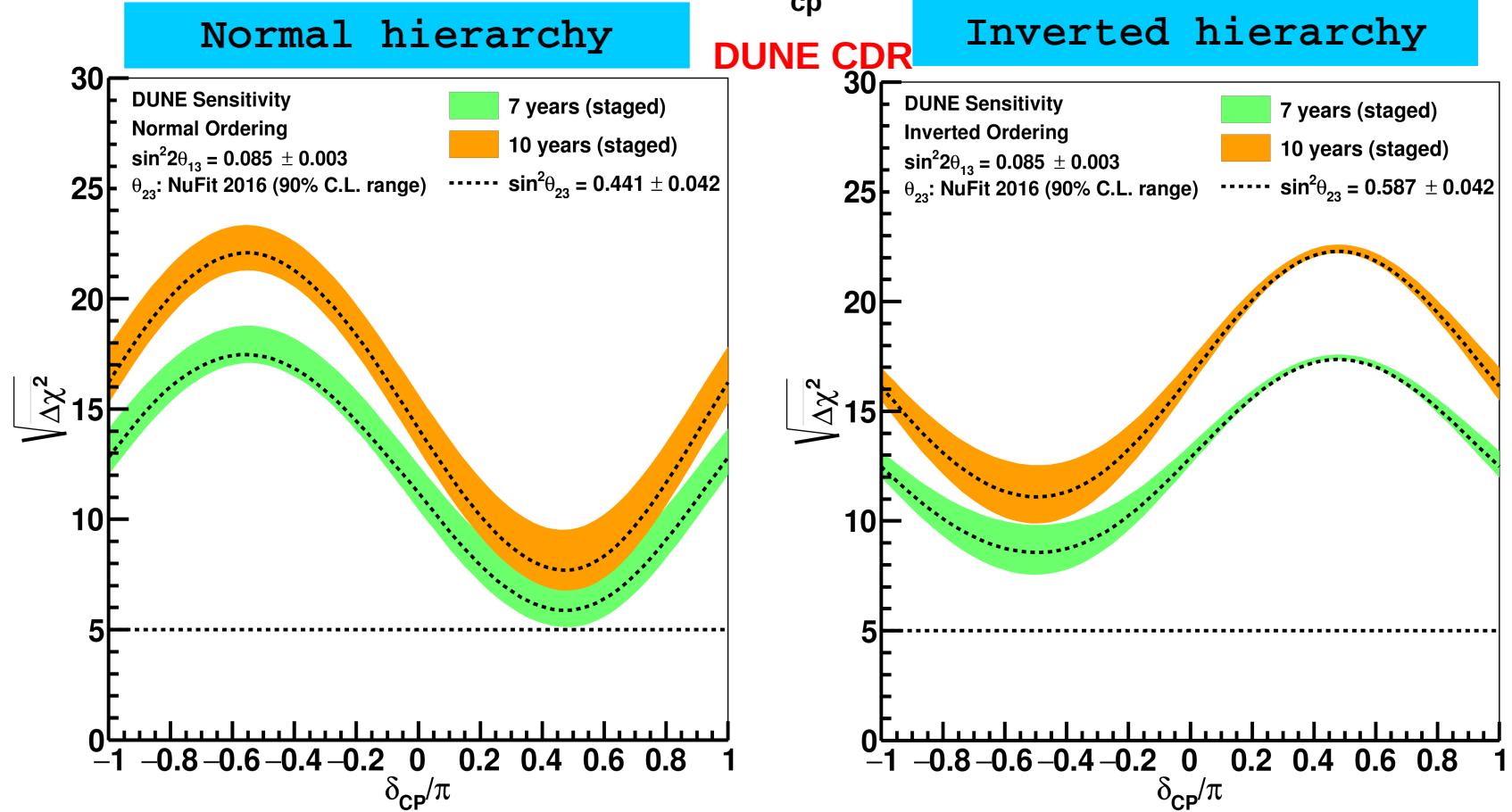
Significance with which CP violation ( $\delta_{cp} = 0, \pi$ ) can be determined as a function of true value of  $\delta_{cp}$



Width of band corresponds to 90% C.L. variations in value of  $\theta_{23}$  based on NuFit 2016 fit values

# Mass hierarchy sensitivity

Sensitivity to determination of mass hierarchy as a function of true value of  $\delta_{cp}$



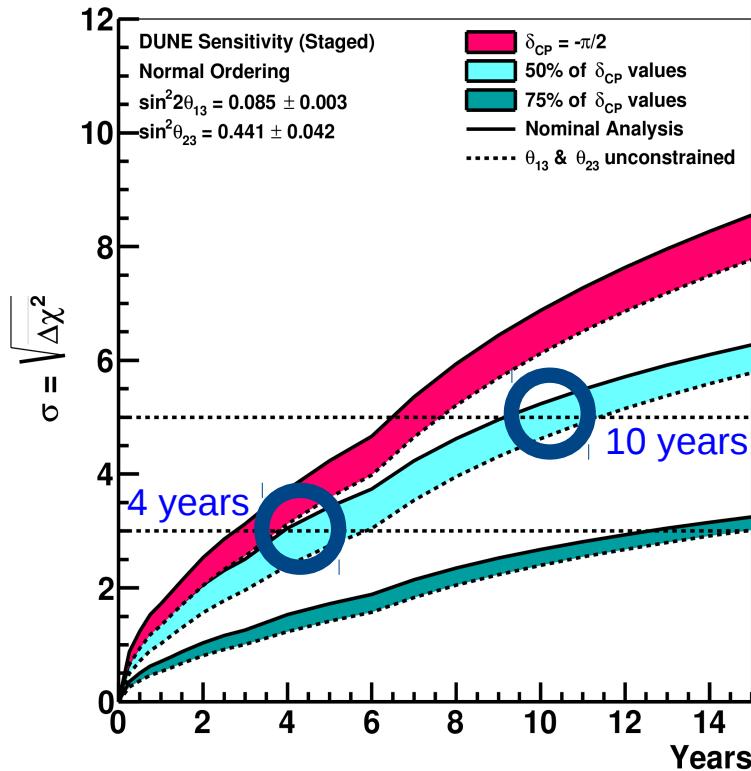
Width of band corresponds to 90% C.L. variations in value of  $\theta_{23}$  based on NuFit 2016 fit values

# Sensitivity vs. time

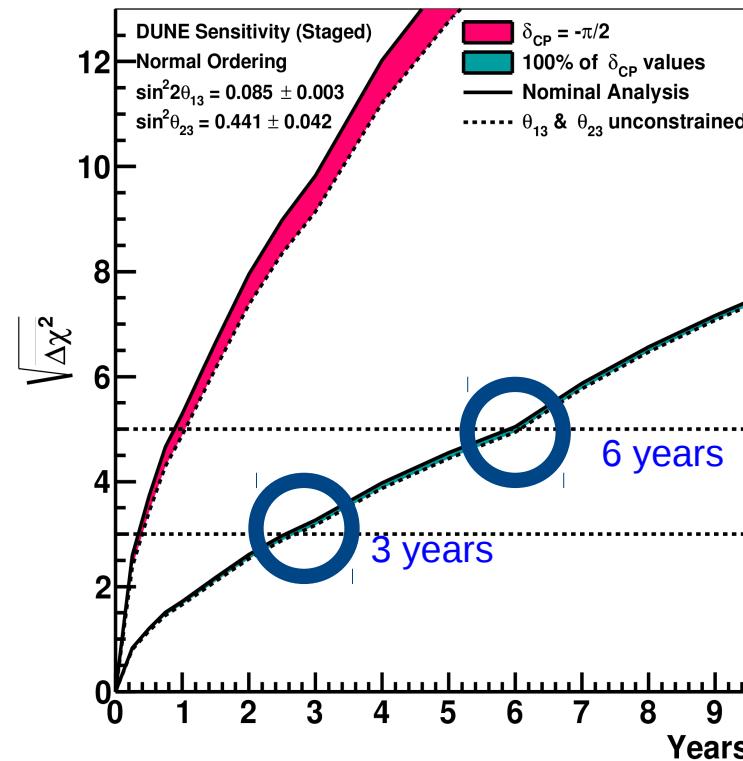
Significant milestones within few years of beam run

DUNE CDR

## CP violation sensitivity



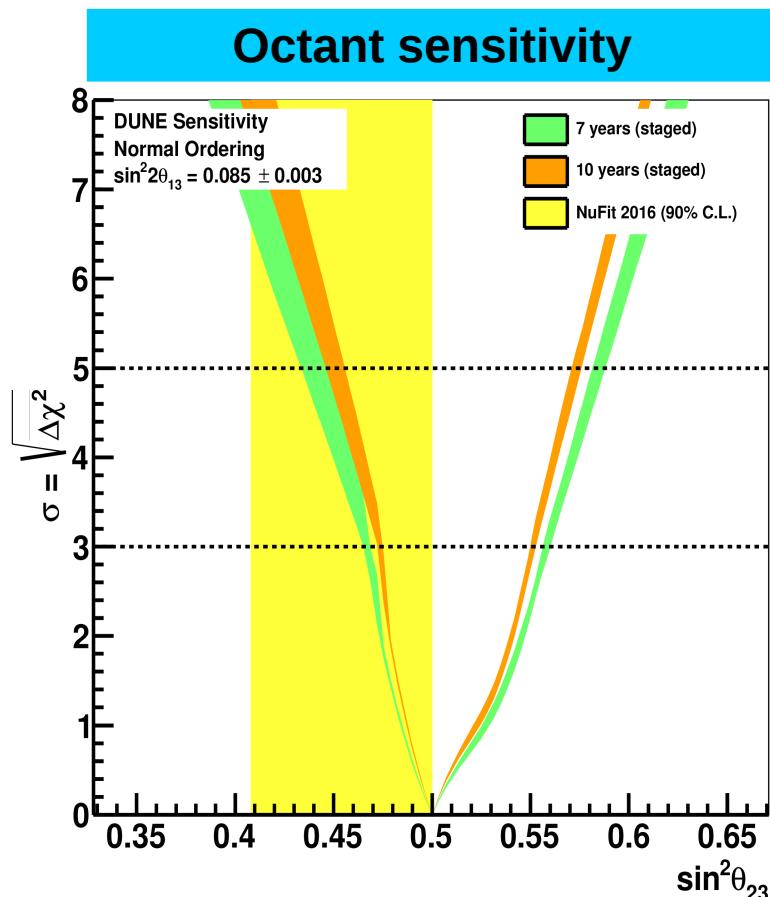
## Mass hierarchy sensitivity



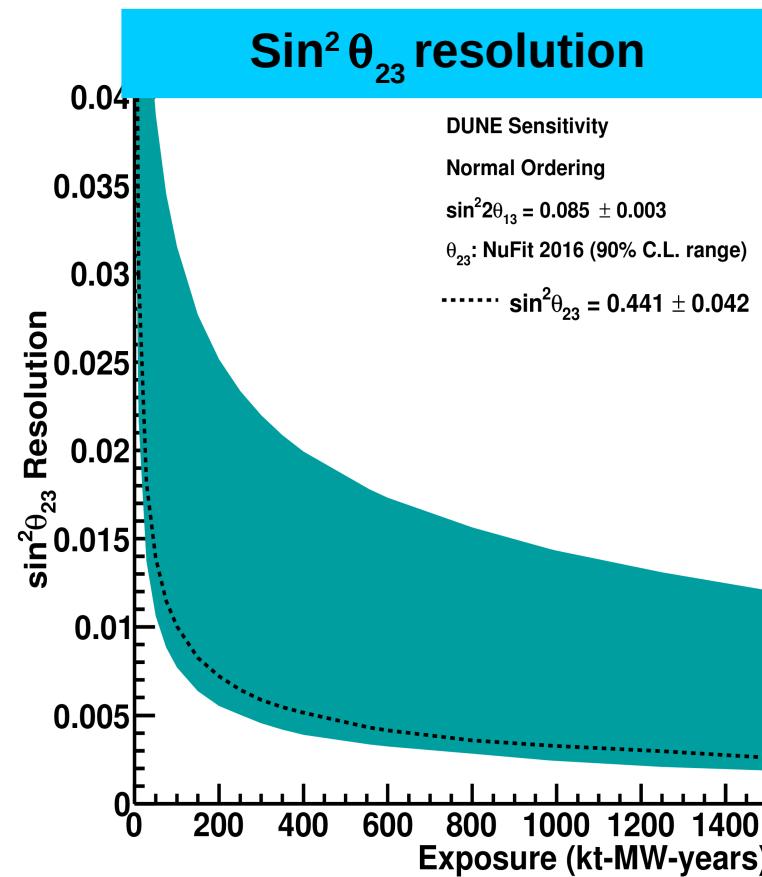
Width of band corresponds to 90% C.L. variations in value  
of θ<sub>23</sub> based on NuFit 2016 fit values

# Sensitivity to $\theta_{23}$ Octant and $\theta_{23}$ resolution

- DUNE CDR



Yellow shaded band represents 90% C.L. allowed region for value of  $\sin^2\theta_{23}$  from NuFit 2016



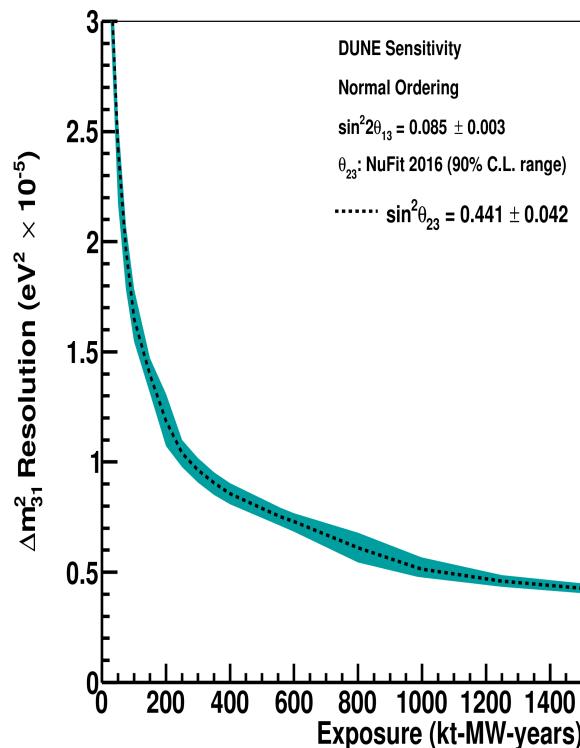
Resolution worsens with increasing  $\theta_{23}$

# Oscillation parameter resolution

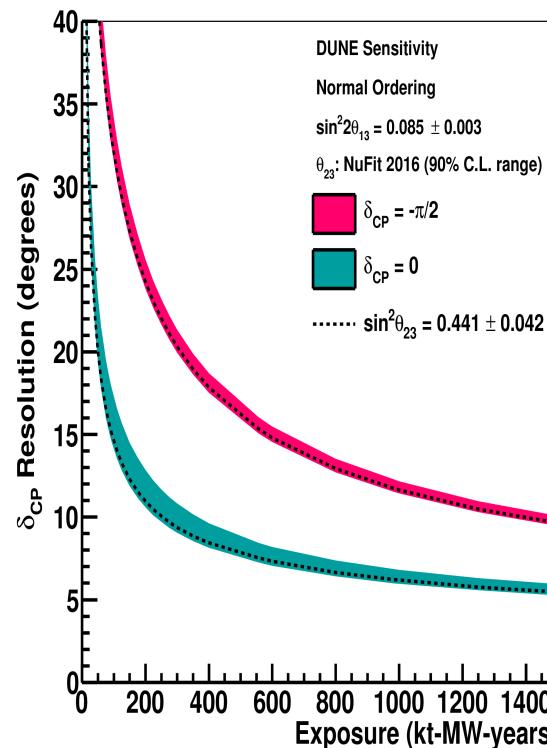
Normal hierarchy :

DUNE CDR

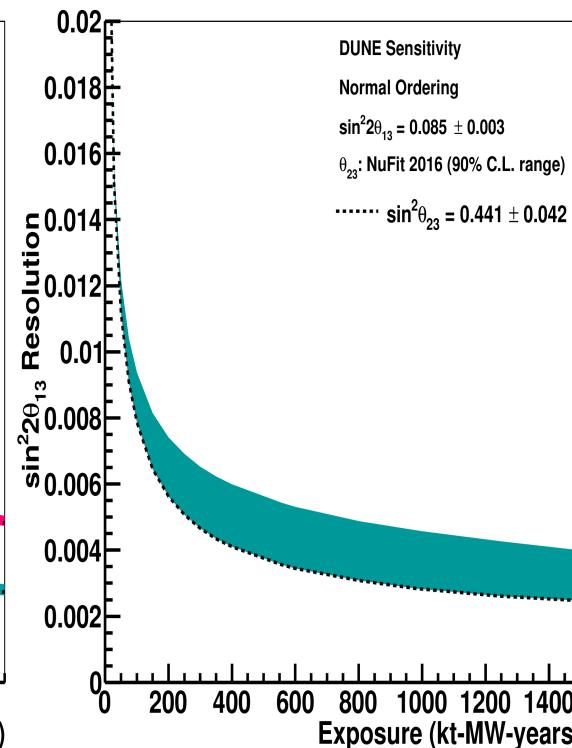
## $\Delta m^2_{31}$ resolution



## $\delta_{cp}$ resolution



## $\sin^2 2\theta_{13}$ resolution



Width of band corresponds to 90% C.L. variations in value of  $\theta_{23}$  based on NuFit 2016 fit values

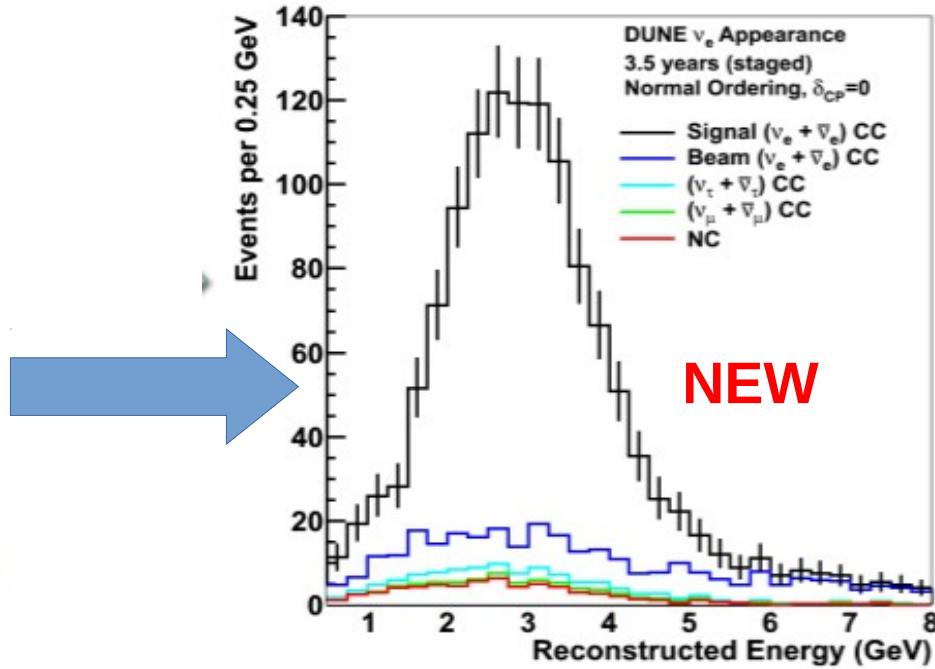
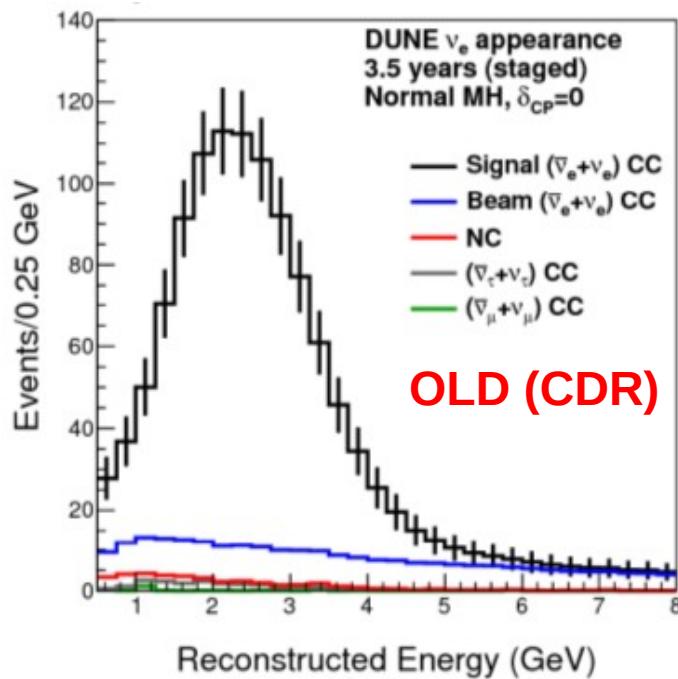
# Physics milestone

DUNE IDR arxiv:1807.10334

Physics milestone	Exposure (kt · MW · year)	Exposure (years)
1° $\theta_{23}$ resolution ( $\theta_{23} = 42^\circ$ )	29	1
CPV at $3\sigma$ ( $\delta_{\text{CP}} = -\pi/2$ )	77	3
MH at $5\sigma$ (worst point)	209	6
10° $\delta_{\text{CP}}$ resolution ( $\delta_{\text{CP}} = 0$ )	252	6.5
CPV at $5\sigma$ ( $\delta_{\text{CP}} = -\pi/2$ )	253	6.5
CPV at $5\sigma$ 50% of $\delta_{\text{CP}}$	483	9
CPV at $3\sigma$ 75% of $\delta_{\text{CP}}$	775	12.5
Reactor $\theta_{13}$ resolution ( $\sin^2 2\theta_{13} = 0.084 \pm 0.003$ )	857	13.5

# Monte-Carlo Studies (New!)

- GEANT4 beam simulation of updated beam design
- Full LArSoft Monte Carlo simulation
- Automated energy reconstruction
- Event selection using convolutional visual network (CVN)
- CDR-style systematics analysis



- Sensitivity from MC-based analysis with automated reconstruction and event selection exceeds CDR sensitivity!
- Full update planned for TDR 2019!

# Summary

- The DUNE experiment with the world's most intense neutrino beam, a deep underground site, and massive LAr detectors, will be enable to address the most fundamental questions in particle physics
- DUNE has a broad physics program
  - DUNE will determine Mass hierarchy and can measure CP violation with  $5\sigma$
  - Precision oscillation parameter measurements
  - Search for Nucleon Decay and Supernova Burst ν
  - And a rich BSM physics program both inside and outside the neutrino sector
- Far site construction and prototypes are underway this year

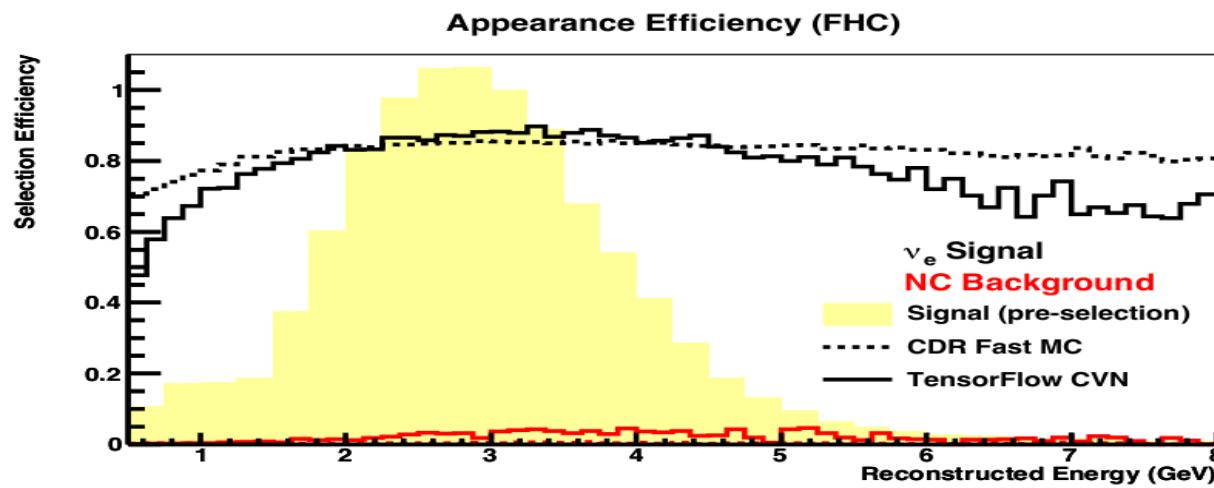


# Thank You

# EXTRAS

# CVN Event selection

- ResNet architecture implemented in TensorFlow
- DUNE MC images classified into categories  
 $\nu_e$  CC,  $\nu_\mu$  CC,  $\nu_\tau$  CC, NC
- Event selection performed by applying cuts on  $\nu_e$  CC-like and  $\nu_\mu$  CC-like CVN classifiers
- Selection efficiency :



CVN  $\nu_e$  event selection efficiency similar to that from CDR Fast MC