

# Theory of electron- and neutrino-nucleus scattering

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Noemi Rocco



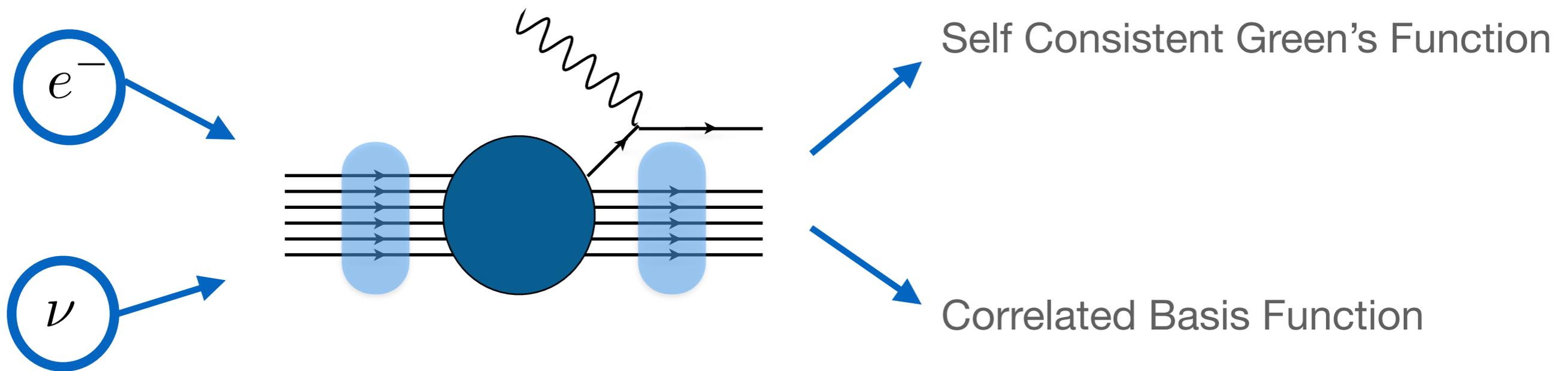
NUFACT, 'The 20th International Workshop on Neutrinos from Accelerator'

August 12-18, 2018

In Collaboration with:

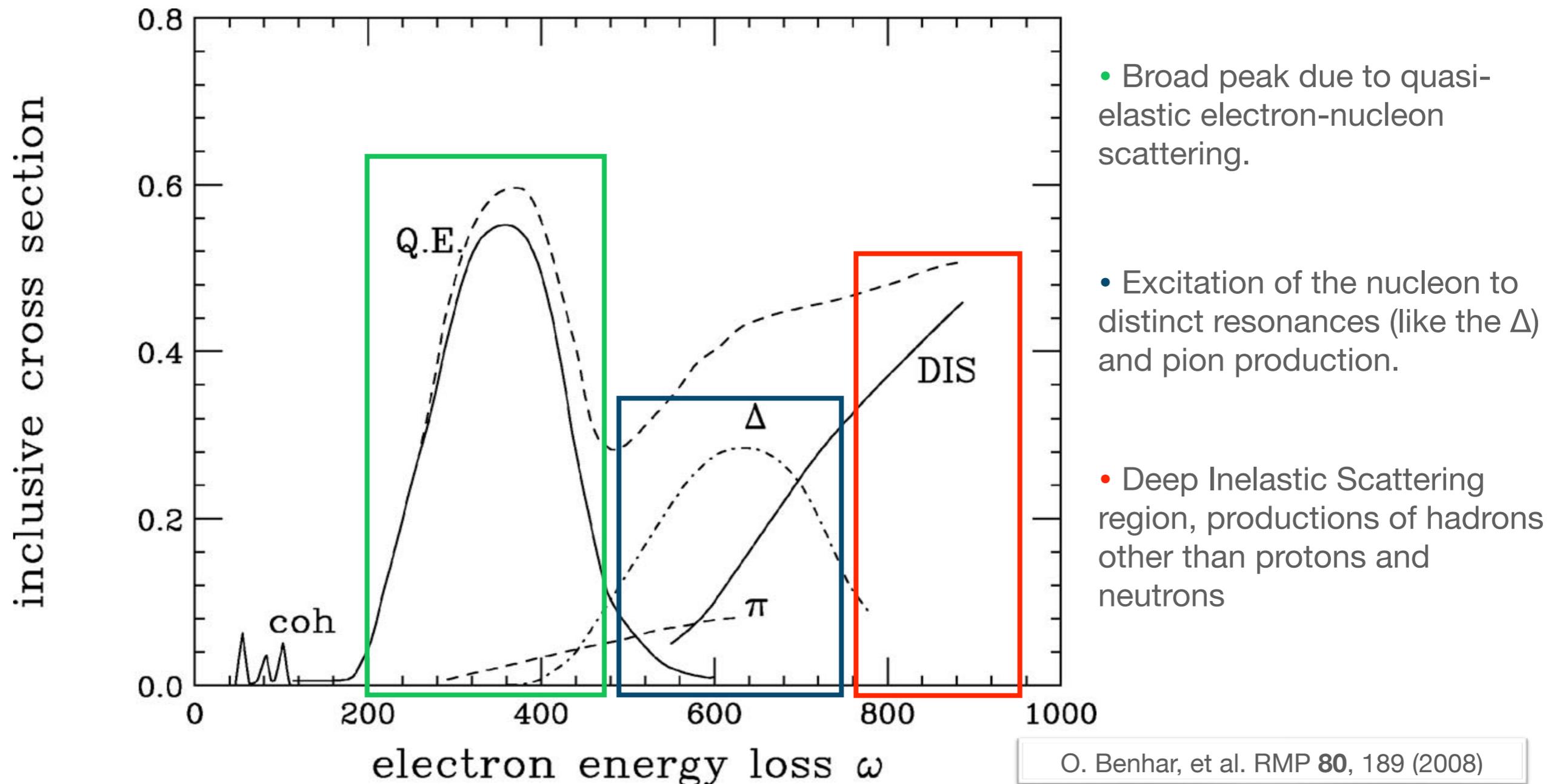
C. Barbieri (University of Surrey), O. Benhar (La Sapienza), A. Lovato (Argonne National Laboratory),  
V. Somà (Cea-Irfu)

# Outline



# Electron-nucleus scattering

Schematic representation of the inclusive cross section as a function of the energy loss.



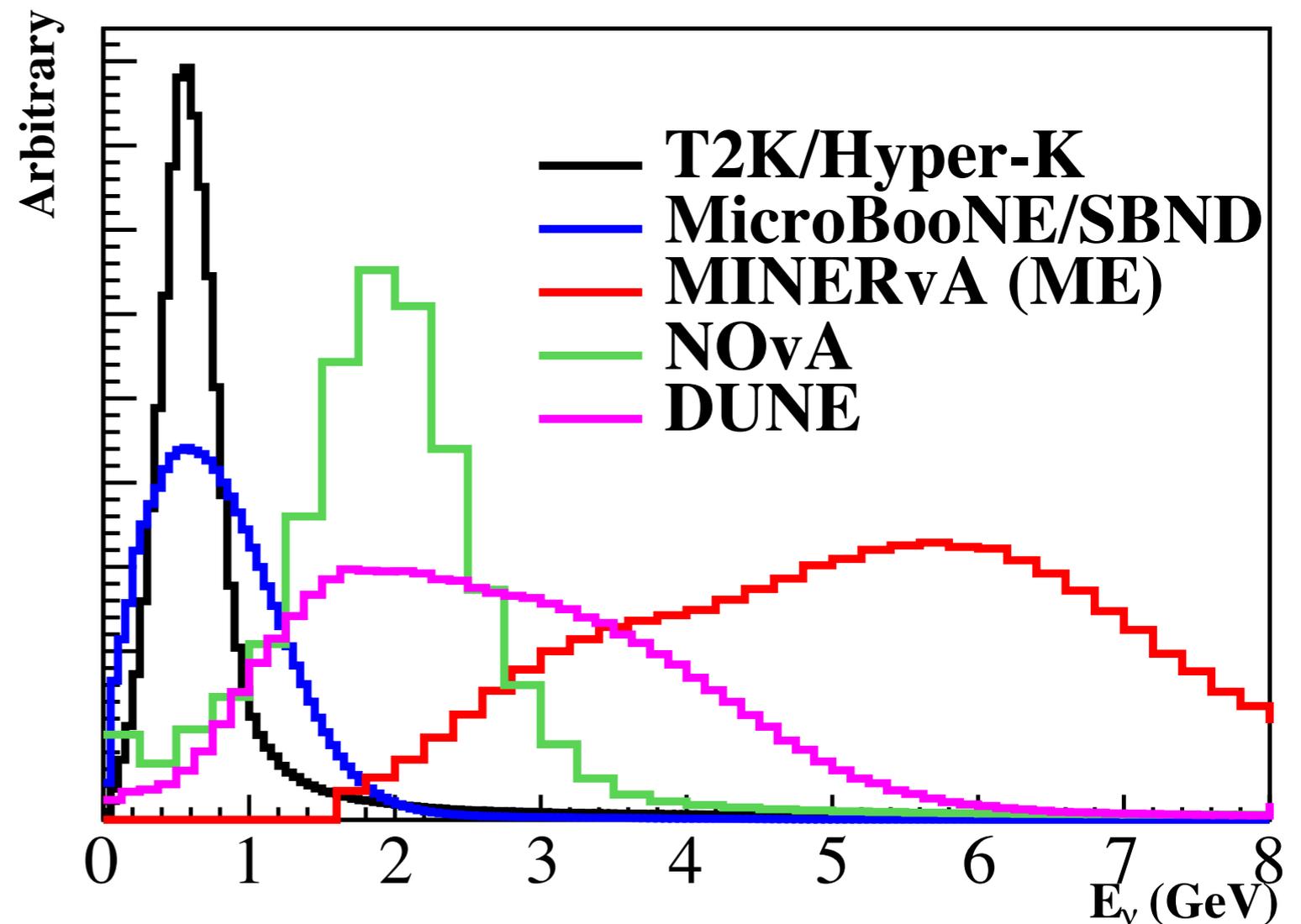
The different reaction mechanisms can be easily identified

# Neutrinos' challenge

In neutrino-oscillation experiments the use of nuclear target as detectors allows for a substantial increase of the event rate: Nuclear Theory comes into play

What are the goals to be achieved by theory?

- Understanding neutrino-nucleus interactions in the 1-10 GeV spectrum
- Accurate description of both nuclear dynamics and of the interaction vertex where relativistic effects are accounted for
- Develop an approach able to tackle all the different reaction mechanisms in a consistent way
- Describe medium mass nuclei ( $^{12}\text{C}$ ,  $^{16}\text{O}$ ) + large mass, isospin asymmetric, open shell nuclei ( $^{40}\text{Ar}$ )

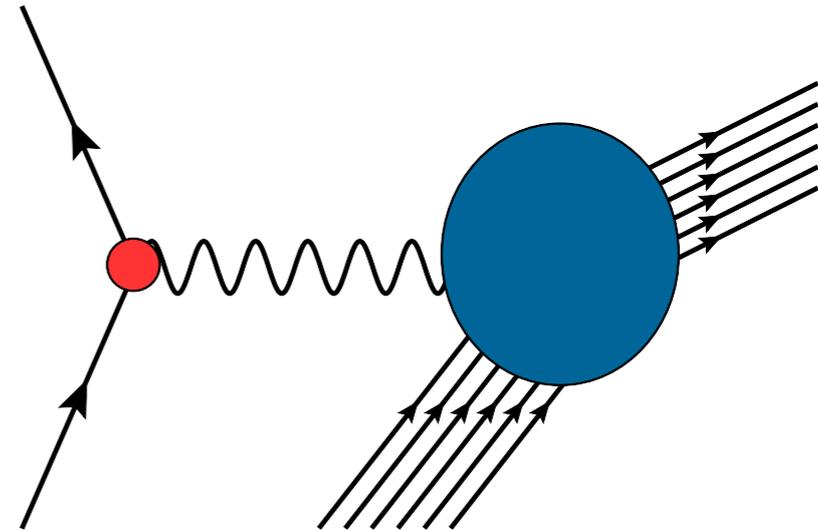


T. Katori and M. Martini, arXiv:1611.07770

# Electron-nucleus scattering

The inclusive cross section of the process in which a lepton scatters off a nucleus and the hadronic final state is undetected can be written as

$$\frac{d^2\sigma}{d\Omega_\ell dE_{\ell'}} = L_{\mu\nu} W^{\mu\nu}$$



- The Leptonic tensor is fully specified by the lepton kinematic variables. For instance, in the electron-nucleus scattering case

$$L_{\mu\nu}^{\text{EM}} = 2[k_\mu k'_\nu + k_\nu k'_\mu - g_{\mu\nu}(kk')]$$

- The Hadronic tensor contains all the information on target response

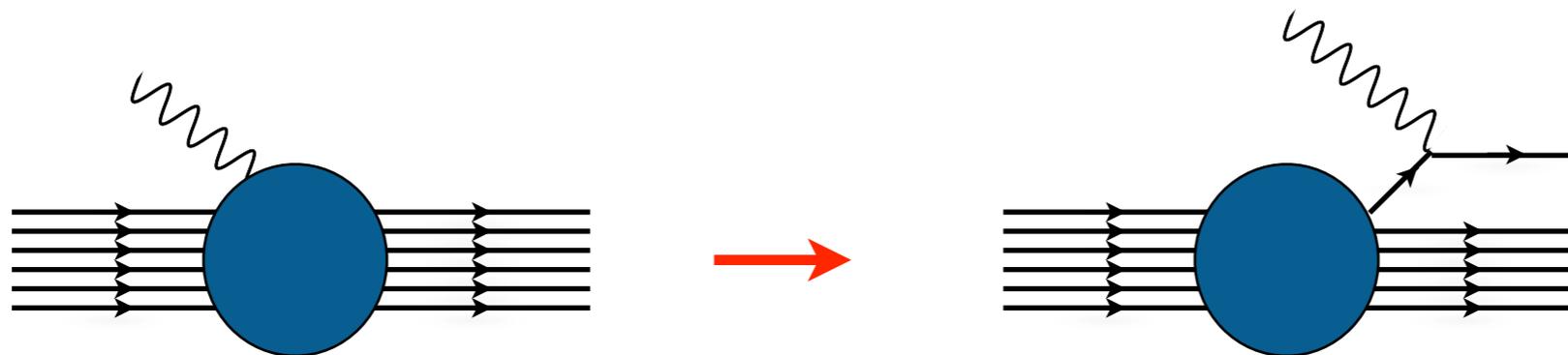
$$W^{\mu\nu} = \sum_f \langle 0 | J^{\mu\dagger}(q) | f \rangle \langle f | J^\nu(q) | 0 \rangle \delta^{(4)}(p_0 + q - p_f)$$

Non relativistic nuclear many-body theory (NMBT) provides a fully consistent theoretical approach allowing for an accurate description of  $|0\rangle$ , independent of momentum transfer.

# The Impulse Approximation

- For sufficiently large values of  $|\mathbf{q}|$ , the IA can be applied under the assumptions

$$|f\rangle \longrightarrow |p\rangle \otimes |f\rangle_{A-1} \qquad J_\alpha = \sum_i j_\alpha^i$$



- The matrix element of the current can be written in the factorized form

$$\langle 0 | J_\alpha | f \rangle \longrightarrow \sum_k \langle 0 | [ |k\rangle \otimes |f\rangle_{A-1} ] \langle k | \sum_i j_\alpha^i | p \rangle$$

- The nuclear cross section is given in terms of the one describing the interaction with individual bound nucleons

$$d\sigma_A = \int dE d^3k d\sigma_N P(\mathbf{k}, E)$$

- The intrinsic properties of the nucleus are described by the hole spectral function

# The one-body hole Spectral Function

- The nuclear matrix element can be rewritten in terms of the transition amplitude

$$[\langle \psi_f^{A-1} | \otimes \langle k | ] | \psi_0^A \rangle = \sum_{\alpha} \mathcal{Y}_{f,\alpha} \tilde{\Phi}_{\alpha}(\mathbf{k}) = \sum_{\alpha} \tilde{\Phi}_{\alpha}(\mathbf{k}) \langle \psi_f^{A-1} | a_{\alpha} | \psi_0^A \rangle ,$$

- The Spectral Function gives the probability distribution of removing a nucleon with momentum  $\mathbf{k}$ , leaving the spectator system with an excitation energy  $E$

$$\begin{aligned} P_h(\mathbf{k}, E) &= \sum_f |\langle \psi_0^A | [ | \mathbf{k} \rangle \otimes | \psi_f^{A-1} \rangle ]|^2 \delta(E + E_f^{A-1} - E_0^A) \\ &= \frac{1}{\pi} \sum_{\alpha\beta} \tilde{\Phi}_{\beta}^*(\mathbf{k}) \tilde{\Phi}_{\alpha}(\mathbf{k}) \text{Im} \langle \psi_0^A | a_{\beta}^{\dagger} \frac{1}{E + (H - E_0^A) - i\epsilon} a_{\alpha} | \psi_0^A \rangle . \end{aligned}$$

- The two points Green's Function describes nucleon propagation in the nuclear medium

$$G_{h,\alpha\beta}(E) = \langle \psi_0^A | a_{\beta}^{\dagger} \frac{1}{E + (H - E_0^A) - i\epsilon} a_{\alpha} | \psi_0^A \rangle$$

# Self Consistent Green's Function

- The one-body Green's function is completely determined by solving the Dyson equation

$$G_{\alpha\beta}(E) = G_{\alpha\beta}^0(E) + \sum_{\gamma\delta} G_{\alpha\gamma}^0 \Sigma_{\gamma\delta}^*(E) G_{\delta\beta}(E) \rightarrow \text{Correlated propagator}$$

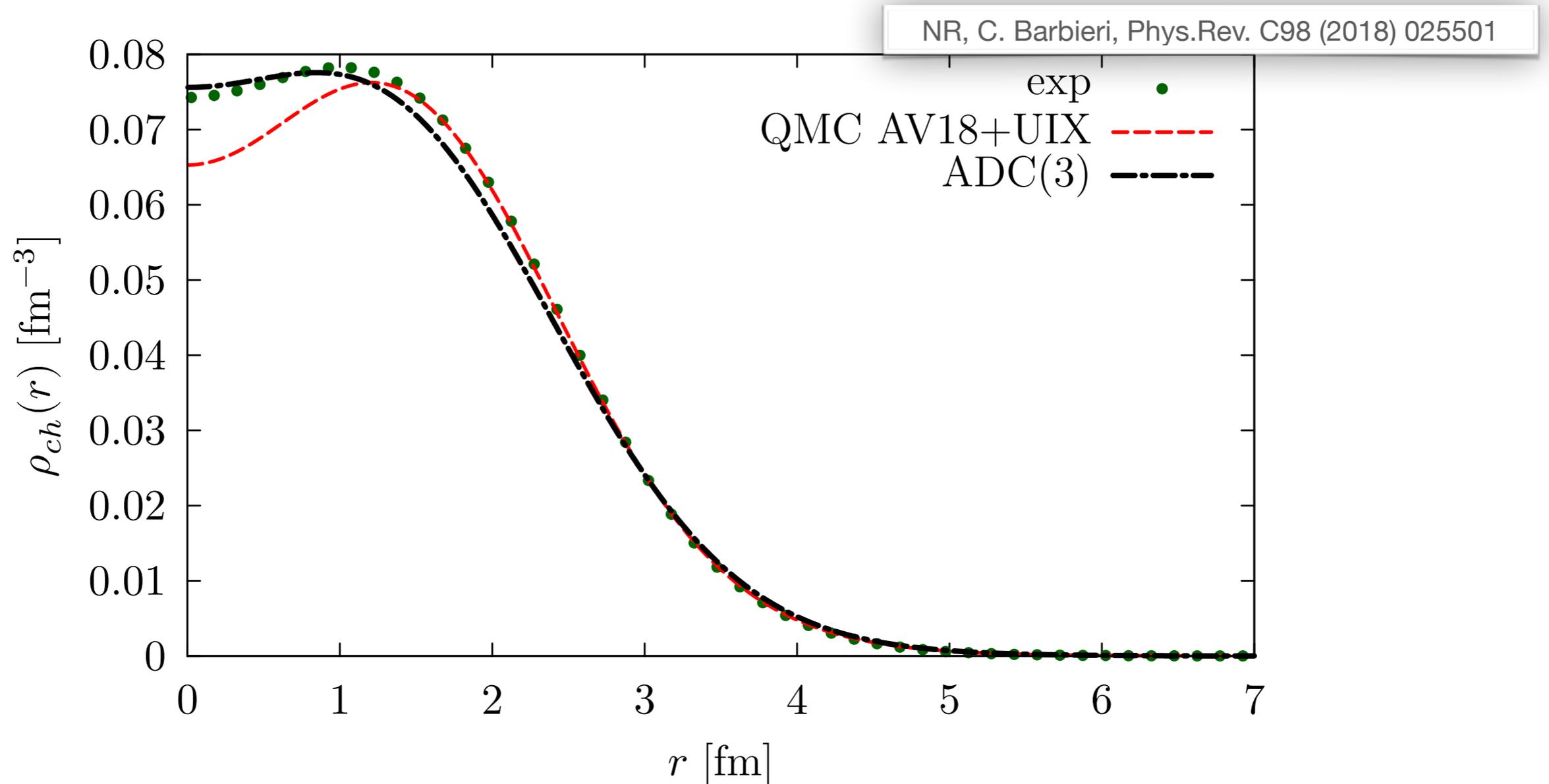
↓ initial reference state, HF
↓ Self energy: encoding nuclear medium effects on the particle propagation

- $\Sigma^* = \Sigma^*[G(E)]$ , an iterative procedure is required to solve the Dyson equation self-consistently
- The self-energy is systematically calculated in a non-perturbative fashion within the Algebraic Diagrammatic Construction (ADC). The saturating chiral interaction at NNLO (NNLO<sub>sat</sub>) is used.
- ❖ V. Somà et al, Phys.Rev. C87 (2013) no.1, 011303 : generalization of this formalism within Gorkov theory allows to describe open-shell nuclei such as Ar<sup>40</sup>, Ti<sup>48</sup> ...
- How can we test this ab initio approach? Static nuclear observables can be used to check the goodness (understand the limitations) of the model

# Benchmark the nuclear model: $^{16}\text{O}$ charge density distribution

- The nuclear charge density distribution is the Fourier transform of the charge elastic form factor:

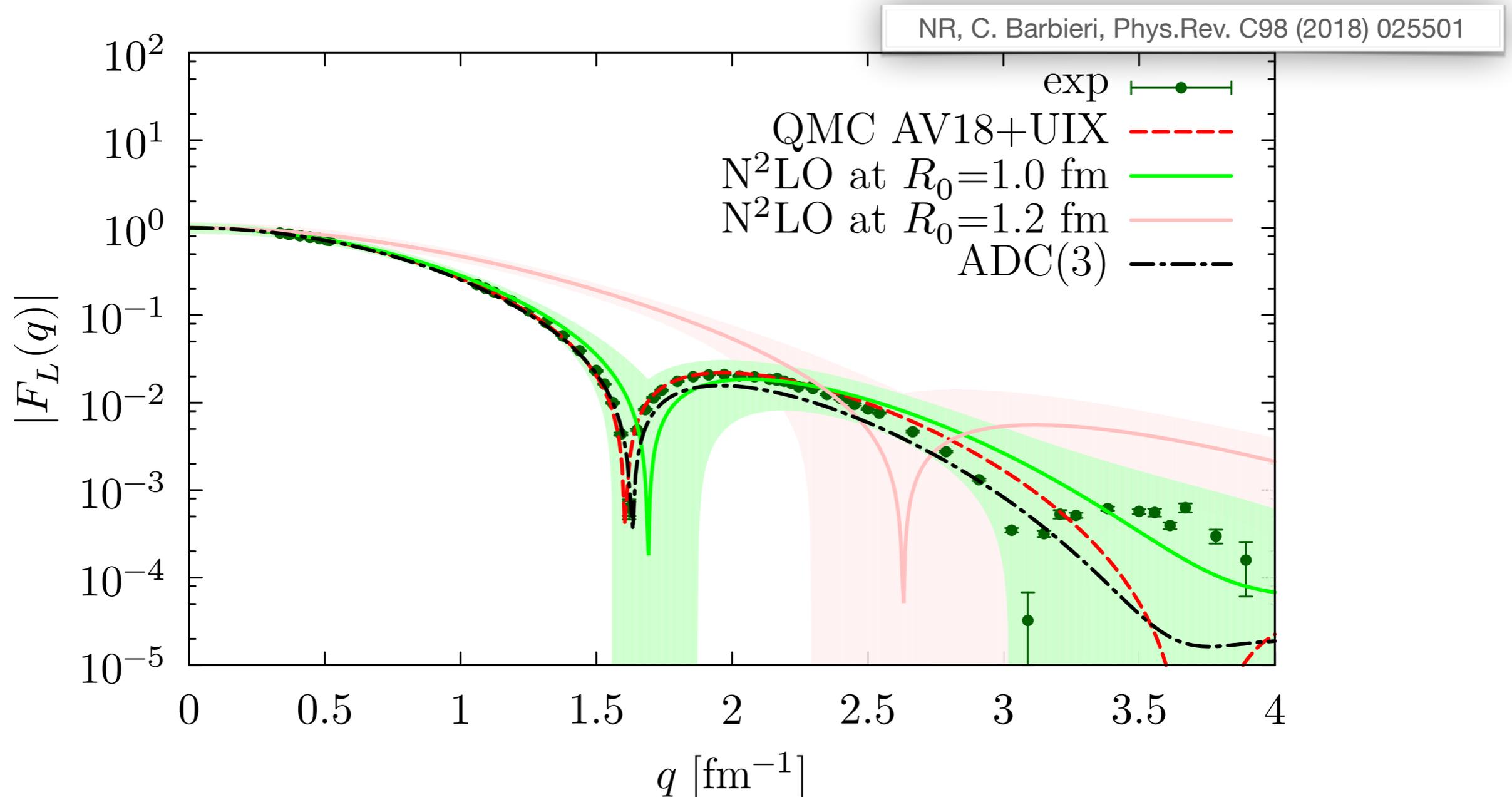
$$\rho_{ch}(r') = \int \frac{d^3q}{(2\pi)^3} e^{-i\mathbf{q}\cdot\mathbf{r}'} F_L(\mathbf{q})$$



- Nice agreement between the SCGF and QMC calculations
- SCGF results agree with experiments (corroborates the goodness of  $\text{NNLO}_{\text{sat}}$ )

# Benchmark the nuclear model: $^{16}\text{O}$ elastic form factor

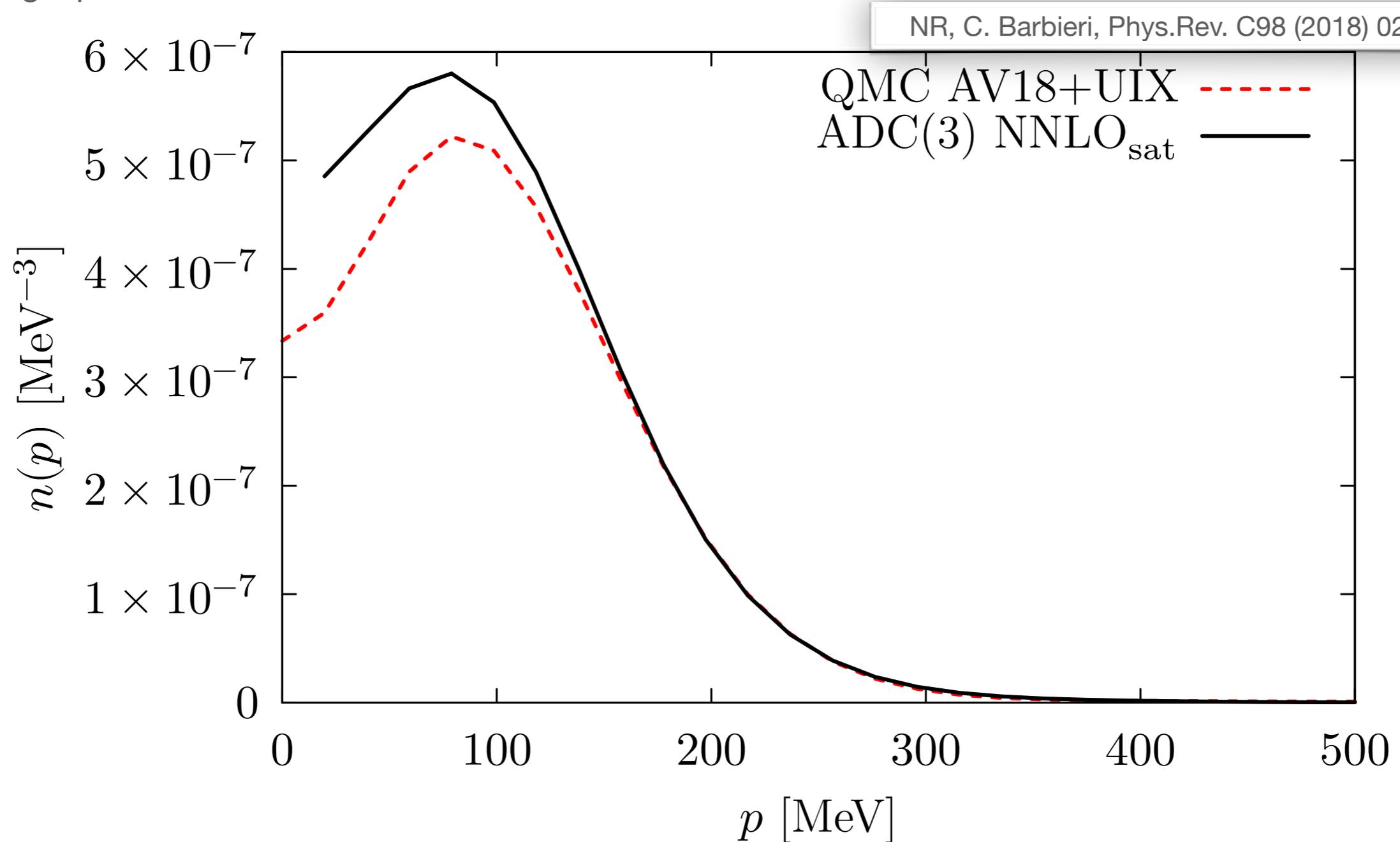
- The charge elastic form factor is given by 
$$F_L(\mathbf{q}) = \frac{1}{Z} \frac{G_E^p(Q_{el}^2) \tilde{\rho}_p(q) + G_E^n(Q_{el}^2) \tilde{\rho}_n(q)}{\sqrt{1 + Q_{el}^2/(4m^2)}}$$
,



❖ The N<sup>2</sup>LO results are taken from D. Lonardonì, et. al, [Phys. Rev. C97, 044318 \(2018\)](#) where two different coordinate-space cutoffs have been adopted

# Benchmark the nuclear model: $^{16}\text{O}$ momentum distribution

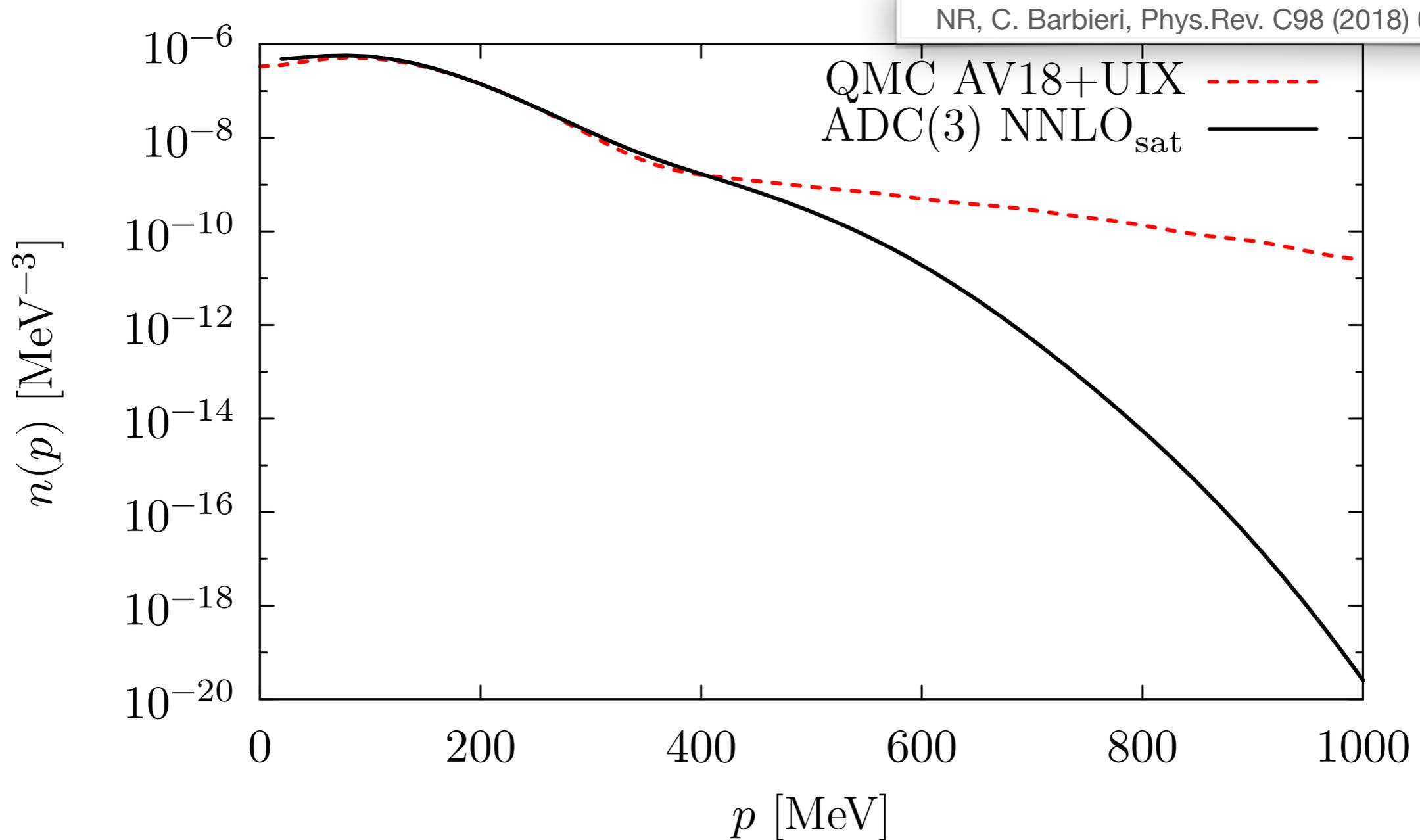
- Single particle momentum distribution of  $^{16}\text{O}$



- The momentum distribution reflects the fact that NNLO<sub>sat</sub> is softer the AV18+UIX.

# Benchmark the nuclear model: $^{16}\text{O}$ momentum distribution

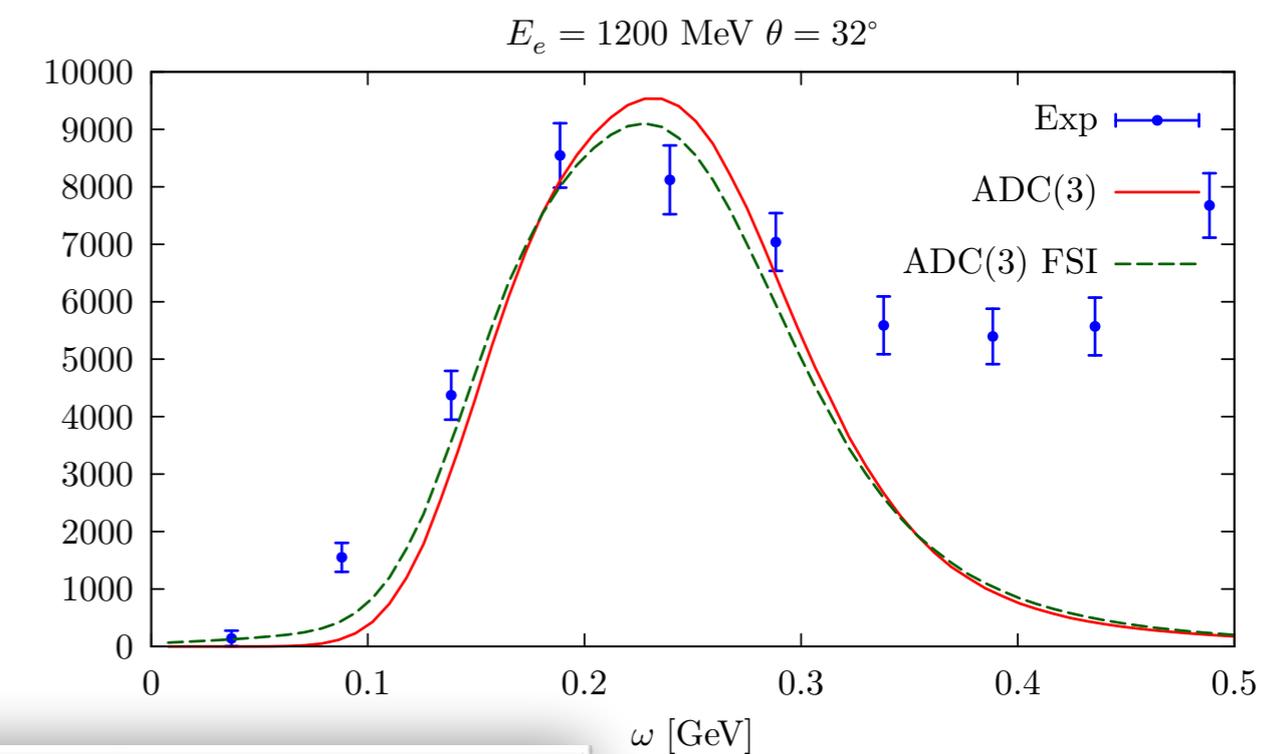
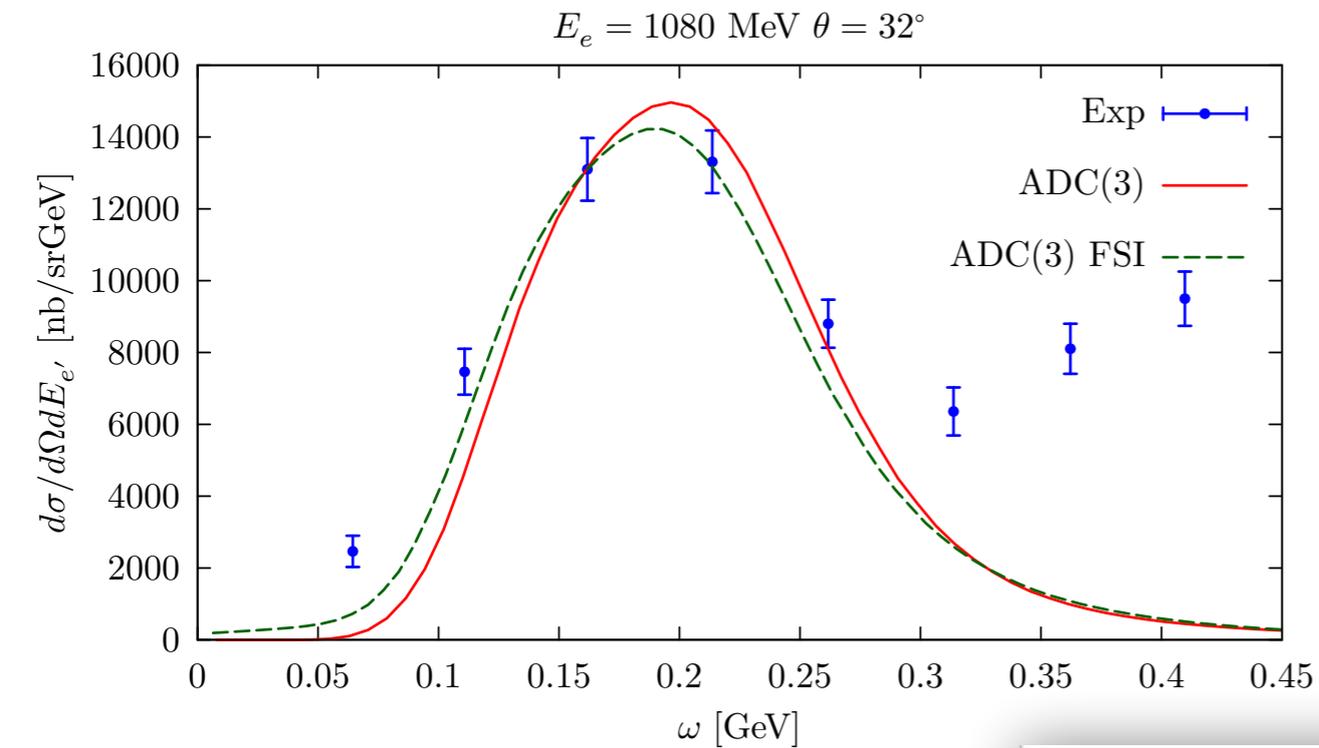
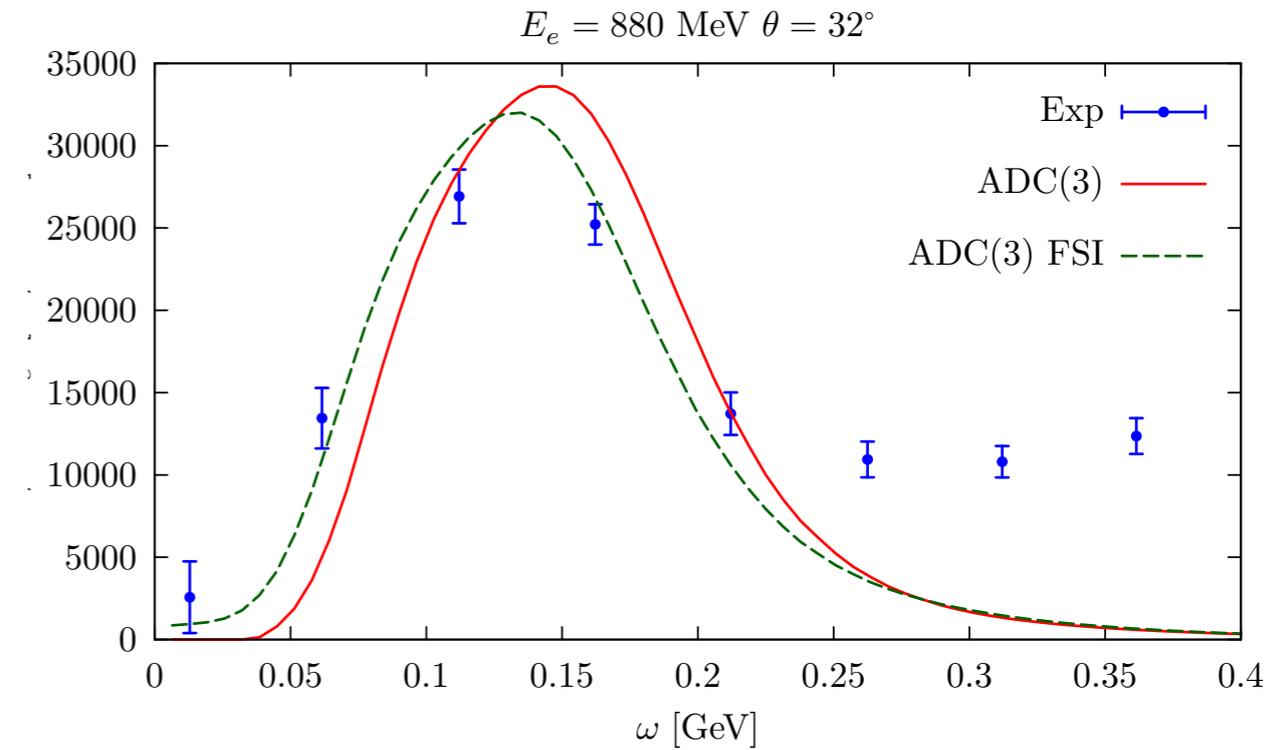
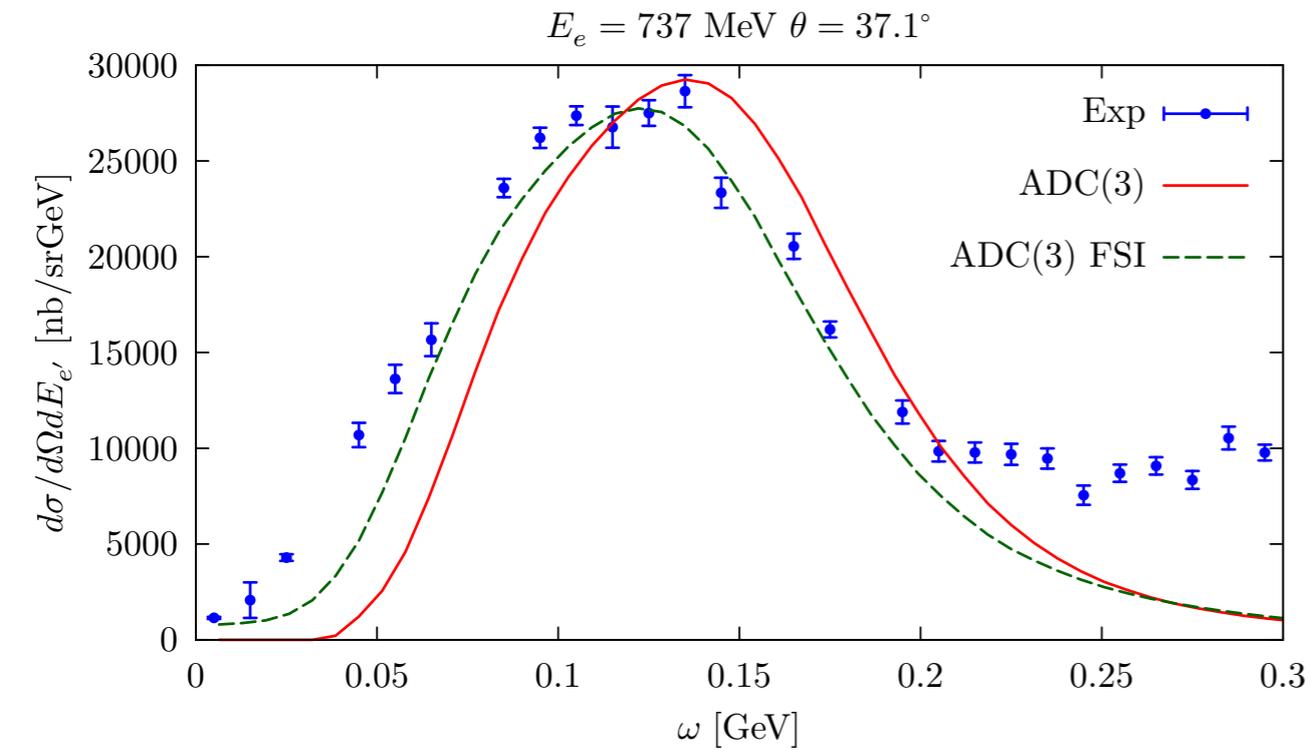
- Single particle momentum distribution of  $^{16}\text{O}$ , log scale



- The momentum distribution reflects the fact that NNLO<sub>sat</sub> is softer than AV18+UIX.



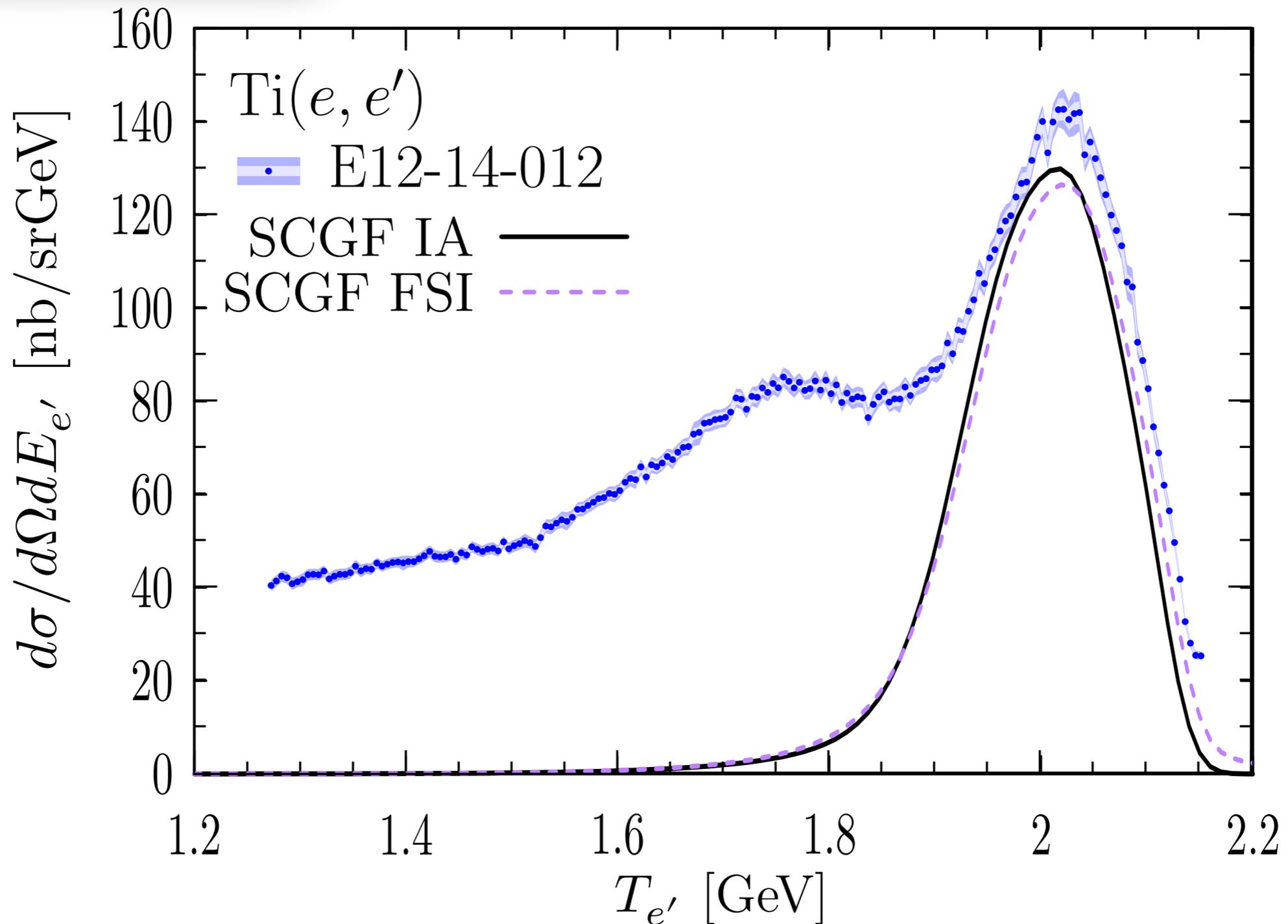
# $^{16}\text{O}(e,e')$ cross sections within the SCGF approach



# Preliminary results for $^{48}\text{Ti}(e,e')$ cross sections

C. Barbieri, NR, V. Somà, in Preparation

$$E_e = 2.2 \text{ GeV } \theta = 15.5^\circ$$



# The CBF one-body Spectral Function of finite nuclei

- $^{12}\text{C}$  Spectral Function obtained within CBF and using the Local Density Approximation

$$P_{LDA}(\mathbf{k}, E) = P_{MF}(\mathbf{k}, E) + P_{corr}(\mathbf{k}, E) \rightarrow \int d^3r P_{corr}^{NM}(\mathbf{k}, E; \rho = \rho_A(r))$$

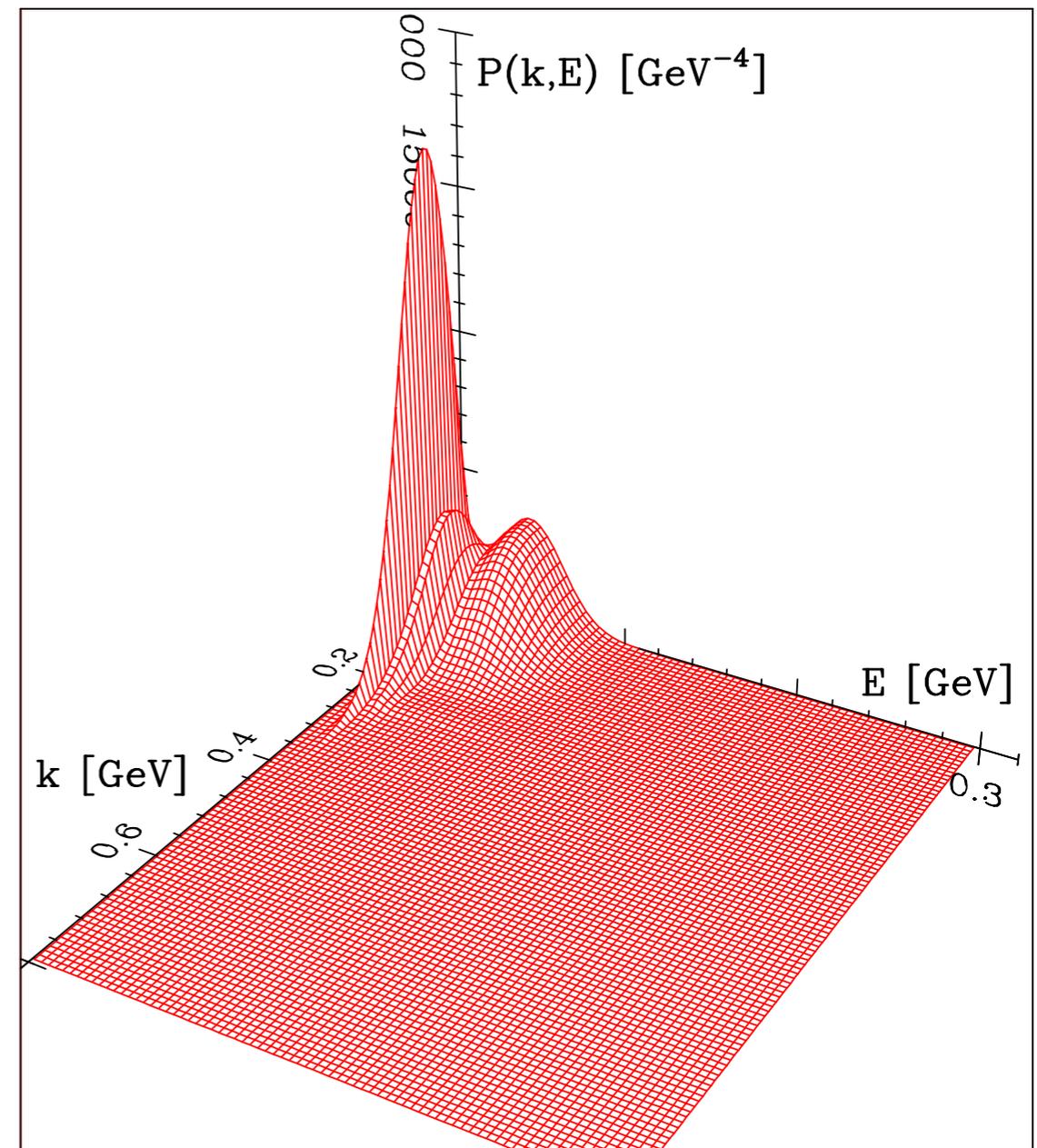
$$\sum_n Z_n |\phi_n(\mathbf{k})|^2 F_n(E - E_n)$$

- $Z_n$  : spectroscopic factor extracted from (e, e'p)
- $F_n$  : finite width function accounting for residual interactions not included in a MF picture

- The one-body Spectral function of nuclear matter:

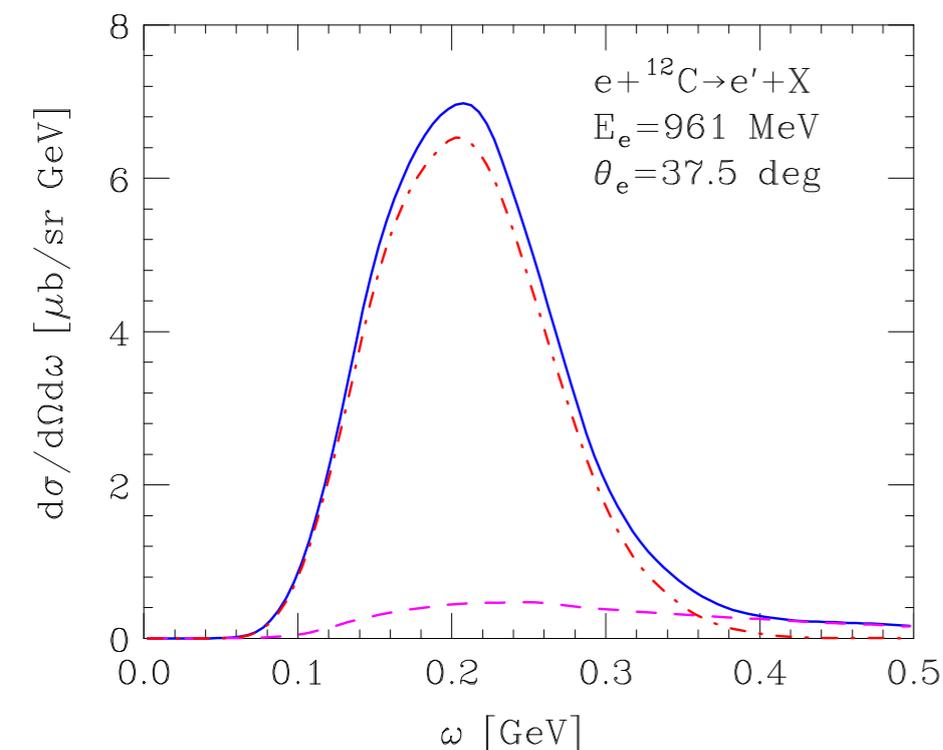
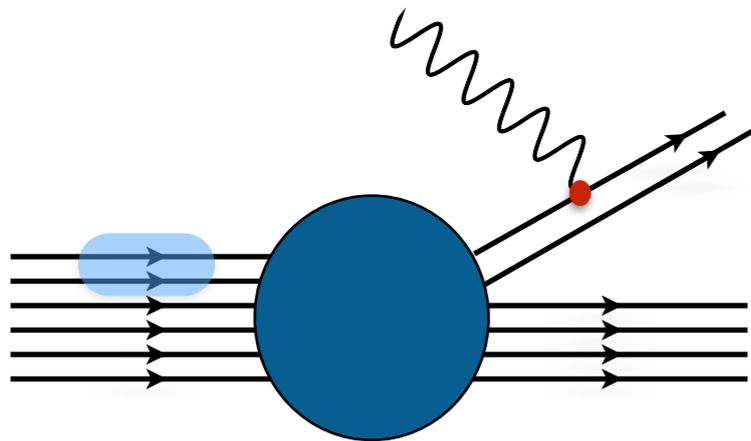
$$H = \sum_i \frac{\mathbf{p}_i^2}{2m} + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk}$$

Argonne v18
UIX, IL7



# Production of two particle-two hole (2p2h) states

- Initial State Correlations



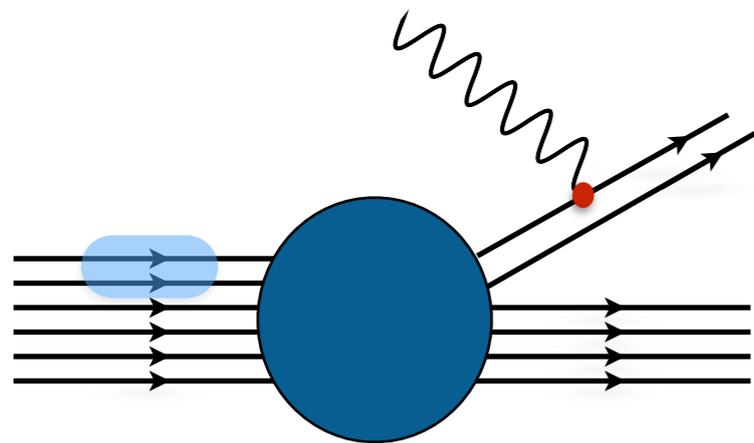
- $P_{\text{corr}}(\mathbf{k}, E)$  accounts for the presence of strongly correlated pairs. Its contribution to the cross section is clearly visible: appearance of a tail in the large energy transfer region

- The Impulse Approximation is adopted

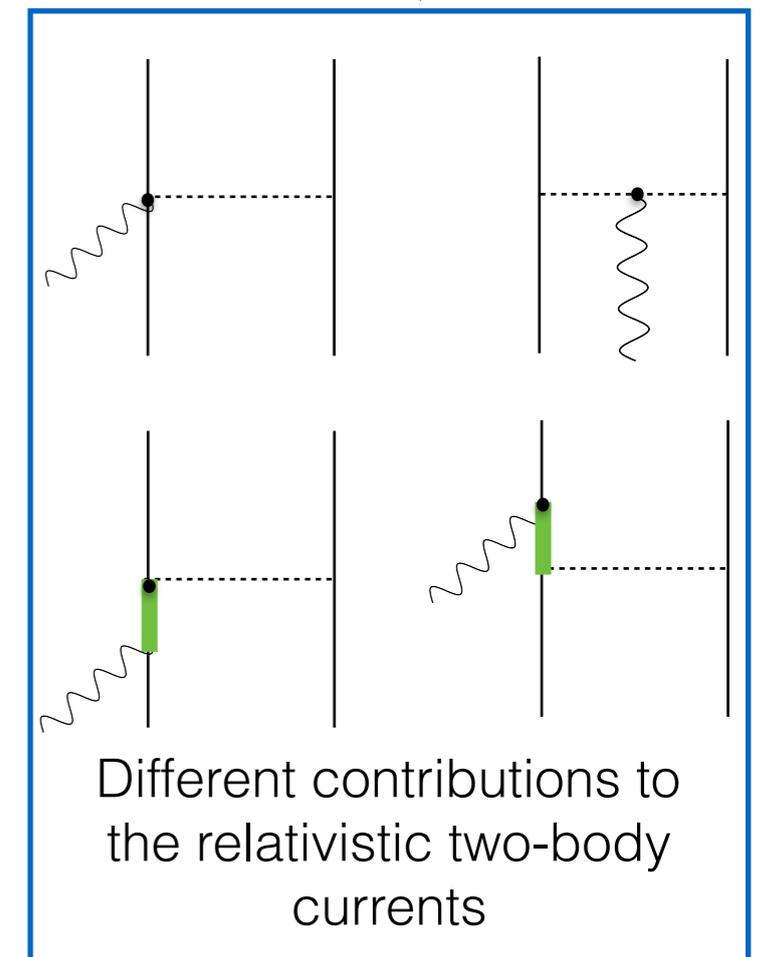
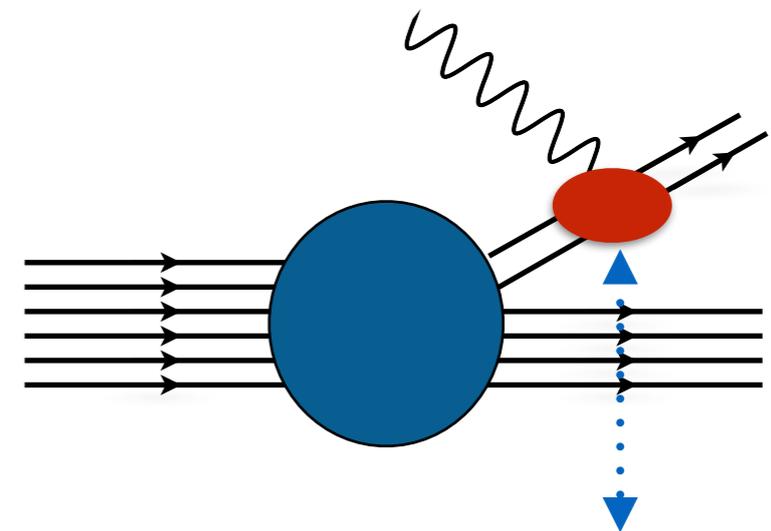
$$d\sigma_A = \int dE d^3k d\sigma_N P(\mathbf{k}, E)$$

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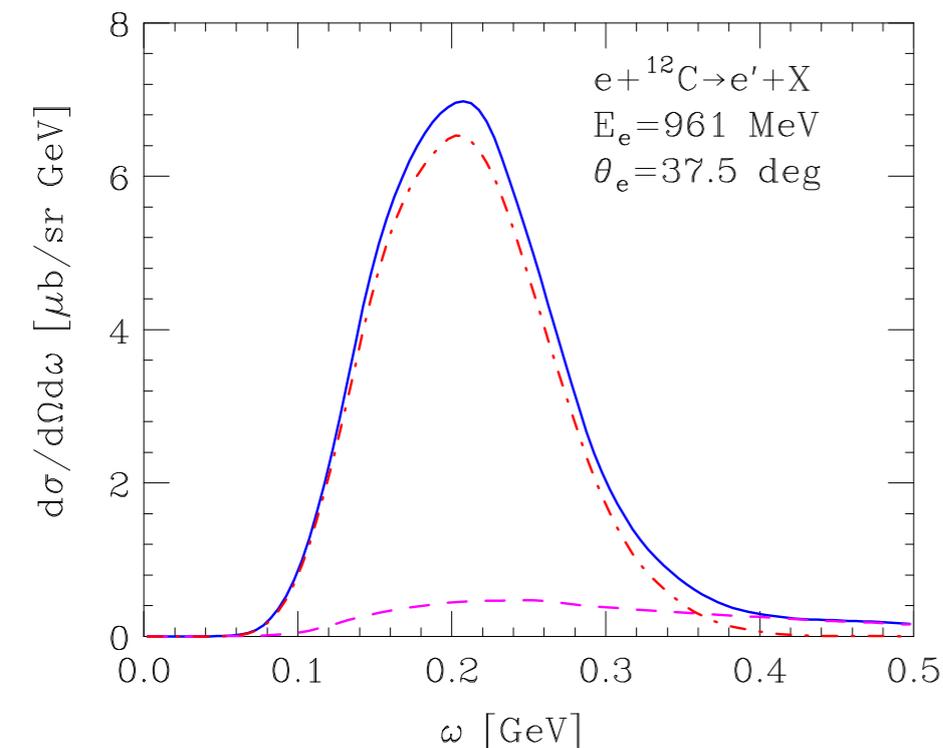
- Initial State Correlations



- Meson Exchange currents

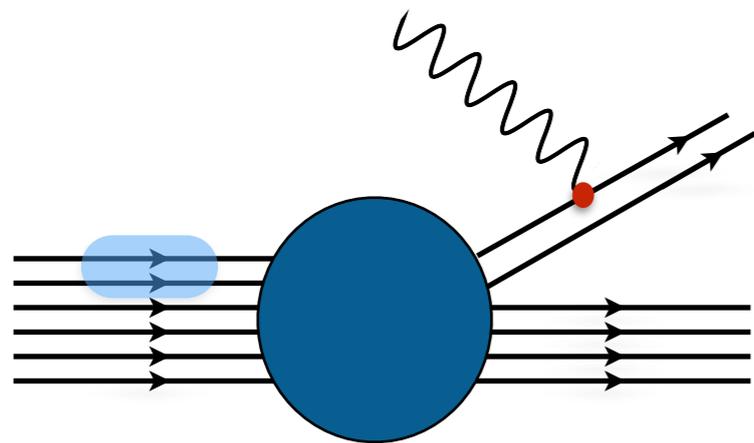


- $P_{\text{corr}}(\mathbf{k}, E)$  accounts for the presence of strongly correlated pairs. Its contribution to the cross section is clearly visible: appearance of a tail in the large energy transfer region

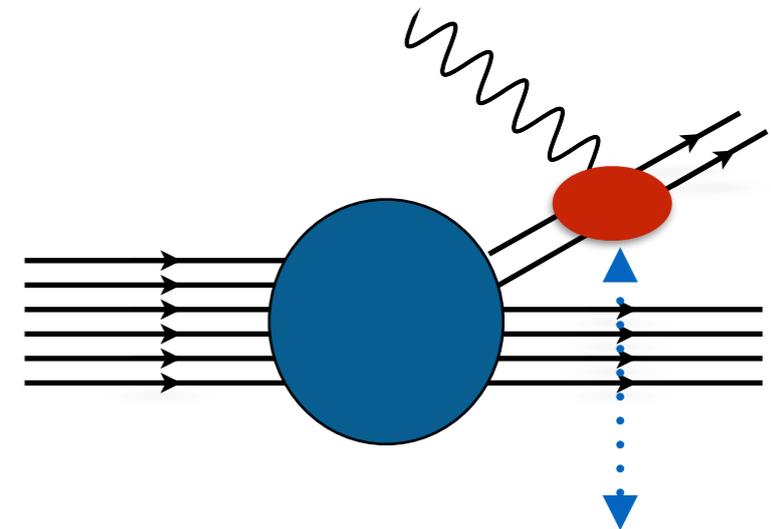


# Production of two particle-two hole (2p2h) states

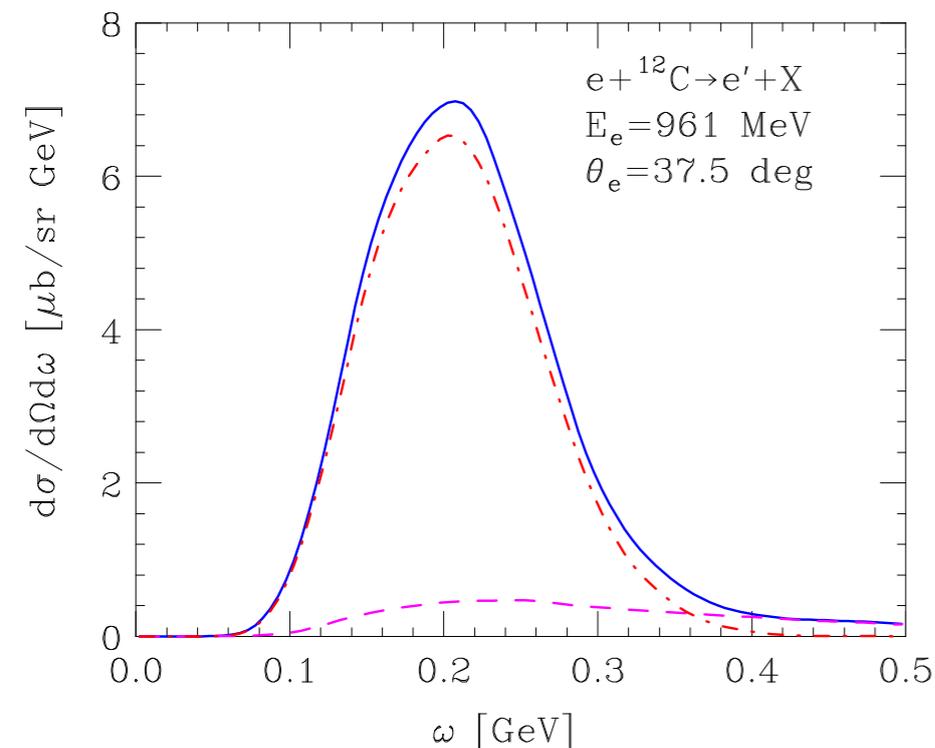
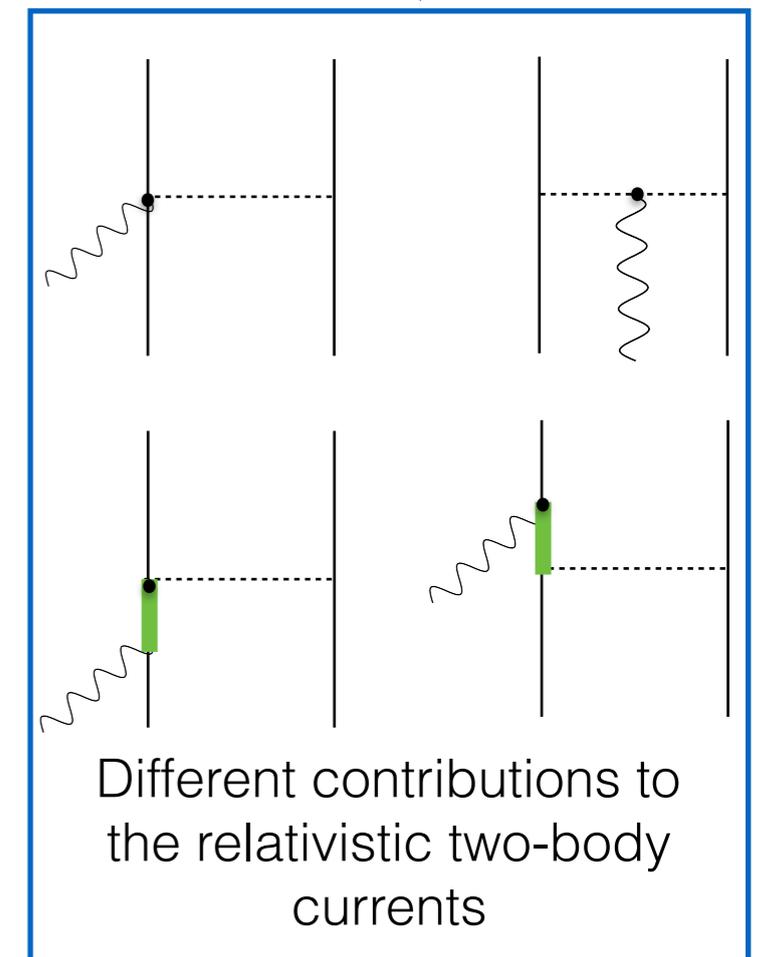
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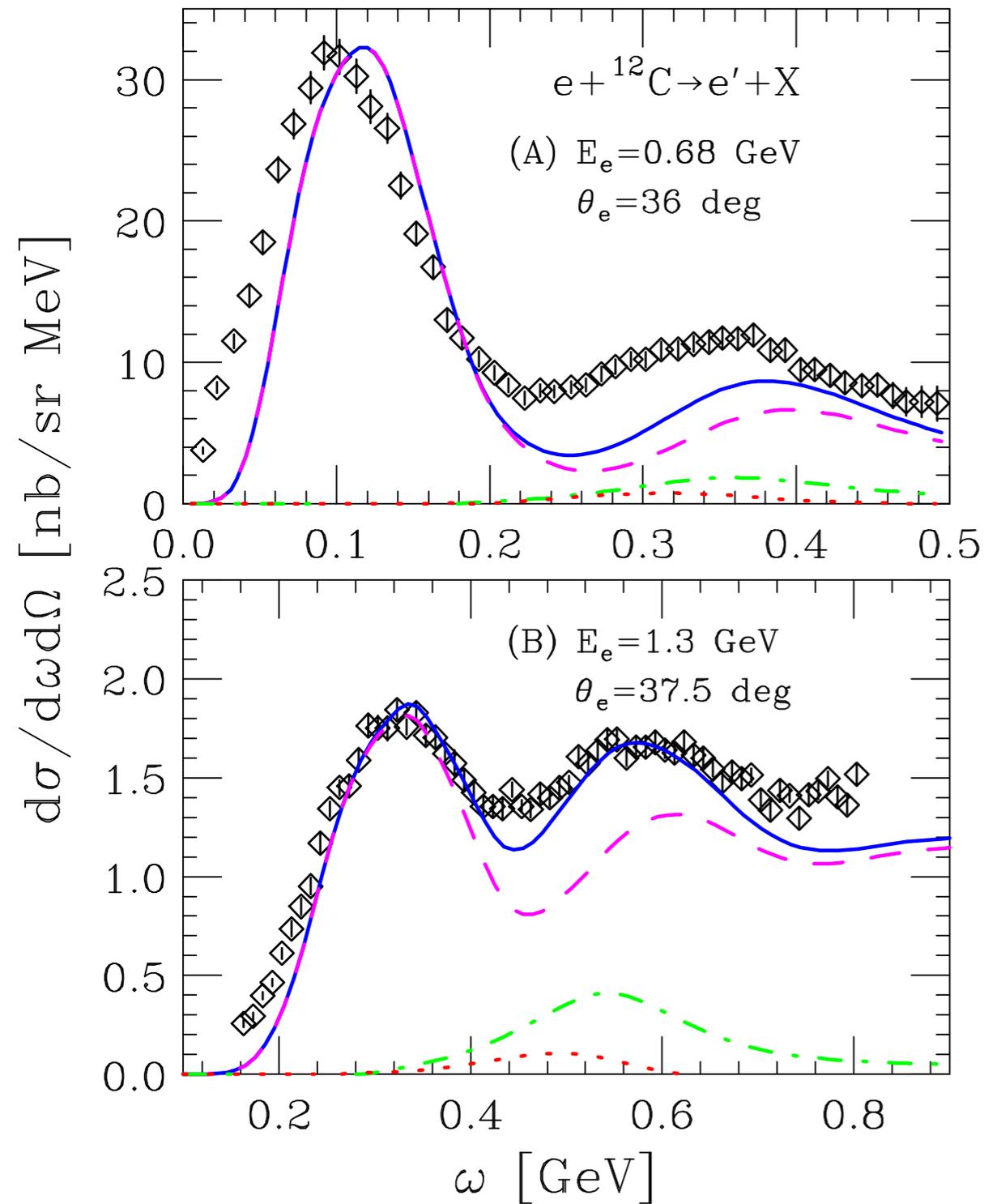


- The Impulse Approximation has been generalized:

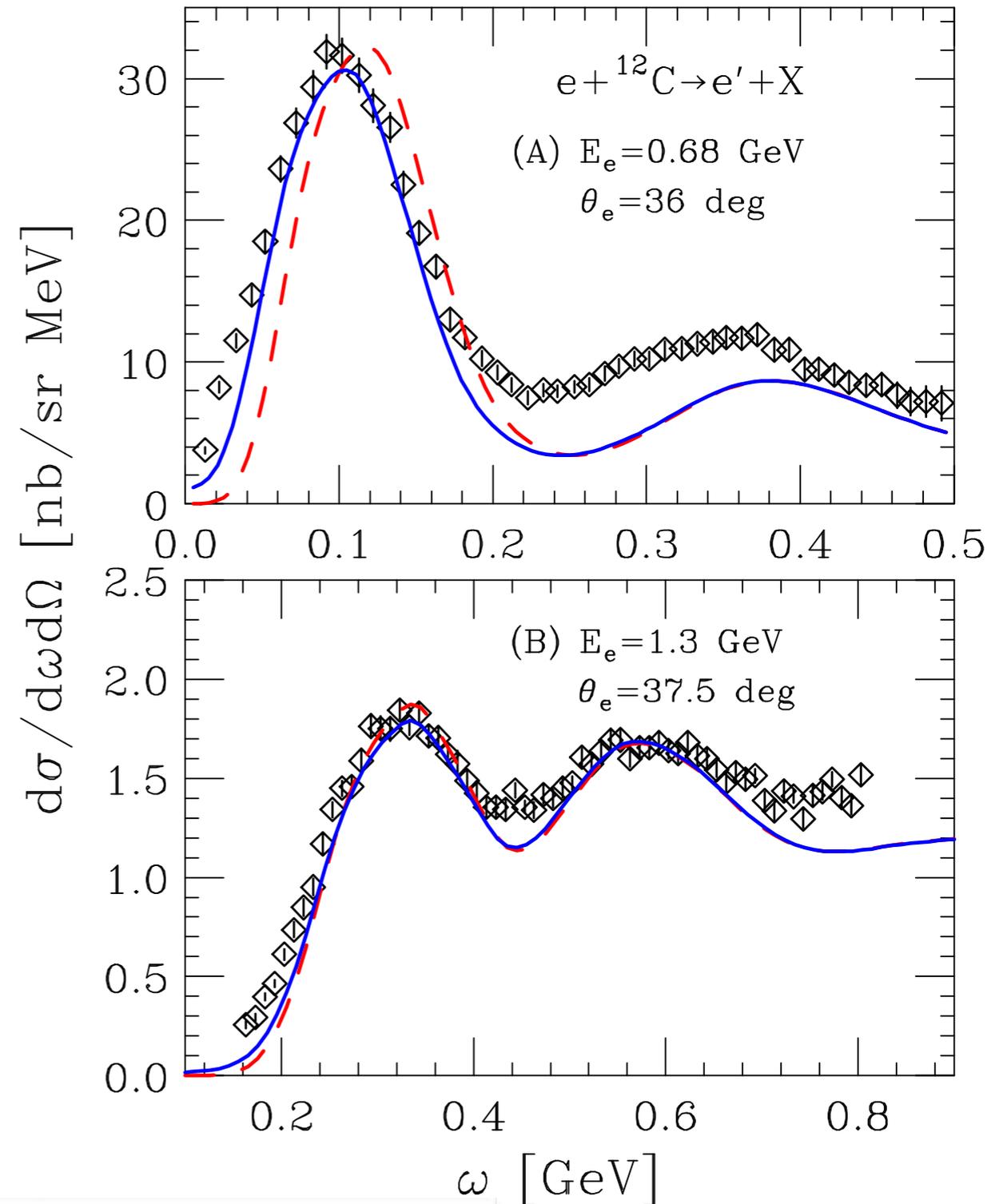
$$W_{2p2h}^{\mu\nu} = W_{ISC}^{\mu\nu} + W_{MEC}^{\mu\nu} + W_{int}^{\mu\nu}$$

# Results for $^{12}\text{C}(e,e')$ cross sections

- Separate contributions: IA



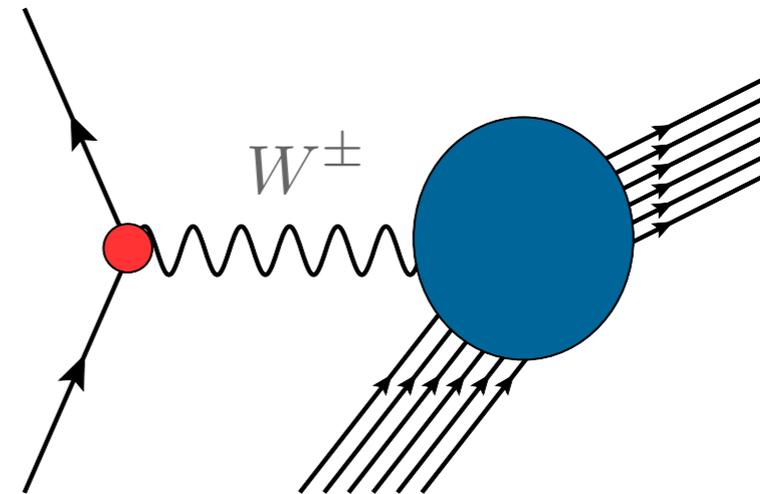
- Including FSI in the QE region



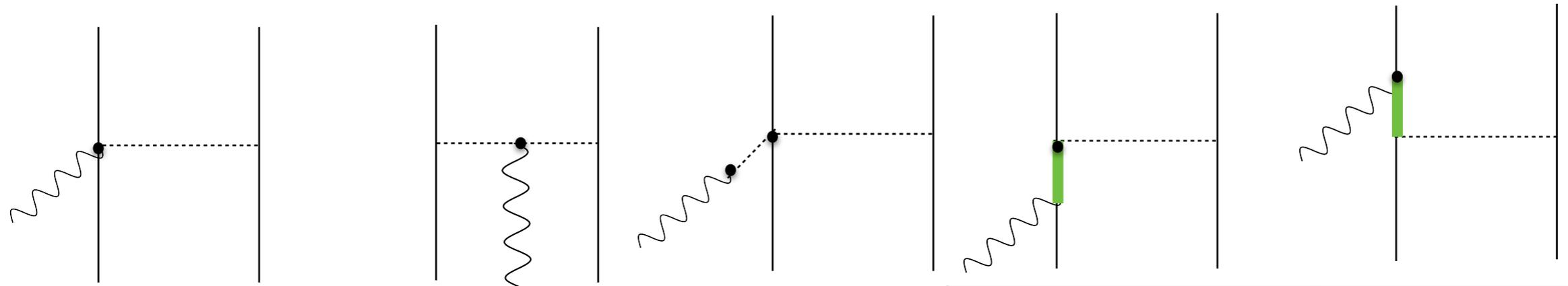
# (Anti)neutrino -<sup>12</sup>C scattering cross sections

The inclusive cross section of the process in which a neutrino or antineutrino scatters off a nucleus can be written in terms of five response functions

$$\frac{d\sigma}{dE_{\ell'} d\Omega_{\ell}} \propto [v_{00}R_{00} + v_{zz}R_{zz} - v_{0z}R_{0z} + v_{xx}R_{xx} \mp v_{xy}R_{xy}]$$



- We generalized the SF formalism to include vector and axial vector relativistic two-body currents

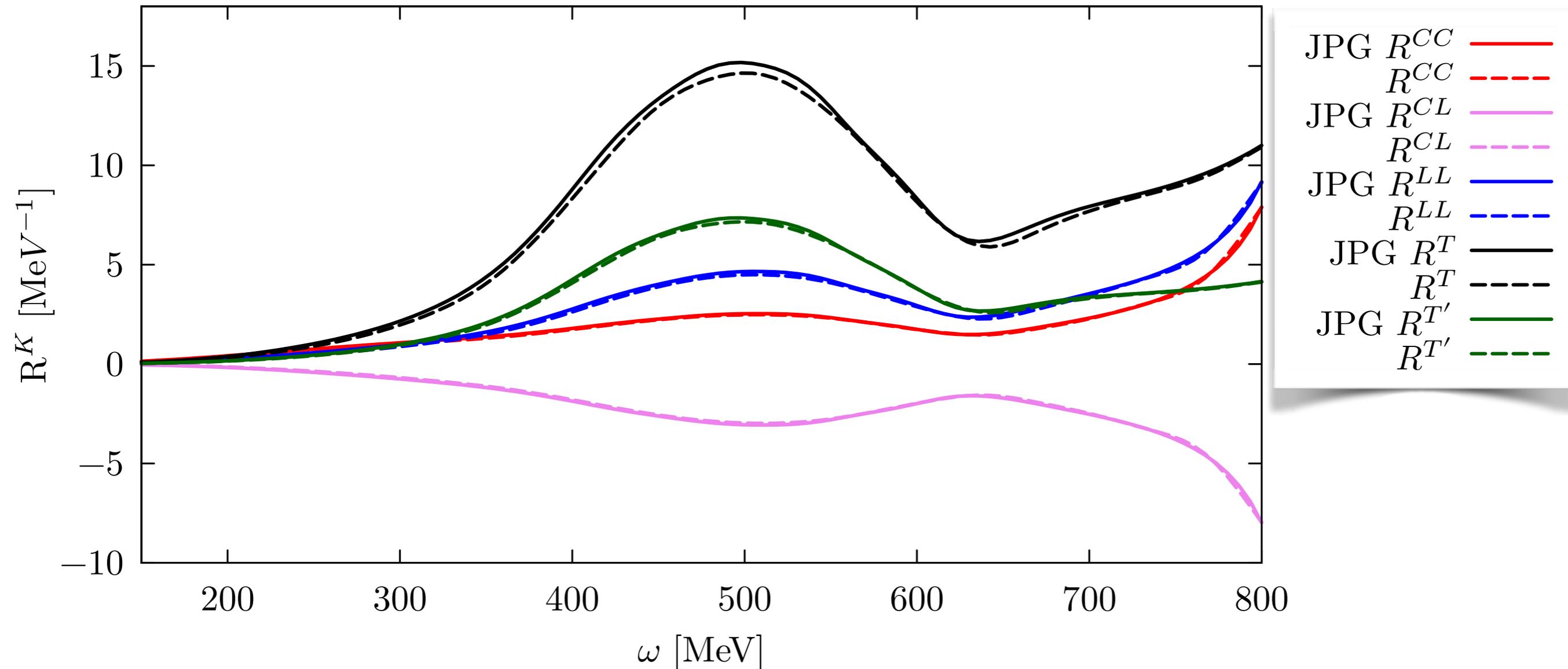


E. Hernandez et al. PRD 76, 033005 (2007)

- The calculation of the MEC current matrix is carried out automatically
- 9d-integral + use of realistic SFs implies dealing with a broader phase space (see W. Van Orden's talk) : we developed an highly parallel Monte Carlo code, importance sampling procedure

# Two-body CC response functions of $^{12}\text{C}$

$q=800$  MeV



• Comparison of the five CC response functions of  $^{12}\text{C}$  with the results of [I. Ruiz Simo, et. al, Journal of Phys. G 44, no. 6 \(2017\)](#).

• In this case, we approximated the two-body spectral function with that of the global relativistic Fermi gas model

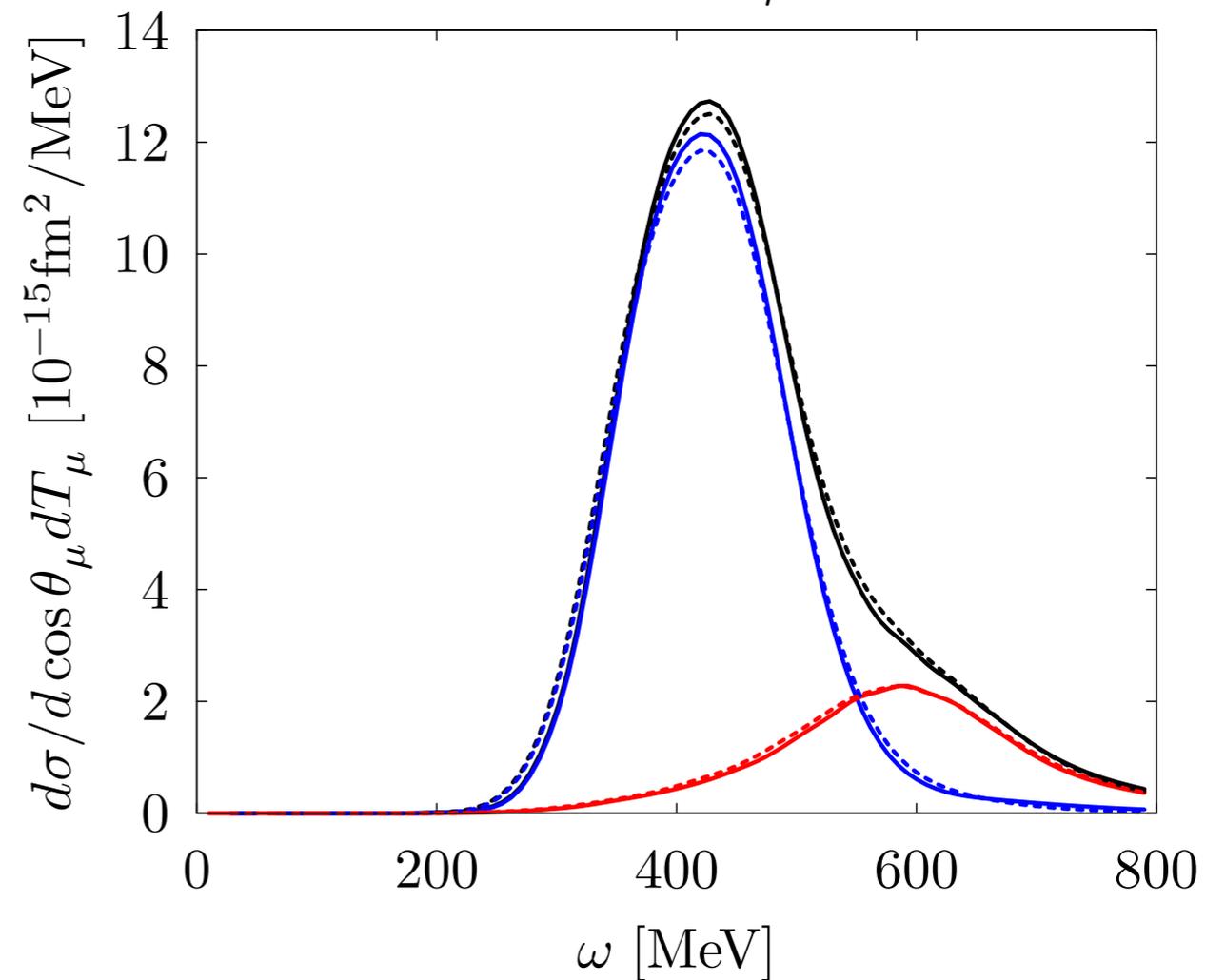
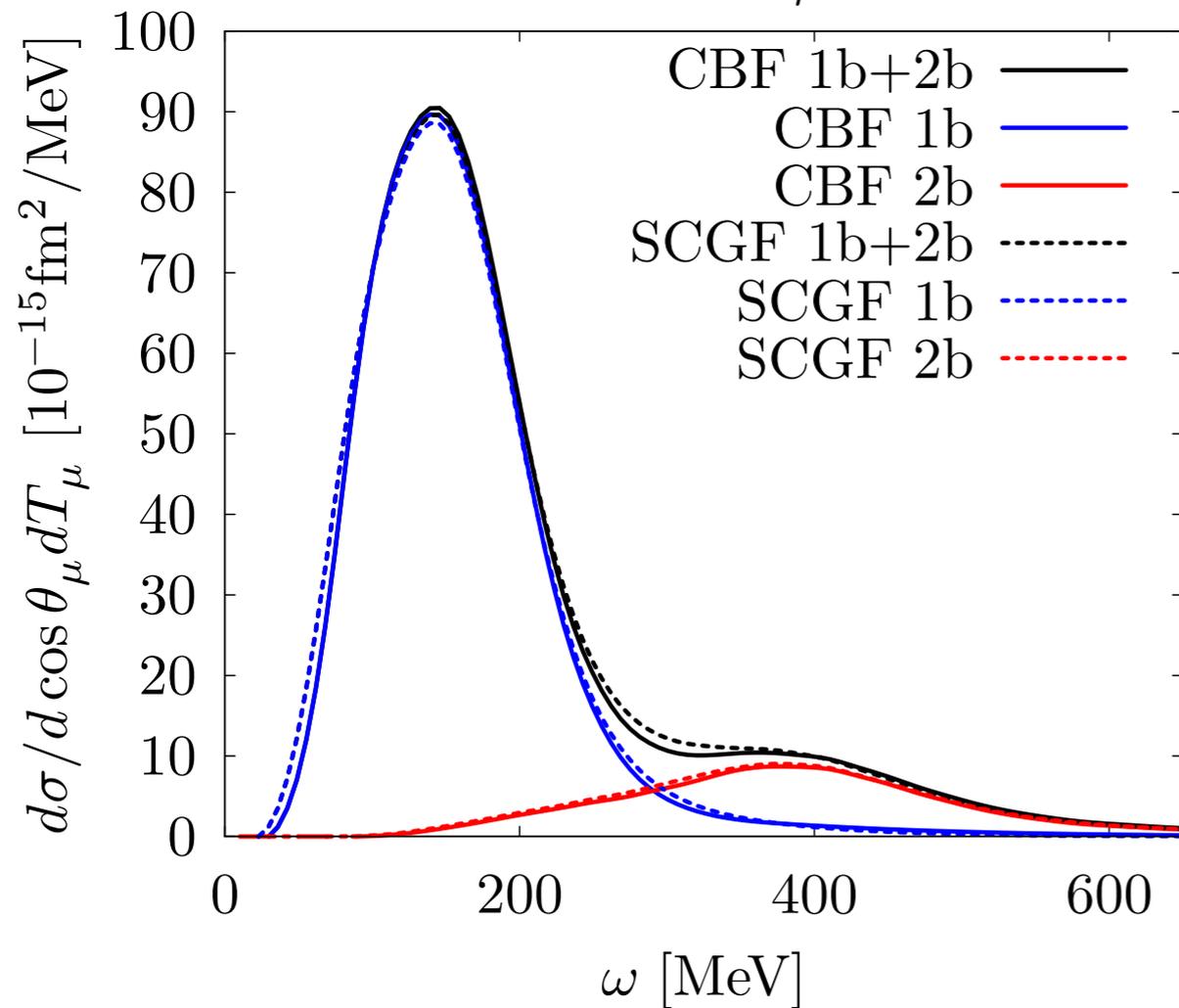
# CCQE neutrino -<sup>12</sup>C cross sections

$$\nu_{\mu} + {}^{12}\text{C} \rightarrow \mu^{-} + X$$

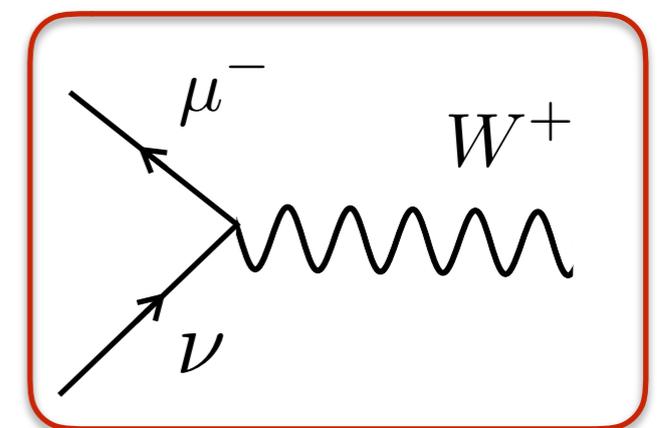
$$E_{\nu} = 1 \text{ GeV}, \theta_{\mu} = 30^{\circ}$$

NR, C.Barbieri, O. Benhar, A. Lovato, in Preparation

$$E_{\nu} = 1 \text{ GeV}, \theta_{\mu} = 70^{\circ}$$



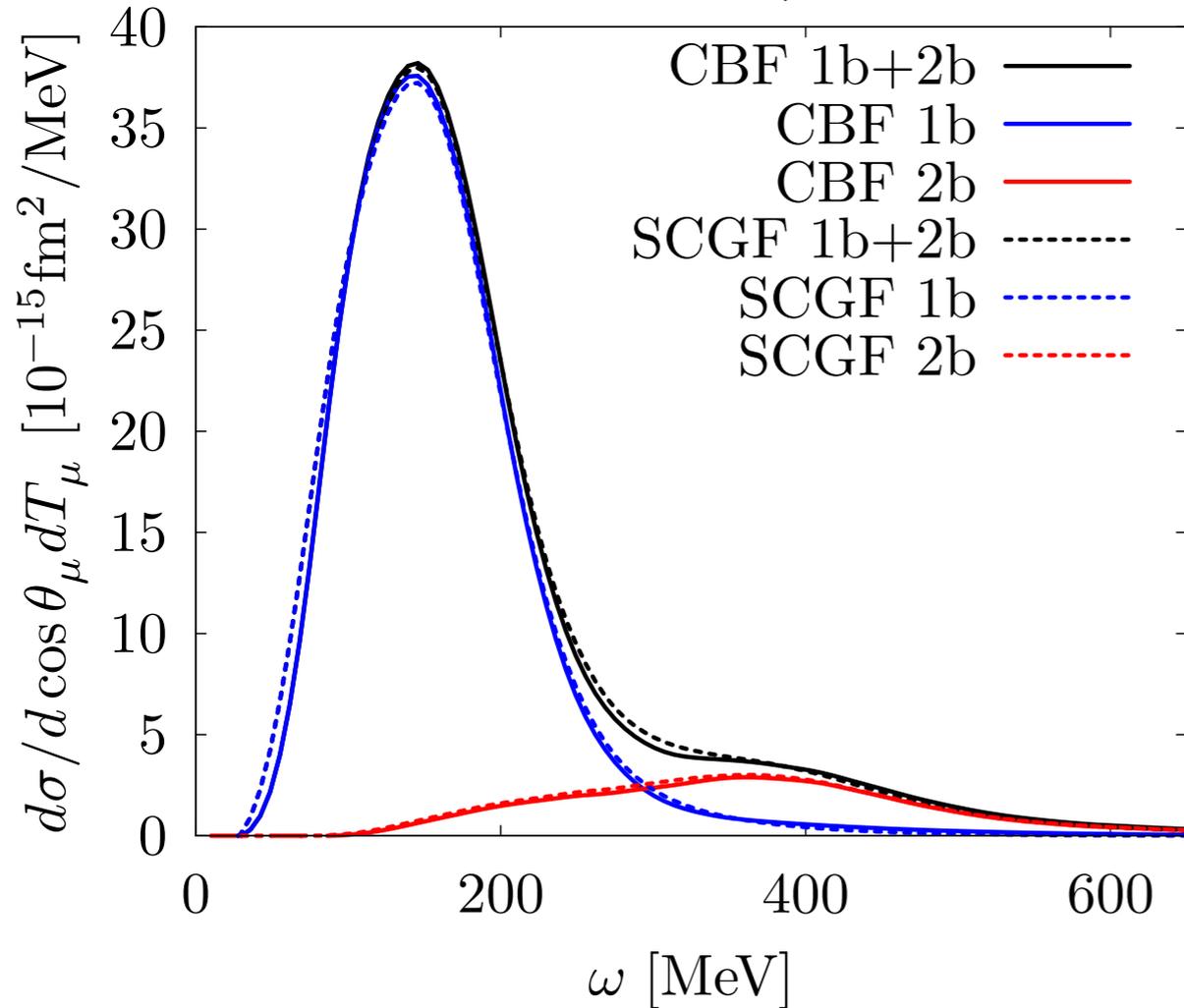
- The 2b contribution mostly affects the ‘dip’ region, in analogy with the electromagnetic case
- Meson exchange currents strongly enhance the cross section for large values of the scattering angle



# CCQE antineutrino -<sup>12</sup>C cross sections

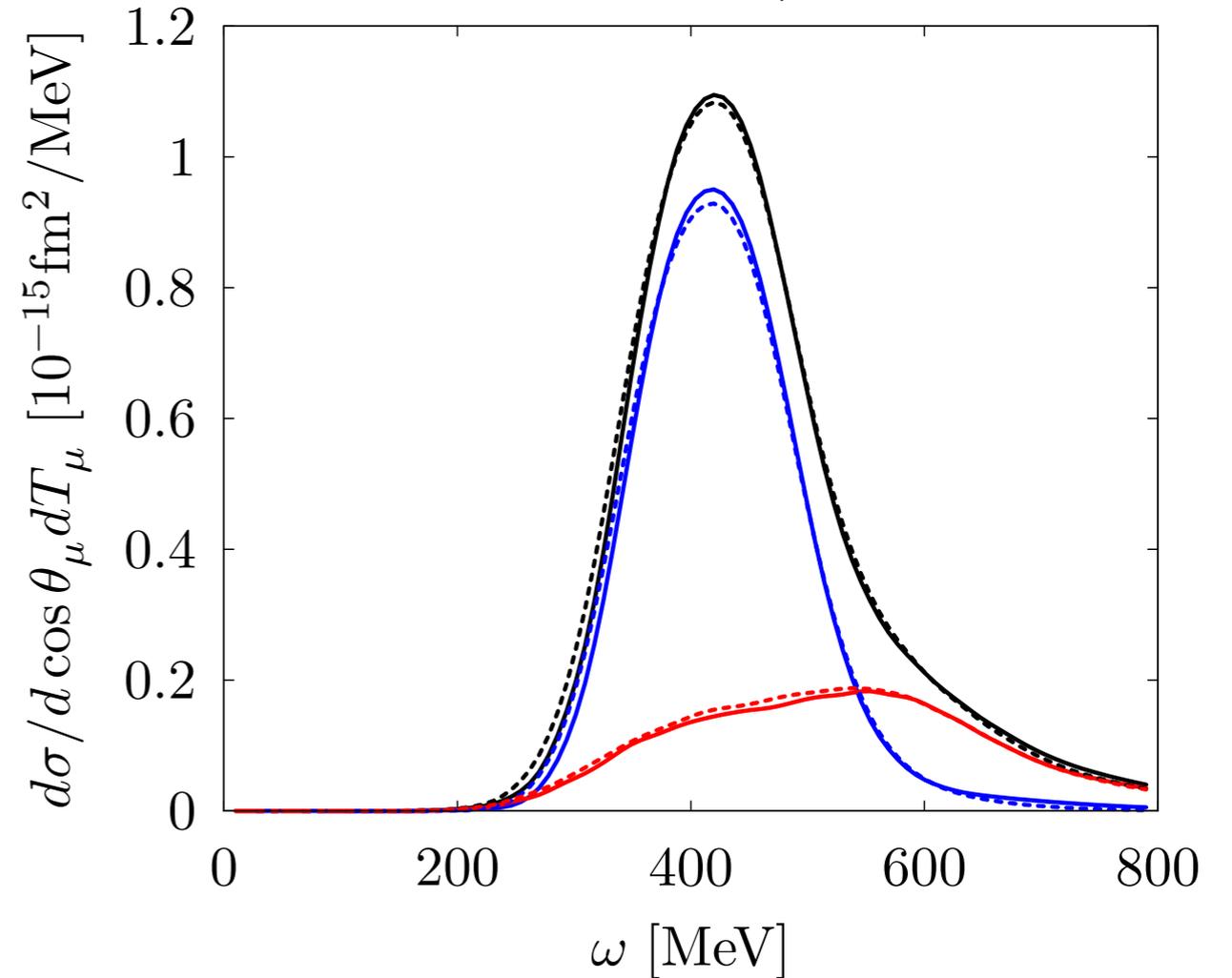
$$\bar{\nu}_\mu + {}^{12}\text{C} \rightarrow \mu^+ + \text{X}$$

$$E_{\bar{\nu}} = 1 \text{ GeV}, \theta_\mu = 30^\circ$$

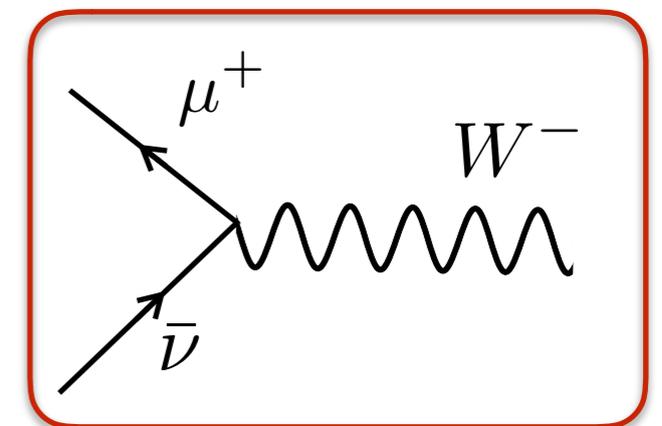


NR, C.Barbieri, O. Benhar, A. Lovato, in Preparation

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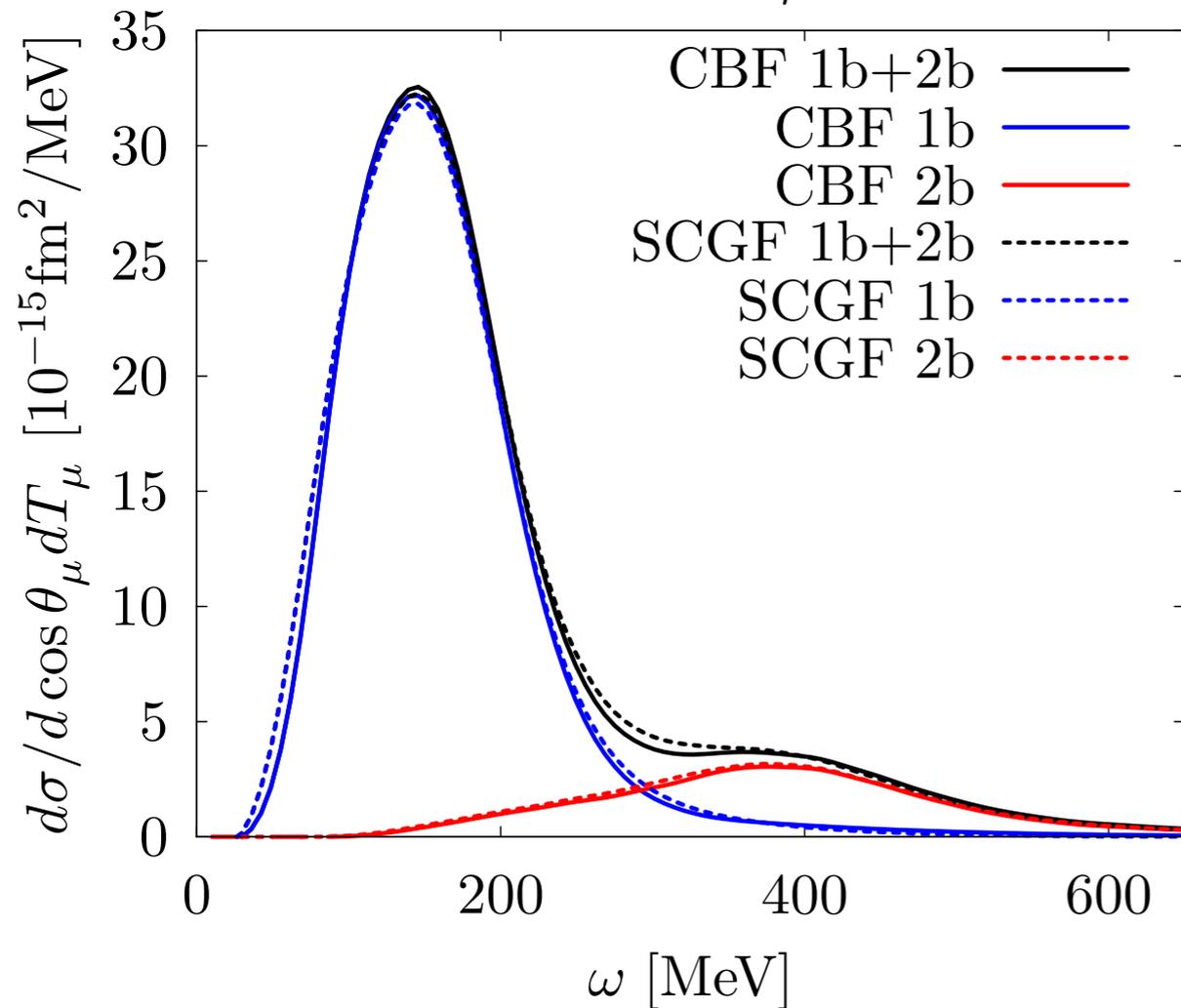
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# NCQE neutrino -<sup>12</sup>C cross sections

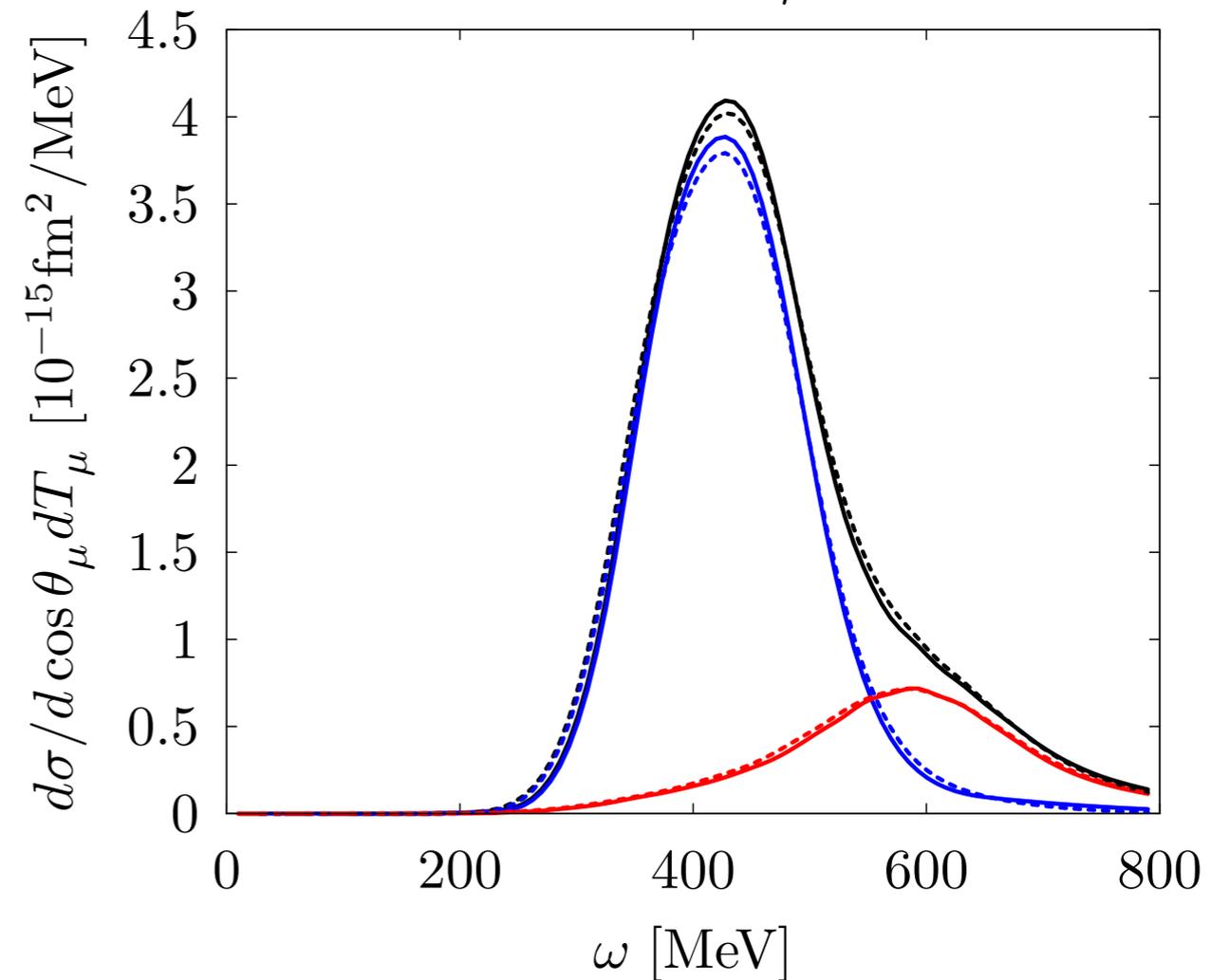
$$\nu_\mu + {}^{12}\text{C} \rightarrow \nu_\mu + X$$

$$E_\nu = 1 \text{ GeV}, \theta_\mu = 30^\circ$$

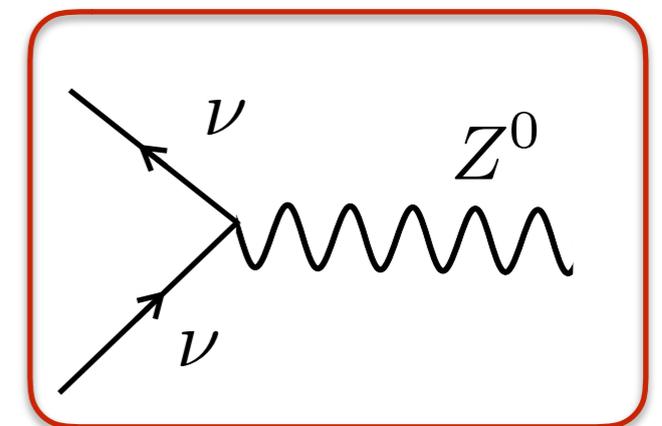


NR, C.Barbieri, O. Benhar, A. Lovato, in Preparation

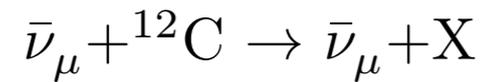
$$E_\nu = 1 \text{ GeV}, \theta_\mu = 70^\circ$$



- The 2b contribution mostly affects the ‘dip’ region, in analogy with the electromagnetic case
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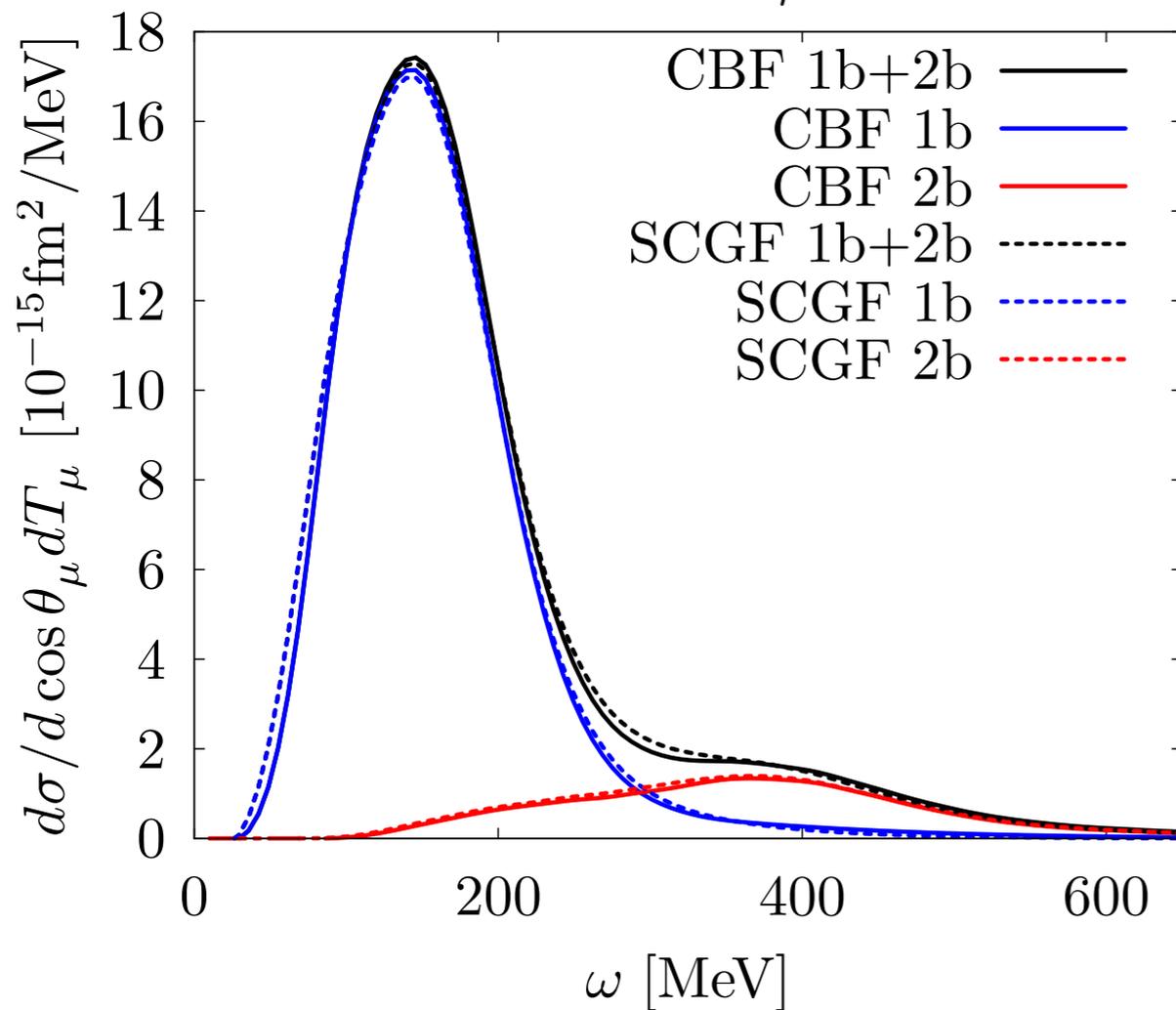


# NCQE antineutrino -<sup>12</sup>C cross sections

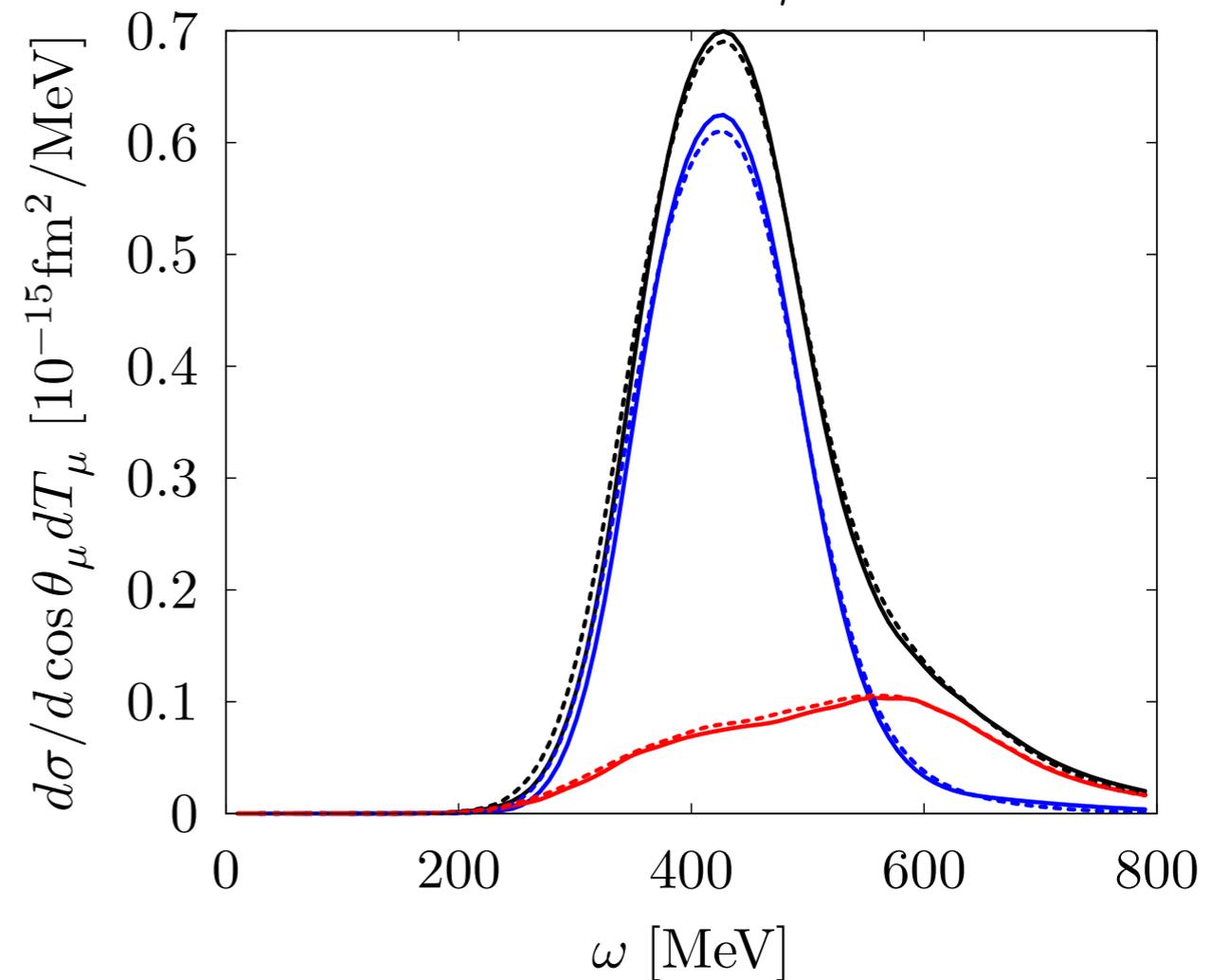


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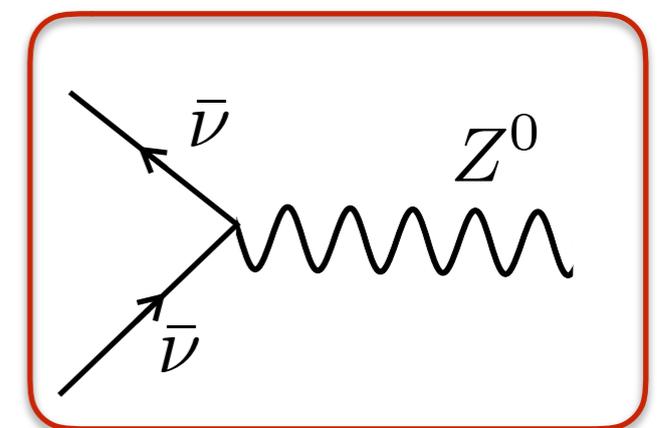
$$E_{\bar{\nu}} = 1 \text{ GeV}, \theta_\mu = 30^\circ$$



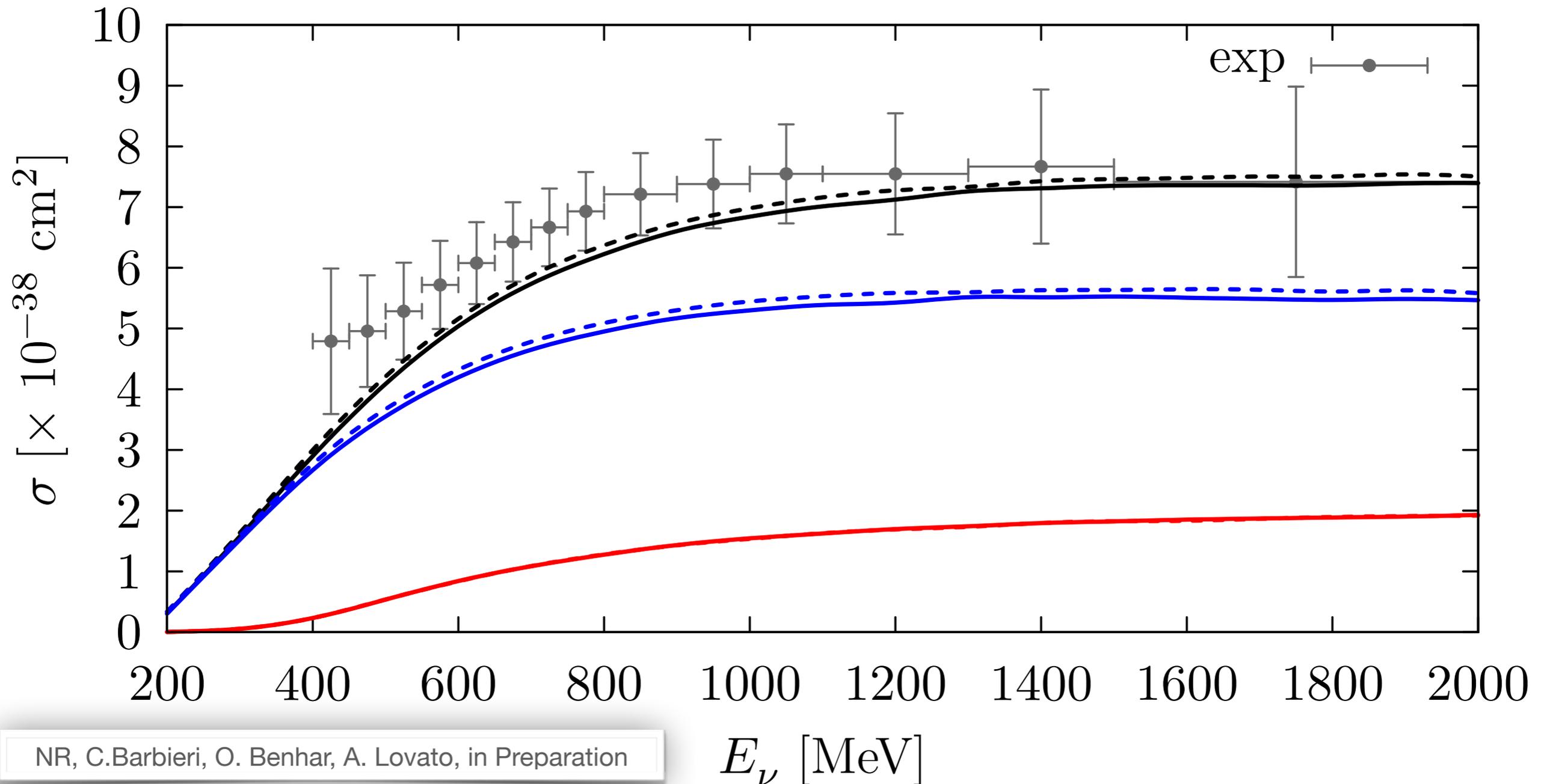
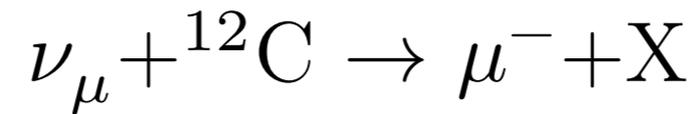
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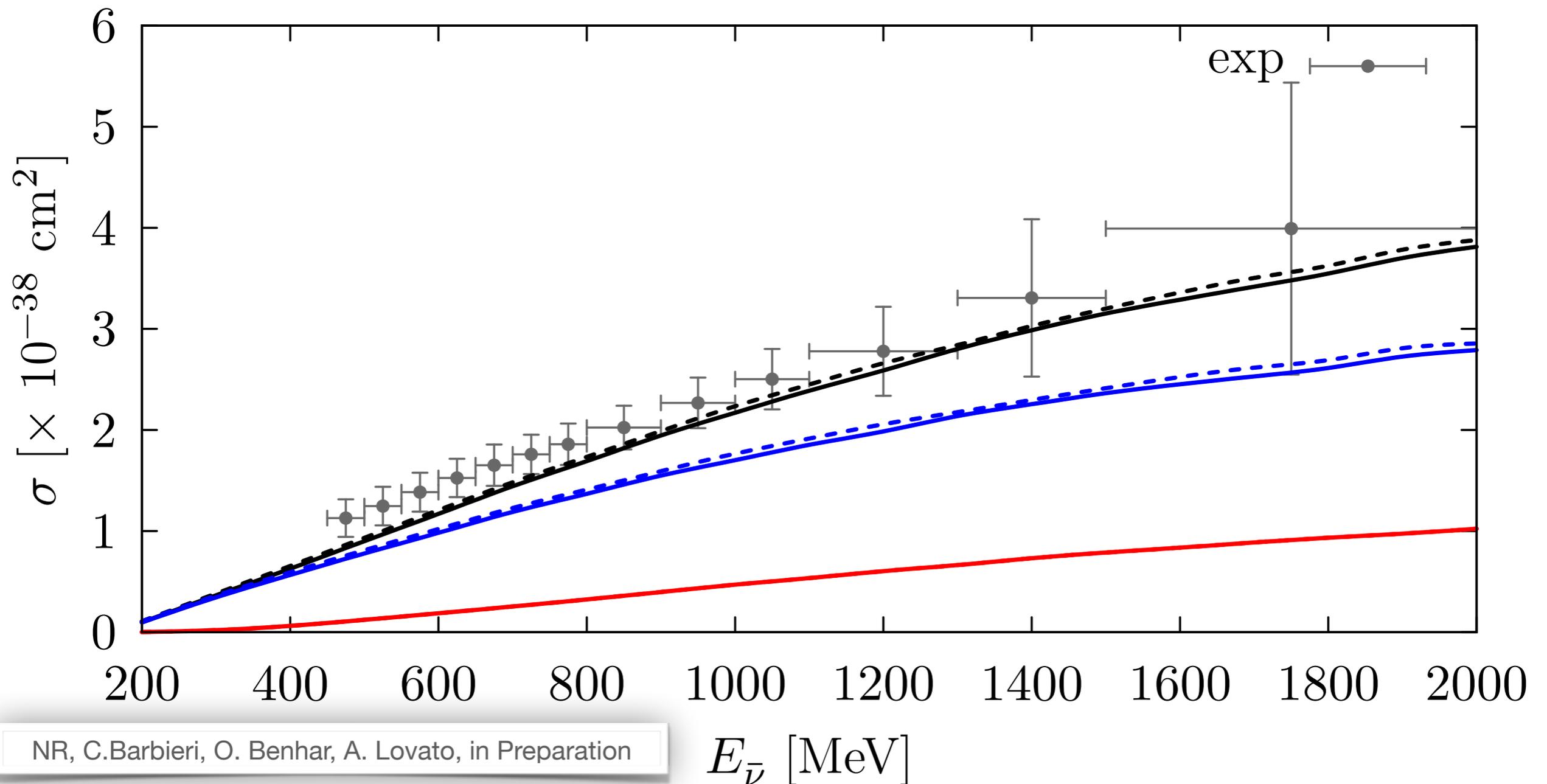
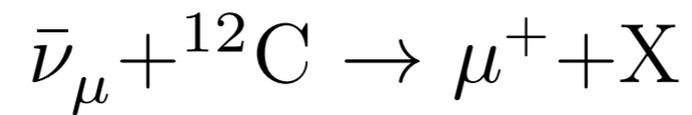


# CCQE neutrino -<sup>12</sup>C total cross section



- The 2p2h contribution is needed to explain the size of the measured cross section

# CCQE antineutrino -<sup>12</sup>C total cross section



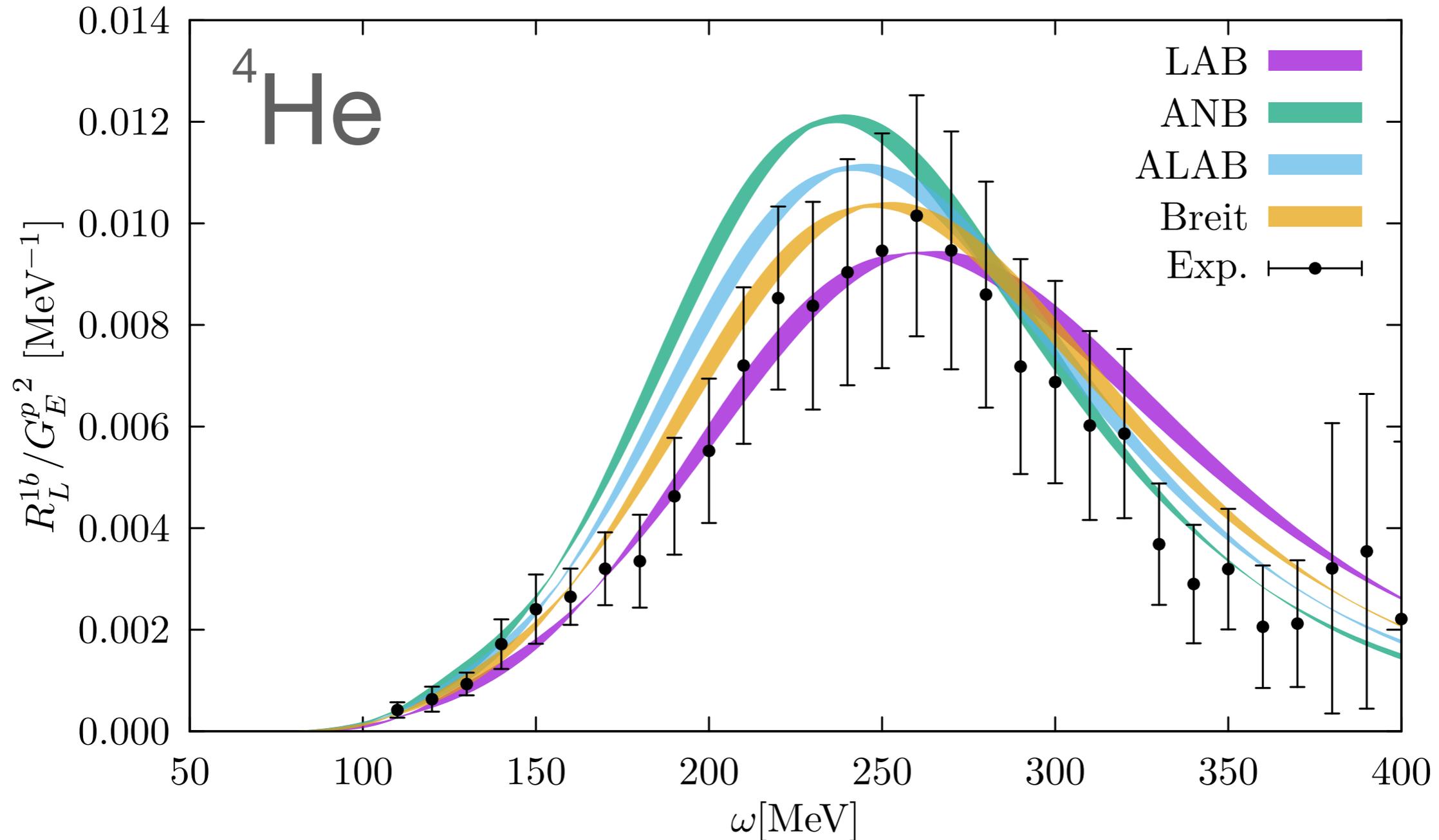
- The 2p2h contribution is needed to explain the size of the measured cross section

# Prospects

- Correlated Basis Function and Self Consistent Green's Function approach :
  - ❖ Flux-folded double differential inclusive cross sections for  $CC0\pi$  and  $NC0\pi$  processes
  - ❖ Inclusion of the interference between one- and two-body currents: benchmark with GFMC
- Self Consistent Green's Function approach :
  - ❖ CCQE - NCQE  $Ti^{48}$  and  $Ar^{40}$  neutrino and antineutrino cross section
  - ❖ Investigating the difference between the structure of the  $Ti^{48}$  proton and  $Ar^{40}$  neutron spectral function
- Green's Function Monte Carlo approach :
  - ❖ Spectral Function calculation of light nuclei within GFMC with both phenomenological and chiral Hamiltonians
  - ❖ The use of different potentials can provide an estimate of the theoretical uncertainty of the calculation

Back up slides

# Relativistic effects in a correlated system



- Longitudinal responses of  $^4\text{He}$  for  $|q|=700$  MeV in the four different reference frames. The curves show differences in both peak positions and heights.

# Relativistic effects in a correlated system

- The frame dependence can be drastically reduced if one assumes a two-body breakup model with relativistic kinematics to determine the input to the non relativistic dynamics calculation

$$p^{fr} = \mu \left( \frac{p_N^{fr}}{m_N} - \frac{p_X^{fr}}{M_X} \right) \quad \longleftrightarrow \quad \mu = \frac{m_N M_X}{m_N + M_X}$$

$$P_f^{fr} = p_N^{fr} + p_X^{fr}$$

- The relative momentum is derived in a relativistic fashion

$$\omega^{fr} = E_f^{fr} - E_i^{fr}$$

$$E_f^{fr} = \sqrt{m_N^2 + [\mathbf{p}^{fr} + \mu/M_X \mathbf{P}_f^{fr}]^2} + \sqrt{M_X^2 + [\mathbf{p}^{fr} - \mu/m_N \mathbf{P}_f^{fr}]^2}$$

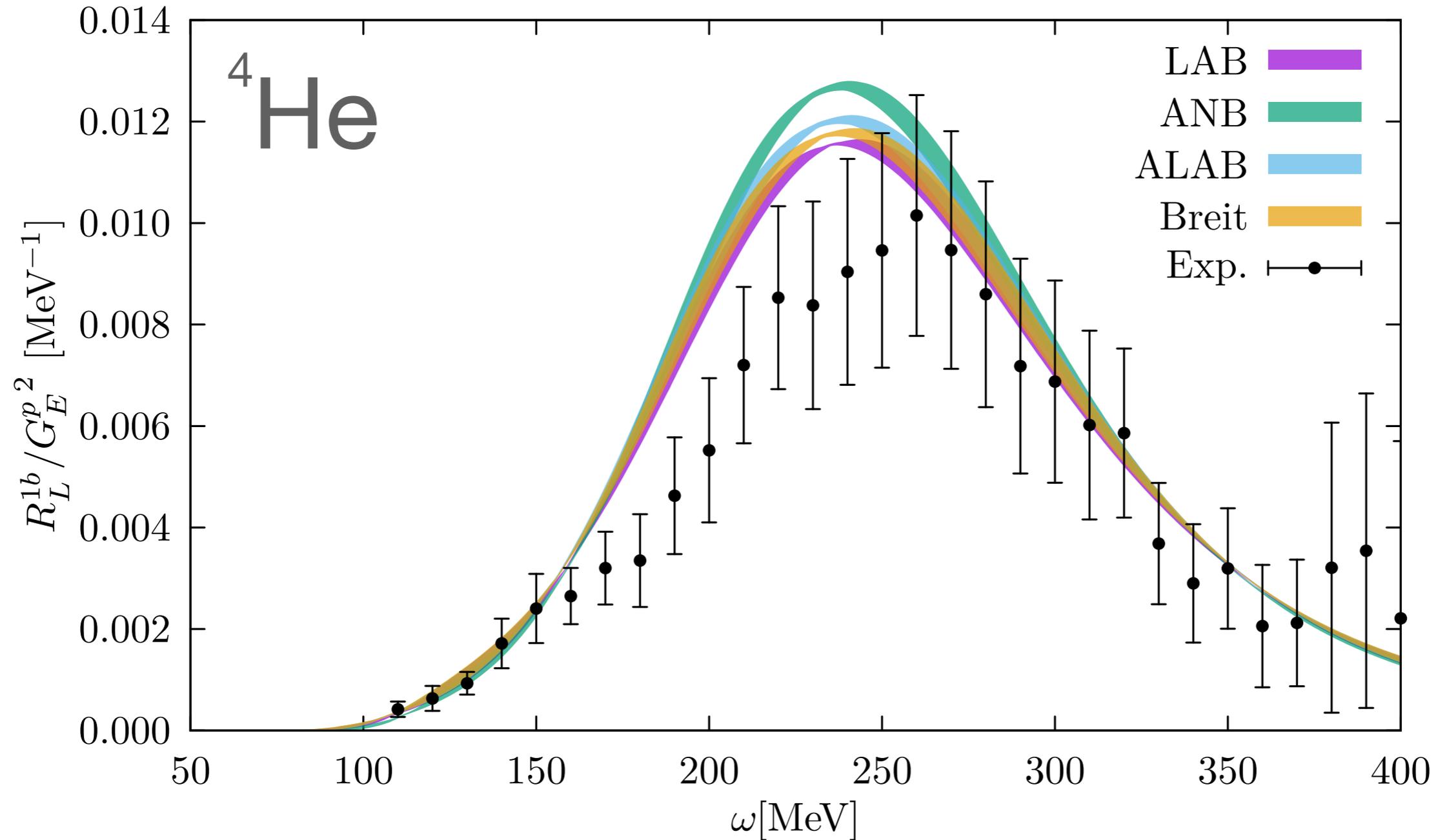
- And it is used as input in the non relativistic kinetic energy

$$e_f^{fr} = (p^{fr})^2 / (2\mu)$$

- The energy-conserving delta function reads

$$\delta(E_f^{fr} - E_i^{fr} - \omega^{fr}) = \delta(F(e_f^{fr}) - \omega^{fr}) = \left( \frac{\partial F^{fr}}{\partial e_f^{fr}} \right)^{-1} \delta[e_f^{fr} - e_f^{rel}(q^{fr}, \omega^f)]$$

# Relativistic effects in a correlated system



- Longitudinal responses of <sup>4</sup>He for  $|q|=700$  MeV in the four different reference frames. The different curves are almost identical.

## Extension of the factorization scheme to two-nucleon emission amplitude

$$|X\rangle \longrightarrow |\mathbf{p} \mathbf{p}'\rangle \otimes |n_{(A-2)}\rangle = |n_{(A-2)}; \mathbf{p} \mathbf{p}'\rangle ,$$

We can introduce the two-nucleon Spectral Function...

$$P(\mathbf{k}, \mathbf{k}', E) = \sum_n |\langle n_{(A-2)}; \mathbf{k} \mathbf{k}' | 0 \rangle|^2 \delta(E + E_0 - E_n)$$

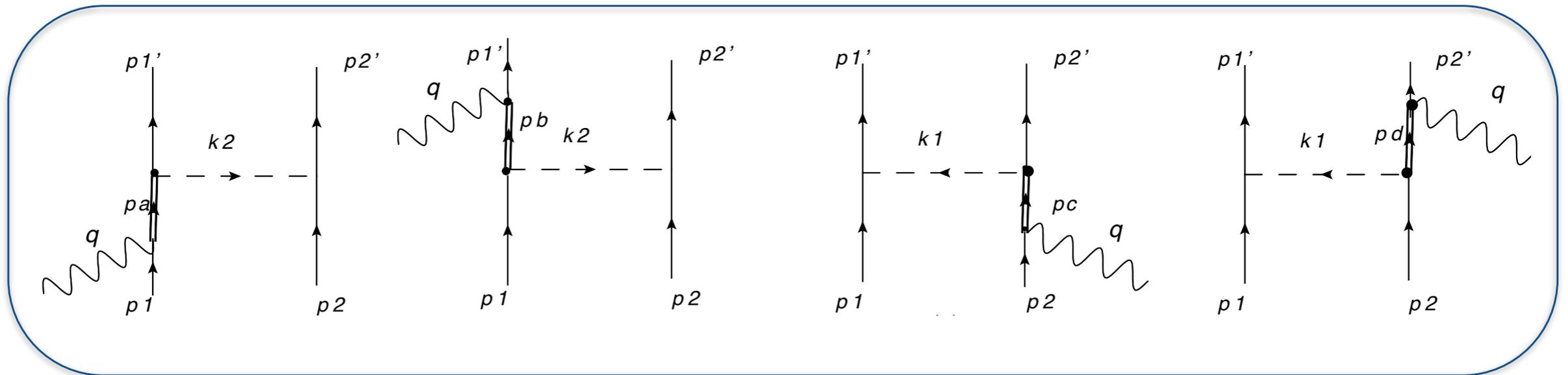
probability of removing two nucleons leaving the A-2 system with energy E

The pure 2-body & the interference contribution to the hadron tensor read

$$W_{2p2h,22}^{\mu\nu} \propto \int d^3k d^3k' d^3p d^3p' \int dE P_{2h}(\mathbf{k}, \mathbf{k}', E) \langle \mathbf{k} \mathbf{k}' | j_{12}^{\mu} | \mathbf{p} \mathbf{p}' \rangle \langle \mathbf{p} \mathbf{p}' | j_{12}^{\nu} | \mathbf{k} \mathbf{k}' \rangle$$

$$W_{2p2h,12}^{\mu\nu} \propto \int d^3k d^3\xi d^3\xi' d^3h d^3h' d^3p d^3p' \phi_{\xi\xi'}^{hh' *} \langle \mathbf{p}, \mathbf{p}' | j_{12}^{\nu} | \xi, \xi' \rangle$$

$$\left[ \phi_k^{hh' p'} \langle \mathbf{k} | j_1^{\mu} | \mathbf{p} \rangle + \phi_k^{hh' p} \langle \mathbf{k} | j_2^{\mu} | \mathbf{p}' \rangle \right]$$



The Rarita-Schwinger (RS) expression for the  $\Delta$  propagator reads

$$S^{\beta\gamma}(p, M_\Delta) = \frac{\not{p} + M_\Delta}{p^2 - M_\Delta^2} \left( g^{\beta\gamma} - \frac{\gamma^\beta \gamma^\gamma}{3} - \frac{2p^\beta p^\gamma}{3M_\Delta^2} - \frac{\gamma^\beta p^\gamma - \gamma^\gamma p^\beta}{3M_\Delta} \right)$$

## WARNING

If the condition  $p_\Delta^2 > (m_N + m_\pi)^2$  the real resonance mass has to be replaced by  $M_\Delta \rightarrow M_\Delta - i\Gamma(s)/2$  where  $\Gamma(s) = \frac{(4f_{\pi N\Delta})^2}{12\pi m_\pi^2} \frac{k^3}{\sqrt{s}} (m_N + E_k)$ .

## Hadronic monopole form factors

$$F_{\pi NN}(k^2) = \frac{\Lambda_\pi^2 - m_\pi^2}{\Lambda_\pi^2 - k^2}$$

$$F_{\pi N\Delta}(k^2) = \frac{\Lambda_{\pi N\Delta}^2}{\Lambda_{\pi N\Delta}^2 - k^2}$$

and the EM ones

$$F_{\gamma NN}(q^2) = \frac{1}{(1 - q^2/\Lambda_D^2)^2},$$

$$F_{\gamma N\Delta}(q^2) = F_{\gamma NN}(q^2) \left(1 - \frac{q^2}{\Lambda_2^2}\right)^{-1/2} \left(1 - \frac{q^2}{\Lambda_3^2}\right)^{-1/2}$$

where  $\Lambda_\pi = 1300$  MeV,  $\Lambda_{\pi N\Delta} = 1150$  MeV,  $\Lambda_D^2 = 0.71\text{GeV}^2$ ,  
 $\Lambda_2 = M + M_\Delta$  and  $\Lambda_3^2 = 3.5\text{ GeV}^2$ .