

# How different can the $\nu_\mu$ and $\nu_e$ cross sections be?

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**based on Phys. Rev. C 96, 035501 (2017)**

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(NuFact2018), Blacksburg, VA, Aug 12–18, 2018**

# Outline

## 1) Motivation

- Why do we need precise cross sections for  $\nu_e$ 's?
- How are the  $\nu_e$  and  $\nu_\mu$  cross sections related?

## 2) When is the $\nu_e$ cross section higher than the $\nu_\mu$ one?

- Fermi gas with and without Pauli blocking
- Shell model and the spectral function

## 3) Should we be concerned about model differences?

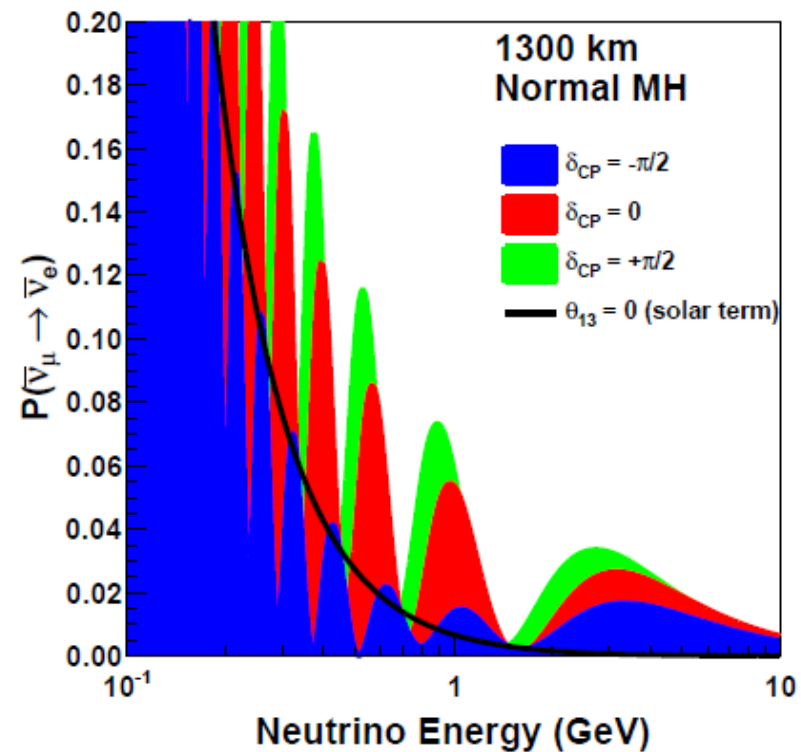
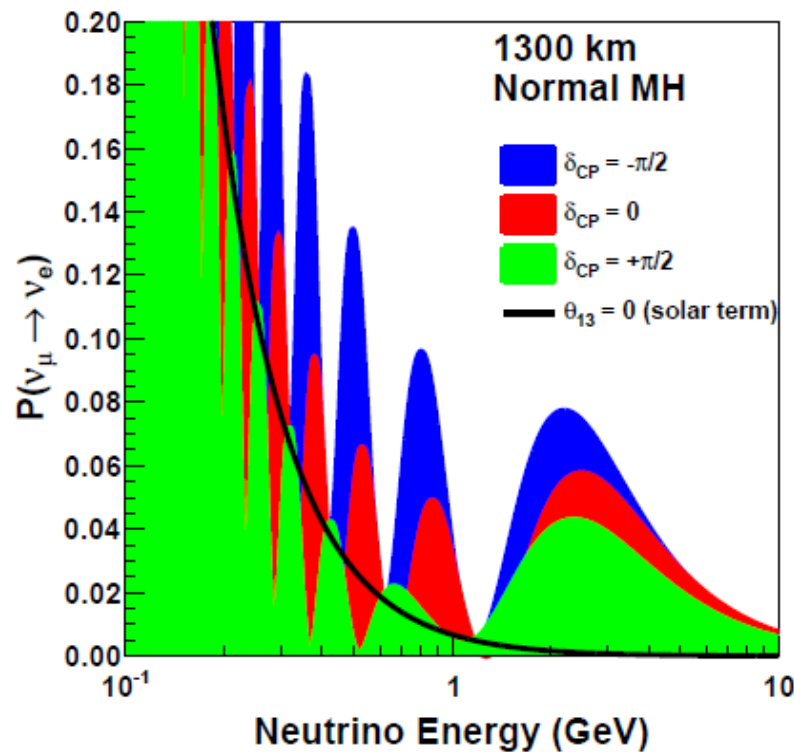
## 4) Summary



**Motivation**

# $\delta_{CP}$ from $(\bar{\nu}_e)$ event distributions

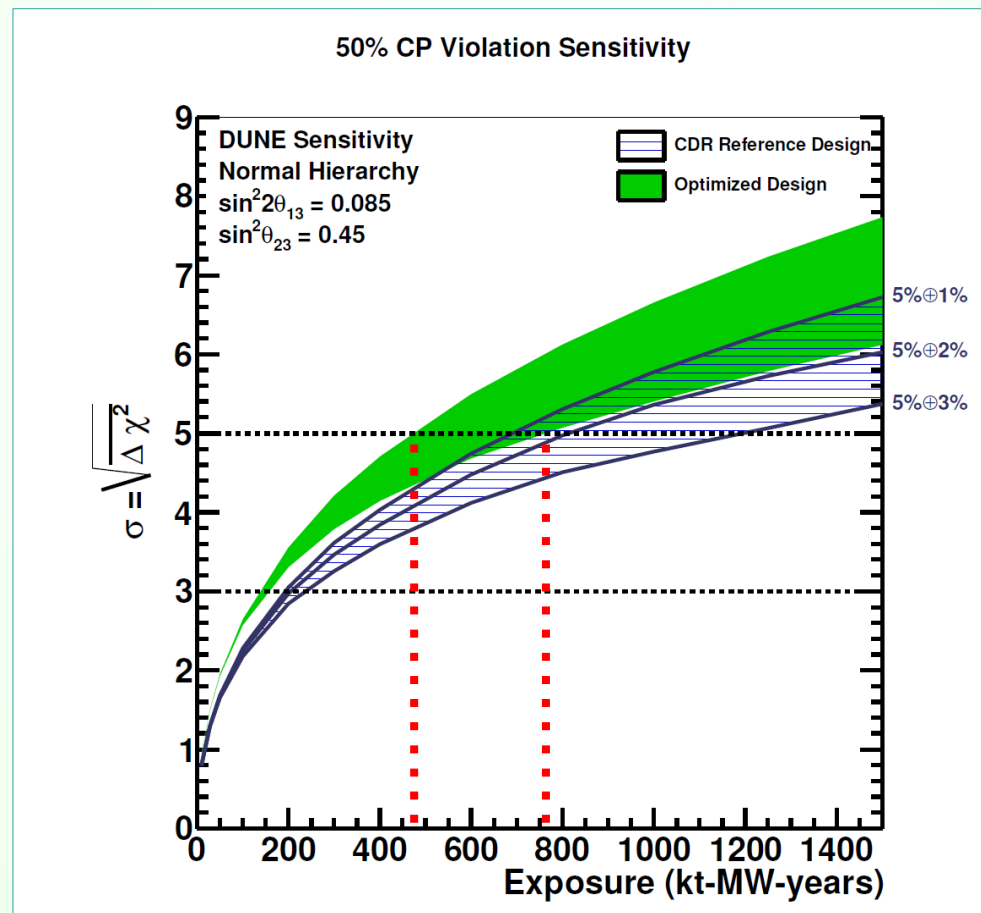
To find  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  from event distributions, precise  $(\bar{\nu}_e)$  cross sections are necessary.



Acciari *et al.* (DUNE), arXiv:1512.06148

# How relevant is the precision?

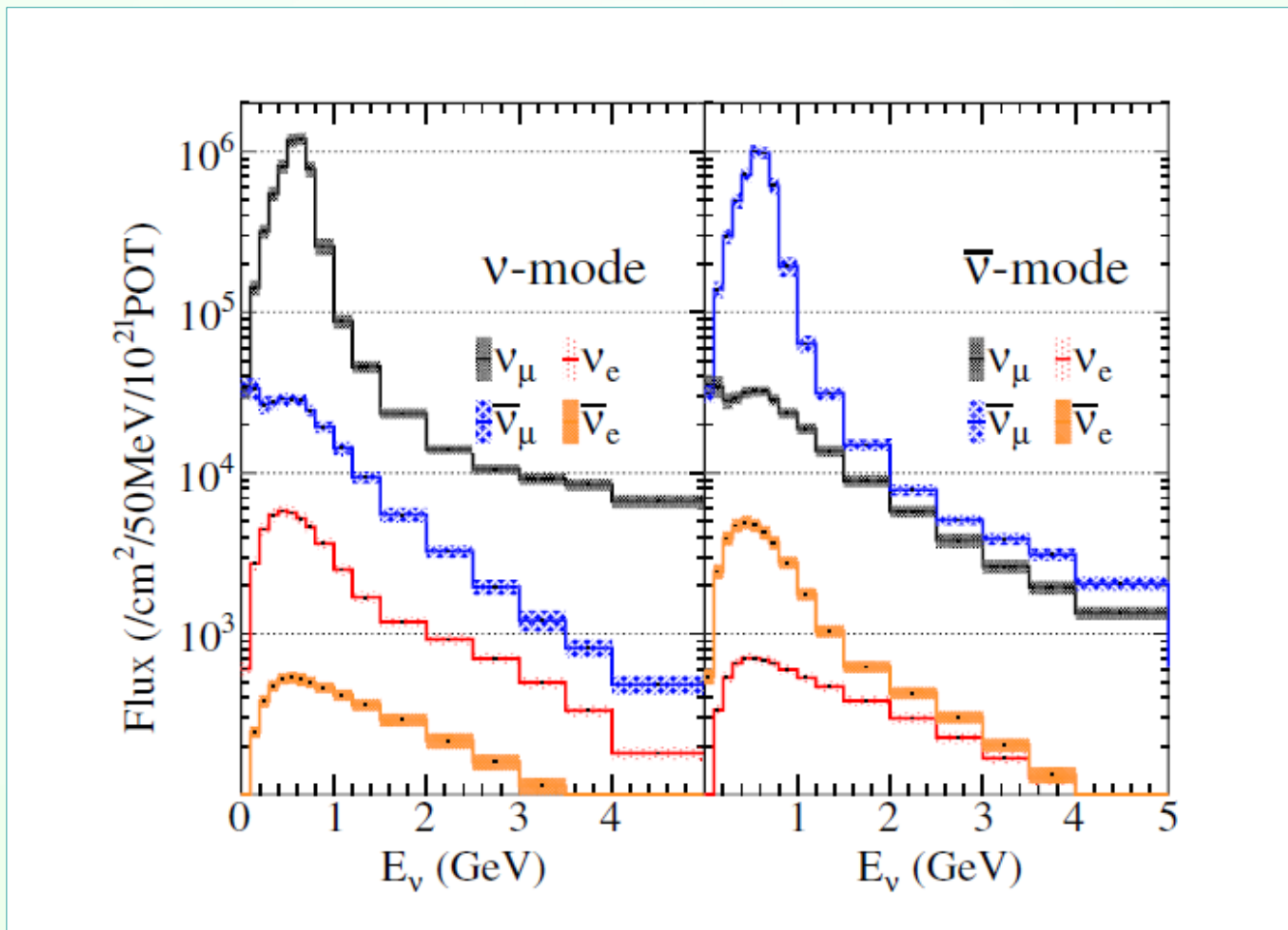
Dependence of DUNE's ~~CP~~ sensitivity on exposure for  $\sigma(\nu_e)/\sigma(\nu_\mu)$  uncertainty between 1% and 3%.



Acciari *et al.* (DUNE), arXiv:1512.06148

# Measurement in near detector

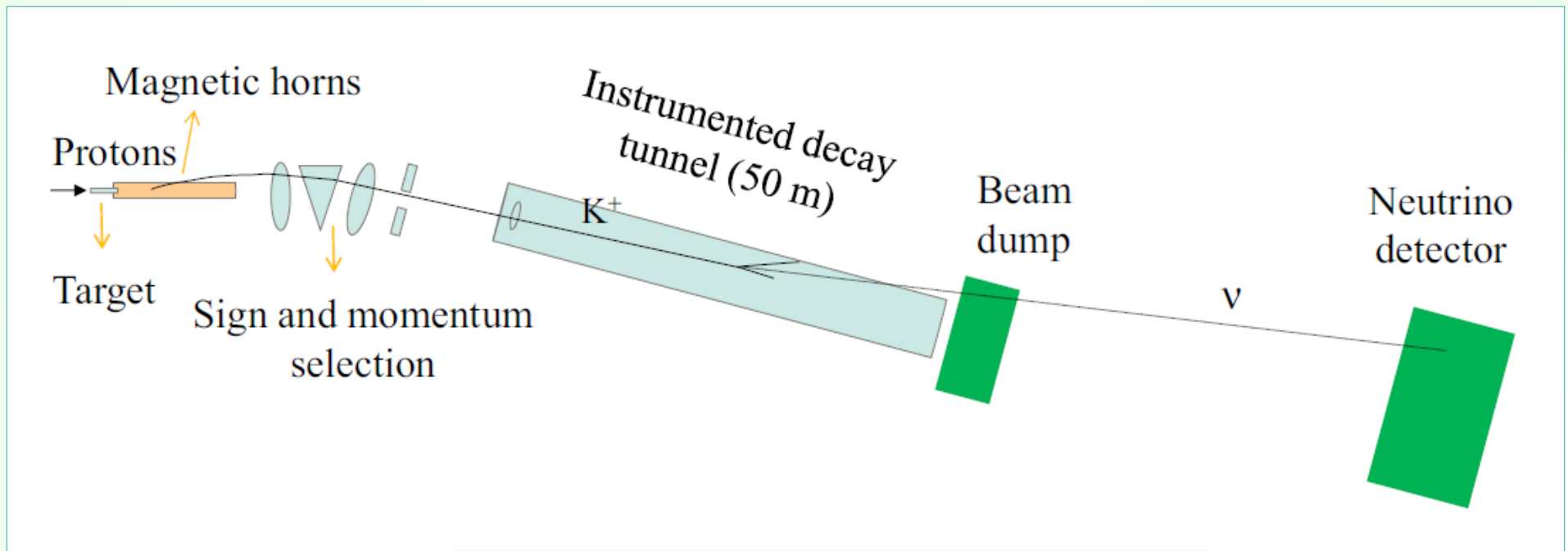
Event statistics lower  $\sim 100$  times for  $\nu_e$ 's then for  $\nu_\mu$ 's.  
Higher flux and detector-response uncertainties.



Abe *et al.* (T2K), PRL 116, 181801 (2016)

# Measurement in near detector

New concept of tagging  $K^+ \rightarrow e^+ \nu_e \pi^0$  events should allow the  $\nu_e$  cross section determination with 1% uncertainty.



Longhin *et al.*, EPJ C 75, 155 (2015)

# Charged-Current Cross section

Well-known dependence on the charged-lepton's mass

$$\frac{d\sigma}{d\omega d|\mathbf{q}|} = \frac{(G_F \cos \theta_C)^2}{2\pi} \frac{|\mathbf{q}|}{|\mathbf{k}|^2} \left[ v_{CC} R_{CC}(\omega, |\mathbf{q}|) + v_{CL} R_{CL}(\omega, |\mathbf{q}|) \right. \\ \left. + v_{LL} R_{LL}(\omega, |\mathbf{q}|) + v_T R_T(\omega, |\mathbf{q}|) + v_{T'} R_{T'}(\omega, |\mathbf{q}|) \right]$$

$$v_{CC} = E_k E_{k'} + k_x k'_x + k_z k'_z,$$

$$v_{CL} = -2(E_k k'_z + E_{k'} k_z),$$

$$v_{LL} = E_k E_{k'} - k_x k'_x + k_z k'_z,$$

$$v_T = E_k E_{k'} - k_z k'_z,$$

$$v_{T'} = 2(E_{k'} k_z - E_k k'_z),$$

$$k_x = \frac{|\mathbf{k} \times \mathbf{k}'|}{|\mathbf{q}|} = k'_x,$$

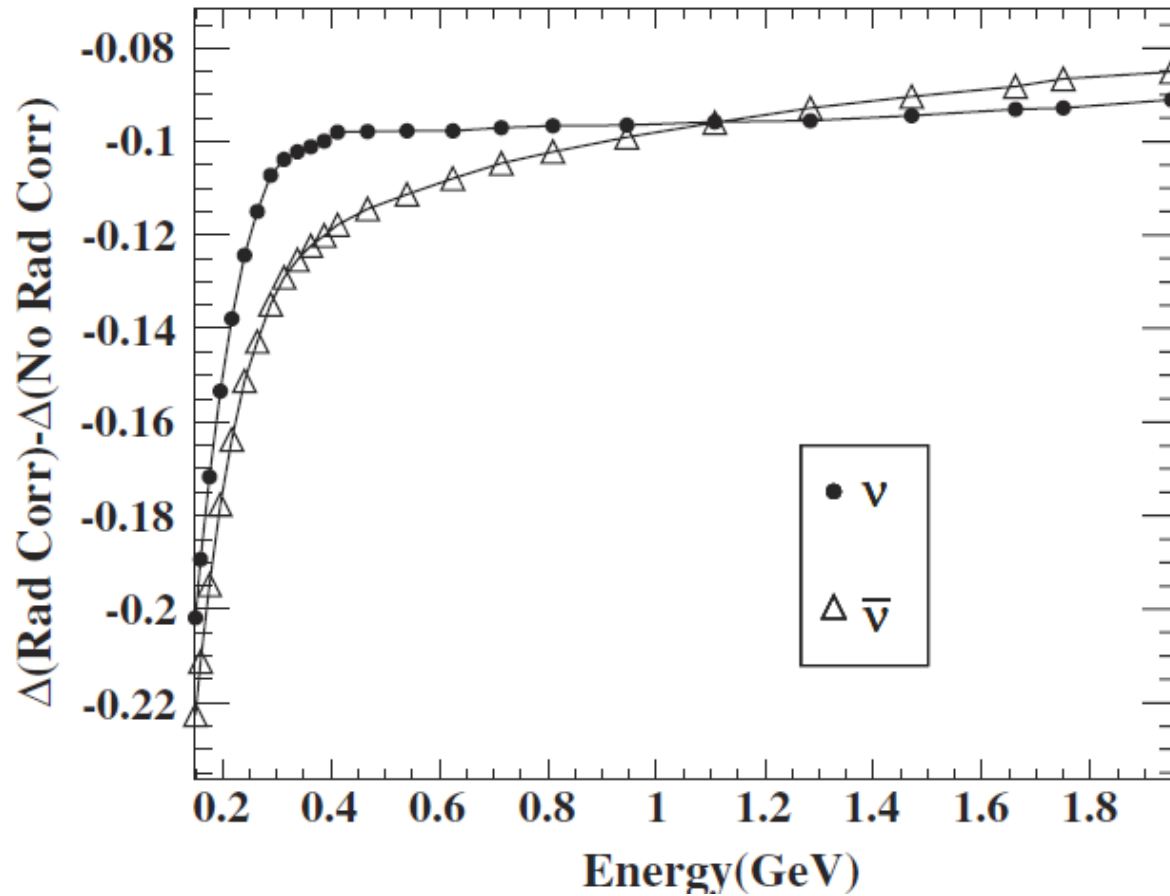
$$k_y = 0 = k'_y,$$

$$k_z = \frac{|\mathbf{k} \cdot \mathbf{q}|}{|\mathbf{q}|},$$

$$k'_z = \frac{|\mathbf{k}' \cdot \mathbf{q}|}{|\mathbf{q}|},$$

See e.g. Amaro *et al.*, PRC 71, 015501 (2005)

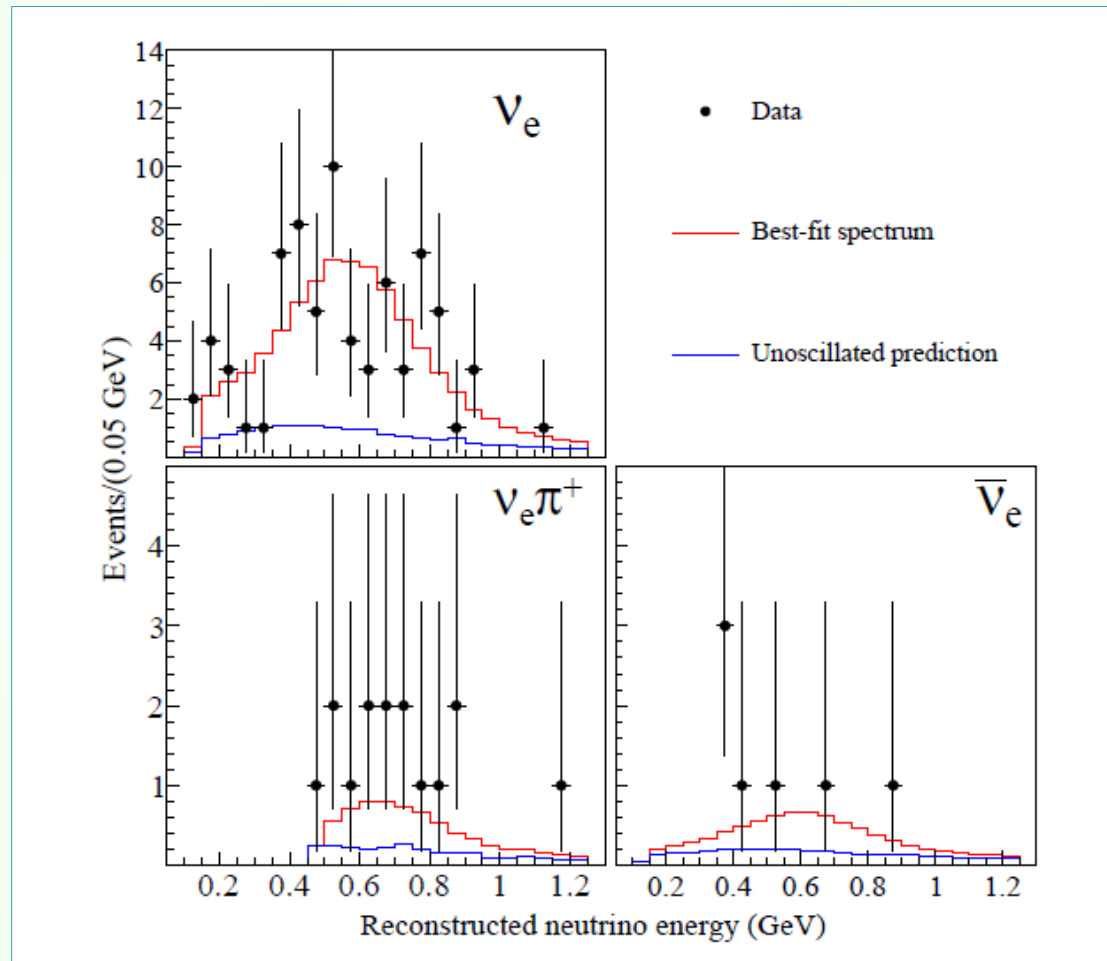
# Radiative corrections



$$\Delta = \frac{\sigma(\nu_{\mu}) - \sigma(\nu_e)}{\sigma(\nu_e)}$$

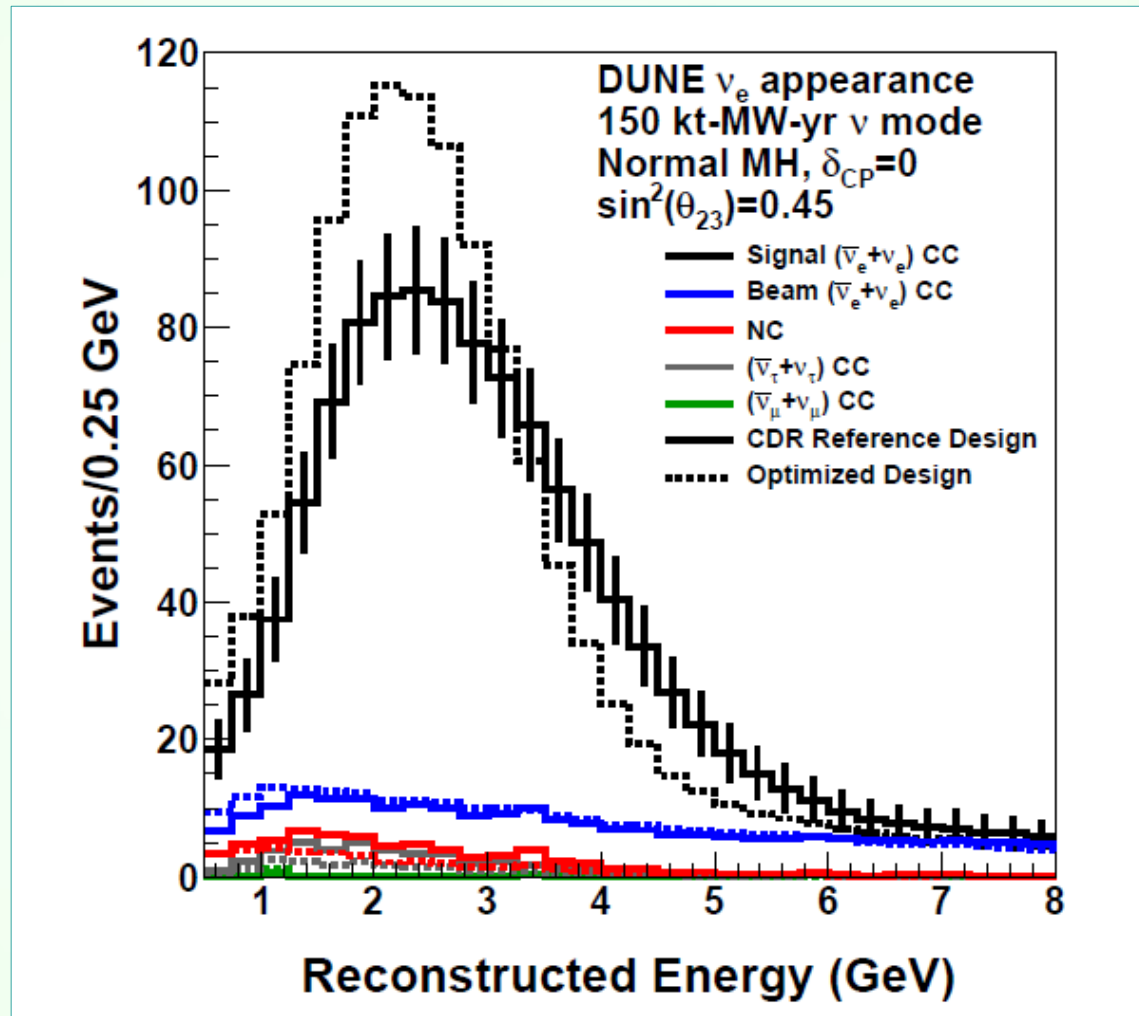
Day & McFarland,  
PRD 86, 053003 (2012)

# Spectra in T2K



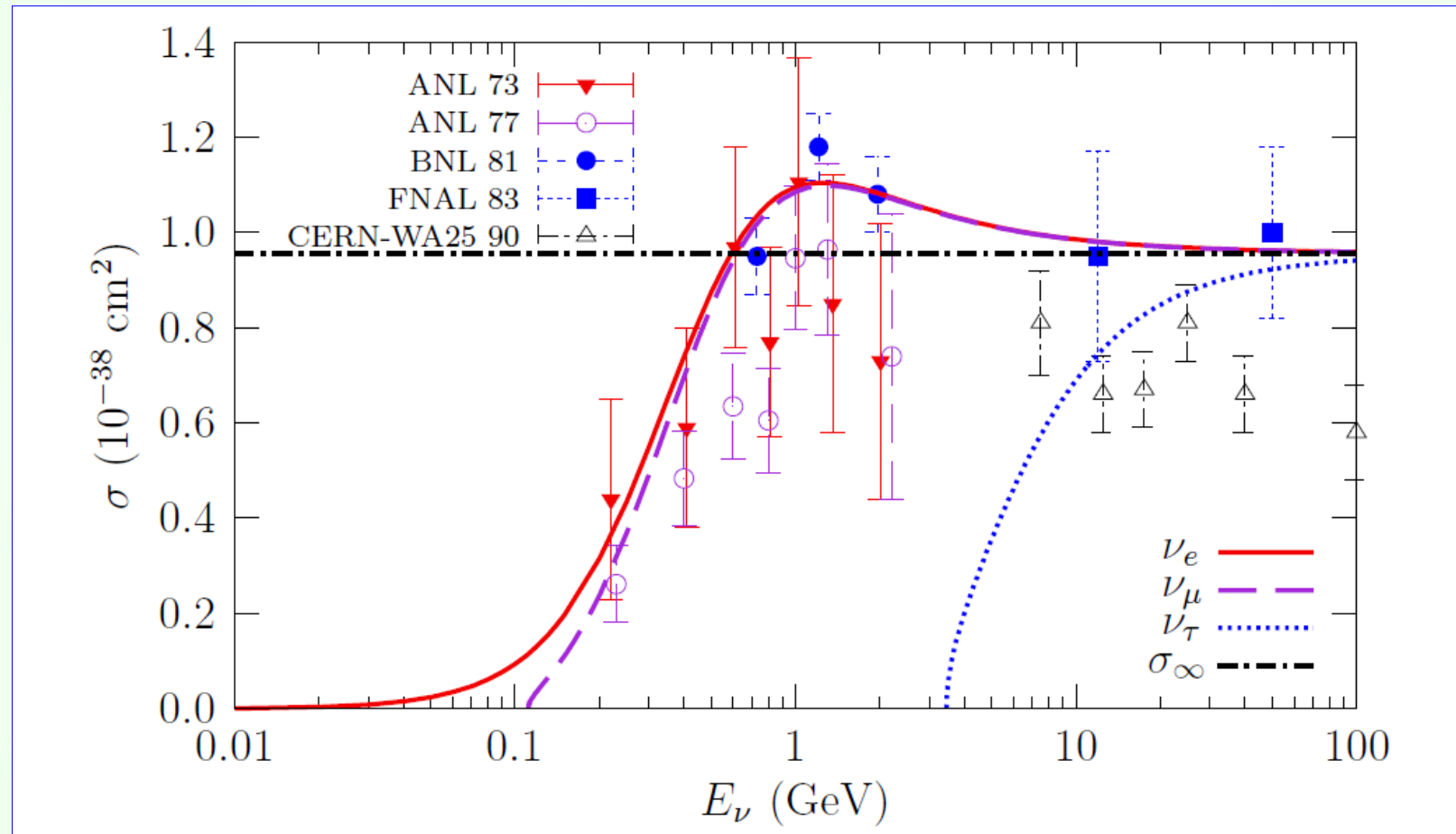
Abe *et al.* (T2K), arXiv:1807.07891

# Expected spectra in DUNE



Acciari *et al.* (DUNE), arXiv:1512.06148

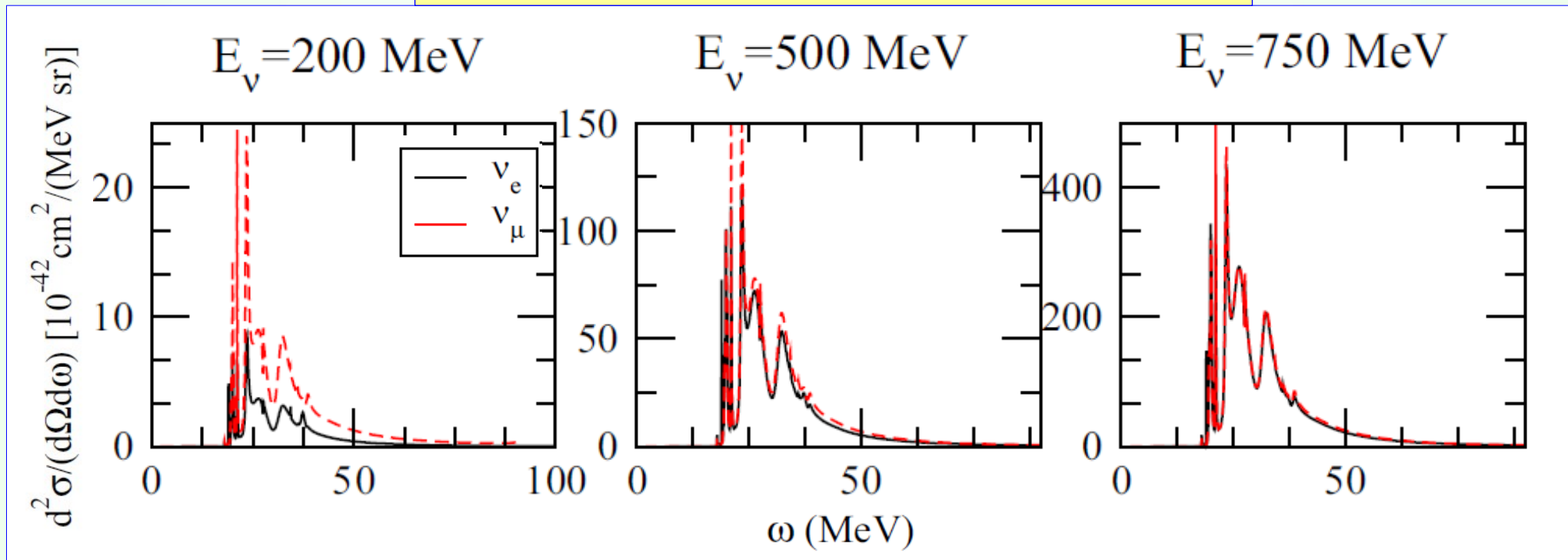
# Free nucleon CC QE cross section



AMA, Acta Phys. Pol. B **37**, 0377 (2006)

# CCQE $\nu_\mu$ and $\nu_e$ cross sections at $5^\circ$

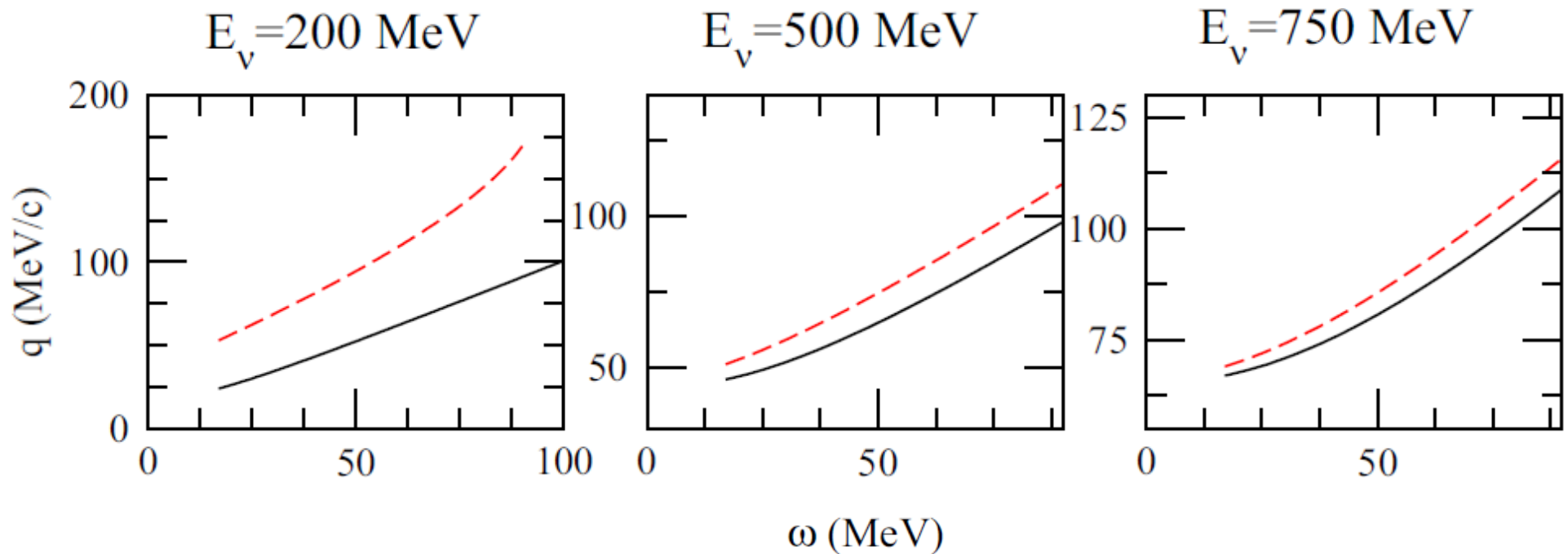
M. Martini *et al.*, PRC **94**, 015501 (2016)



Conclusion: While at higher energies the  $\nu_\mu$  and  $\nu_e$  cross sections practically coincide, at low energies and small scattering angles the  $\nu_\mu$  cross section is **higher** than the  $\nu_e$  one.

# CCQE $\nu_\mu$ and $\nu_e$ cross sections at $5^\circ$

M. Martini *et al.*, PRC **94**, 015501 (2016)



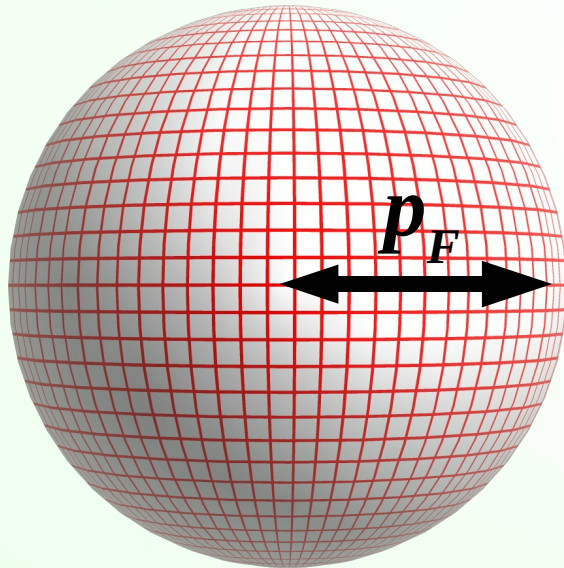
Conclusion: this behavior is related to the differences in the momentum transfer between the  $\nu_\mu$  and  $\nu_e$  scattering.



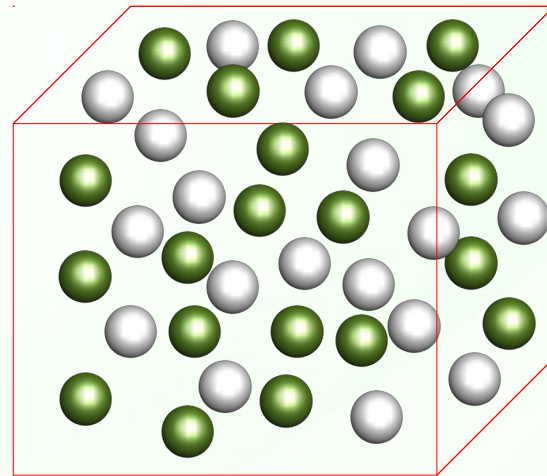
**When is the  $\nu_e$  cross section  
higher than the  $\nu_\mu$  one?**

# Fermi gas model

Nucleus treated as a fragment of non-interacting infinite nuclear matter of constant density. Eigenstates have definite momenta and energies  $E_p = \sqrt{M^2 + \mathbf{p}^2} - \epsilon$ .



Momentum space



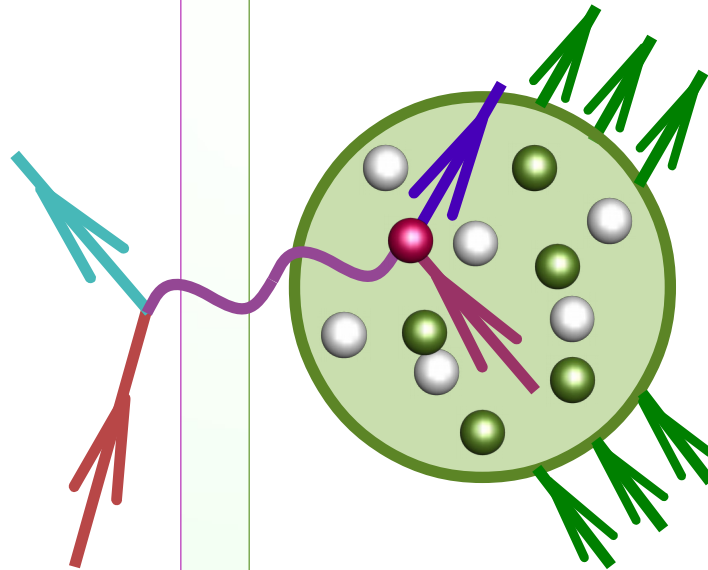
Coordinate space

# Relativistic Fermi gas

## Lepton kinematics

$$\omega = E_\nu - E_\ell,$$

$$\mathbf{q} = \mathbf{k}_\nu - \mathbf{k}_\ell,$$



## Nucleon kinematics

$$\omega = \sqrt{M^2 + \mathbf{p}'^2} - \sqrt{M^2 + \mathbf{p}^2} - \epsilon,$$

$$\mathbf{q} = \mathbf{p}' - \mathbf{p},$$

# Relativistic Fermi gas

## Lepton kinematics

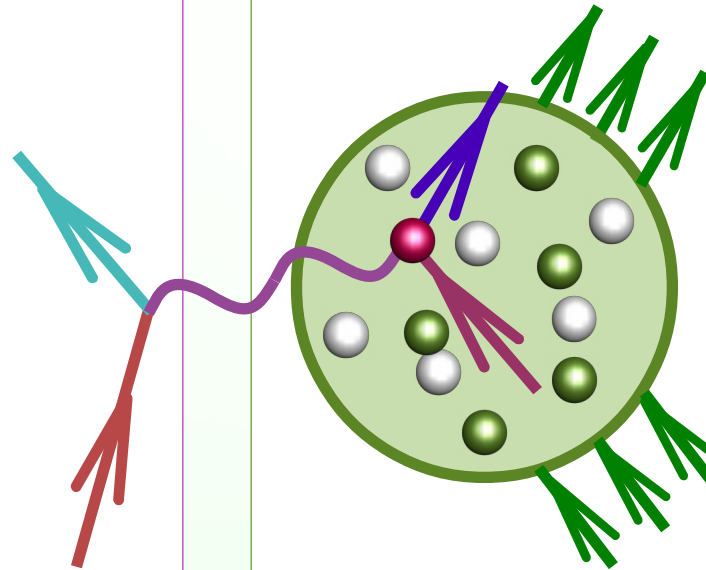
$$|\mathbf{q}| = \sqrt{E_\nu^2 - 2E_\nu |\mathbf{k}'| \cos \theta + |\mathbf{k}'|^2},$$

$$|\mathbf{k}'| = \sqrt{(E_\nu - \omega)^2 - m^2}.$$

## Nucleon kinematics

$$|h - p_F| \leq |\mathbf{q}| \leq h + p_F,$$

$$h = \sqrt{(\omega - \epsilon + E_F)^2 - M^2} \text{ and } E_F = \sqrt{M^2 + p_F^2}$$



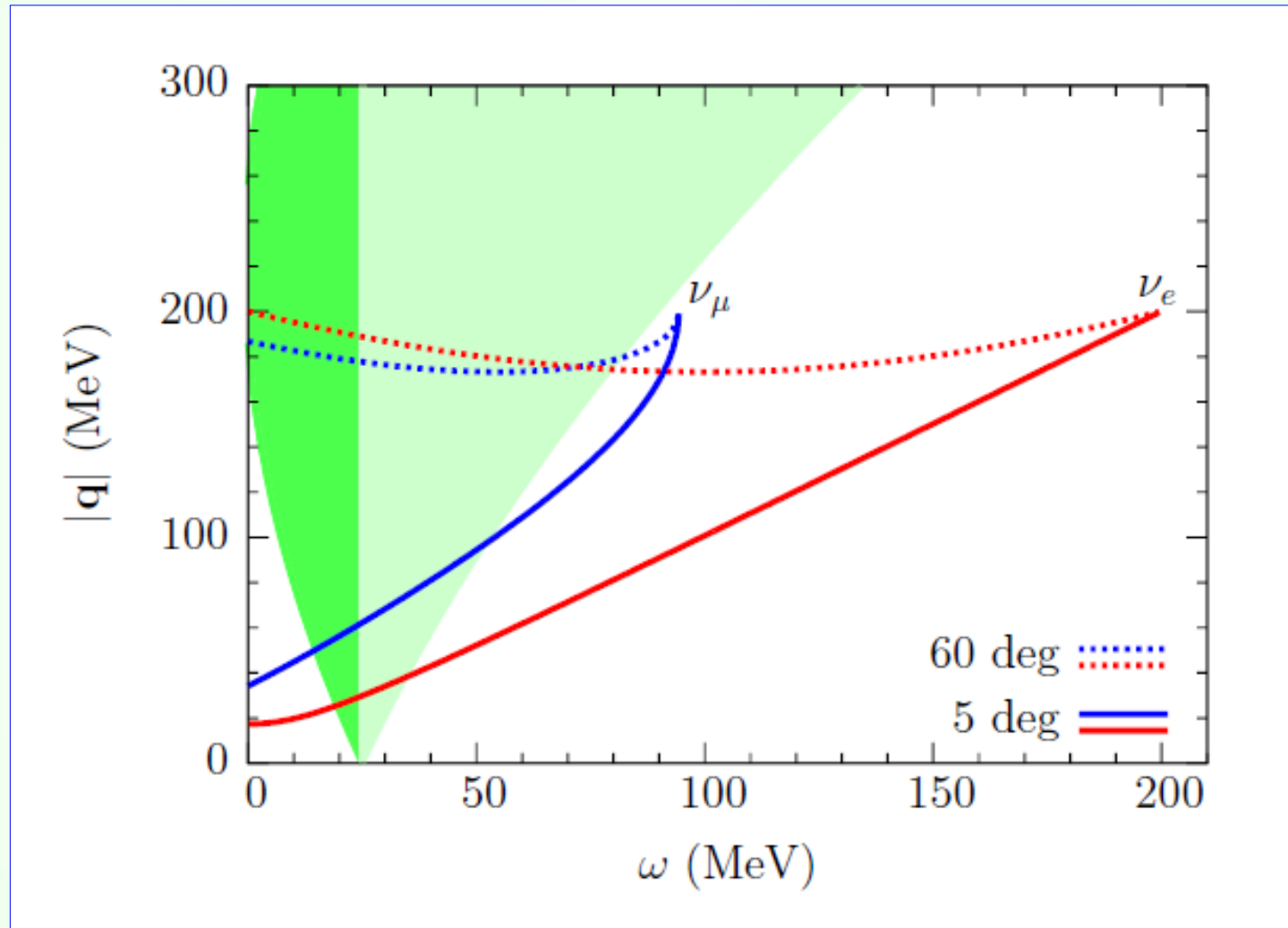
**w/o Pauli blocking**

$$\omega_{\min} = M - E_F + \epsilon$$

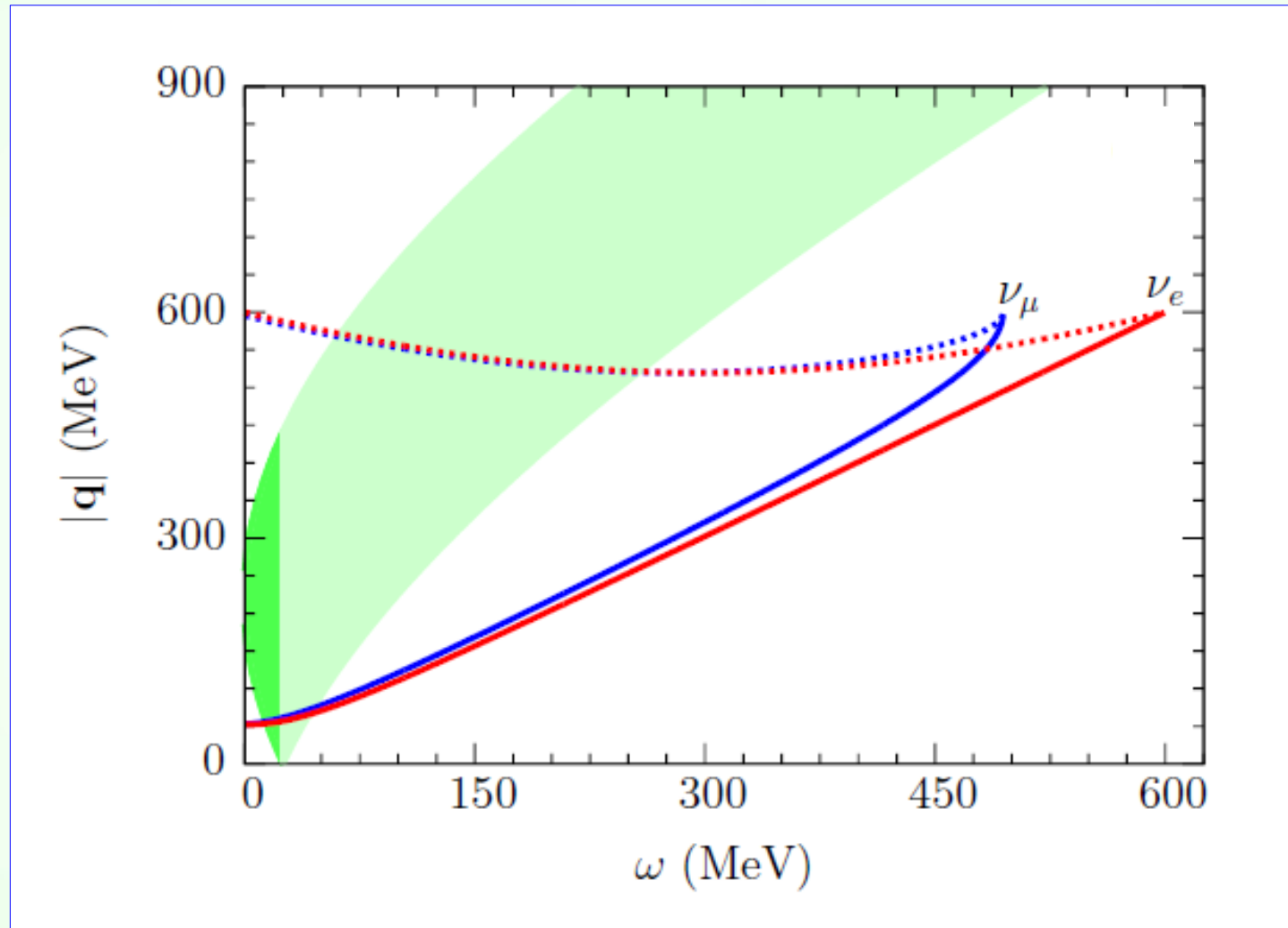
**w/ Pauli blocking**

$$\omega_{\min} = \epsilon$$

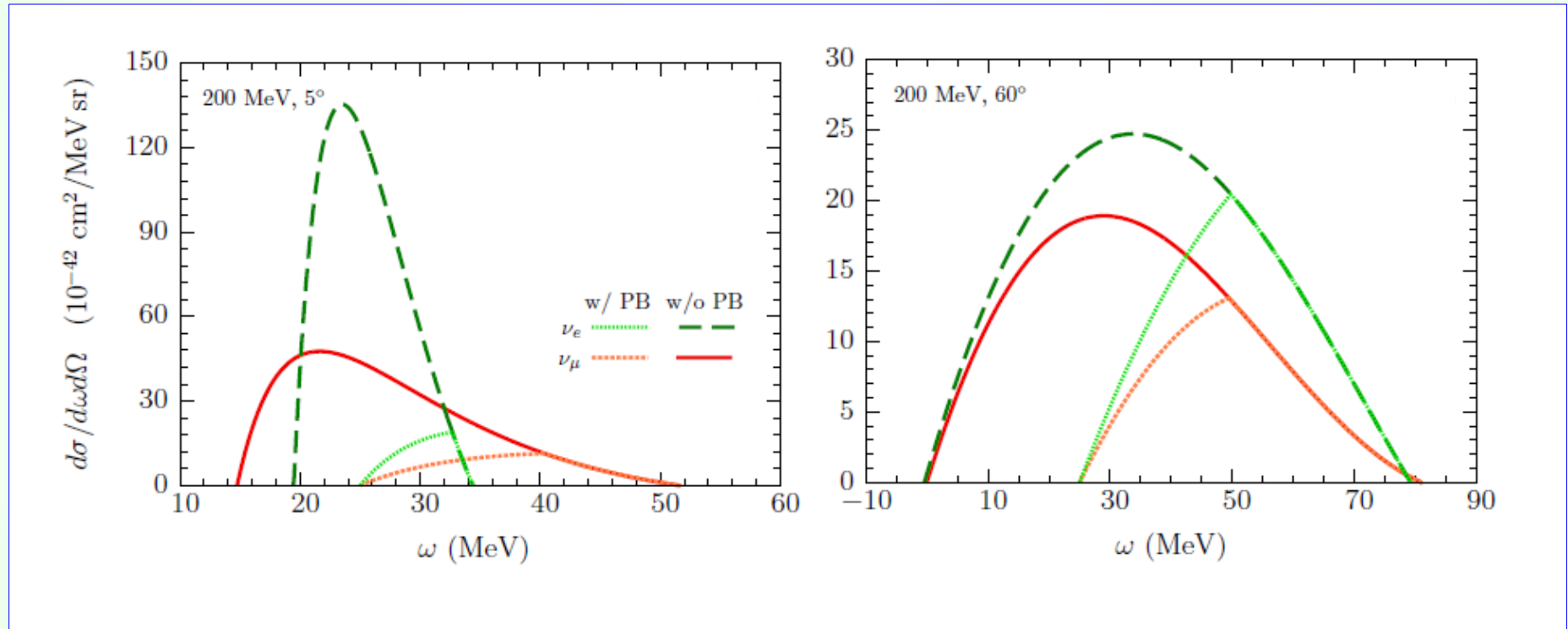
# RFG, CCQE scattering at 200 MeV



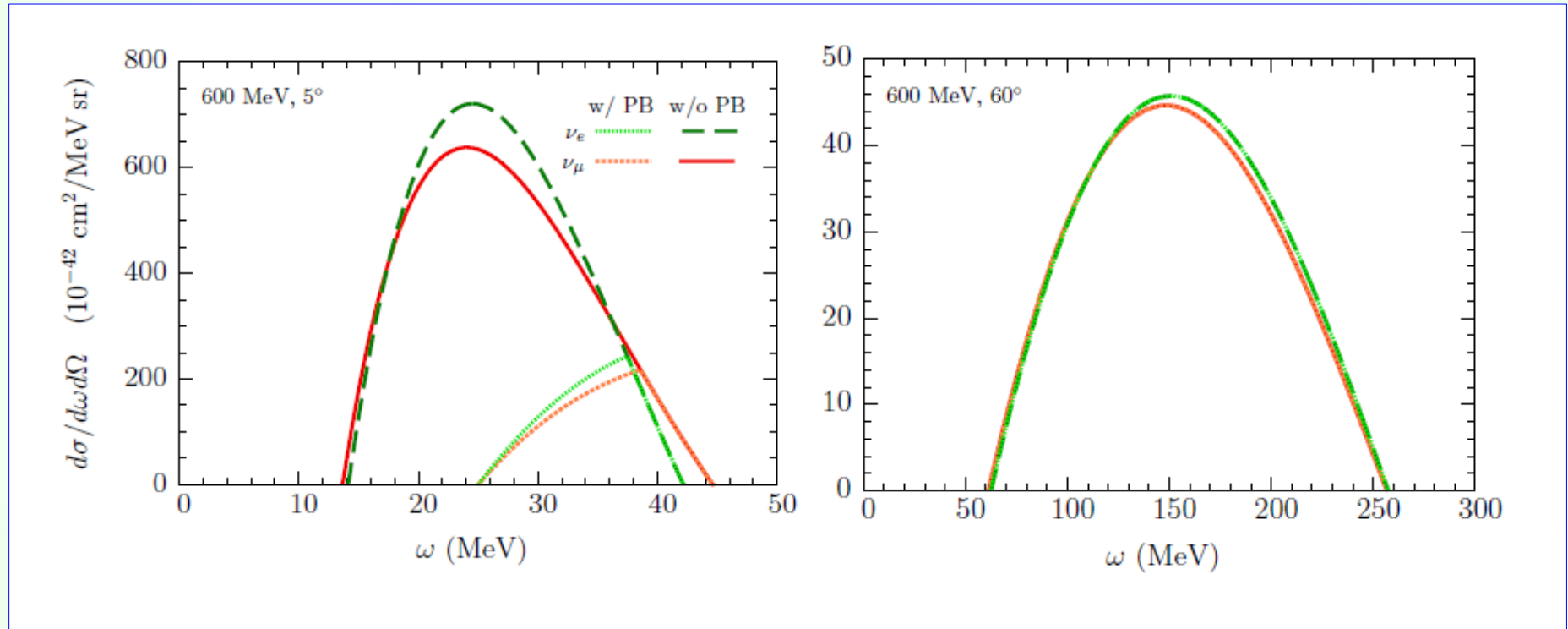
# RFG, CCQE scattering at 600 MeV



# CCQE scattering at 200 MeV



# CCQE scattering at 600 MeV



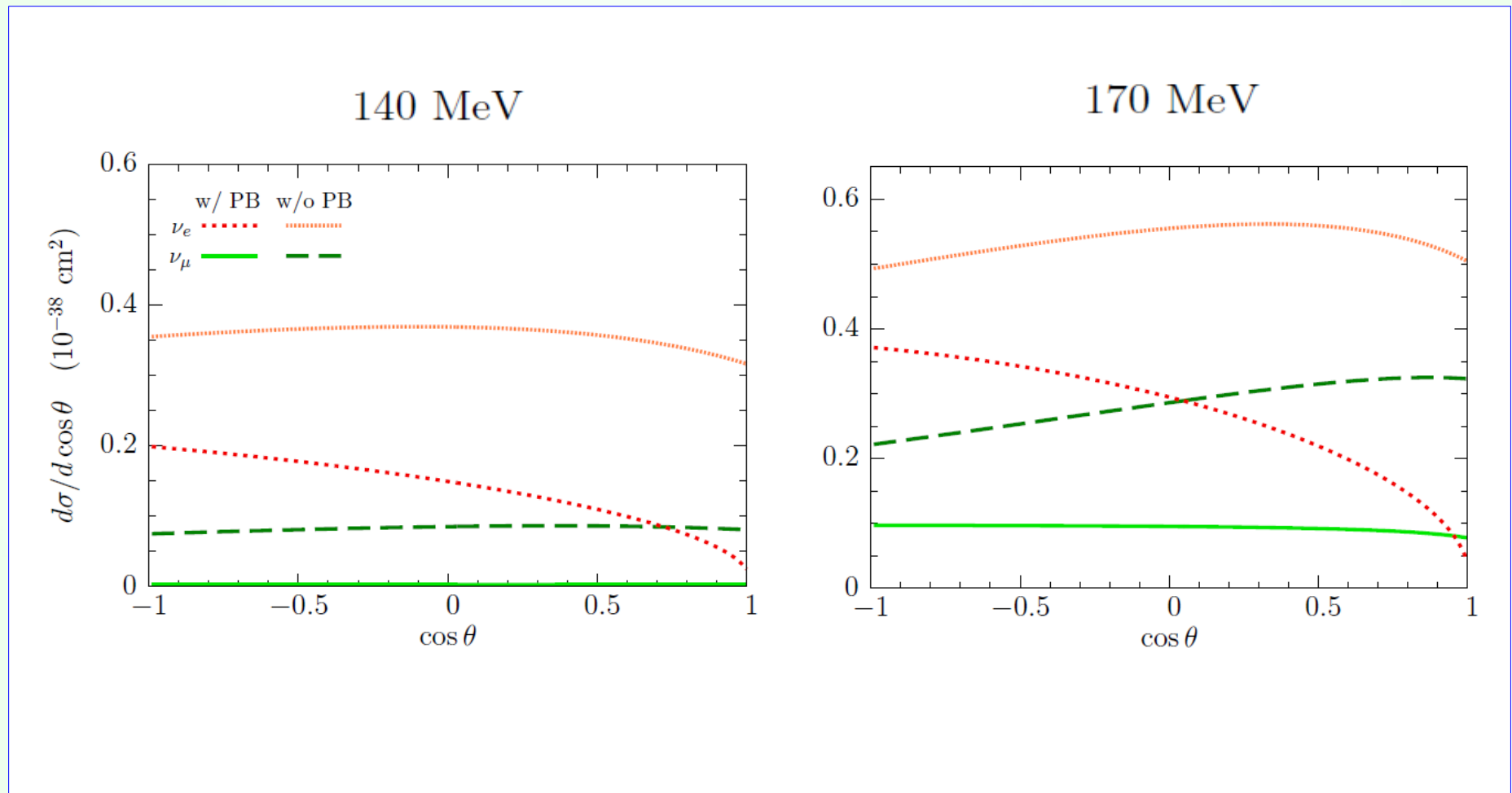
# Cross-sections' ratio $\frac{d\sigma(\nu_\mu)}{d\cos\theta} / \frac{d\sigma(\nu_e)}{d\cos\theta}$

	$E_\nu = 200 \text{ MeV}$		$E_\nu = 600 \text{ MeV}$	
	$5^\circ$	$60^\circ$	$5^\circ$	$60^\circ$
RFG w/ PB	1.57	0.62	1.03	0.97
RFG w/o PB	0.73	0.71	0.96	0.97

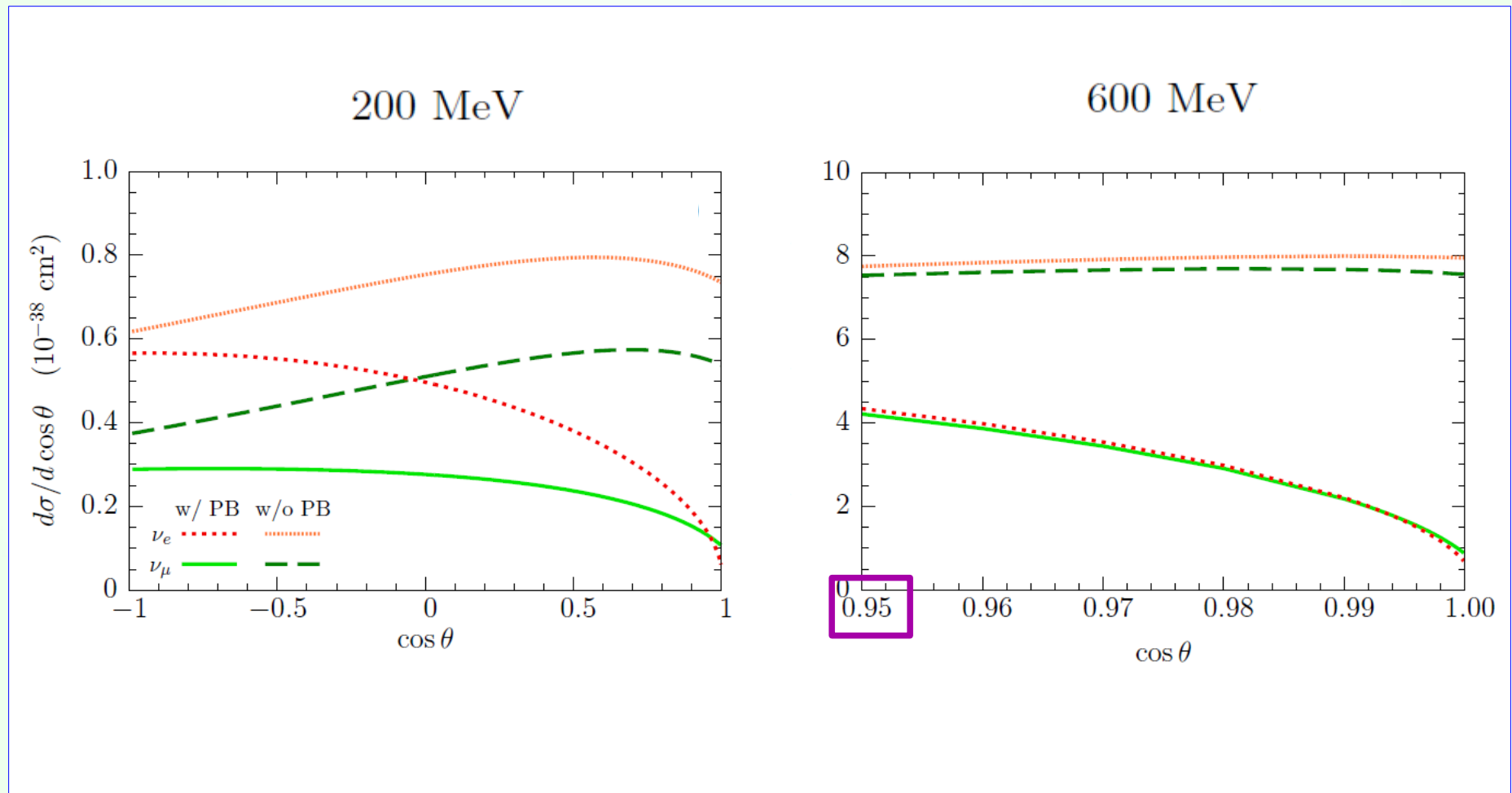
Details of nuclear model can qualitatively change the mass dependence of the cross section.

The behavior is driven by the phase-space availability, rather than the kinematics.

# Differential cross section $d\sigma/d\cos\theta$



# Differential cross section $d\sigma/d\cos\theta$



# Relativistic Fermi gas

Reducing the available phase space, Pauli blocking changes the behavior of the cross section.

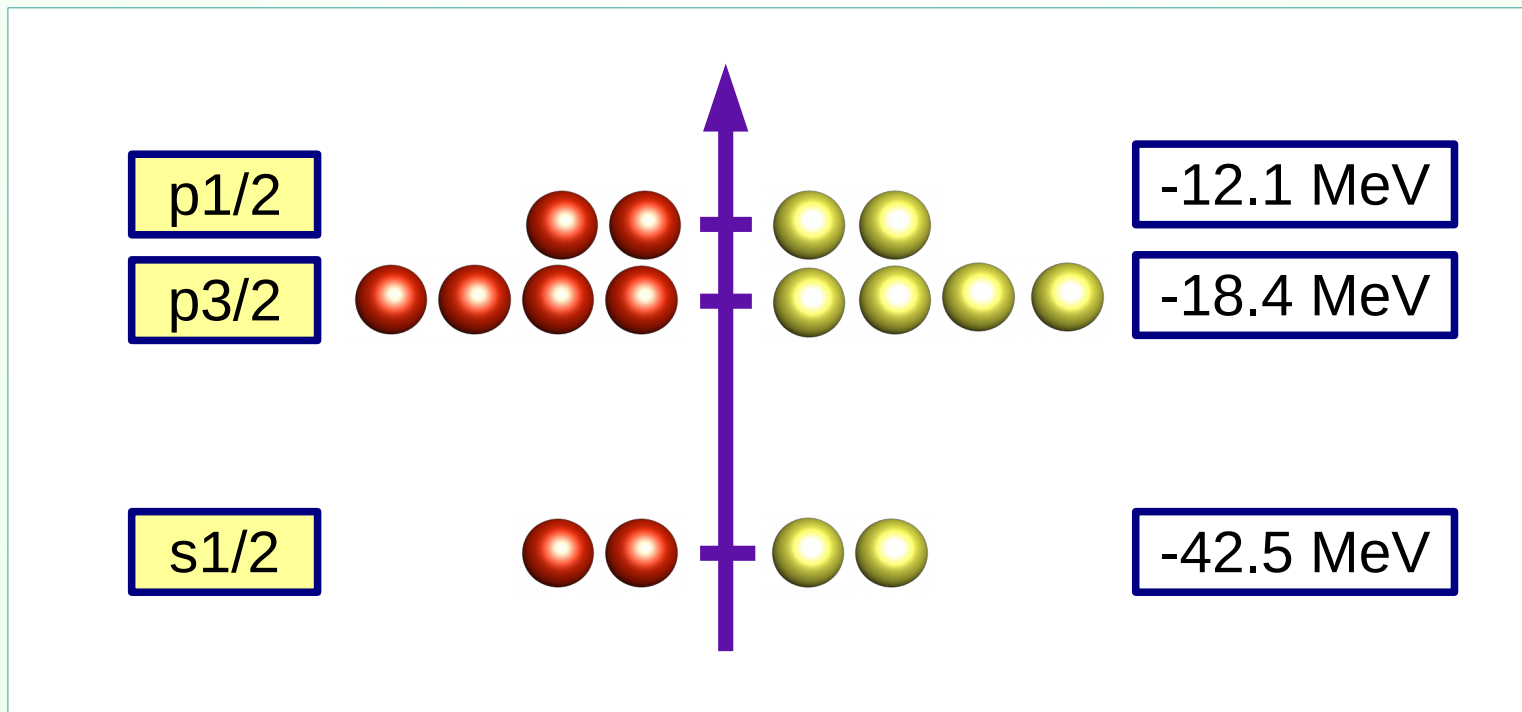
- Without Pauli blocking, the  $\nu_e$  cross section converges to the  $\nu_\mu$  one from below, when energy increases.
- With Pauli blocking, close to the threshold the  $\nu_\mu$  cross section is lower than the  $\nu_e$  one for any angle. At higher energies, there is a range of angles where

$$d\sigma(\nu_\mu)/d\cos\theta > d\sigma(\nu_e)/d\cos\theta.$$

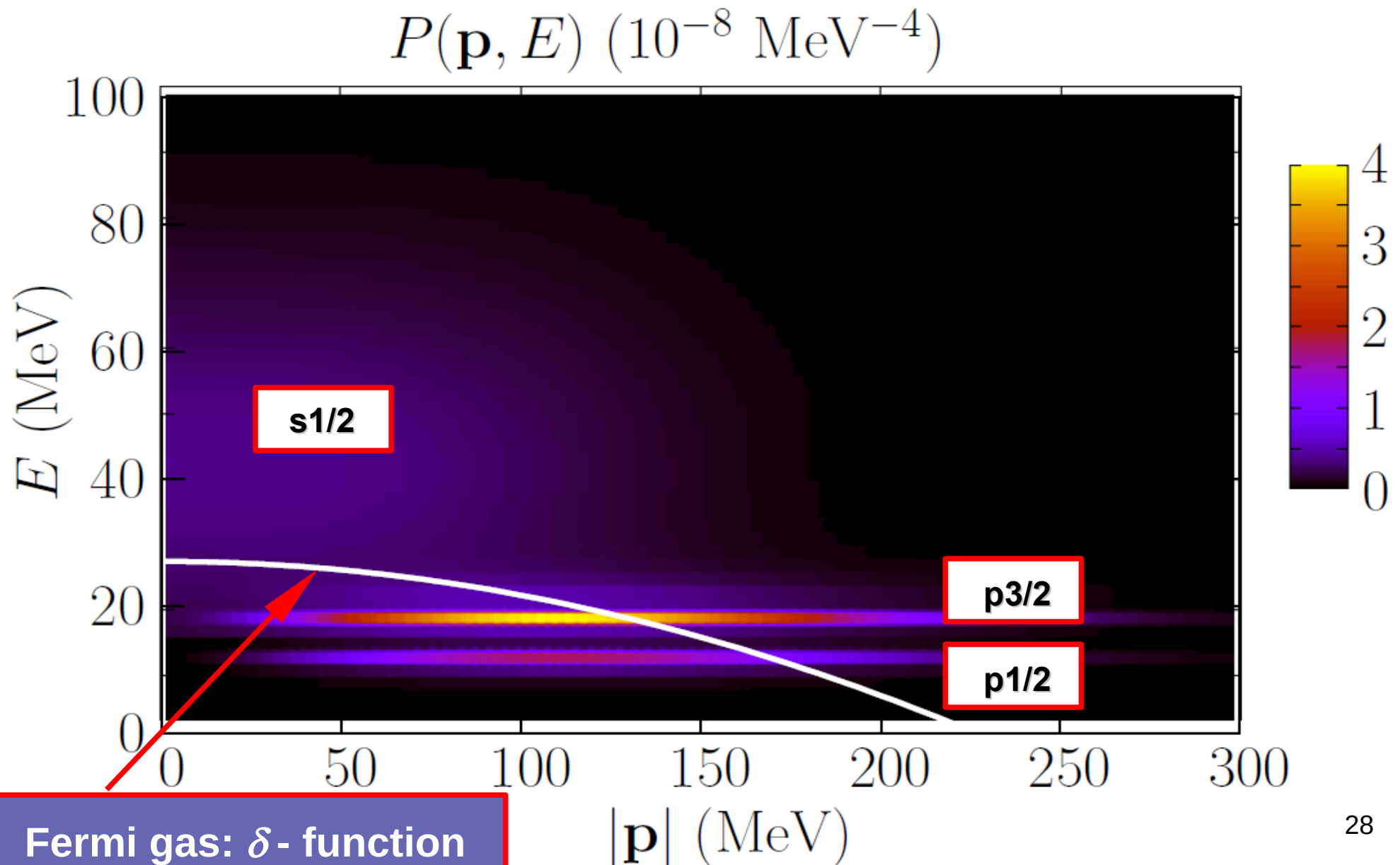
# Shell model

In a spherically symmetric potential, the eigenstates correspond to definite values of the total angular momentum.

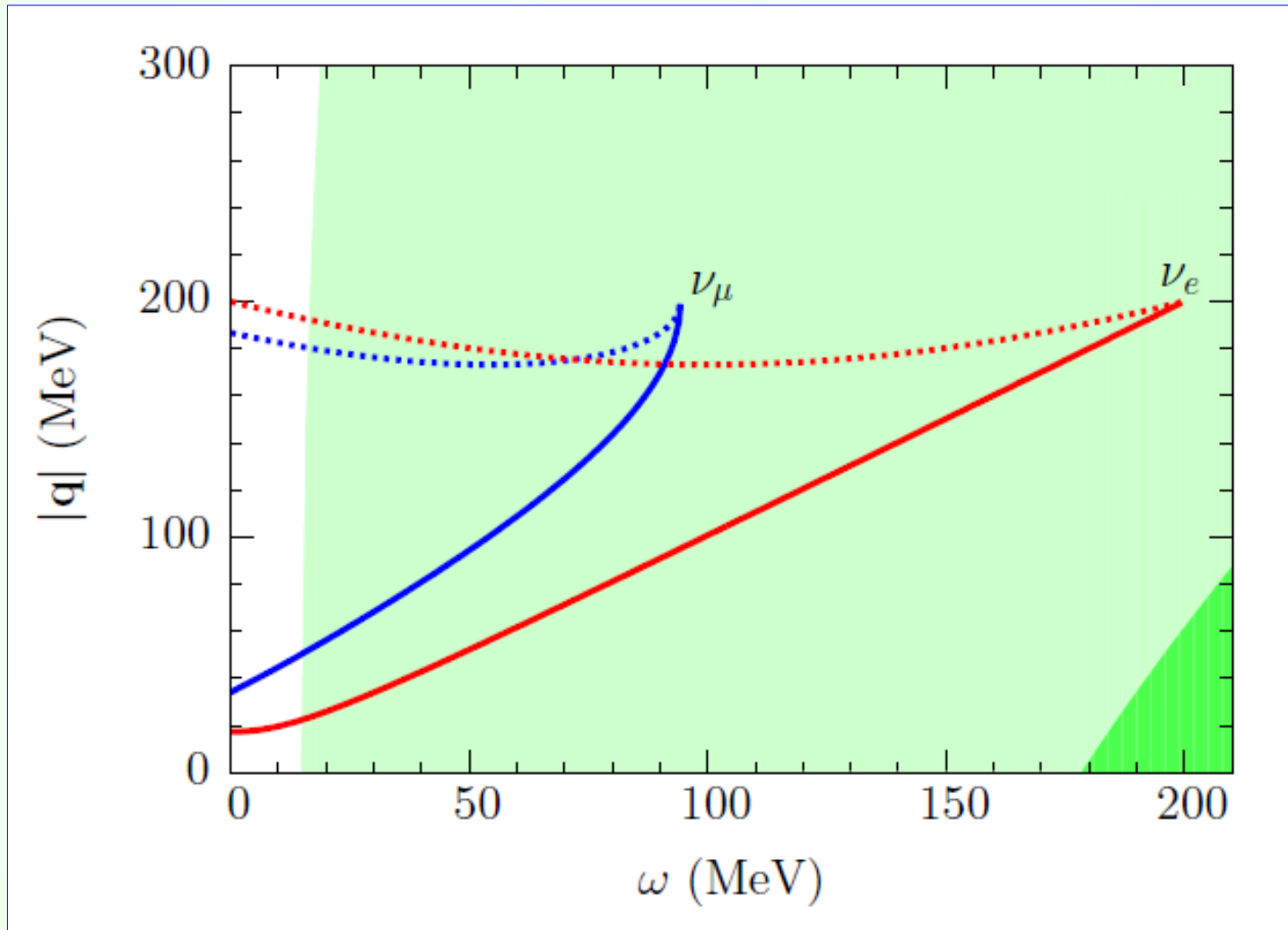
No correspondence between nucleon momentum and energy, unlike in the Fermi gas model.



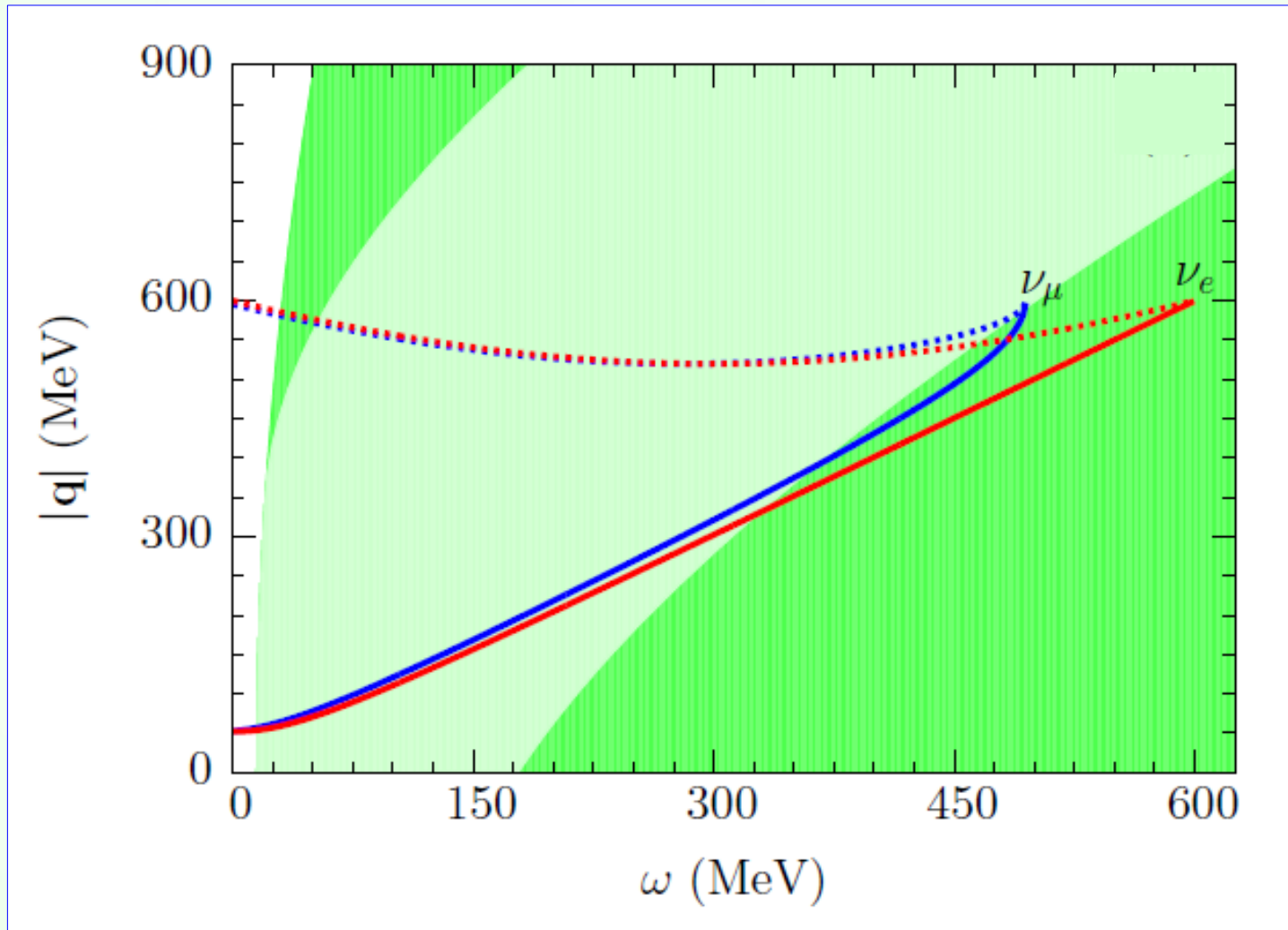
# Example: spectral function of oxygen



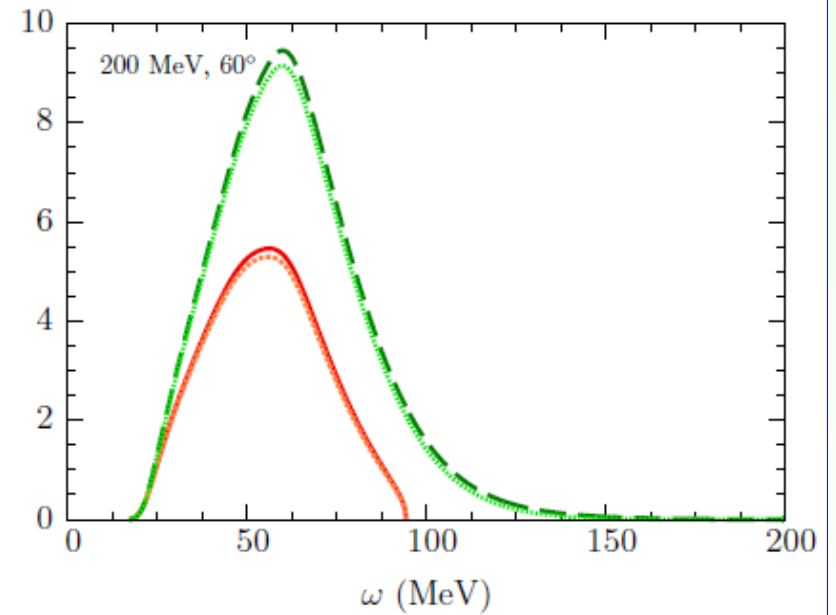
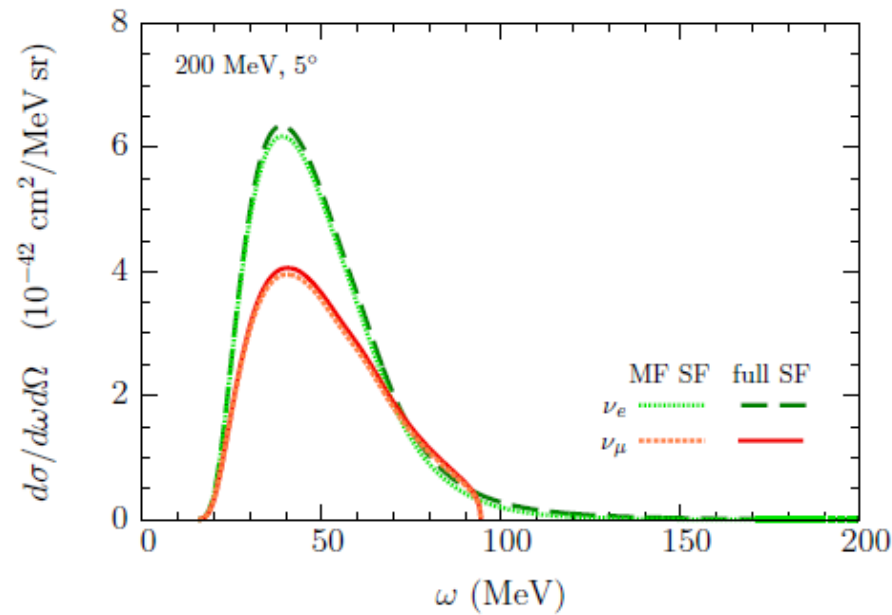
# SF, CCQE scattering at 200 MeV



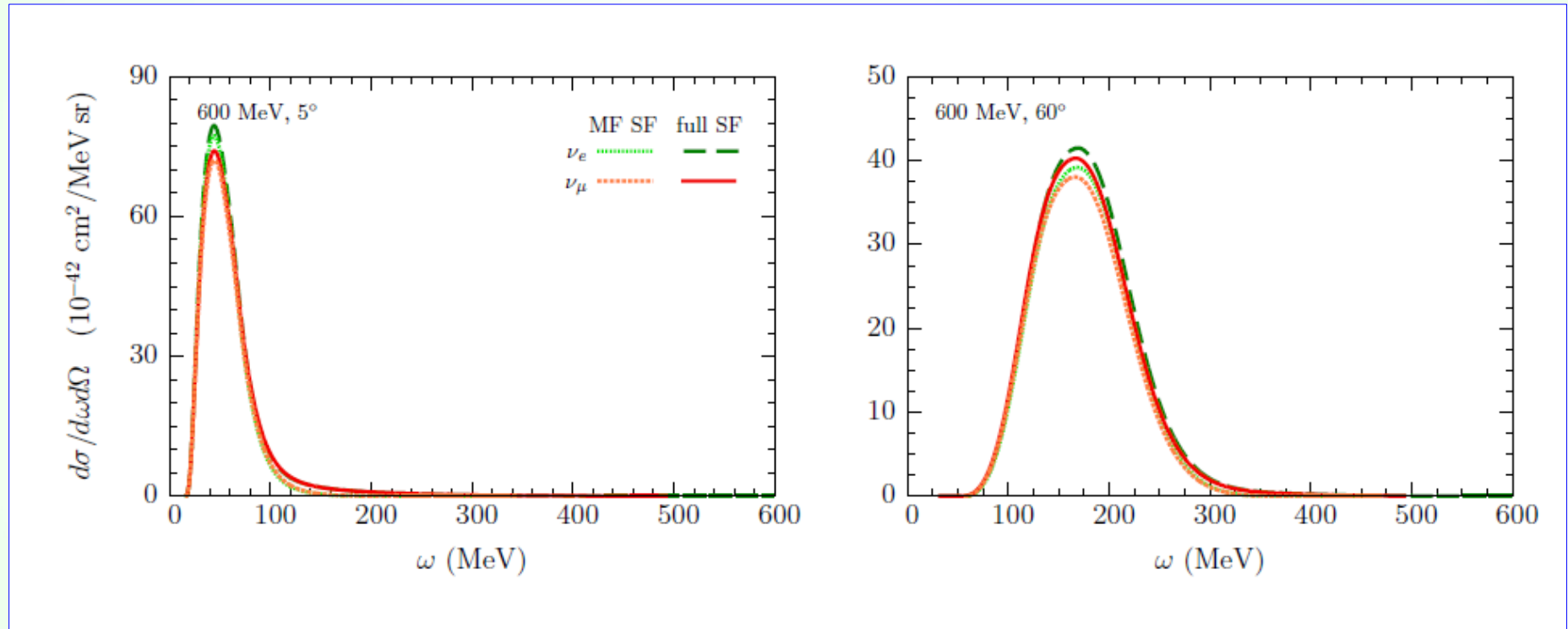
# SF, CCQE scattering at 600 MeV



# CCQE scattering at 200 MeV



# CCQE scattering at 600 MeV

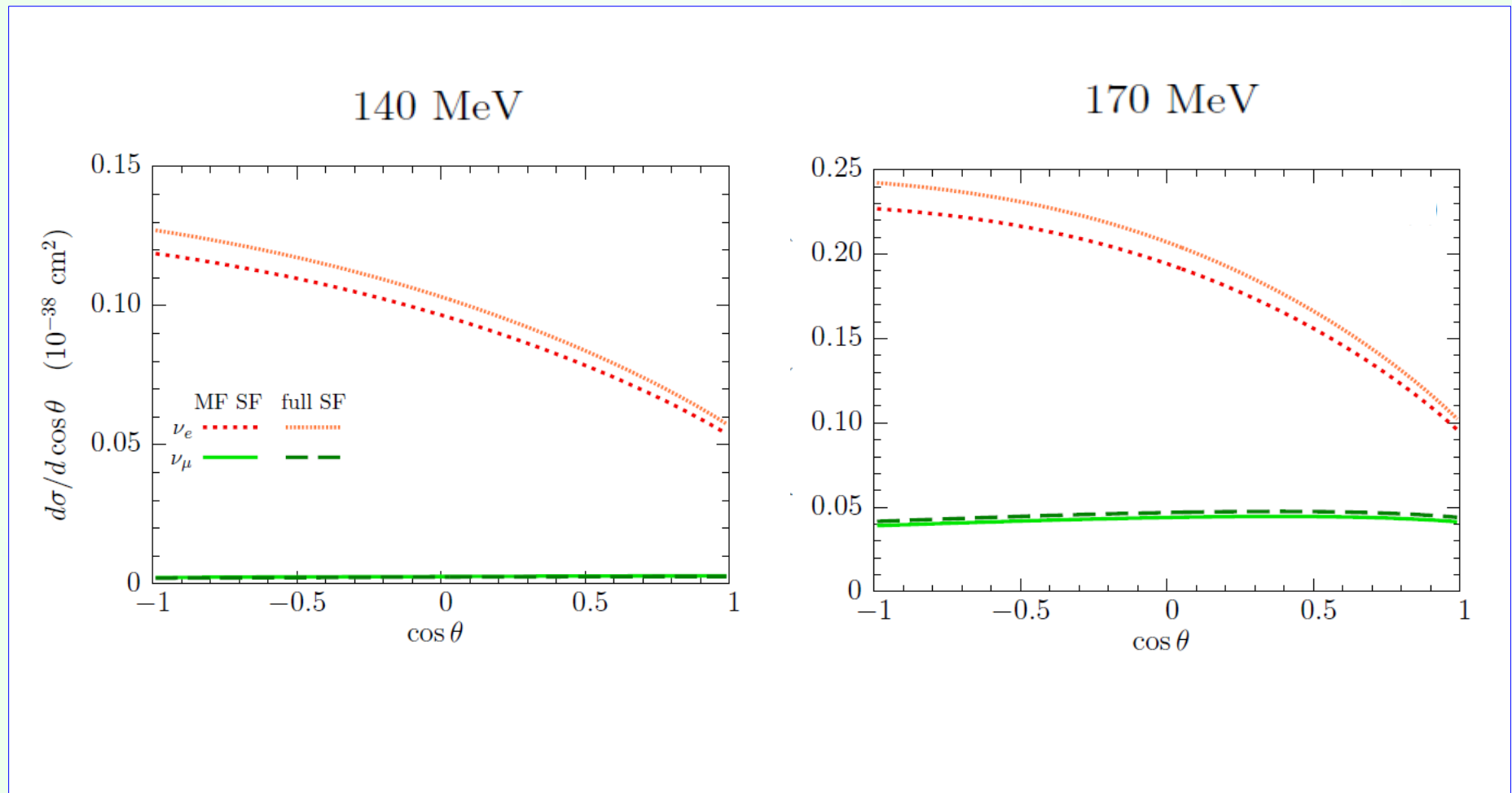


# Cross-sections' ratio $\frac{d\sigma(\nu_\mu)}{d\cos\theta} / \frac{d\sigma(\nu_e)}{d\cos\theta}$

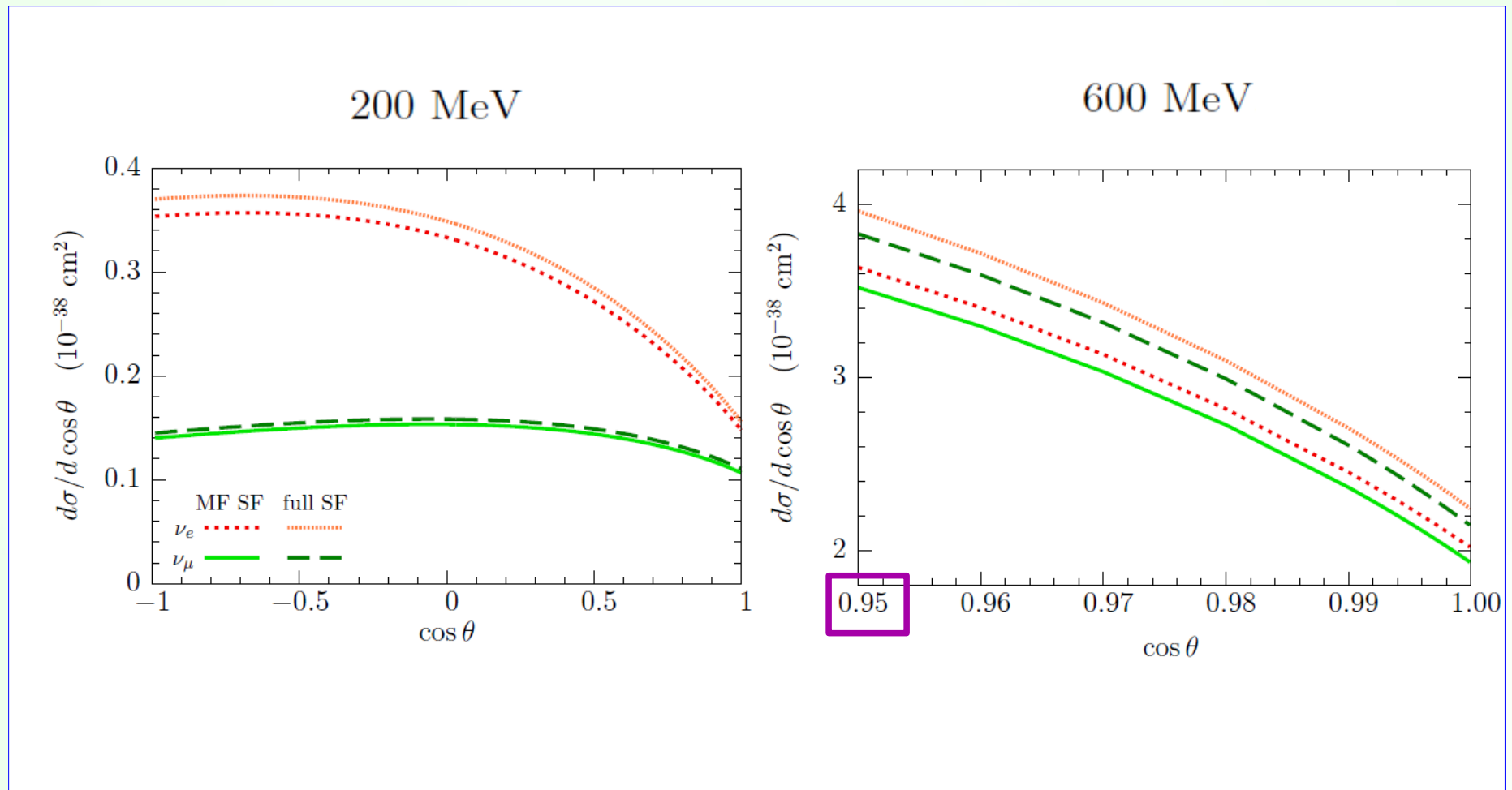
	$E_\nu = 200 \text{ MeV}$		$E_\nu = 600 \text{ MeV}$	
	$5^\circ$	$60^\circ$	$5^\circ$	$60^\circ$
RFG w/ PB	1.57	0.62	1.03	0.97
RFG w/o PB	0.73	0.71	0.96	0.97
Mean-field SF	0.72	0.53	0.96	0.97
Full SF	0.71	0.52	0.96	0.97

The ratio is governed mostly by the shell contribution, extracted from  $(e,e'p)$  data.

# Differential cross section $d\sigma/d\cos\theta$



# Differential cross section $d\sigma/d\cos\theta$



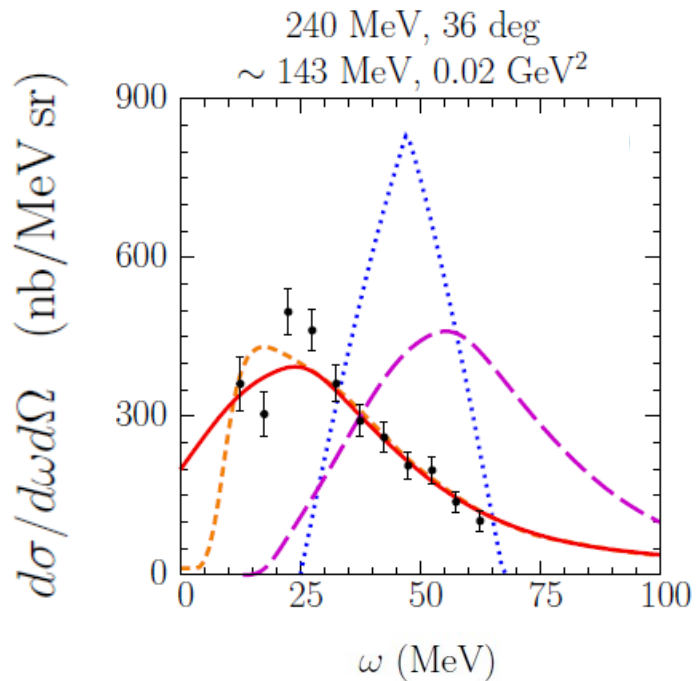
# Shell model/Spectral function

- The available phase space is broad due to energy and momentum distributions of the shell states.
- The  $\nu_\mu$  cross section is lower than the  $\nu_e$  one **for any angle**, but converges to it as energy increases.

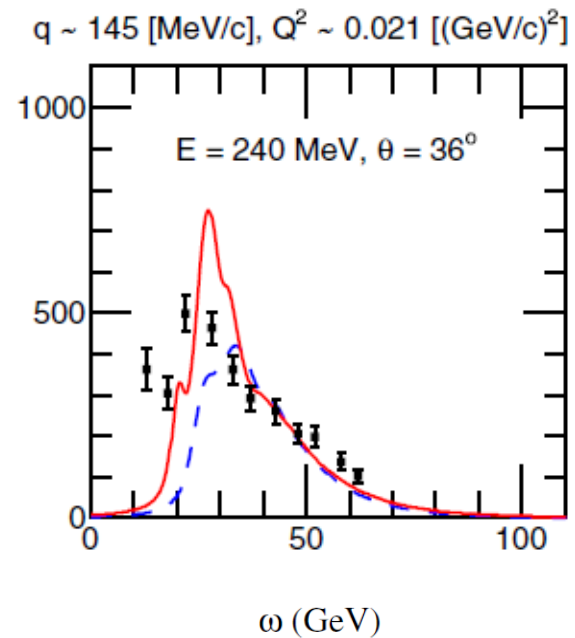


**Should we be concerned  
about model differences?**

# Nuclear models are converging



AMA *et al.*,  
PRD **91**, 033005 (2015)



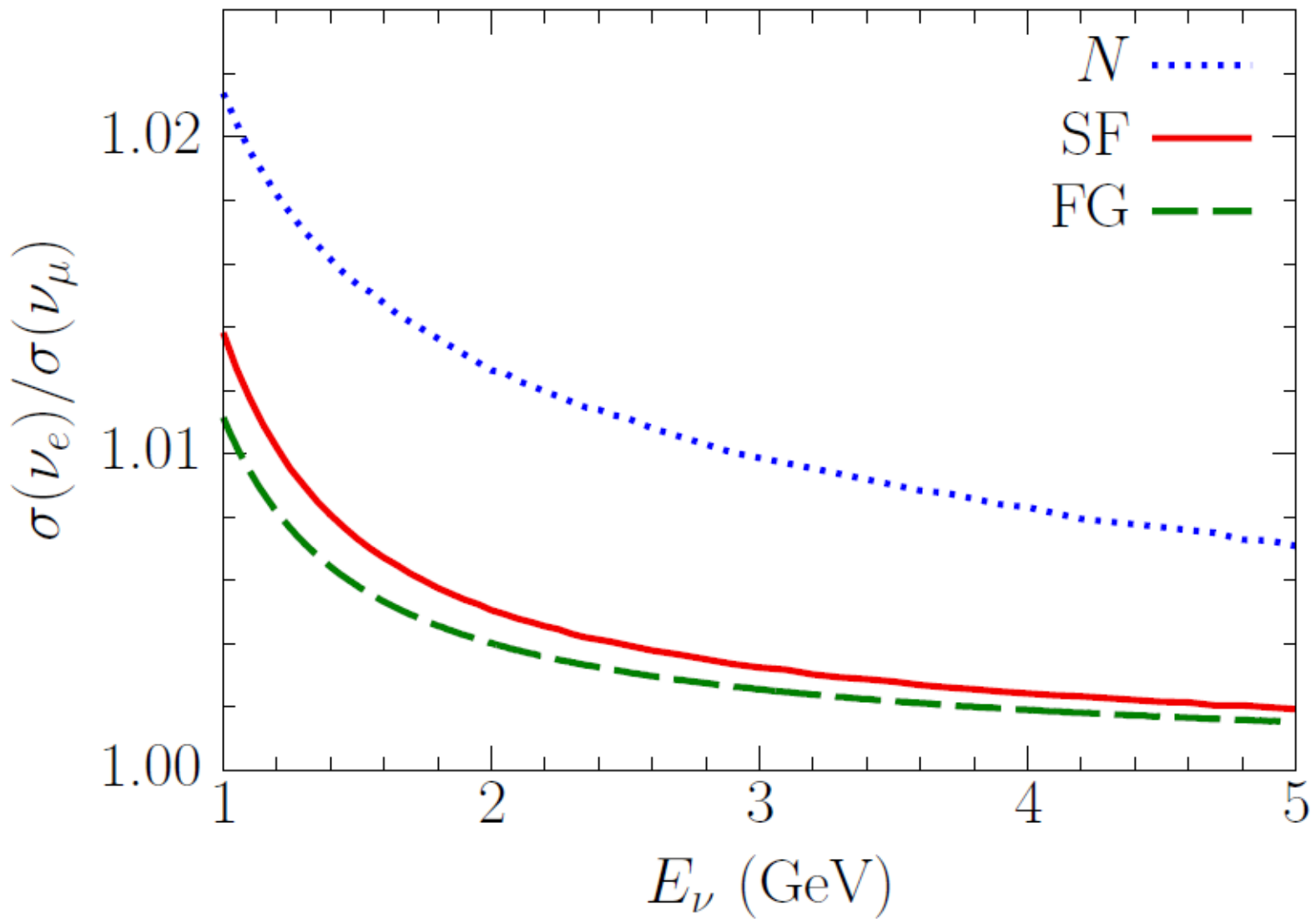
V. Pandey *et al.*,  
PRC **92**, 024606 (2015)

See also: G. D. Megias *et al.*, PRC **94**, 013012 (2016);  
J. E. Sobczyk, PRC **96**, 045501 (2017)

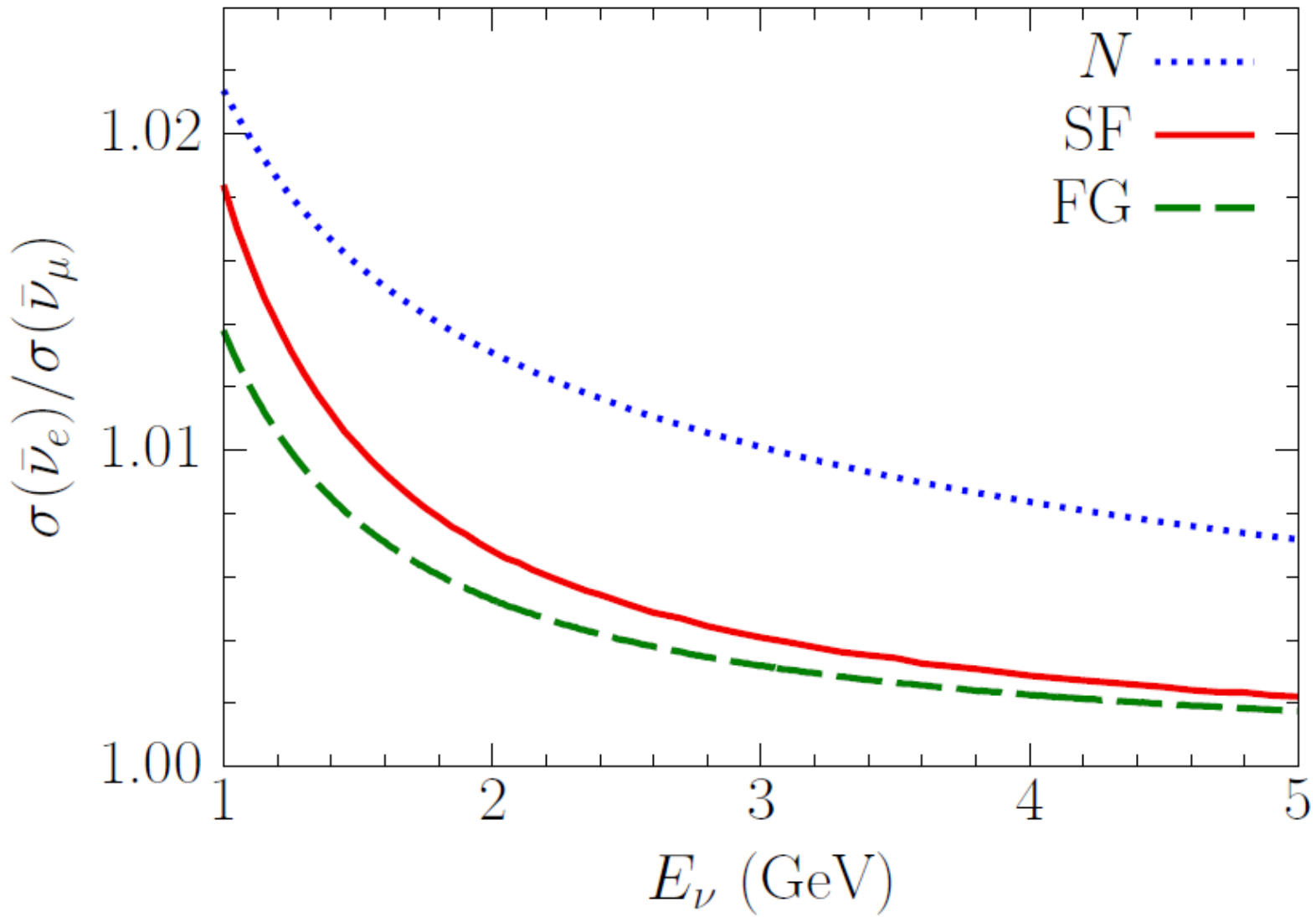
# Model differences

- Models developed to reproduce inclusive electron-scattering data may give similar results starting from different physics assumptions.
- Treating the initial states differently, they lead to different exclusive cross sections (hadron distributions).
- For long-baseline neutrino experiments, particularly those using calorimetric energy reconstruction, exclusive cross sections are essential.

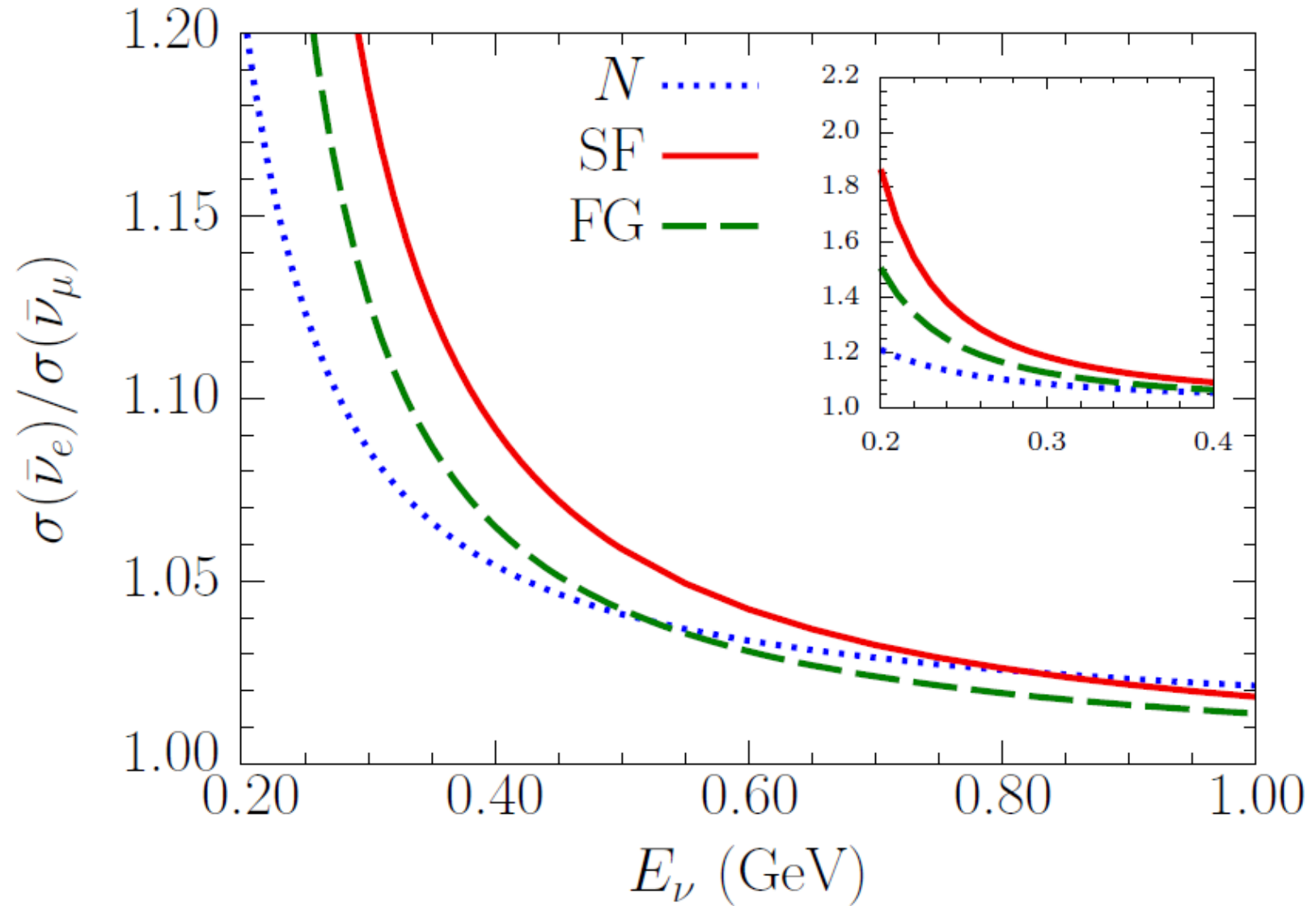
# $\nu_e$ to $\nu_\mu$ cross sections' ratio



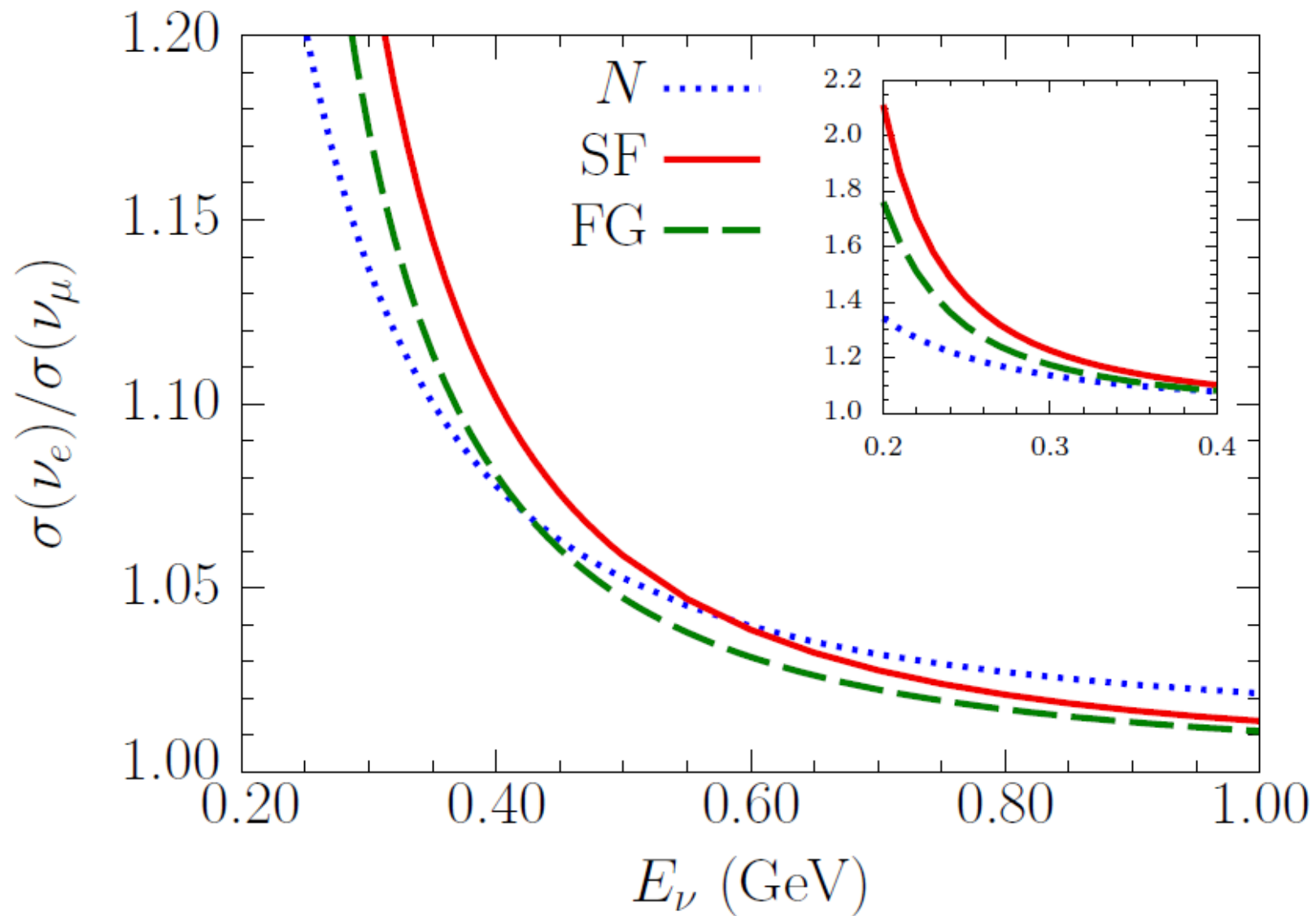
# $\bar{\nu}_e$ to $\bar{\nu}_\mu$ cross sections' ratio



# $\bar{\nu}_e$ to $\bar{\nu}_\mu$ cross sections' ratio



# $\nu_e$ to $\nu_\mu$ cross sections' ratio



# Summary

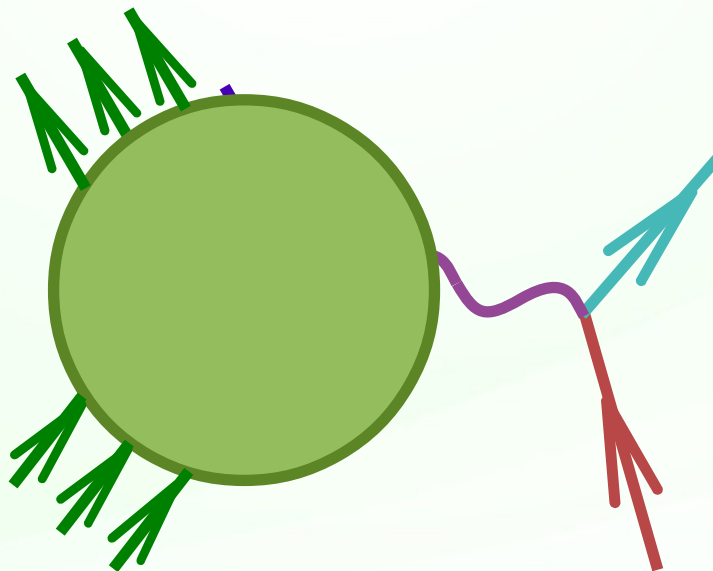
- Next-generation appearance experiments require  $\sigma(\nu_\mu)/\sigma(\nu_e)$  known with challenging precision.
- The ratio's precise measurement currently not possible. It may be necessary to rely on input from theory.
- For differential cross sections at low energies, different nuclear models may yield qualitatively different results.
- The  $\sigma(\nu_\mu) - \sigma(\nu_e)$  difference small above 1 GeV, but significant at energies below  $\sim 600$  MeV.



**Backup slides**

# Impulse approximation

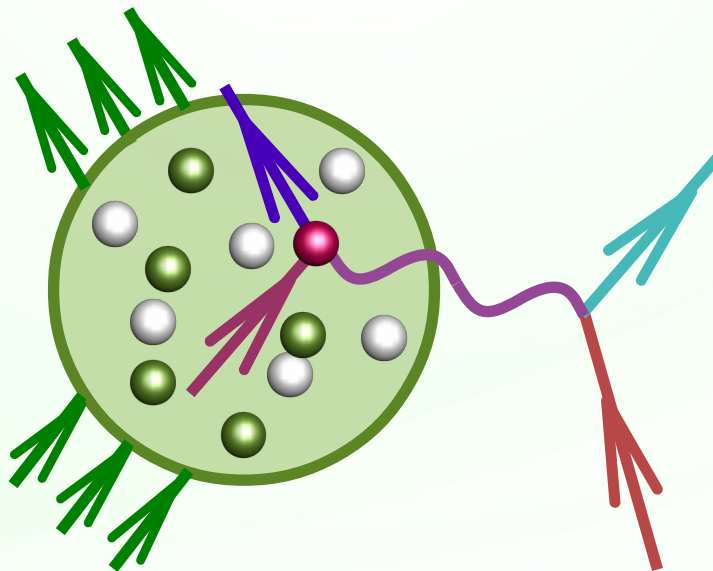
*Assumption:* the dominant process of lepton-nucleus interaction is **scattering off a single nucleon**, with the remaining nucleons acting as a spectator system.



# Impulse approximation

*Assumption:* the dominant process of lepton-nucleus interaction is **scattering off a single nucleon**, with the remaining nucleons acting as a spectator system.

It's valid when the momentum transfer  $|\mathbf{q}|$  is high enough, as the probe's spatial resolution is  $\sim 1/|\mathbf{q}|$ .



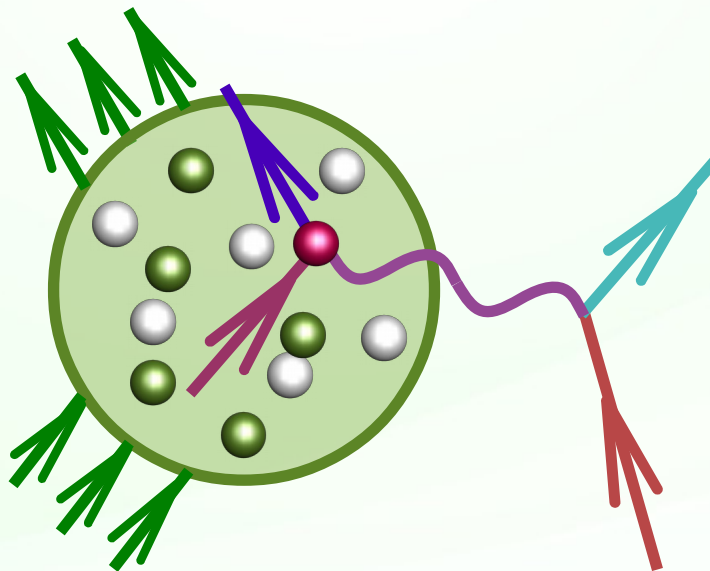
# Impulse approximation

$$\frac{d\sigma_{eA}}{d\omega d\Omega} = \sum_N \int d\omega' d^3p dE \underbrace{P_{\text{hole}}^N(\mathbf{p}, E)}_{\text{Hole spectral function}} \underbrace{\frac{M}{E_p} \frac{d\sigma_{eN}^{\text{elem}}}{d\omega' d\Omega}}_{\text{Elementary cross section}} \underbrace{P_{\text{part}}^N(\mathbf{p}', \mathcal{T}', \omega')}_\text{Particle spectral function}$$

Hole spectral function

Particle spectral function

Elementary cross section



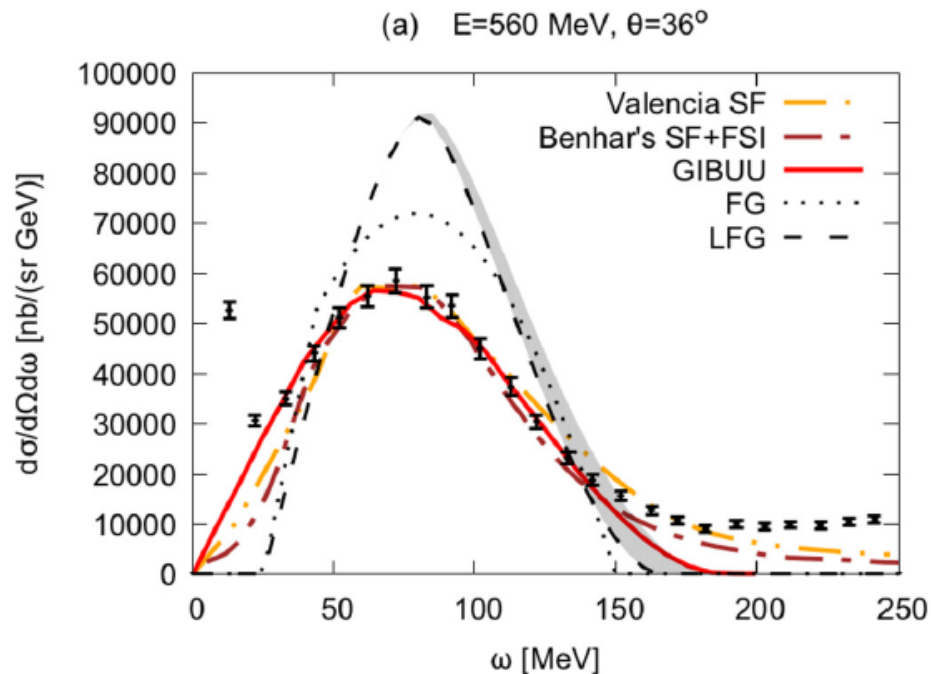
# Impulse approximation

For scattering in a given angle, neutrinos and electrons differ only due to **the elementary cross section**.

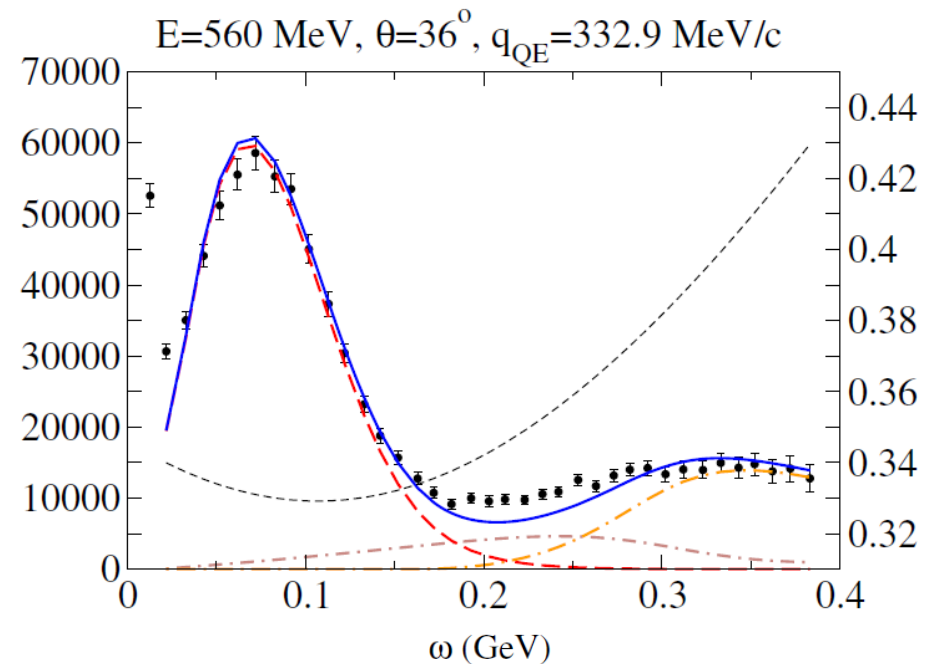
In neutrino scattering, uncertainties come from (i) interaction dynamics and (ii) **nuclear effects**.

It is **highly improbable** that theoretical approaches unable to reproduce  $(e,e')$  data would describe nuclear effects in neutrino interactions at similar kinematics.

# Nuclear models are converging



J. E. Sobczyk,  
PRC **96**, 045501 (2017)



G. D. Megias *et al.*,  
PRC **94**, 013012 (2016)

See also: AMA *et al.*, PRD **91**, 033005 (2015)

V. Pandey *et al.*, PRC **92**, 024606 (2015)