



# Theory of neutrino pion production

NuFact18, Virginia Tech, Aug 18. RGJ, Univ. Complutense Madrid

# Theory of neutrino pion production



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Complutense University of Madrid, Spain



*The 20<sup>th</sup> International Workshop on Neutrinos from Accelerators (NuFact18),  
Virginia Tech, Blacksburg, 12-18 August, 2018*

# *Collaborators*

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Natalie Jachowicz (Ghent)

Nils Van Dessel (Ghent)

Jannes Nys (Ghent)

Tom Van Cuyck (Ghent)

Vishvas Pandey (Virginia Tech)

TW Donnelly (MIT)

# Outline

***I Kinematics***

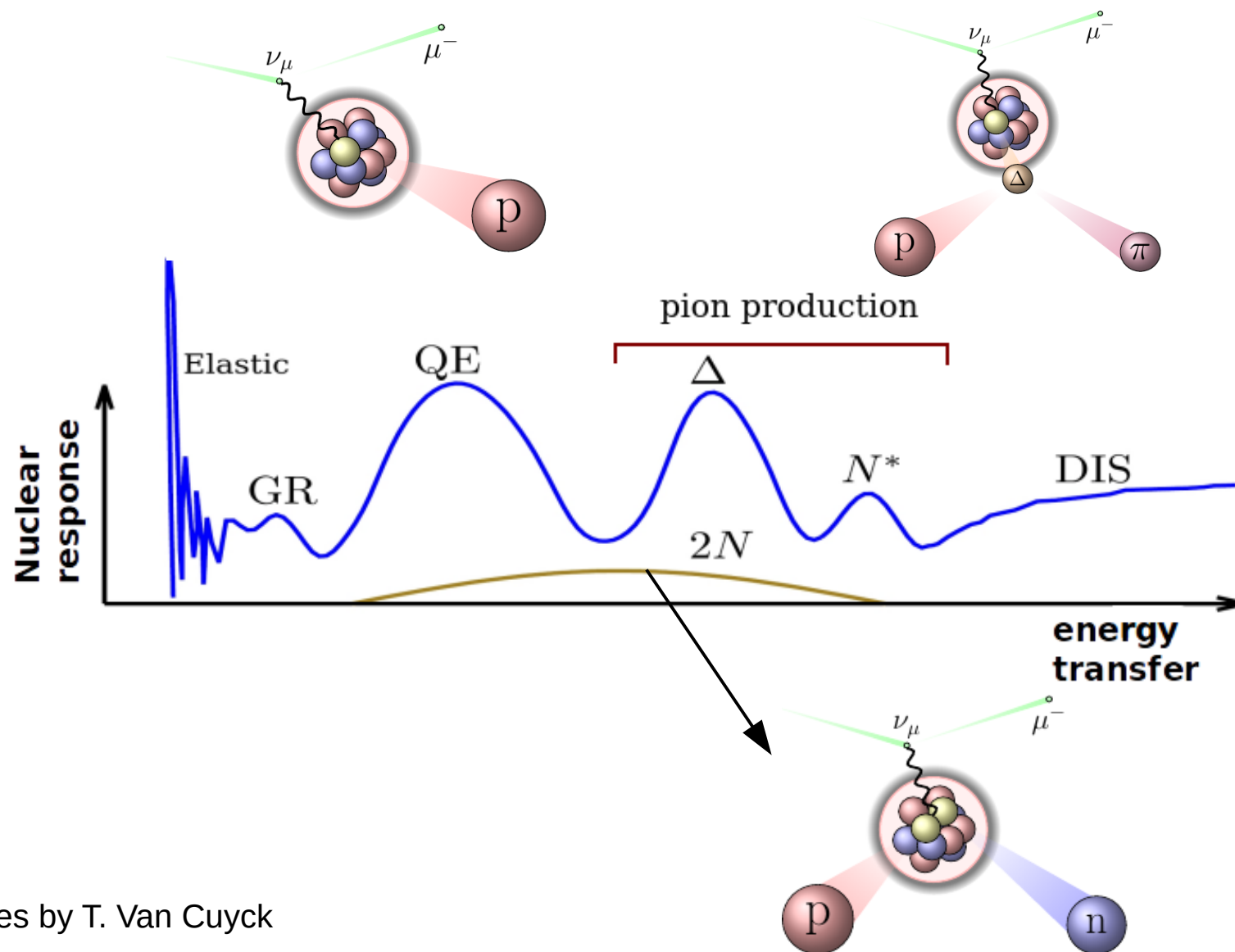
***II Interaction model***

***III Nuclear effects***

***IV Conclusions***



# What we know from $(e,e')$



Figures by T. Van Cuyck

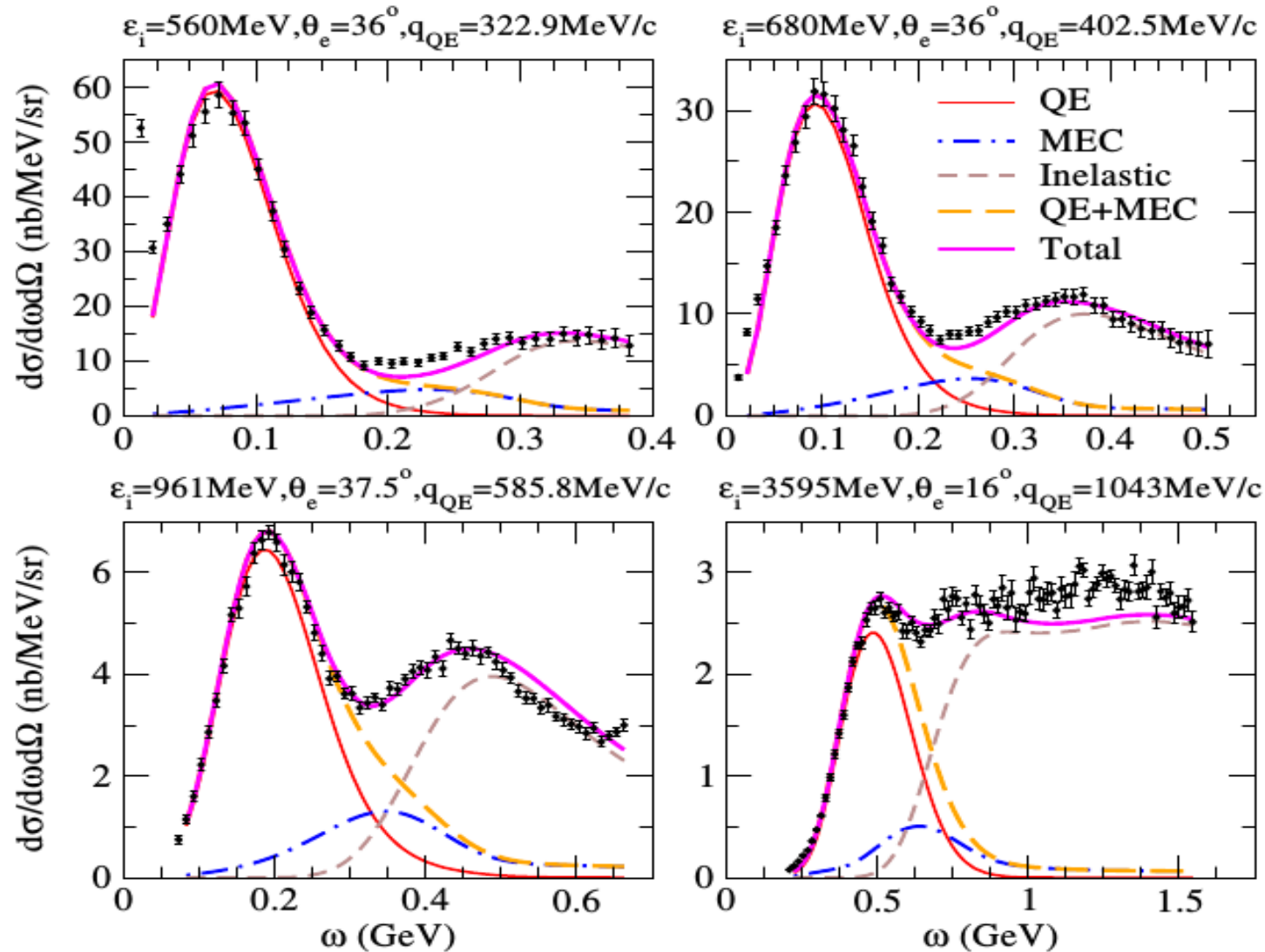
Two different approaches:

- 1) Models for inclusive processes  
(only lepton detected)
- 2) Models for exclusive processes  
(hadron(s) are detected)

# 1) Models for inclusive data (only lepton detected)

# What we know from (e,e')

Superscaling approach ( PRD 91, 073004 (2015); 94, 013012 (2016) )



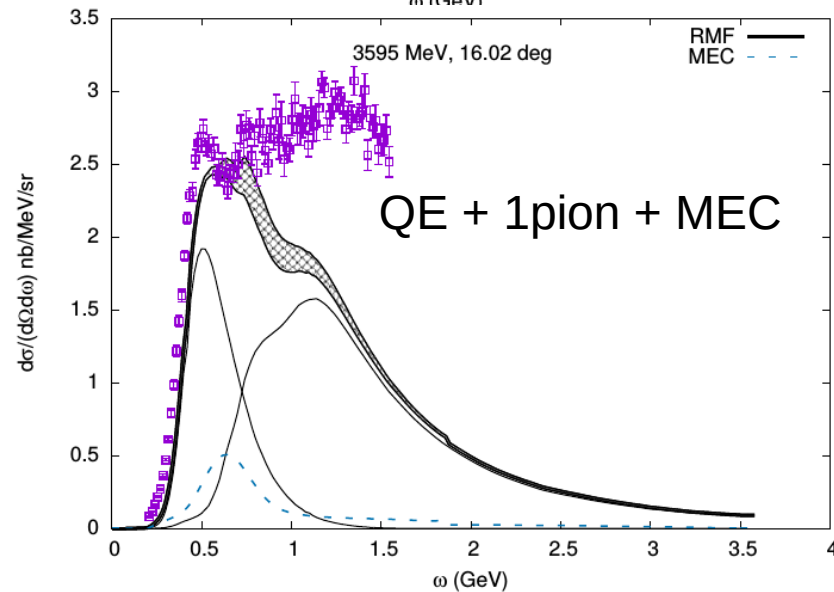
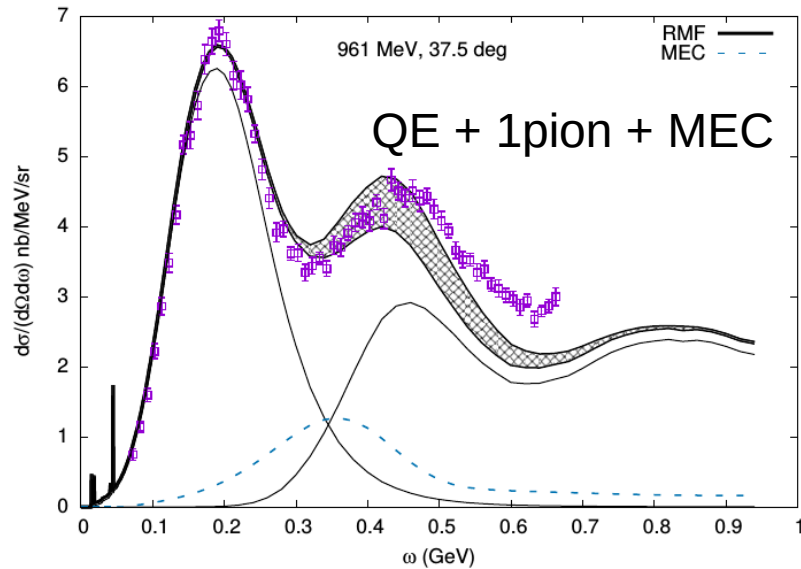
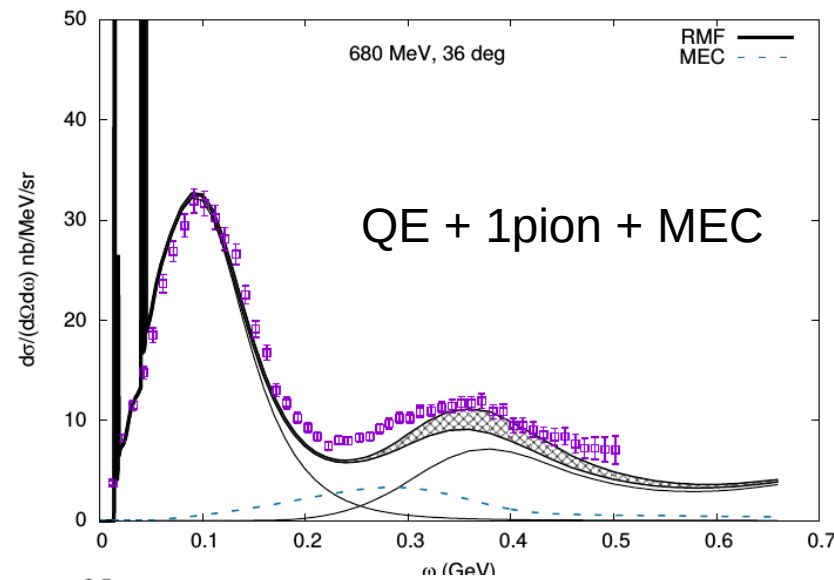
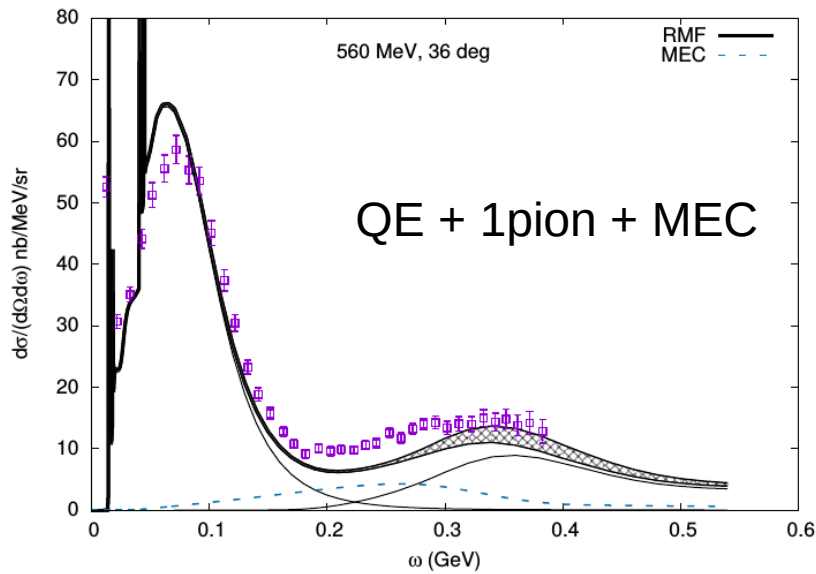
$^{12}\text{C}(e,e')$



2) Models for semi-inclusive or  
exclusive data  
(hadron(s) detected in coincidence  
with the lepton)

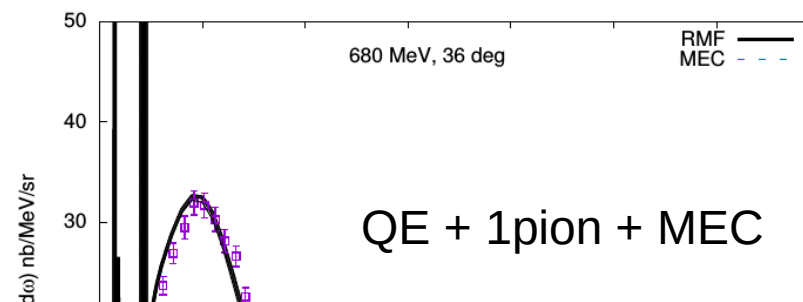
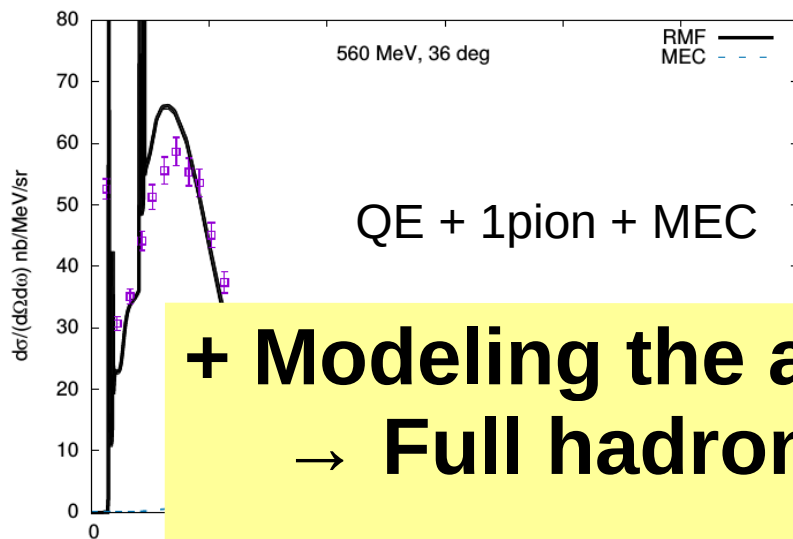
Ideally, after integrating over  
hadronic variables, one should be  
able to reproduce the inclusive  
data

# What we know from (e,e')



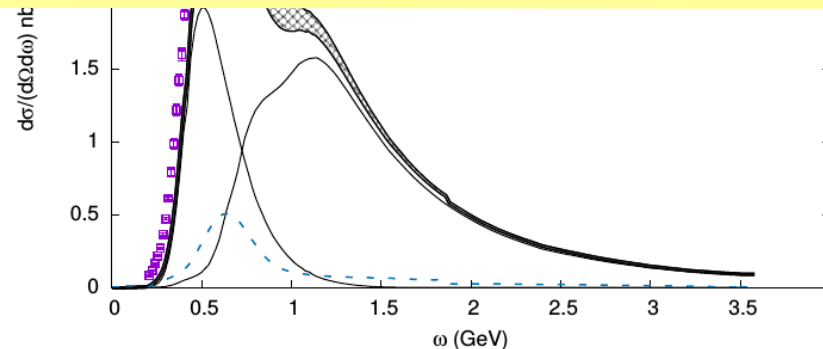
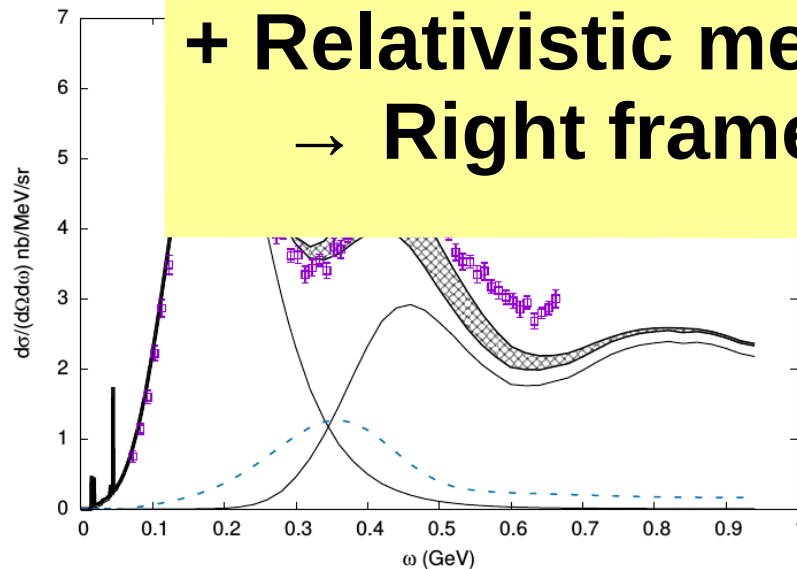
$^{12}\text{C}(e,e')$

# What we know from $(e,e')$



$^{12}\text{C}(e,e')$

- + Modeling the amplitude
  - Full hadronic information
- + Relativistic mean field nucleus
  - Right framework: Fully relativistic



# Kinematics

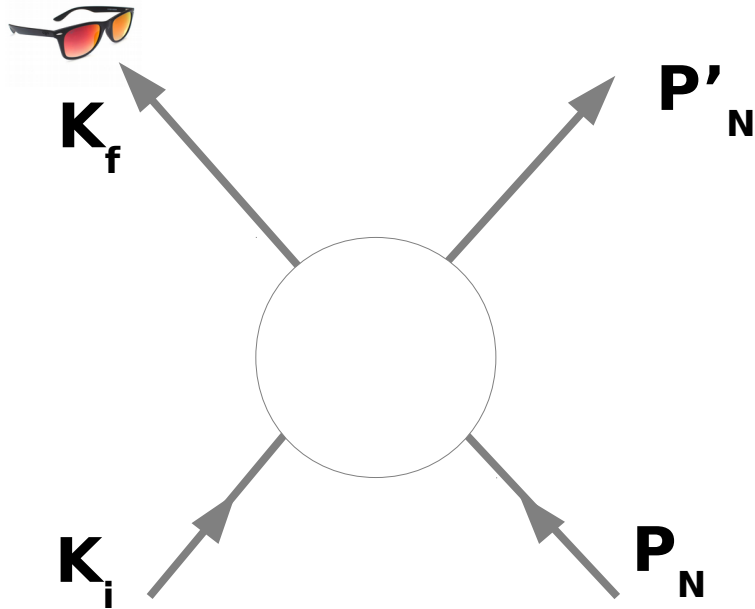
For more:

**Donnelly**, Prog. Part. Nucl. Phys. 13, 183-236 (1985)

**Van Orden, Donnelly, Moreno**, PRD 96, 113008 (2017)



The mass of the final hadronic system is known, e.g.,  
**elastic electron-proton scattering.**

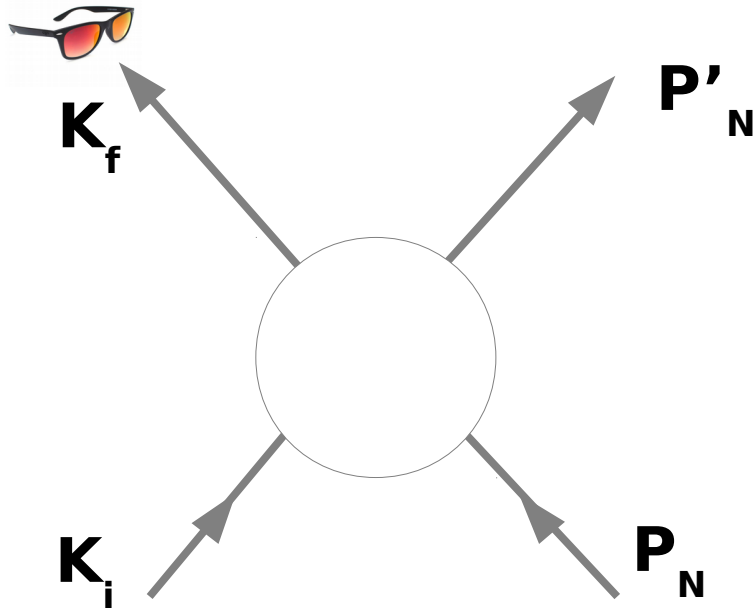


4 x 4-momentum

→ 16 variables

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**elastic electron-proton scattering.**



4 x 4-momentum

→ 16 variables

1 x 4-mom. conserv.

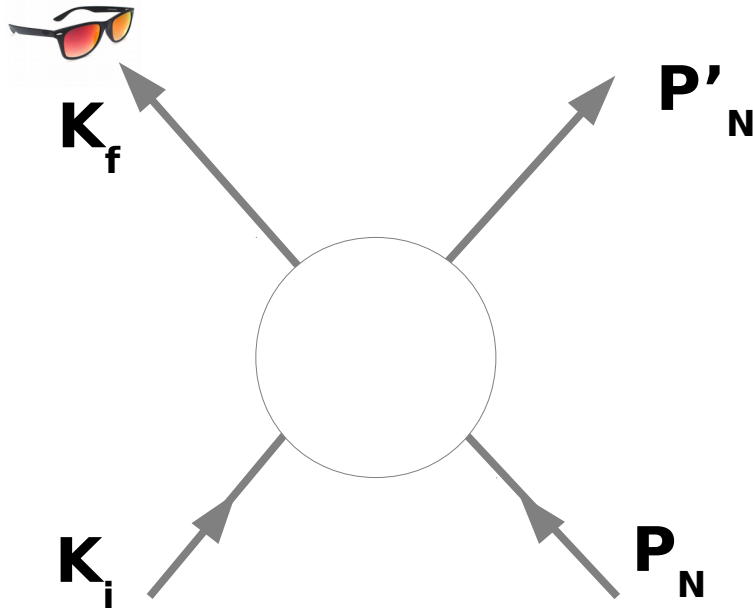
→ -4 constraints

4 x ( $E^2 = M^2 + p^2$ )

→ -4 constraints

---

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**elastic electron-proton scattering.**



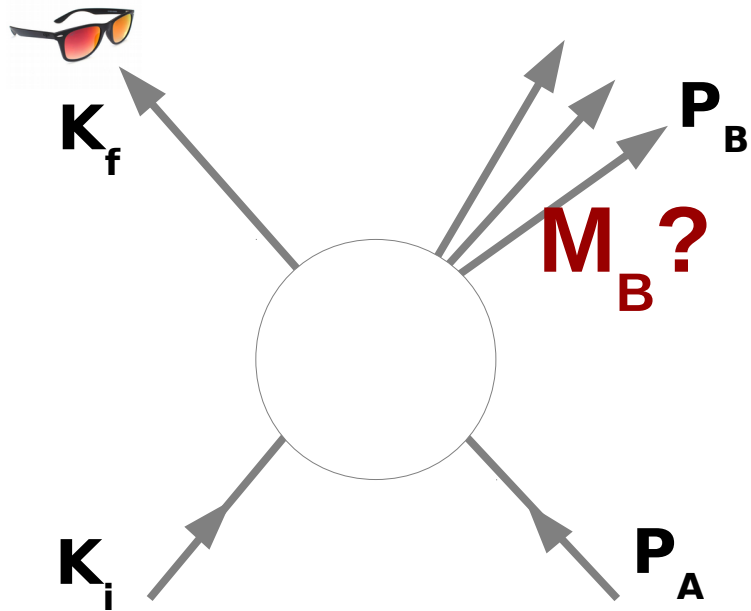
4 x 4-momentum	→	16 variables
1 x 4-mom. conserv.	→	-4 constraints
4 x ( $E^2=M^2+p^2$ )	→	-4 constraints
3-mom. of the beam	→	-3 known
3-mom. of the target	→	-3 known

---

**Independent variables left → 2**

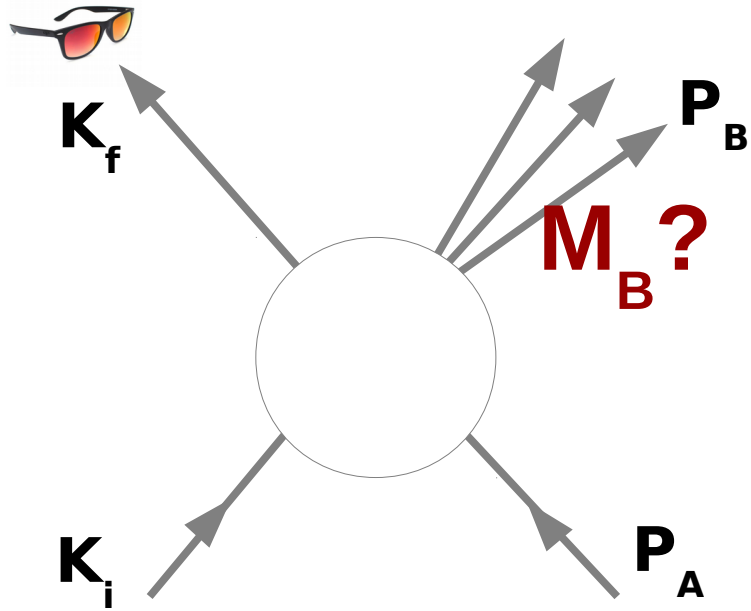
$$\theta_f \text{ and } \phi_f \longrightarrow \frac{d^2\sigma}{d\cos\theta_f d\phi_f}$$

**Inclusive scattering** on a target at rest: only scattered lepton is detected. We know nothing about final hadronic system





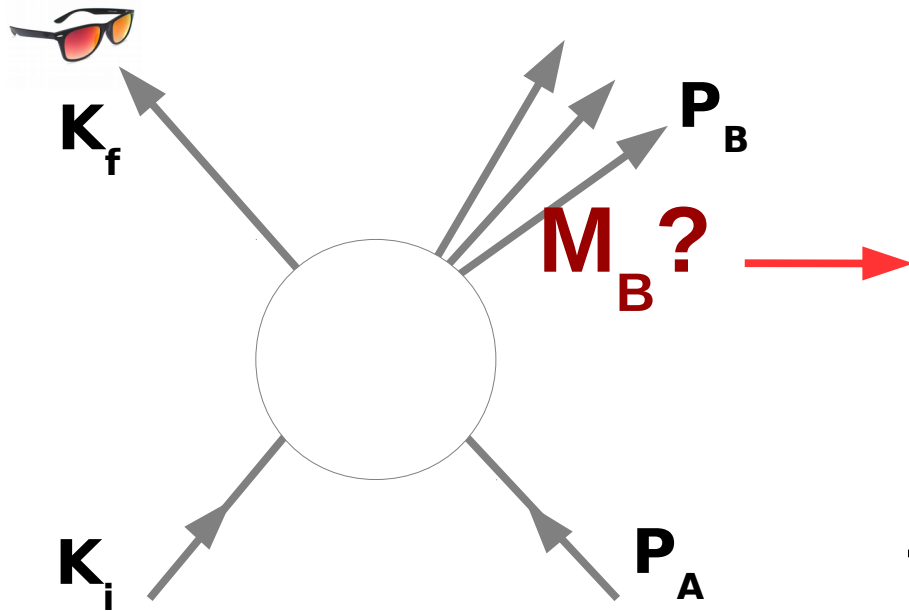
**Inclusive scattering** on a target at rest: only scattered lepton is detected. We know nothing about final hadronic system



4 x 4-momentum

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**Inclusive scattering** on a target at rest: only scattered lepton is detected. We know nothing about final hadronic system



4 x 4-momentum

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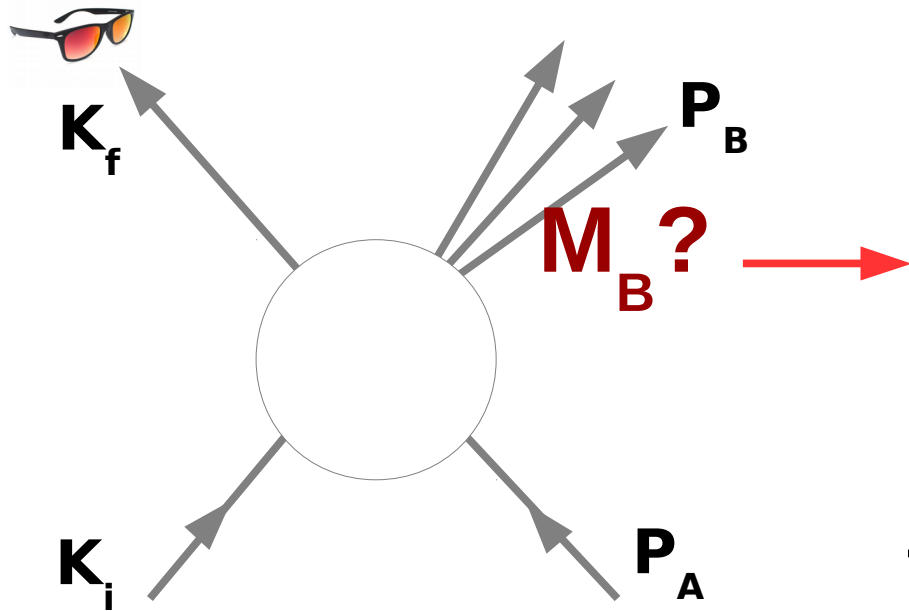
1 x 4-mom. conserv.

→ -4 constraints

3 x ( $E^2 = M^2 + p^2$ )

→ -3 constraints

**Inclusive scattering** on a target at rest: only scattered lepton is detected. We know nothing about final hadronic system

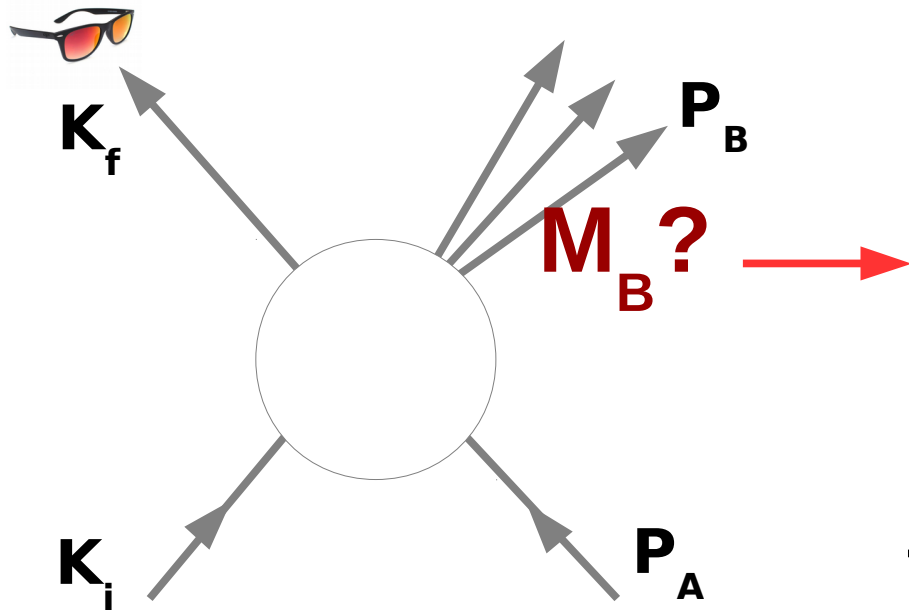


4 x 4-momentum	→	16 variables
1 x 4-mom. conserv.	→	-4 constraints
3 x ( $E^2=M^2+p^2$ )	→	-3 constraints
3-mom. of the beam	→	-3 known
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---

**Independent variables left → 3**

**Inclusive scattering** on a target at rest: only scattered lepton is detected. We know nothing about final hadronic system



4 x 4-momentum	→	16 variables
1 x 4-mom. conserv.	→	-4 constraints
3 x ( $E^2=M^2+p^2$ )	→	-3 constraints
3-mom. of the beam	→	-3 known
3-mom. of the target	→	-3 known

---

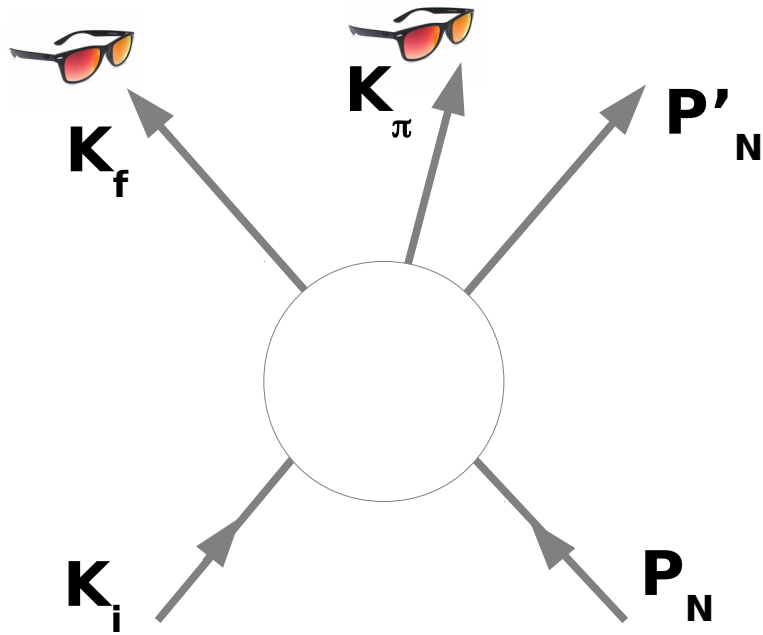
**Independent variables left → 3**

We can choose the variables that we like the most as the independent variables. We like the lab variables of the final state:

$$\varepsilon_f, \theta_f \text{ and } \phi_f \longrightarrow \frac{d^3\sigma}{d\varepsilon_f \cos \theta_f d\phi_f}$$



# 1- $\pi$ production off the nucleon.

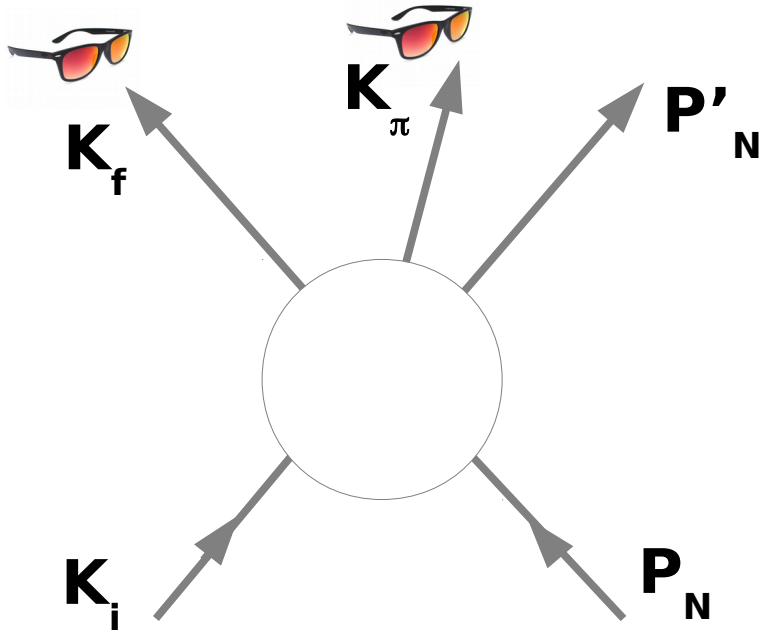


5 x 4-momentum

→ 20 variables

---

# 1- $\pi$ production off the nucleon.



5 x 4-momentum

→ 20 variables

1 x 4-mom. conserv.

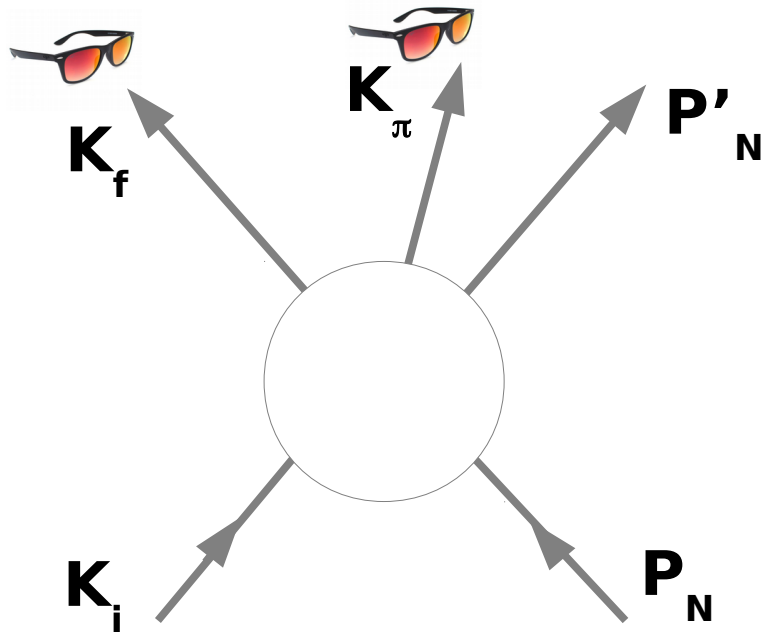
→ -4 constraints

5 x ( $E^2=M^2+p^2$ )

→ -5 constraints

---

# 1- $\pi$ production off the nucleon.



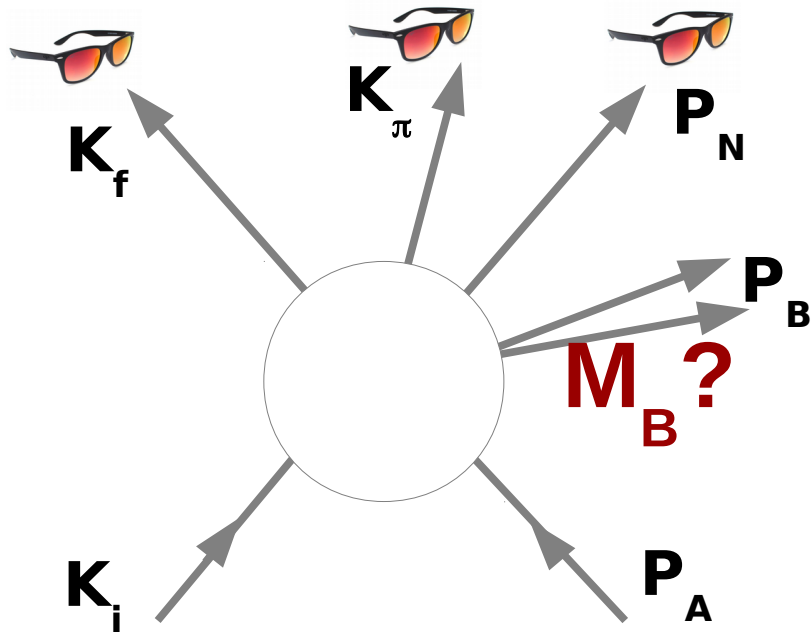
5 x 4-momentum	→	20 variables
1 x 4-mom. conserv.	→	-4 constraints
5 x ( $E^2=M^2+p^2$ )	→	-5 constraints
3-mom. of the beam	→	-3 known
3-mom. of the target	→	-3 known

---

**Independent variables left → 5**

$$\frac{d^5\sigma}{d\varepsilon_f d\Omega_f d\Omega_\pi}$$

# $1\text{-}\pi$ production on the nucleus.

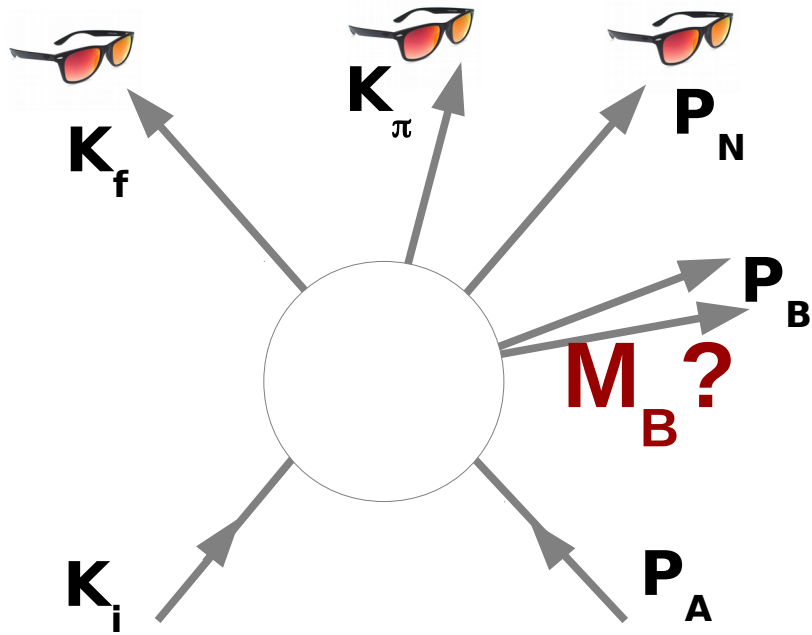


6 x 4-momentum

→ 24 variables

---

# 1- $\pi$ production on the nucleus.



6 x 4-momentum

→ 24 variables

1 x 4-mom. conserv.

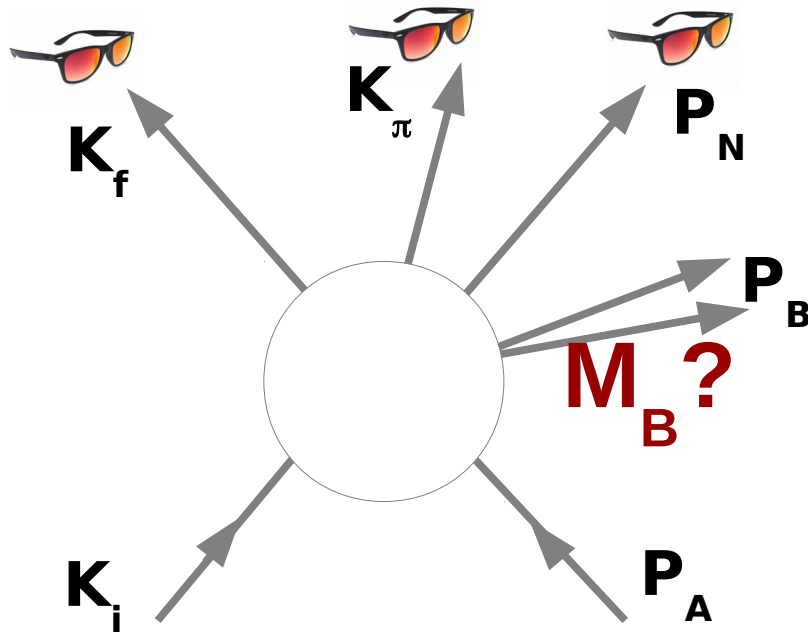
→ -4 constraints

5 x ( $E^2=M^2+p^2$ )

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---

# 1- $\pi$ production on the nucleus.



6 x 4-momentum	→	24 variables
1 x 4-mom. conserv.	→	-4 constraints
5 x ( $E^2=M^2+p^2$ )	→	-5 constraints
3-mom. of the beam	→	-3 known
3-mom. of the target	→	-3 known

---

**Independent variables left → 9**

$$\frac{d^9\sigma}{d\varepsilon_f d\cos\theta_f d\phi_f dE_\pi d\cos\theta_\pi d\phi_\pi d\cos\theta_N d\phi_N dE_m}$$

$$\frac{d^9\sigma}{d\varepsilon_f d\Omega_f dE_\pi d\Omega_\pi d\Omega_N dE_m} \propto \ell_{\mu\nu} H^{\mu\nu}$$

$$\begin{aligned}\ell_{\mu\nu} &= \overline{\sum} (j_\mu)^* j_\nu \\ H^{\mu\nu} &= \overline{\sum} (J^\mu)^* J^\nu\end{aligned}$$

$$\begin{aligned}j_\mu &= j_\mu(\varepsilon_i, \mathbf{q}, \omega), \\ J^\mu &= J^\mu(\mathbf{q}, \omega, E_\pi, \theta_\pi, \phi_\pi, \theta_N, \phi_N, E_m)\end{aligned}$$

$$\frac{d^9 \sigma}{d\varepsilon_f d\Omega_f dE_\pi d\Omega_\pi d\Omega_N dE_m} \propto \ell_{\mu\nu} H^{\mu\nu}$$

$$\ell_{\mu\nu} = \overline{\sum} (j_{\mu\nu})$$

$$H^{\mu\nu} = \overline{\sum} (j^{\mu\nu})$$

**8 indep. variables.  
If we have fewer...  
better think about it!**

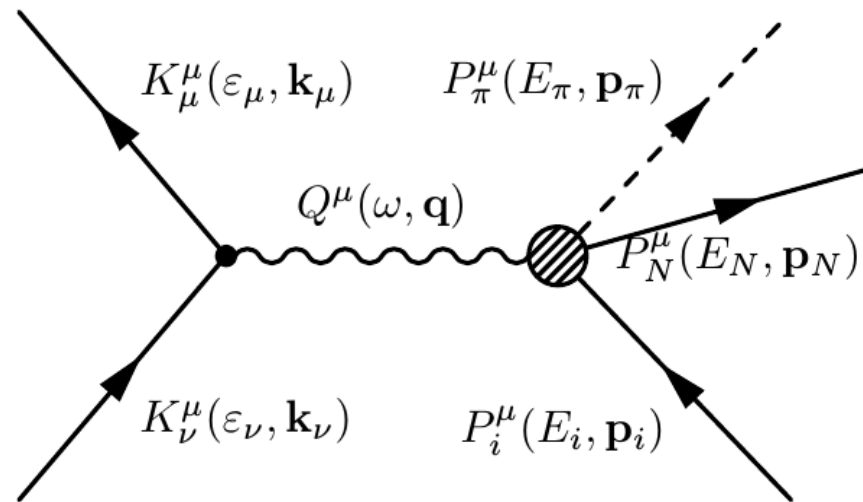
$$j_\mu = j_\mu(\varepsilon_i, q, \omega),$$

$$J^\mu = J^\mu(q, \omega, E_\pi, \theta_\pi, \phi_\pi, \theta_N, \phi_N, E_m)$$



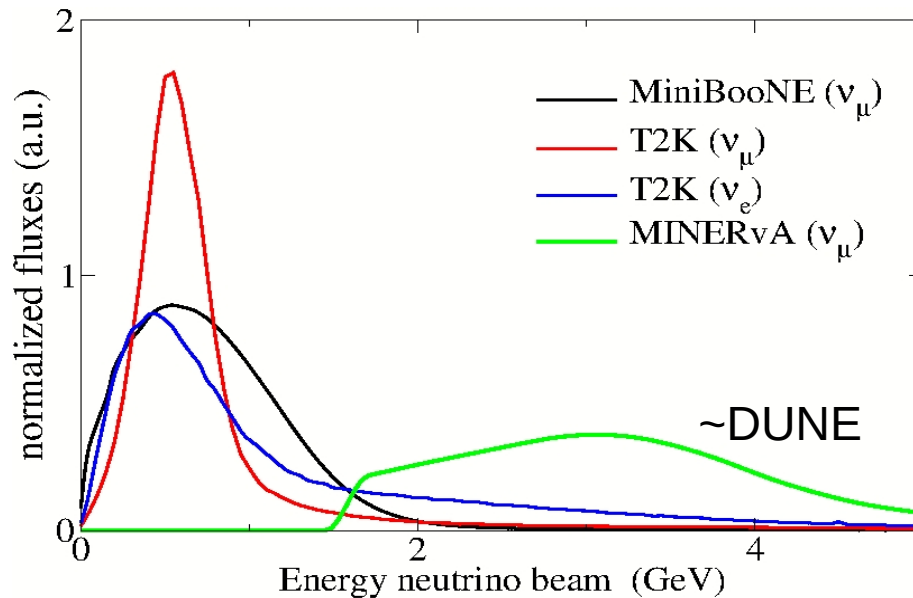
## II Interaction model

### Single-Pion Production off the nucleon

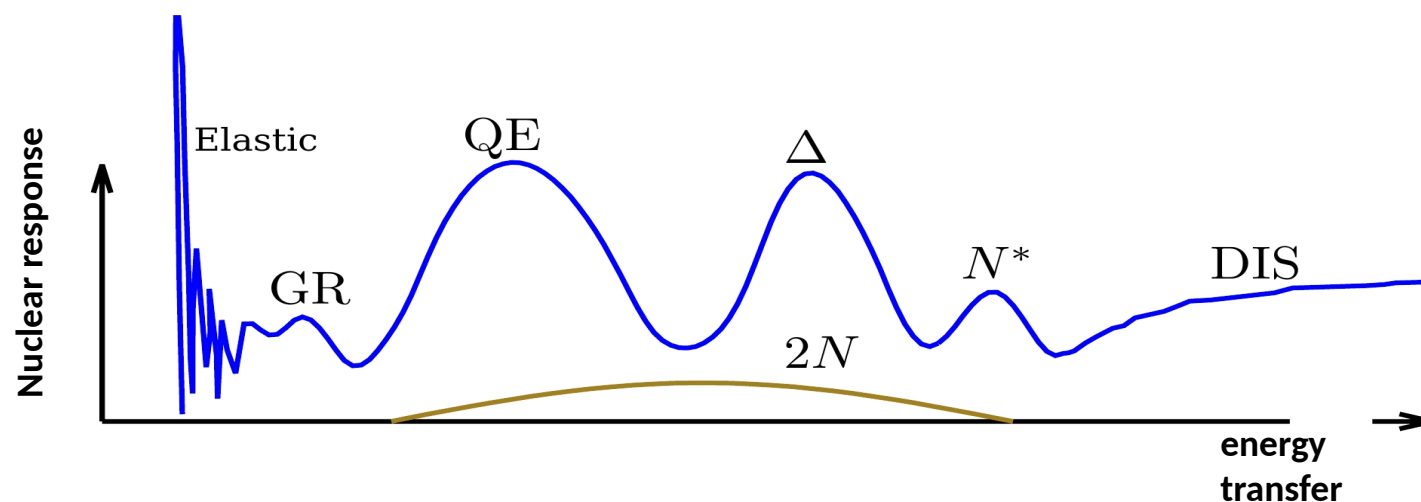


RGJ et al., PRD 95, 113007 (2017)

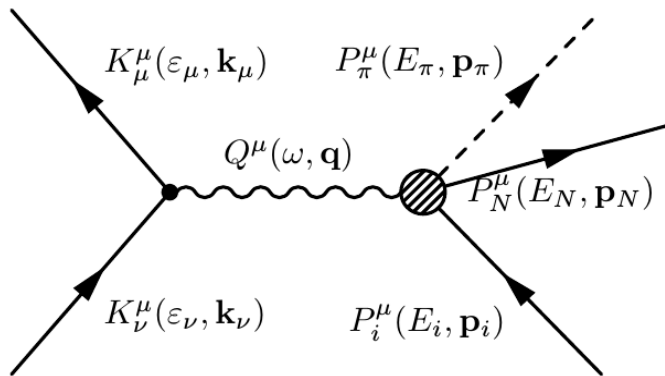
# Single pion production



**Pion production is more important for DUNE**



# Low-energy model



**Low-energy model for pion-production on the nucleon:**

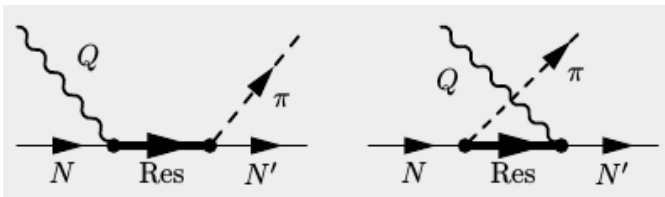
ChPT background + resonances

**Valencia model**

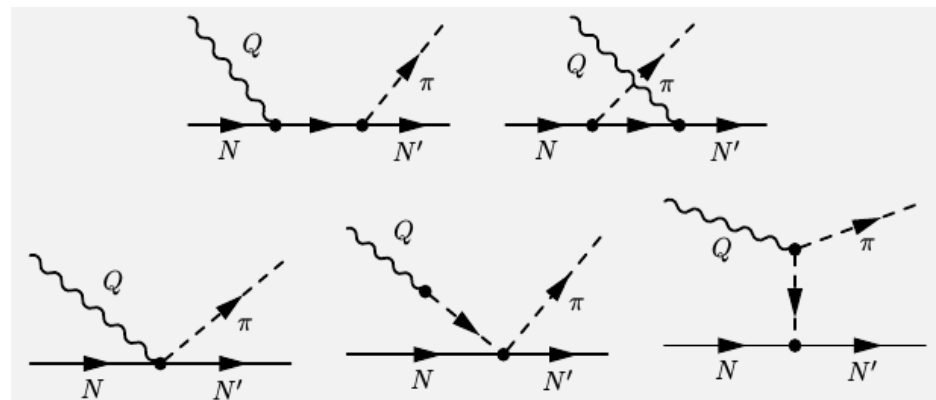
(PRD 76 (2007) 033005; PRD 87 (2013) 113009)

## Resonances:

P33(1232), D13(1520),  
S11(1535), P11(1440)

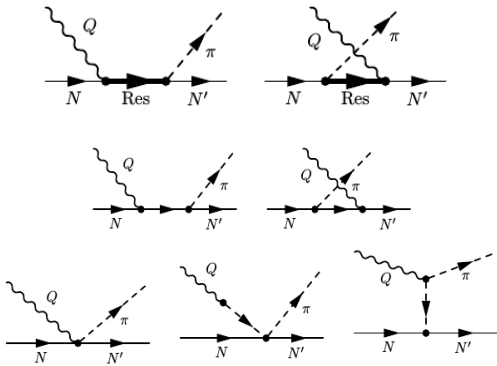


## ChPT background:

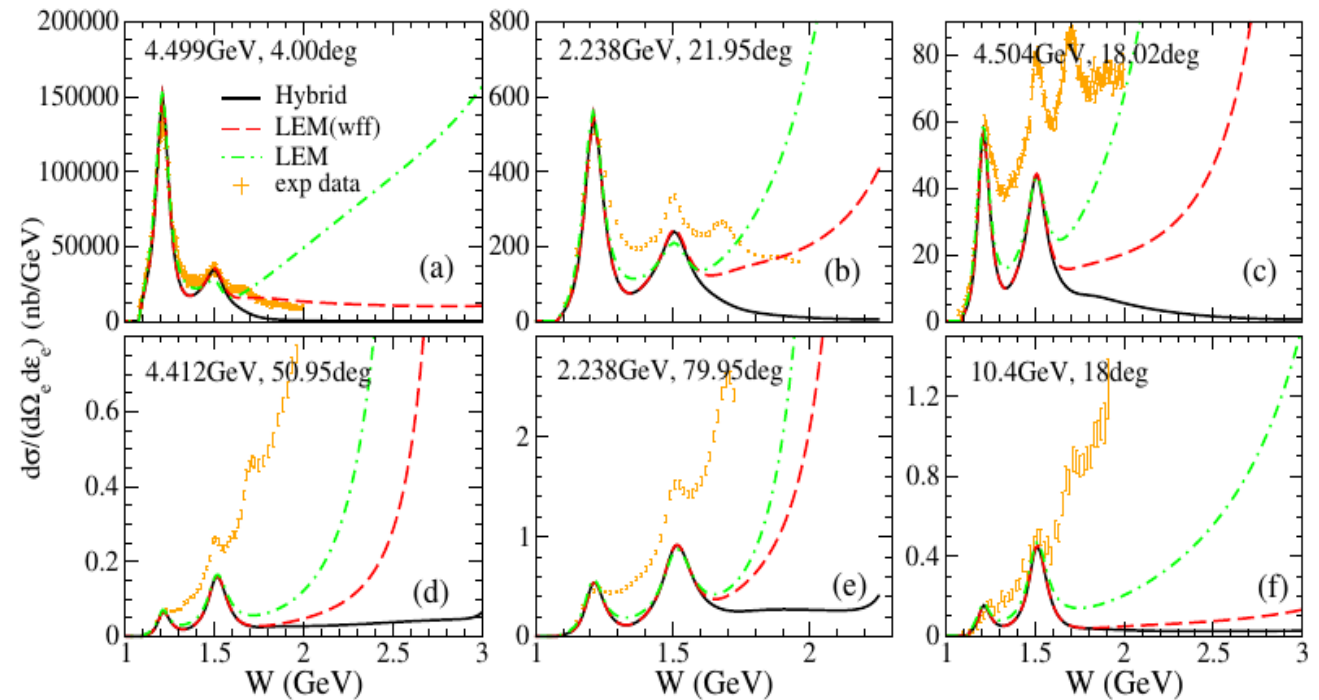


# The Problem

Low-energy model  
(resonances + ChPT bg)

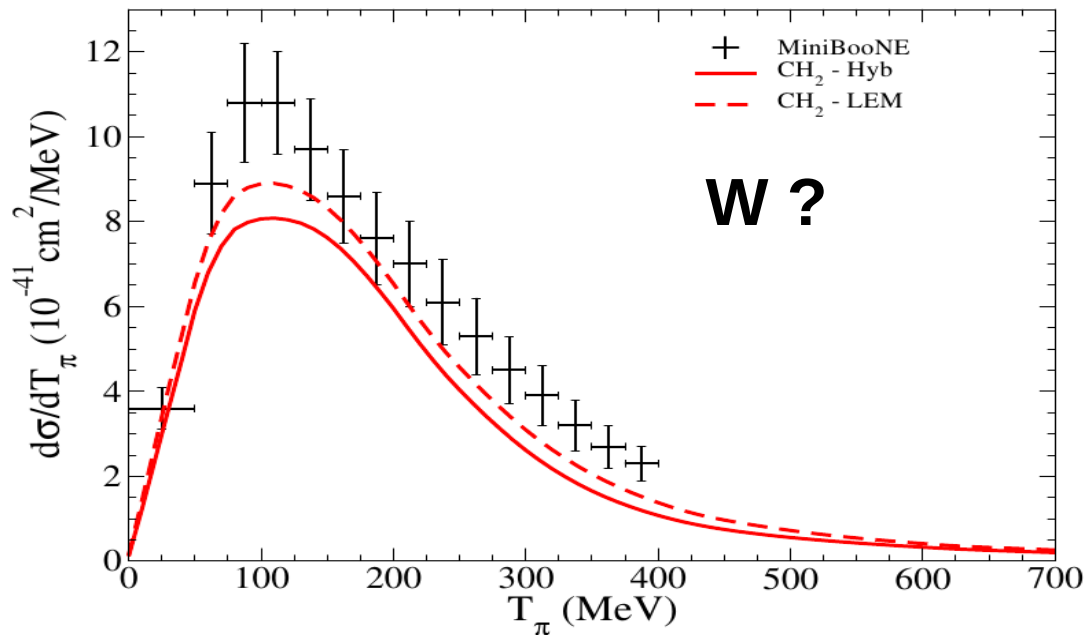


Unphysical predictions at large invariant masses.



**Figure:** The model overshoots inclusive electron-proton scattering data.

# Does it matter for neutrinos?



## W values?

- + Fermi motion
- + Flux-folding

Therefore, we need reliable predictions in:

- + the **resonance region**  
 $W < 2 \text{ GeV}$ ,
- + the **high-energy** energy  
region  $W > 2 \text{ GeV}$

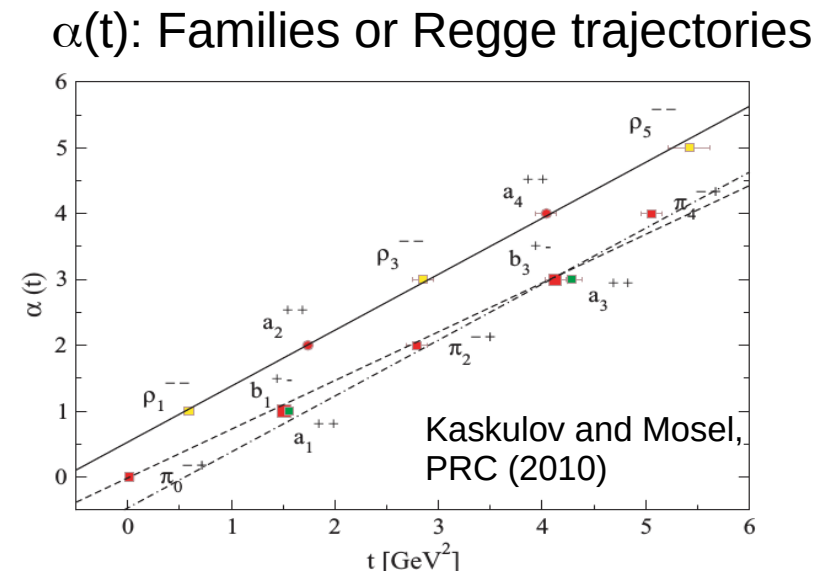
# Regge Theory

Based on unitarity, causality and crossing symmetry, Regge Theory provides the **high energy ( $s \rightarrow \infty$ ) behavior** of the amplitude:

$$A(s,t) \sim \beta(t) s^{\alpha(t)}$$

Regge theory does not predict the **t-dependence** of the amplitude.

For that, one needs a model.

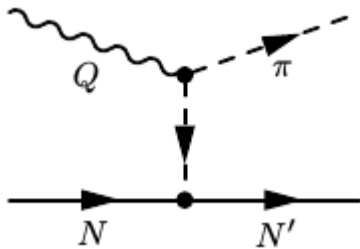


# High-energy model

## Regge approach for the vector amplitudes.

We use the approach of **Guidal, Laget, and Vanderhaeghen** [NPA627, 645 (1997)], originally developed for pion photoproduction ( $Q^2 = 0$ ):

1) Feynman **meson-exchange diagrams** are reggeized.



$$\frac{1}{t - m_\pi^2}$$

The pion propagator is replaced by the Regge trajectory of the pion family

$$\mathcal{P}_\pi(t, s) = -\alpha'_\pi \varphi_\pi(t) \Gamma[-\alpha_\pi(t)] (\alpha'_\pi s)^{\alpha_\pi(t)}$$

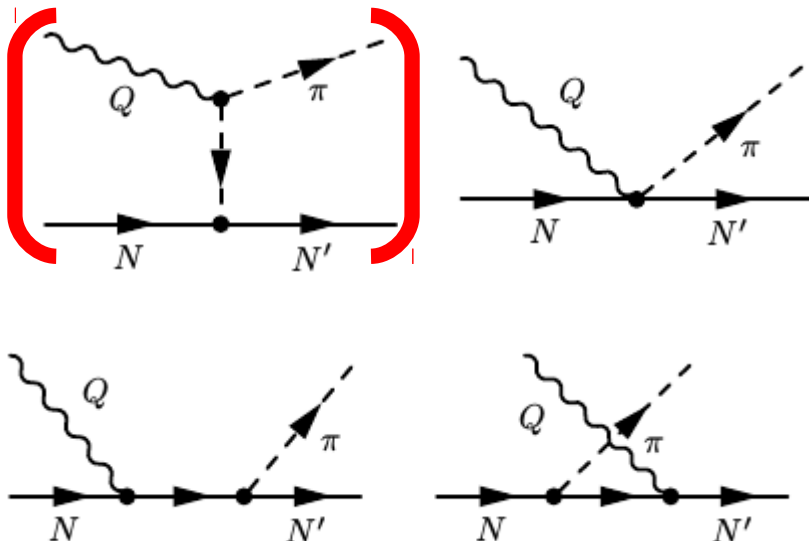
# High-energy model

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We use the approach of **Guidal, Laget, and Vanderhaeghen** [NPA627, 645 (1997)], originally developed for pion photoproduction ( $Q^2 = 0$ ):

1) Feynman **meson-exchange diagrams** are reggeized.

2) s-channel and u-channel diagrams are included to keep **Conservation of Vector Current**.



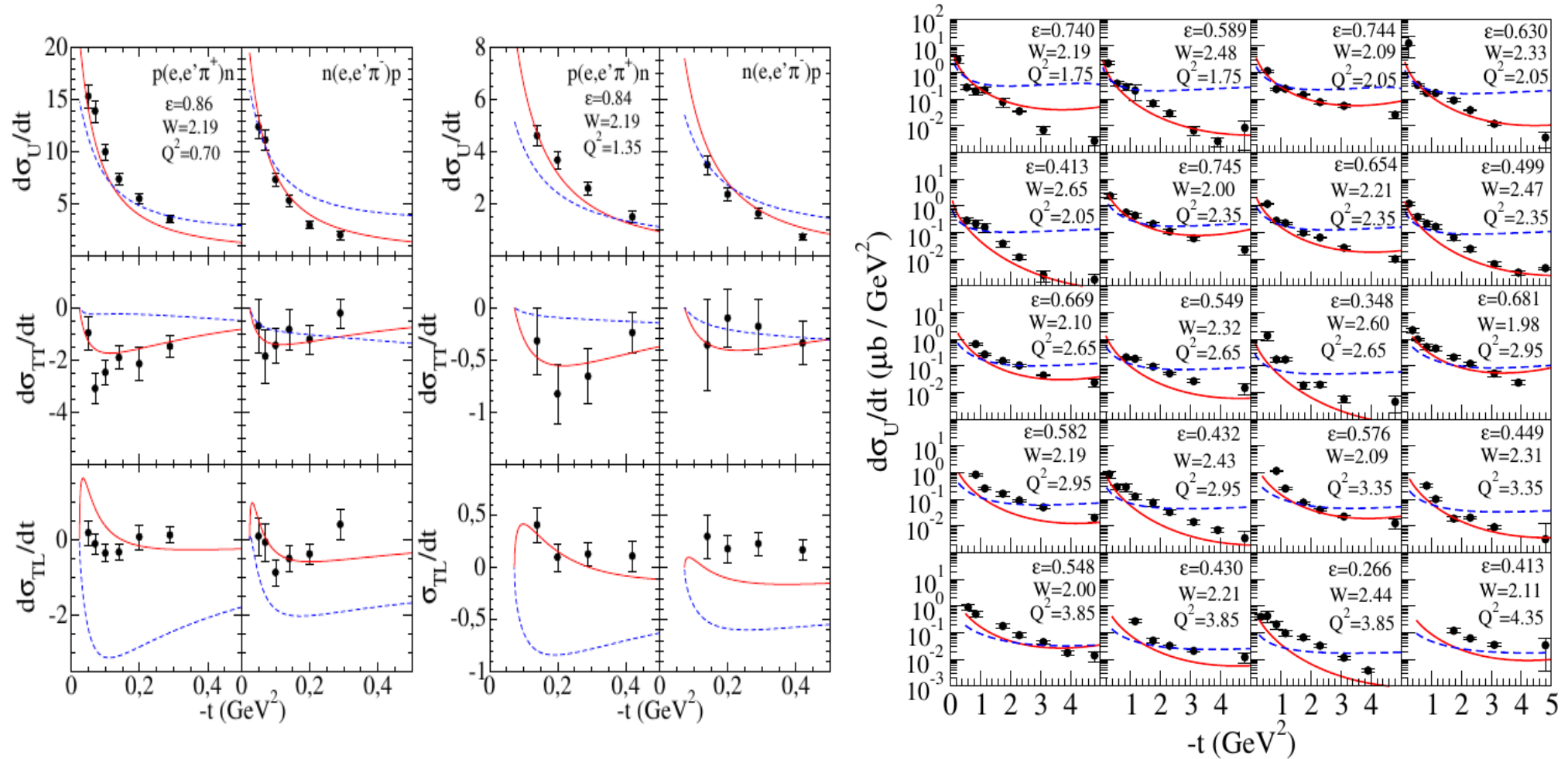
$$\frac{1}{t - m_{\pi}^2}$$

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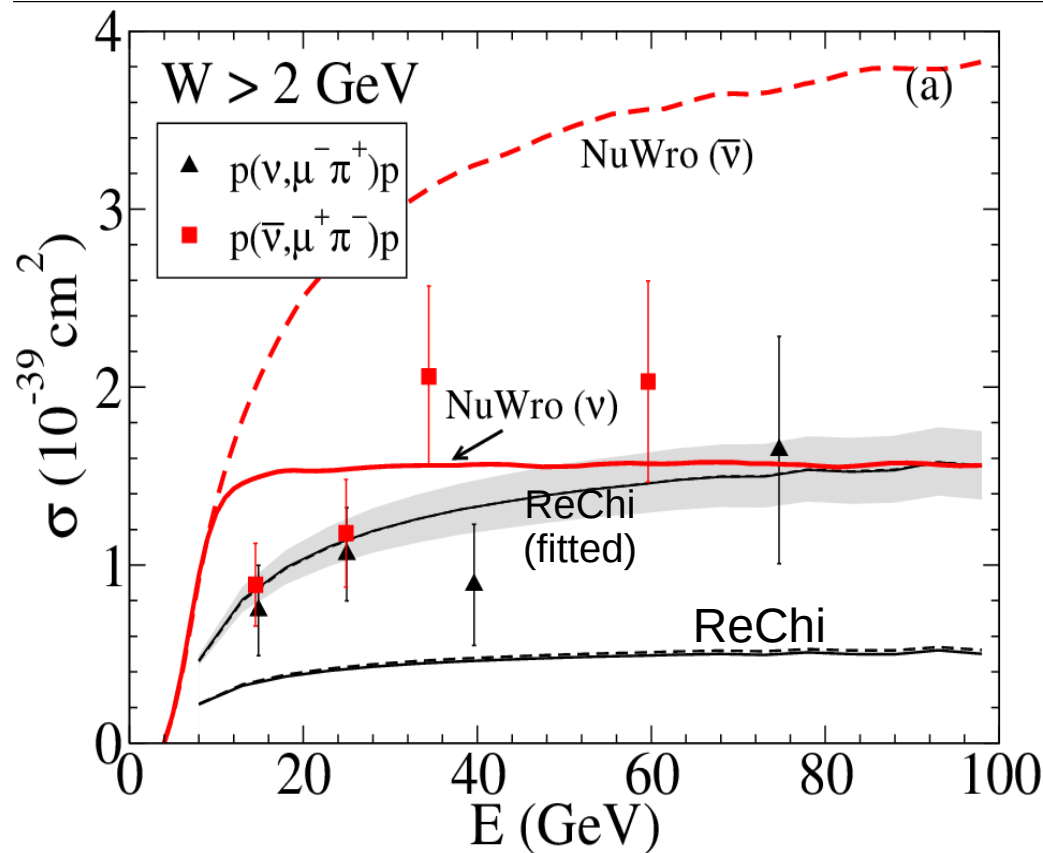


# High-energy model: $N(e, e'\pi^-)N'$ results



**Figure:** High-energy model (red lines), low-energy model (blue lines) and electron-induced single-pion production data.

# High-energy model: results for neutrinos



**Figure:** ReChi model and NuWro predictions are compared with high energy cross section data for neutrino and antineutrino reactions (Note the high energy cut  $W > 2$  GeV !!). Data from Allen et al. NPB264, 221 (1986).

**NuWro:** Based on DIS formalism and PYTHIA for hadronization.

Antineutrino cross section is ~2 the neutrino one:

$$\begin{aligned}\bar{\nu} + \overbrace{uud}^p &\rightarrow \mu^+ + \overbrace{\bar{u}d}^{\pi^-} + uud, \\ \nu + uud &\rightarrow \mu^- + \underbrace{u\bar{d}}_{\pi^+} + uud.\end{aligned}$$

**ReChi model:** One free parameter in the boson-nucleon-nucleon vertex

$$G_A[Q^2, s(u)] = g_A \left( 1 + \frac{Q^2}{\Lambda_{A\pi n^*} [s(u)]^2} \right)^{-2}$$

$$\Lambda_{A\pi n^*}(s) = \Lambda_{A\pi n} + (\Lambda_{\infty}^A - \Lambda_{A\pi n}) \left( 1 - \frac{M^2}{s} \right)$$

$$\Lambda_{\infty}^A = (7.20 \pm 2.09_{1.32}) \text{ GeV} !!!$$

# Hybrid model: results

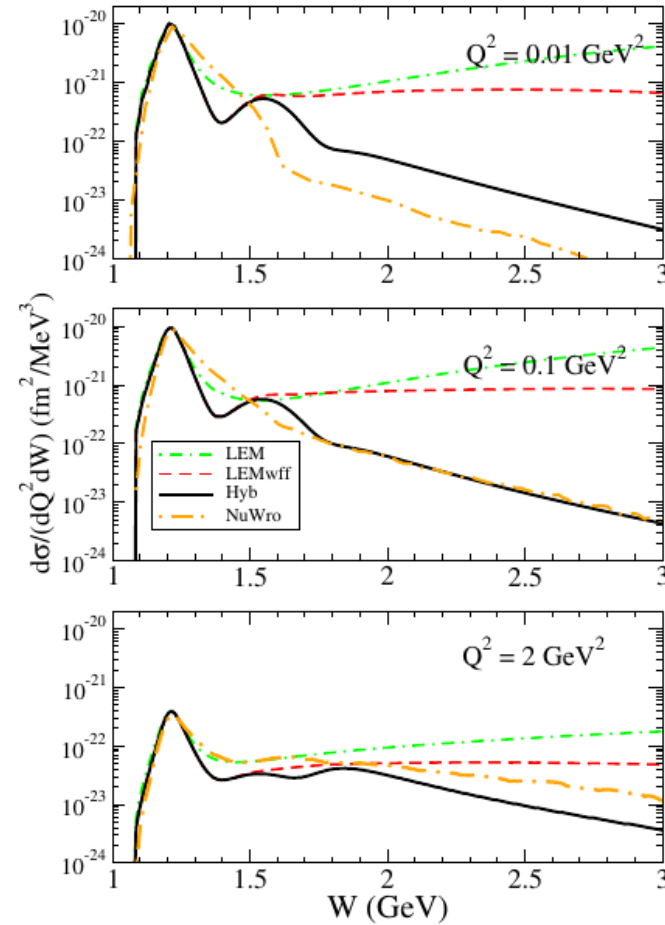
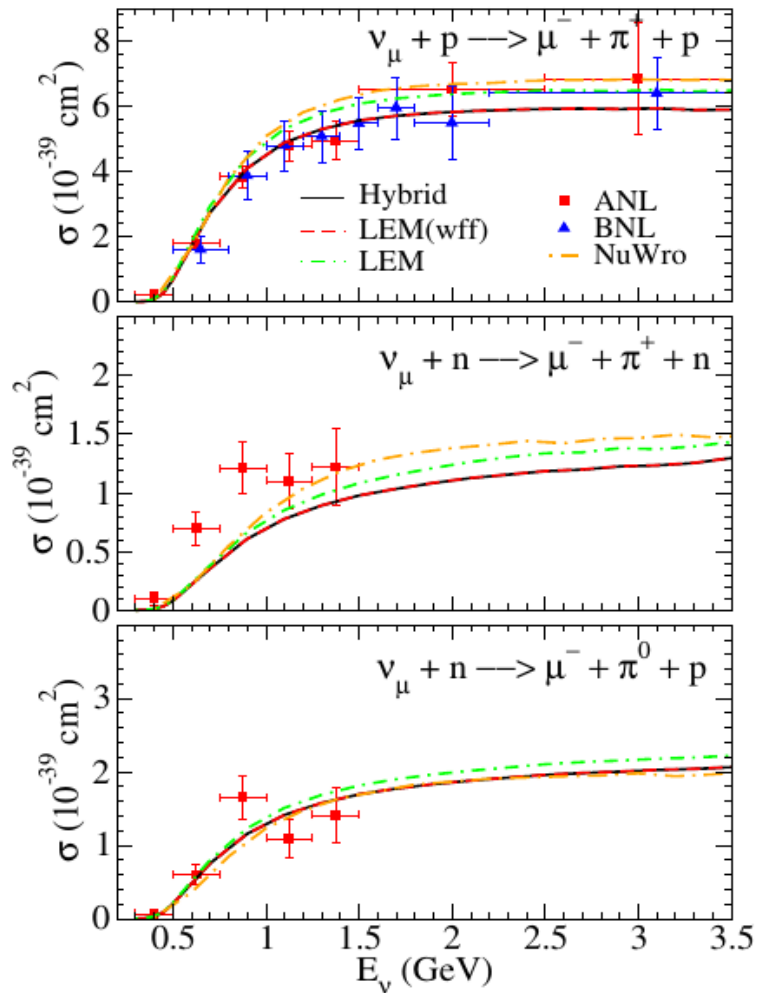


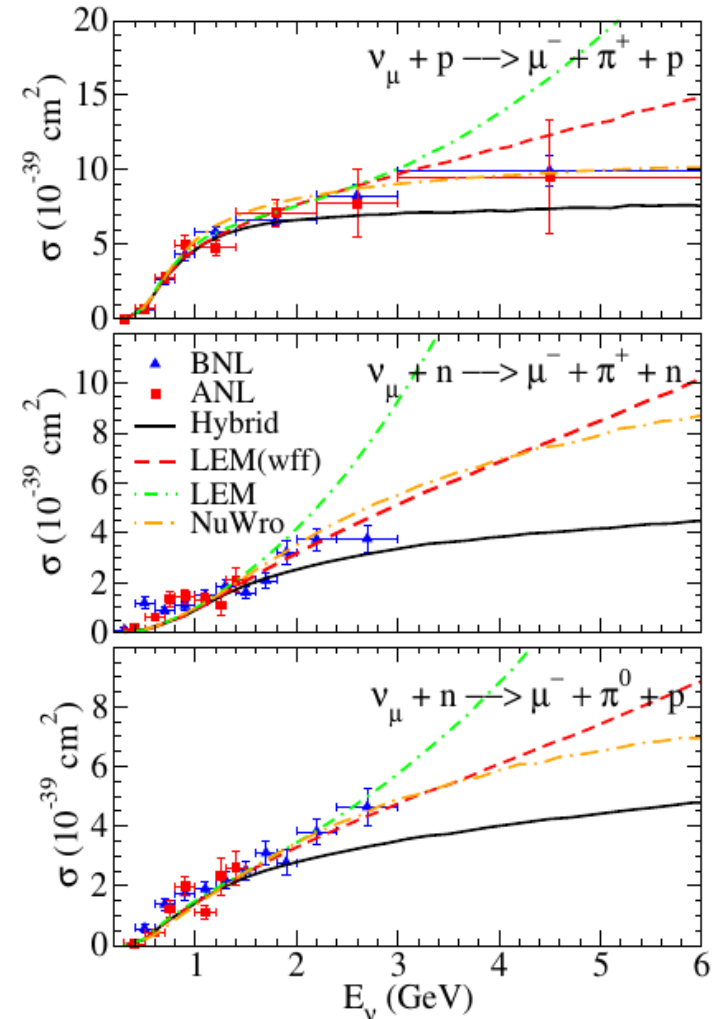
FIG. 21. (Color online) Different model predictions for the differential cross section  $d\sigma/(dQ^2 dW)$ , for the channel  $p(\nu_\mu, \mu^- \pi^+) p$ . The incoming neutrino energy is fixed to  $E_\nu = 10 \text{ GeV}$ .

# Hybrid model: results

$W < 1.4 \text{ GeV}$

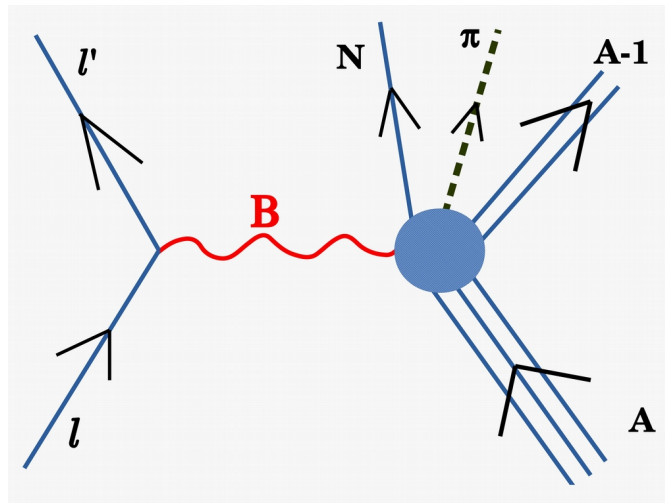


No cut in  $W$



### *III Nuclear effects*

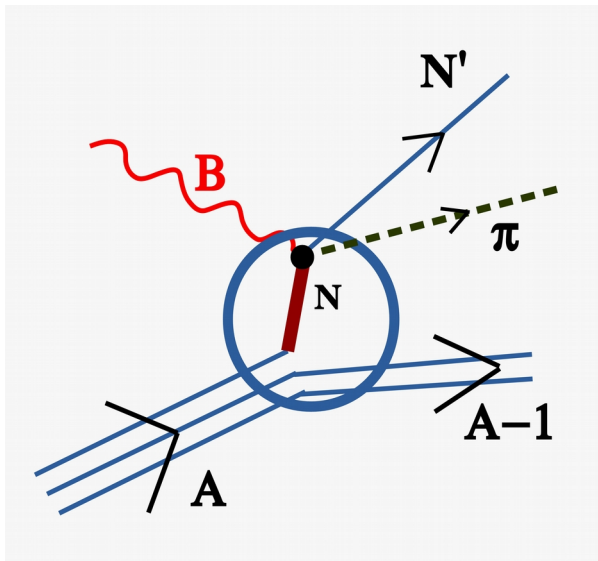
## *Electroweak one-pion production on nuclei*



PRD 97, 013004 (2018), PRD 97, 093008 (2018)

# Relativistic mean field model

## Relativistic Impulse Approximation



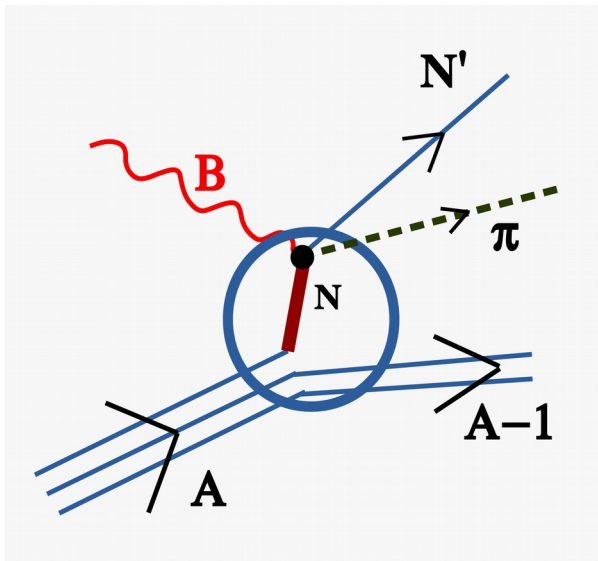
$$J_{had}^{\mu} = \sum_i^A \int d\mathbf{r} \bar{\Psi}_F(\mathbf{r}) \phi^*(\mathbf{r}) \hat{O}_{one-body}^{\mu}(\mathbf{r}) \Psi_B(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}}$$

Relativistic mean-field  
wave functions

$$\frac{d^9 \sigma}{d\varepsilon_f d \cos \theta_f d\phi_f dE_{\pi} d \cos \theta_{\pi} d\phi_{\pi} d \cos \theta_N d\phi_N dE_m}$$

# Relativistic mean field model

## Relativistic Impulse Approximation



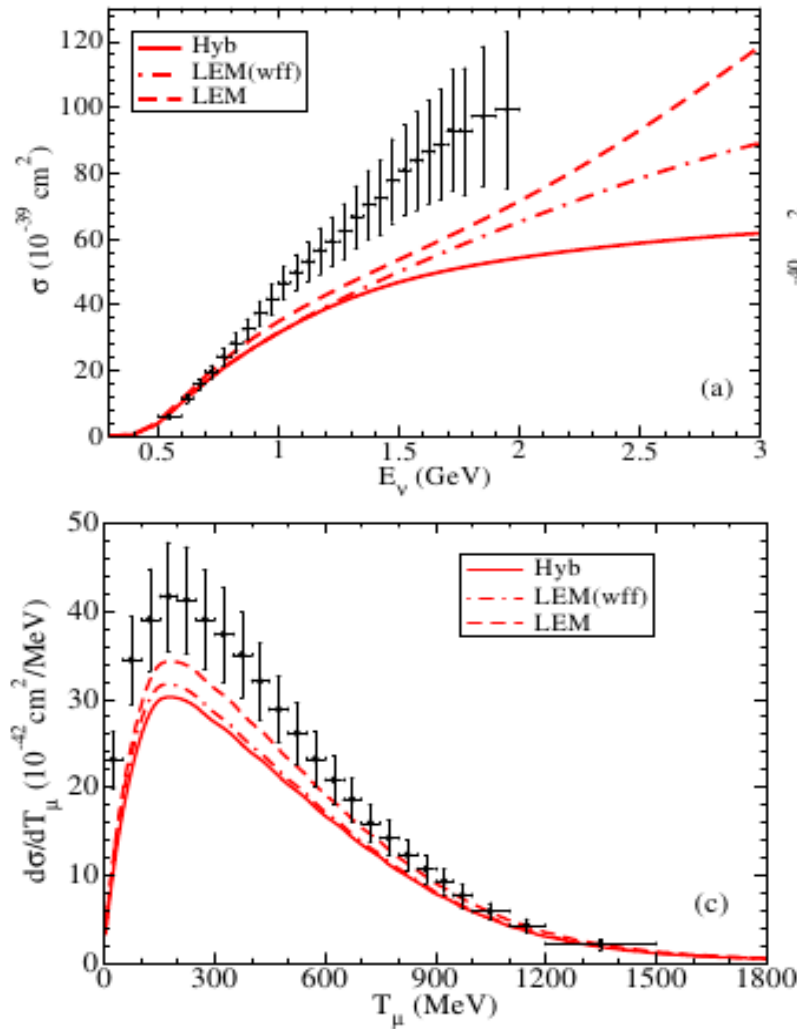
Plane waves (for the moment...)

$$J_{had}^{\mu} = \sum_i^A \int d\mathbf{r} \bar{\Psi}_F(\mathbf{r}) \phi^*(\mathbf{r}) \hat{\mathcal{O}}_{one-body}^{\mu}(\mathbf{r}) \Psi_B(\mathbf{r}) e^{i\mathbf{q}\cdot\mathbf{r}}$$

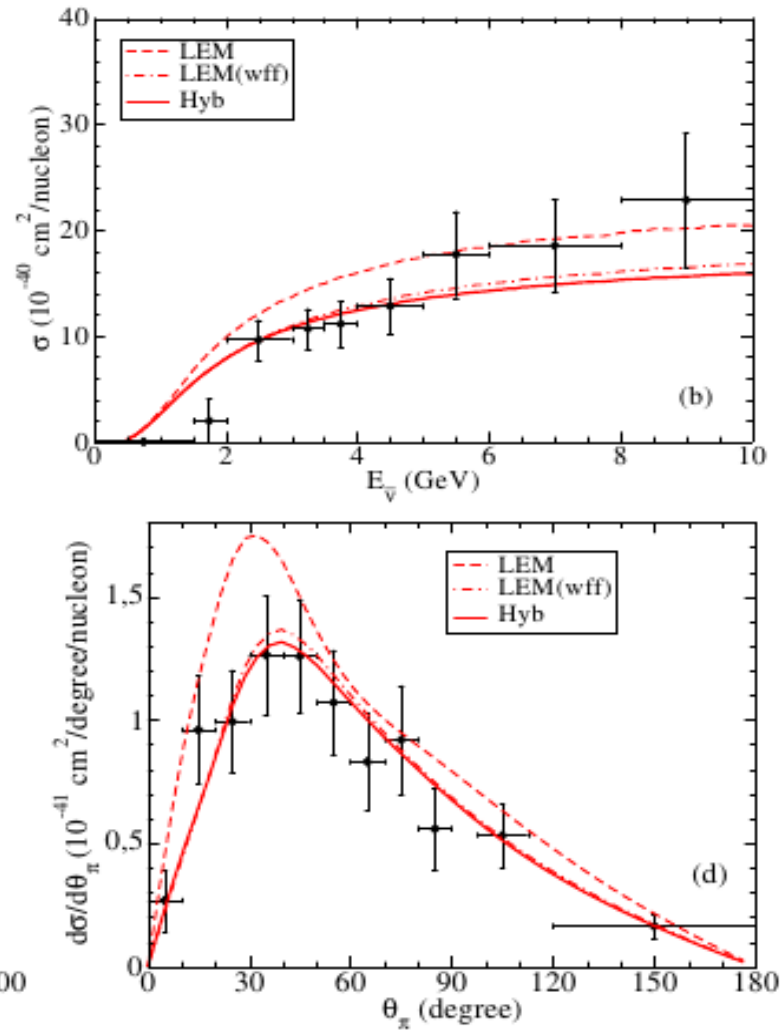
Relativistic mean-field wave functions

$$\frac{d^9\sigma}{d\varepsilon_f d\cos\theta_f d\phi_f dE_{\pi} d\cos\theta_{\pi} d\phi_{\pi} d\cos\theta_N d\phi_N dE_m}$$

## MiniBooNE neutrino CC 1pion+



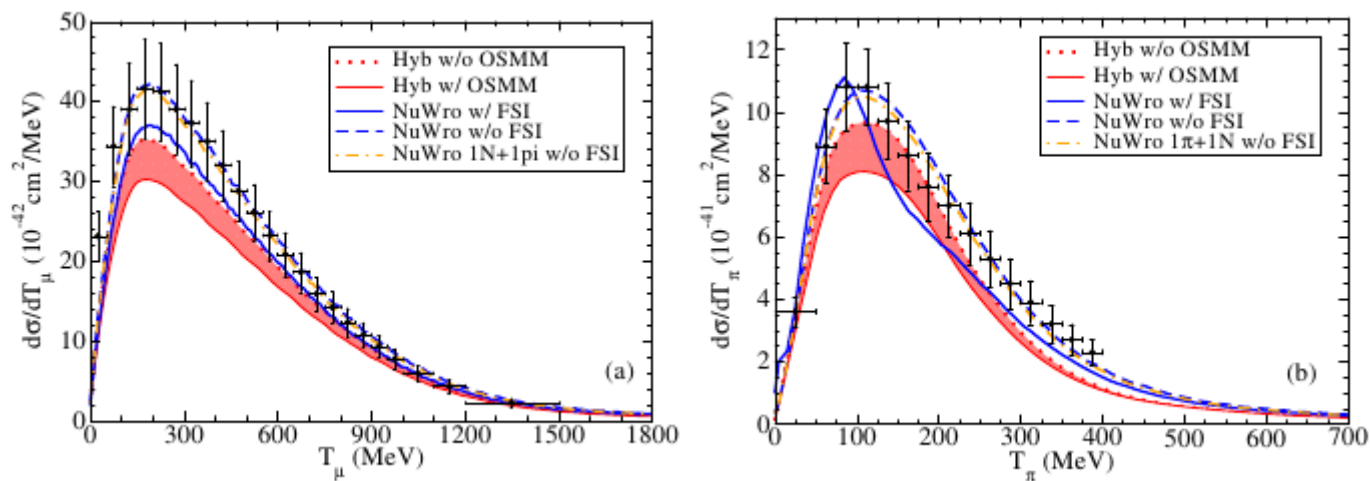
## MINERvA antineutrino CC 1pion0.



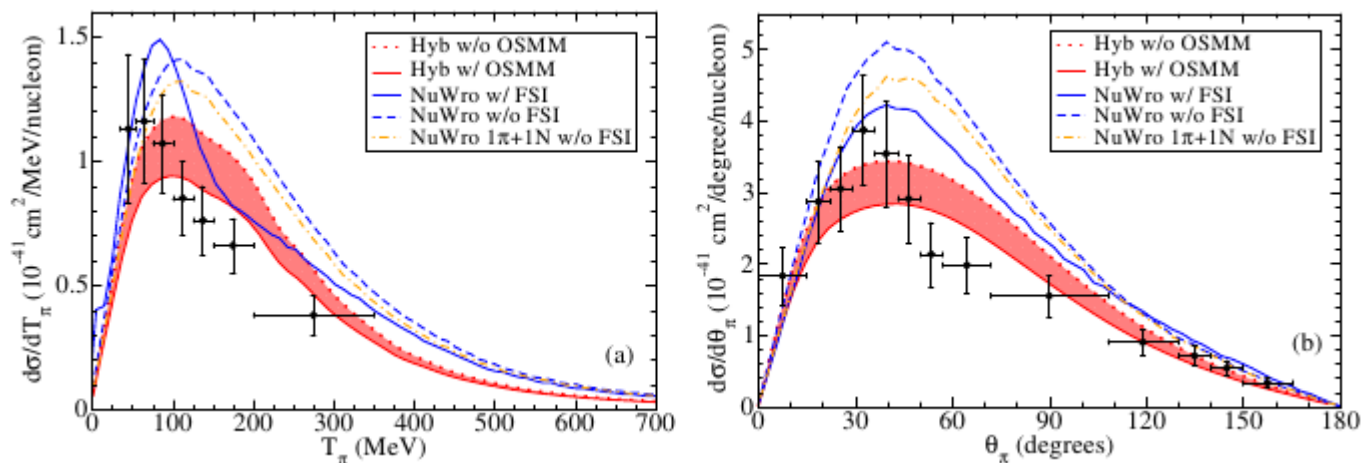
PRD 97, 013004 (2018)



## MiniBooNE neutrino CC 1pion+.

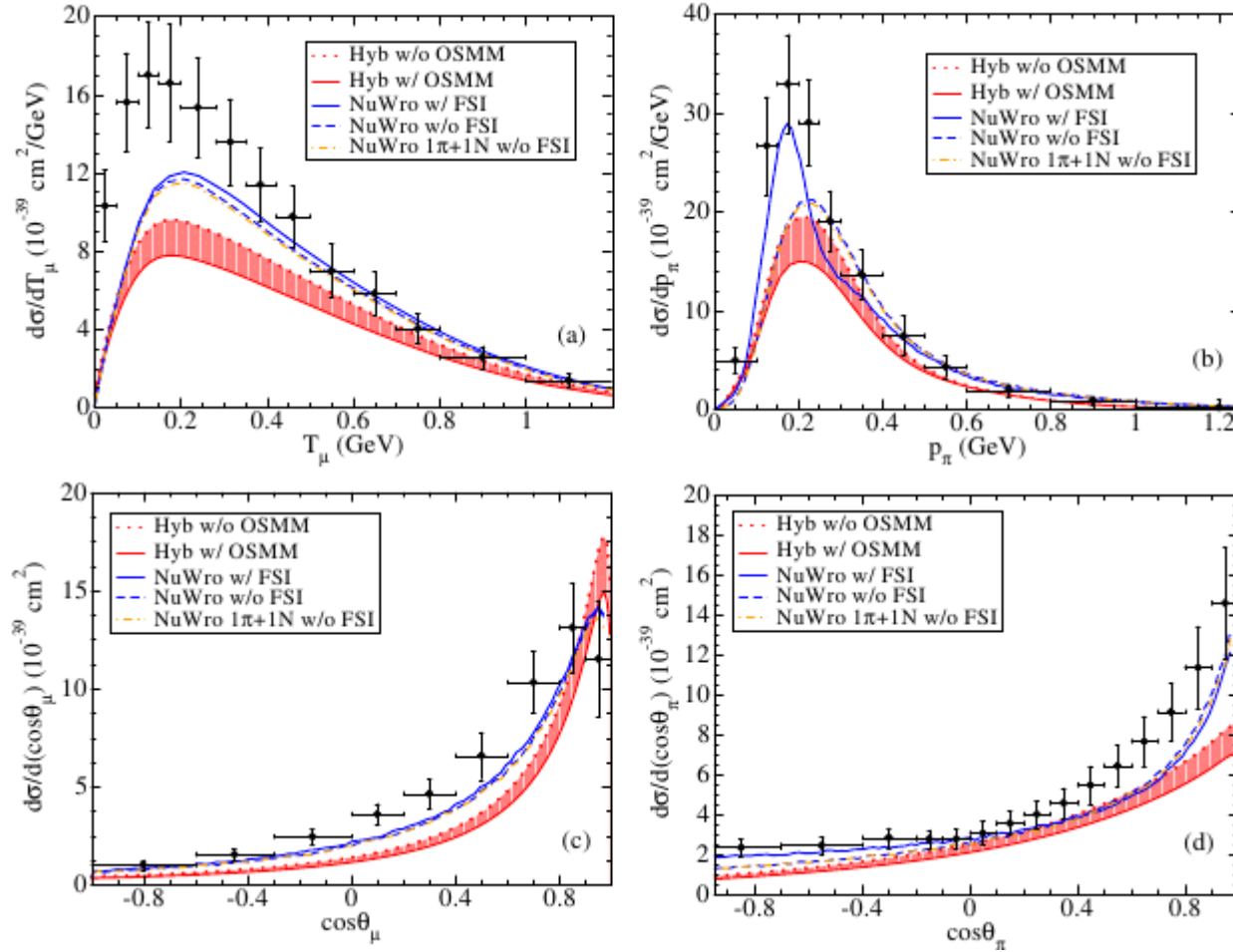


## MINERvA neutrino CC 1pion+.



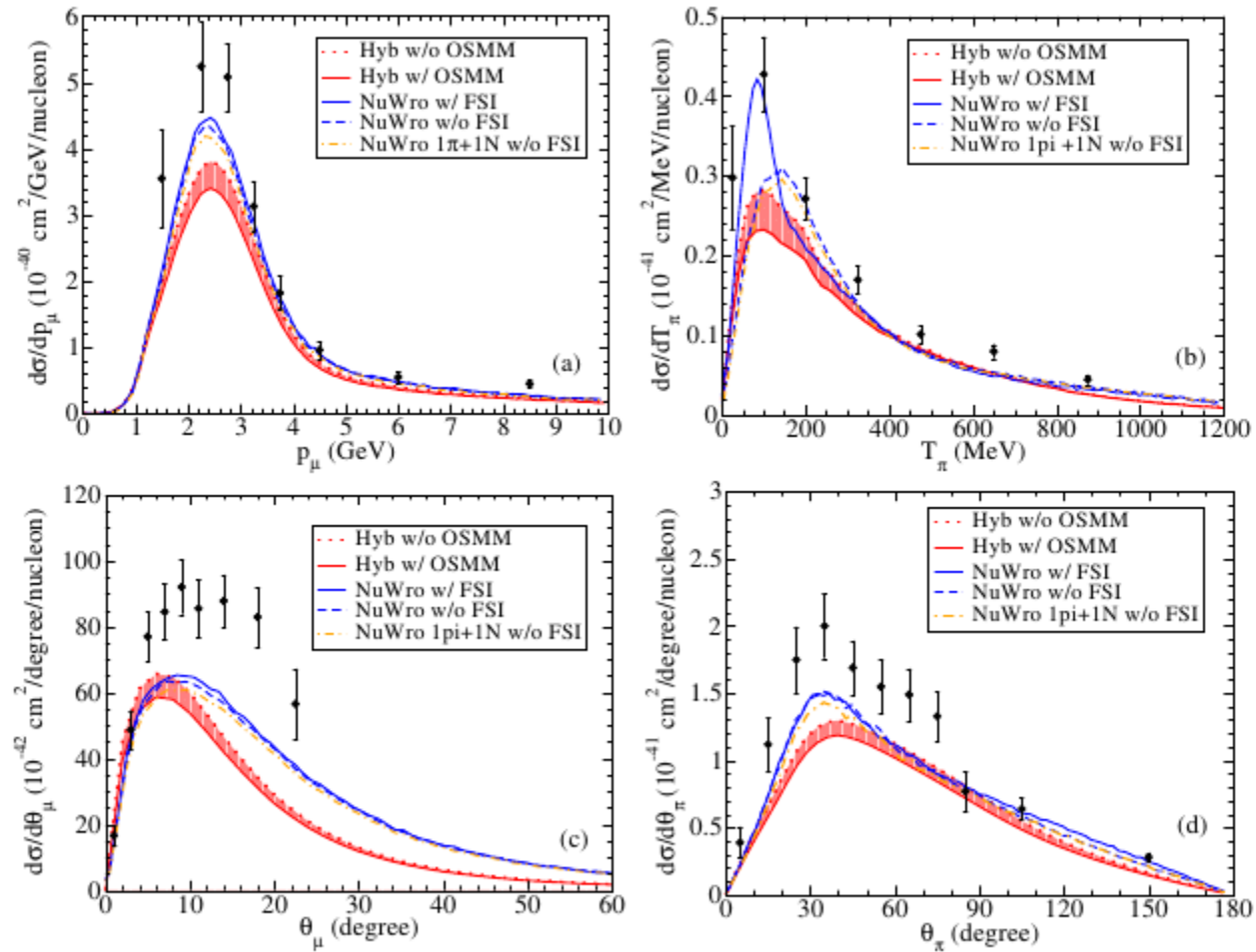
PRD 97, 013004 (2018)

## MiniBooNE neutrino CC 1pion0.



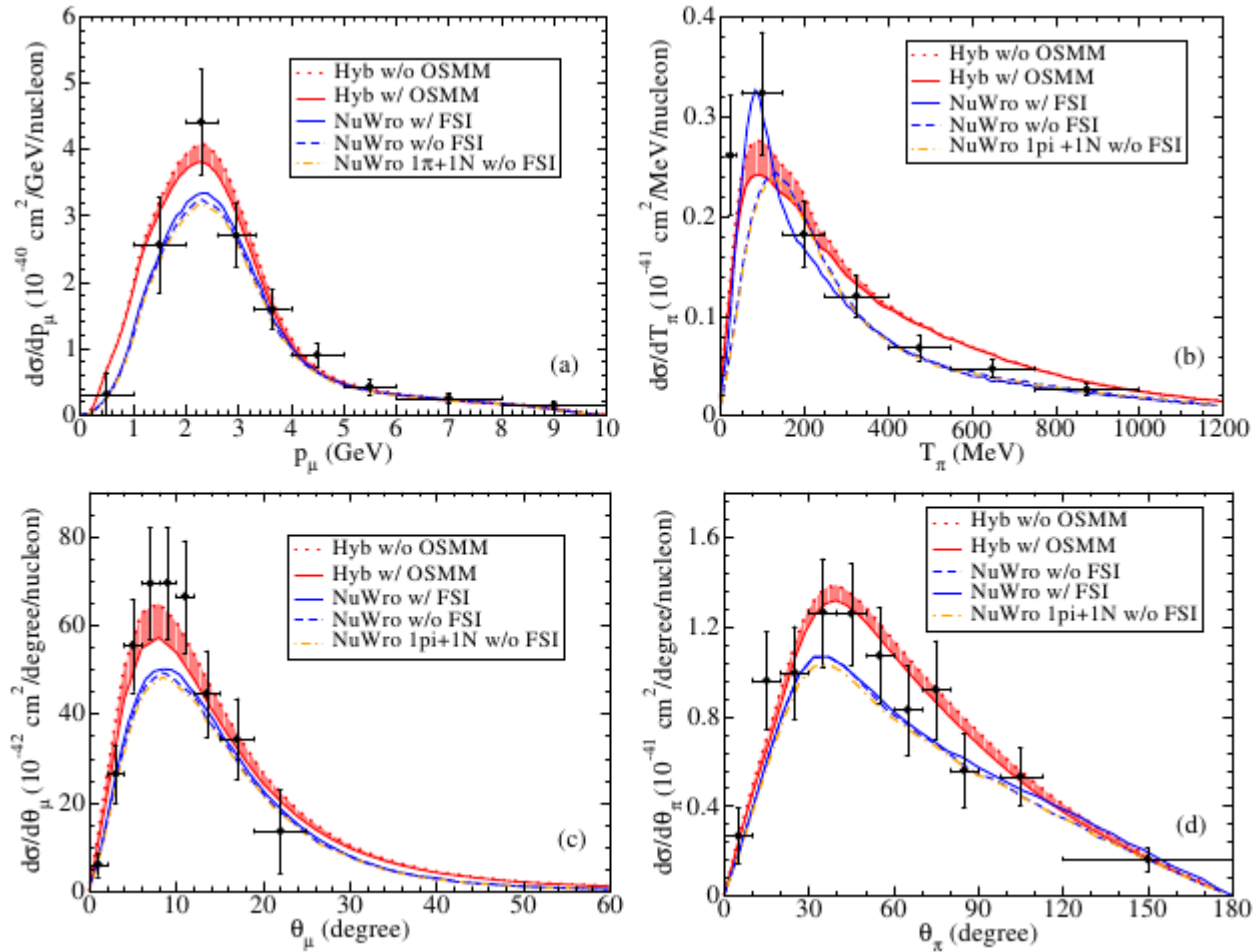
PRD 97, 013004 (2018)

## MINERvA neutrino CC 1pion0.



PRD 97, 013004 (2018)

## MINERvA antineutrino CC 1pion0.



PRD 97, 013004 (2018)

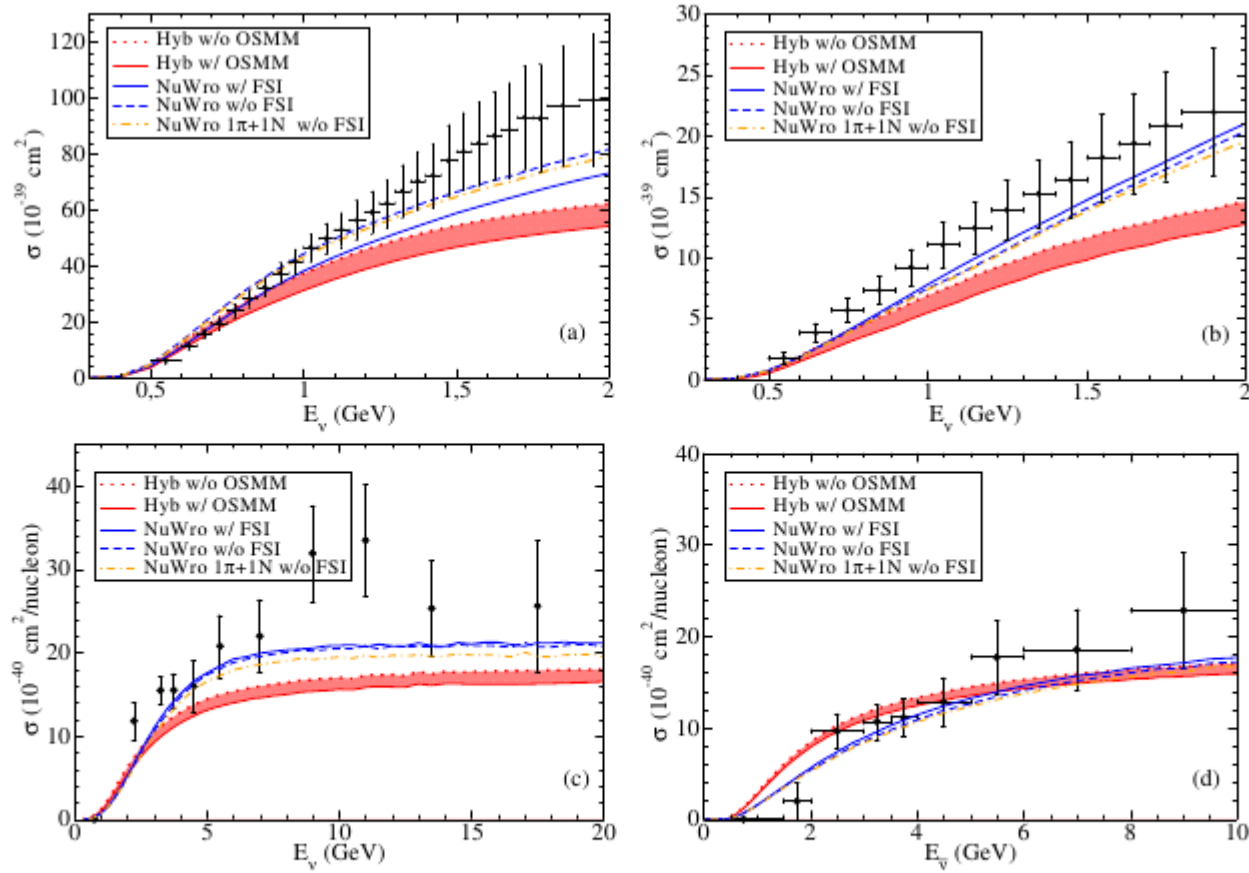


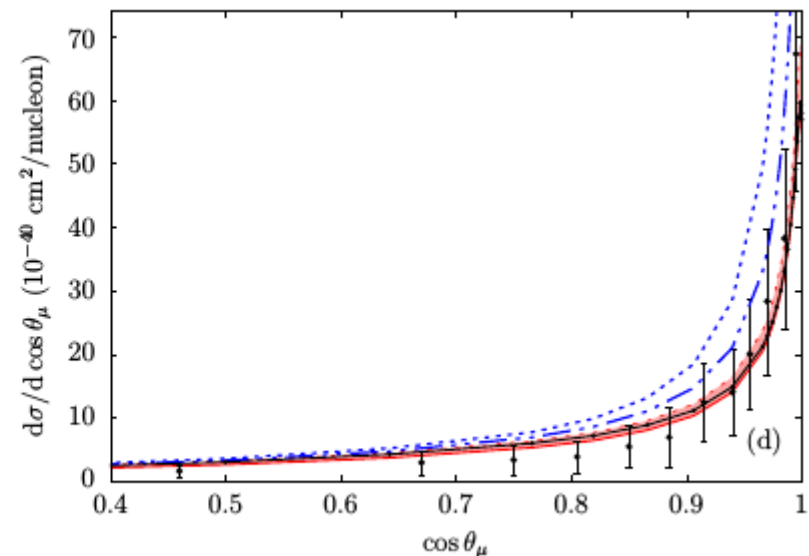
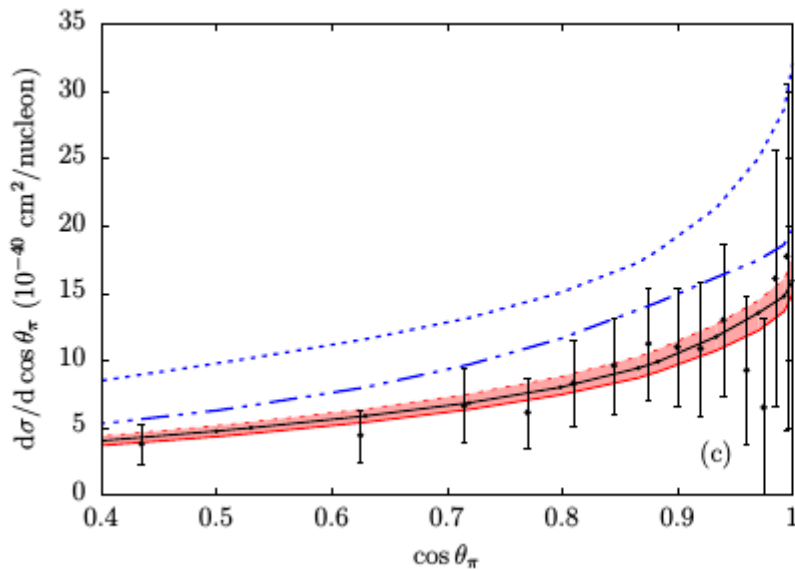
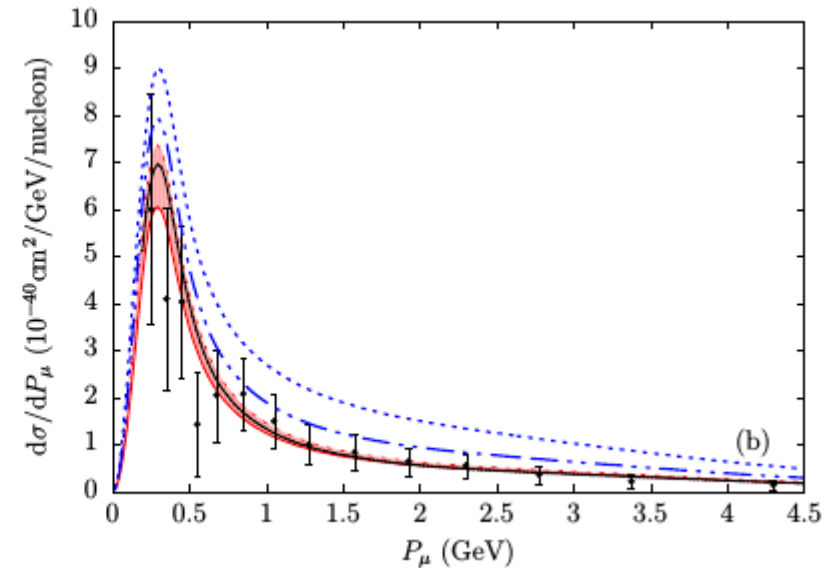
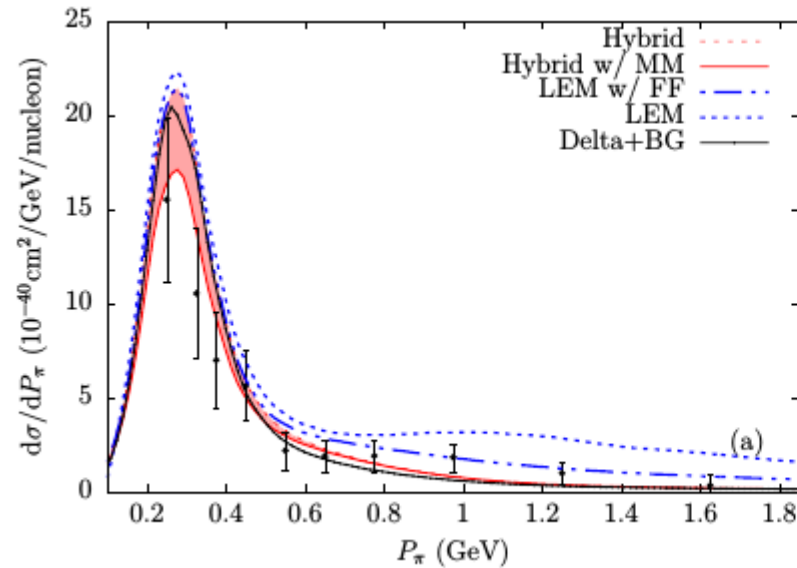
FIG. 10: Total cross section for the reactions (a) MiniBooNE  $\nu\text{CC } 1\pi^+$  [4], (b) MiniBooNE  $\nu\text{CC } 1\pi^0$  [62], (c) MINERvA  $\nu\text{CC } 1\pi^0$  [7], and (d) MINERvA  $\bar{\nu}\text{CC } 1\pi^0$  [6]. Labels as in Fig. 5

PRD 97, 013004 (2018)

# T2K CC 1pion+

PRD 97, 093008  
(2018)

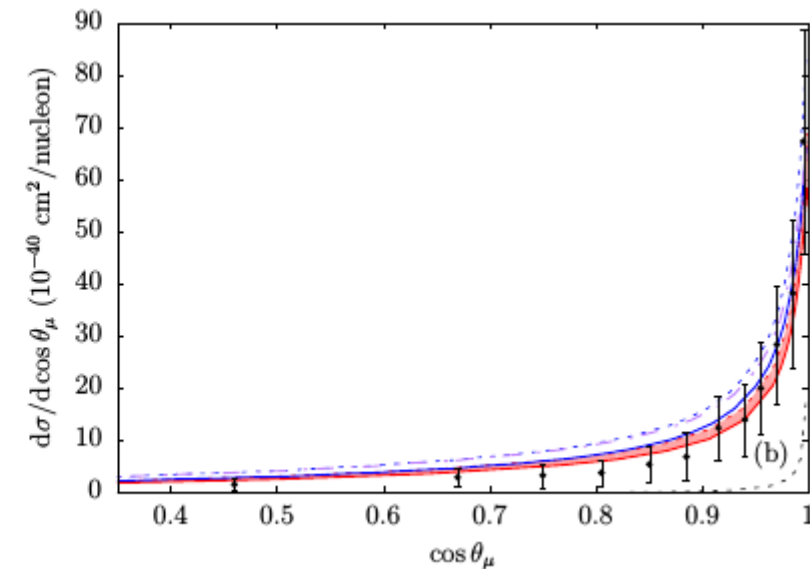
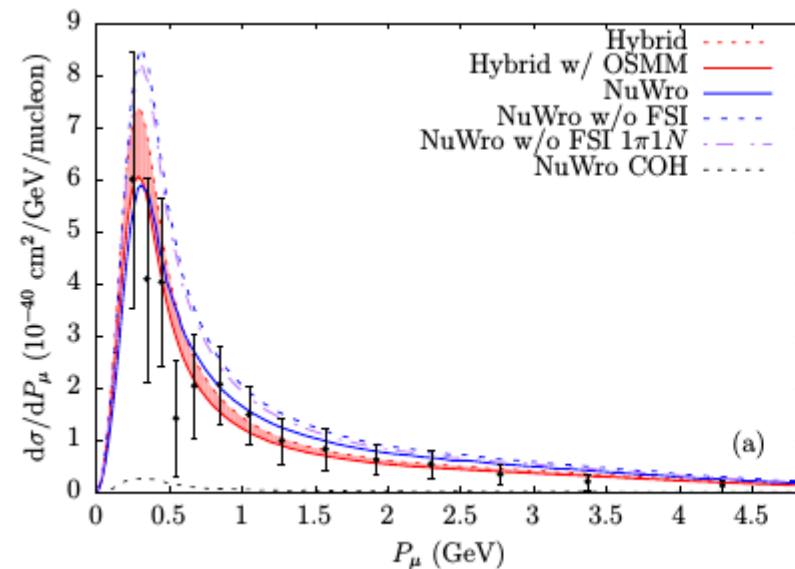
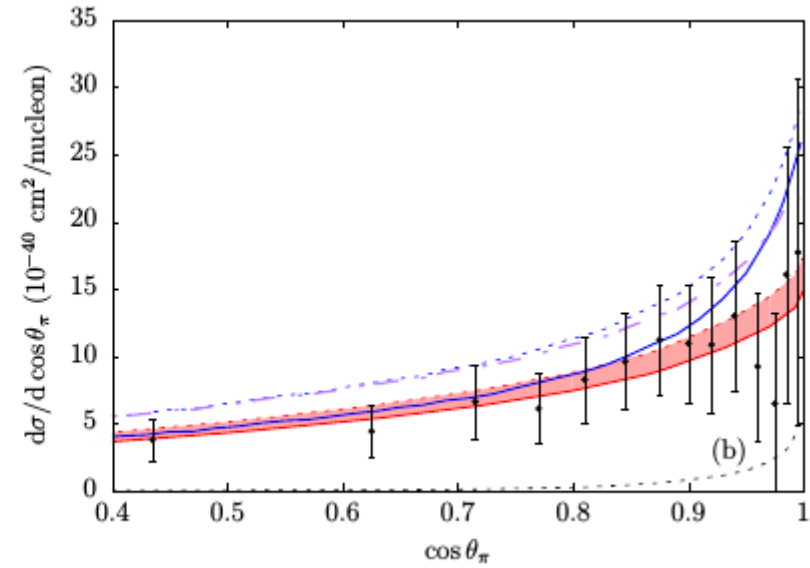
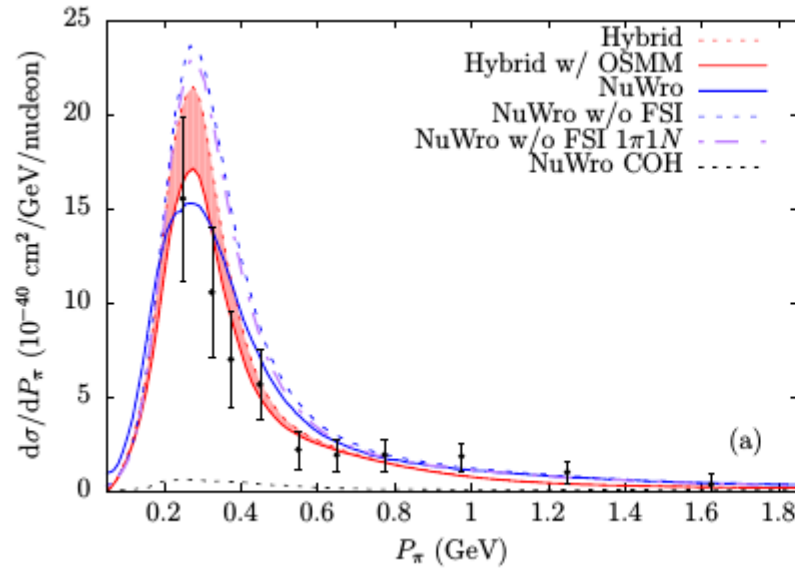
## LEM vs Hybrid



# T2K CC 1pion+

PRD 97, 093008  
(2018)

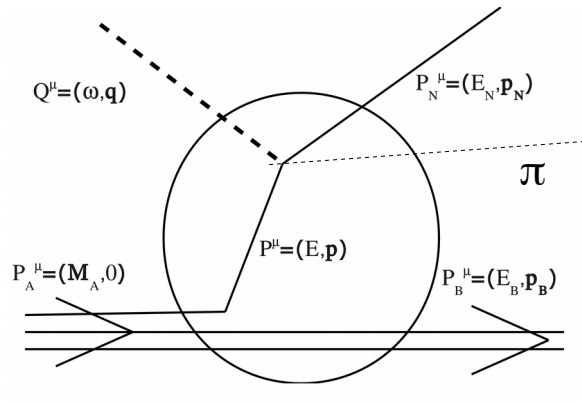
NuWro vs Hybrid: pion FSI effects



# What's next?



So far...



**RPWIA:** Scattered nucleon wf is described as a Dirac plane wave.

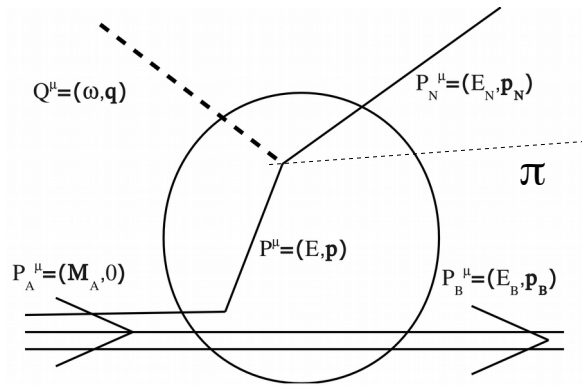
$$J_{had}^\mu = \sum_i^A \int d\mathbf{r} \bar{\Psi}_F(\mathbf{r}) \phi^*(\mathbf{r}) \hat{O}_{one-body}^\mu(\mathbf{r}) \Psi_B(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}}$$

Final Nucleon: Plane wave

Pion: Plane wave

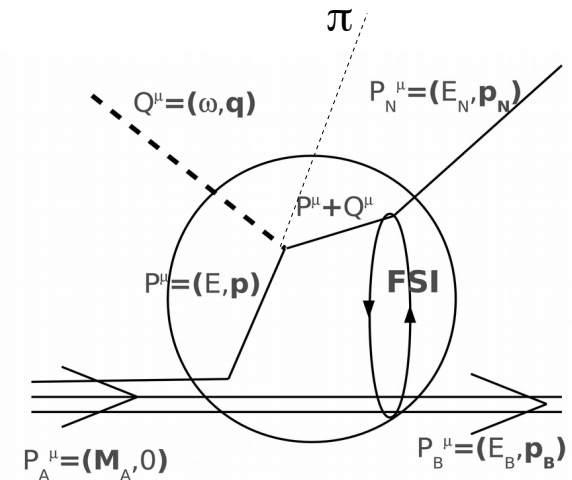
relativistic mean-field wave function

So far...



**RPWIA:** Scattered nucleon wf is described as a Dirac plane wave.

Now...

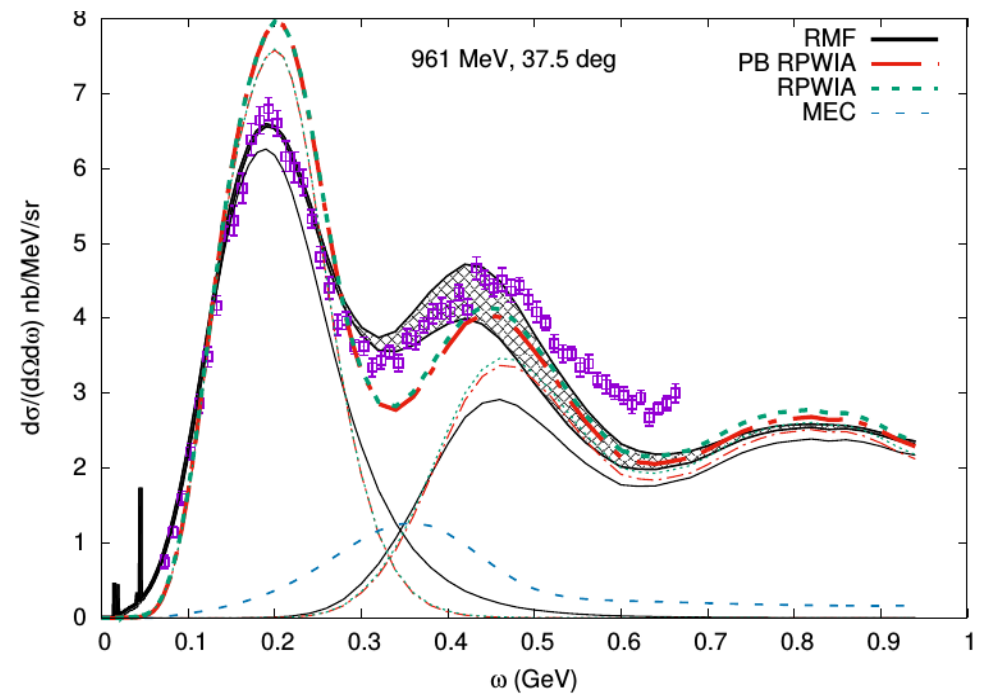
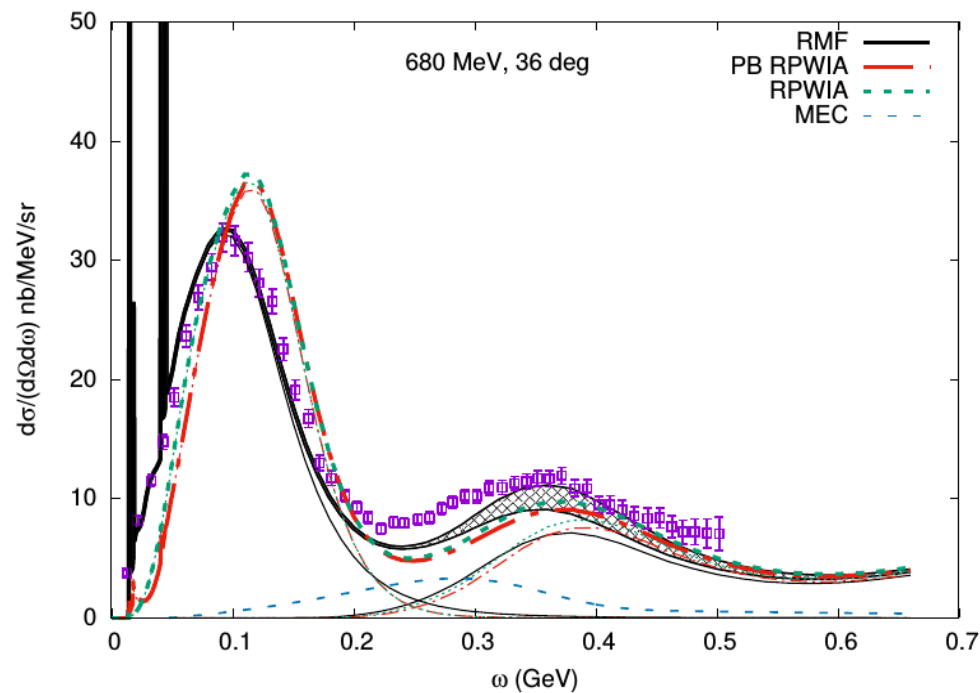
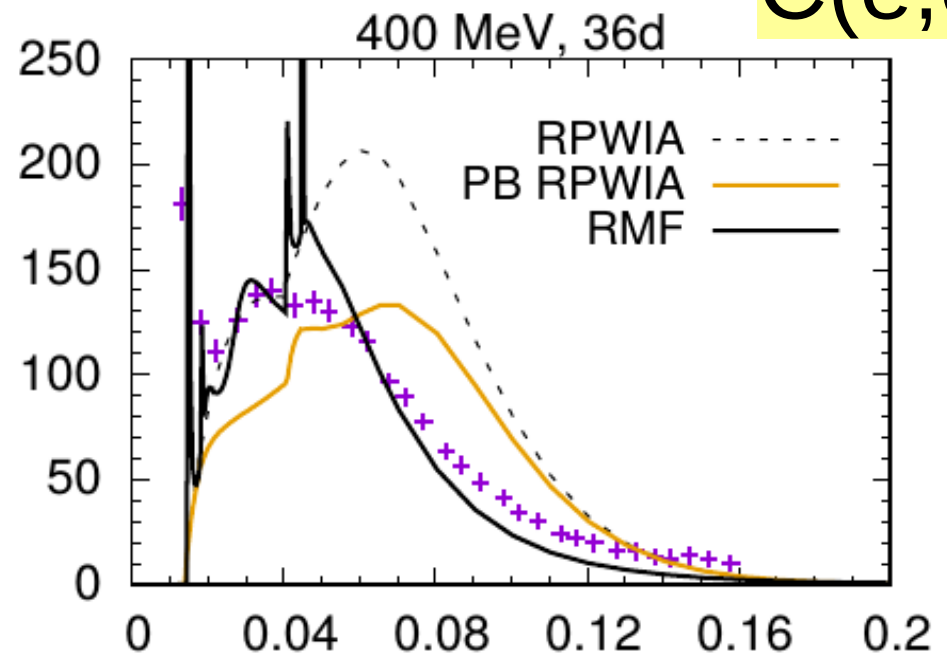
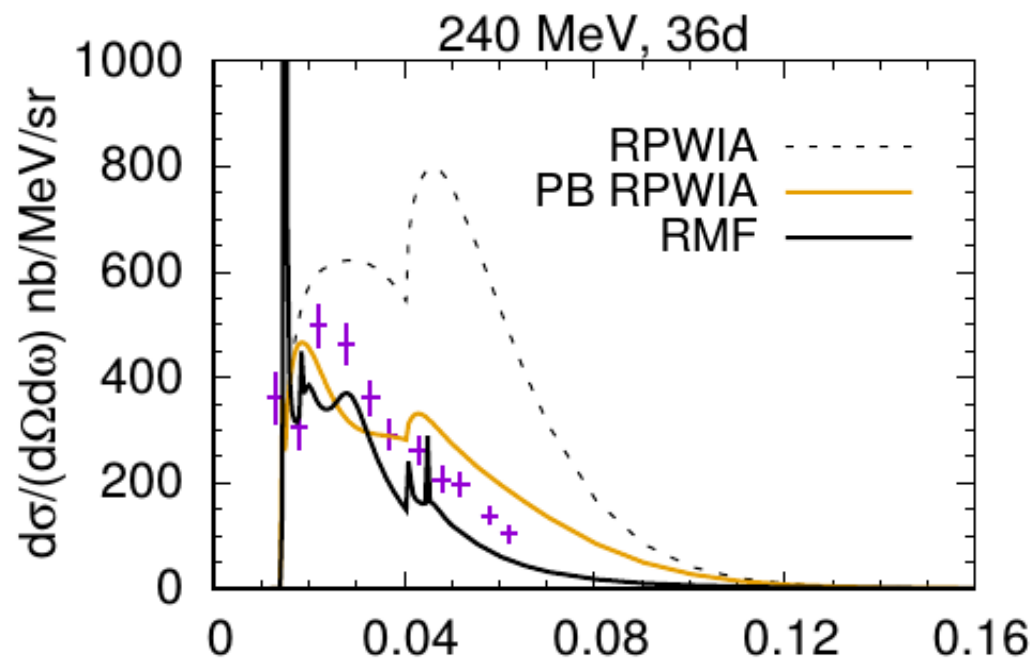


**RMF-FSI:** Scattered nucleon wf is solution of Dirac eq. in presence of the same potentials used to describe the bound nucleon wf.

Pion: Plane wave

$$J_{had}^\mu = \sum_i^A \int d\mathbf{r} \bar{\Psi}_F(\mathbf{r}) \phi^*(\mathbf{r}) \hat{O}_{one-body}^\mu(\mathbf{r}) \Psi_B(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}}$$

relativistic mean-field  
wave functions



# Orthogonality: Pauli blocking

Partial wave expansion of a relativistic plane wave:

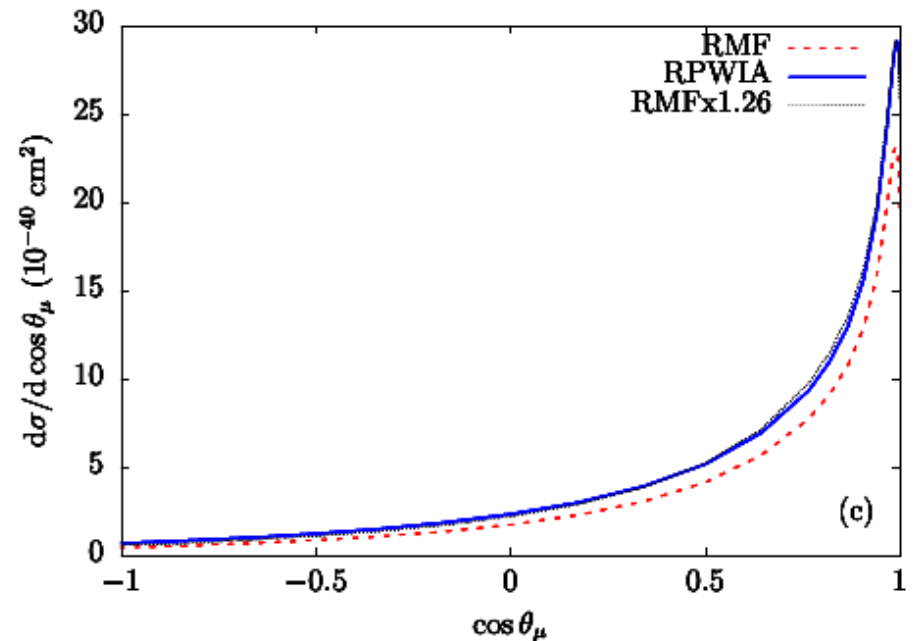
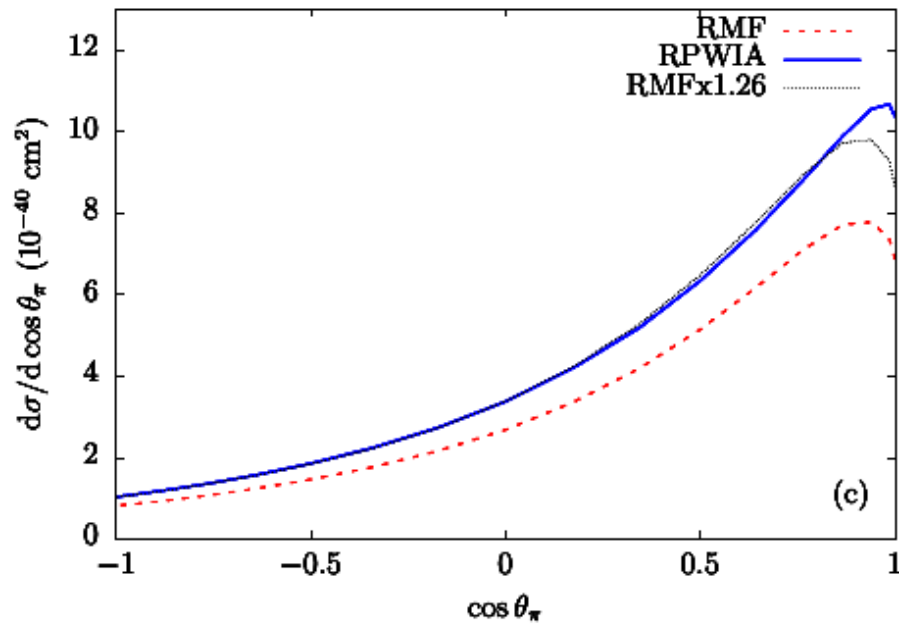
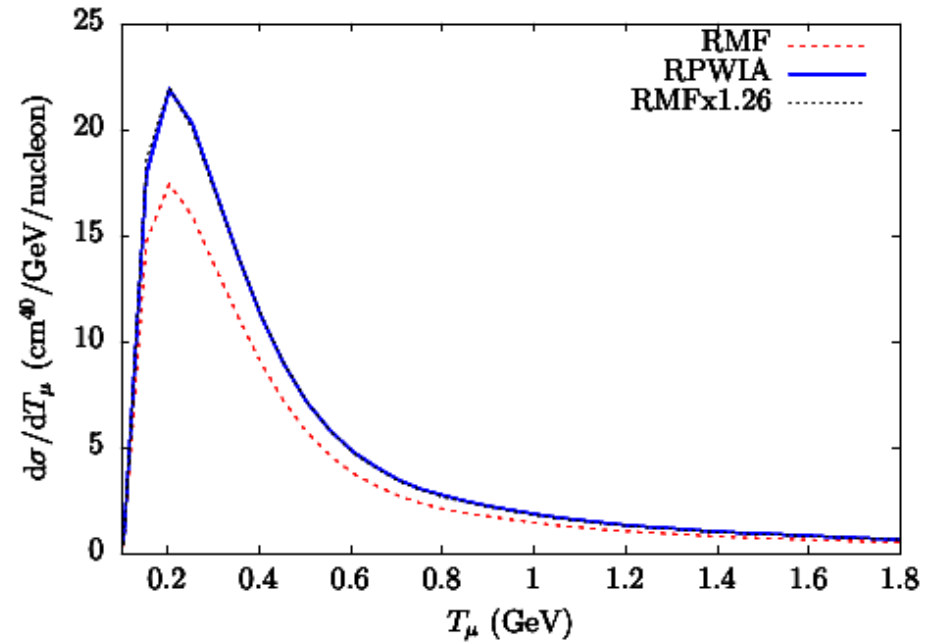
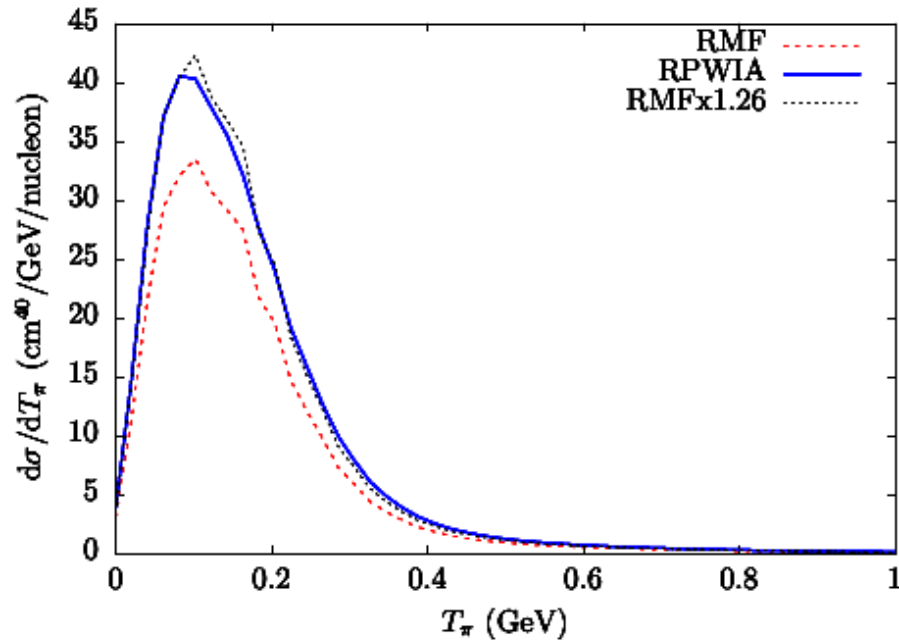
$$\Psi_{PW}(\mathbf{r}, \mathbf{p}, m_s) = 4\pi \sqrt{\frac{E+M}{2EV}} \sum_{\kappa=-\infty}^{+\infty} \sum_{m_j=-j}^{+j} i^\ell \langle \ell(m_j - m_s), \frac{1}{2}m_s | jm_j \rangle [Y_\ell^{m_\ell}(\Omega_{\mathbf{p}})]^* \\ \times \begin{pmatrix} j_\ell(pr) \phi_\kappa^{m_j}(\Omega_{\mathbf{r}}) \\ i \frac{|\kappa|}{\kappa} j_{\bar{\ell}}(pr) \phi_{-\kappa}^{m_j}(\Omega_{\mathbf{r}}) \end{pmatrix}.$$

The **orthogonalized** final nucleon wave function (Pauli blocked) is built by subtracting to the plane wave the partial waves that overlap with the initial state nucleus:

$$|\Psi^{s_N}(\mathbf{p}_N)\rangle = |\psi_{pw}^{s_N}(\mathbf{p}_N)\rangle - \sum_{\kappa, m_j} [C_\kappa^{m_j, s_N}(\mathbf{p}_N)]^\dagger |\psi_\kappa^{m_j}\rangle \\ C_\kappa^{m_j, s_N}(\mathbf{p}_N) \equiv \langle \psi_{pw}^{s_N}(\mathbf{p}_N) | \psi_\kappa^{m_j} \rangle$$

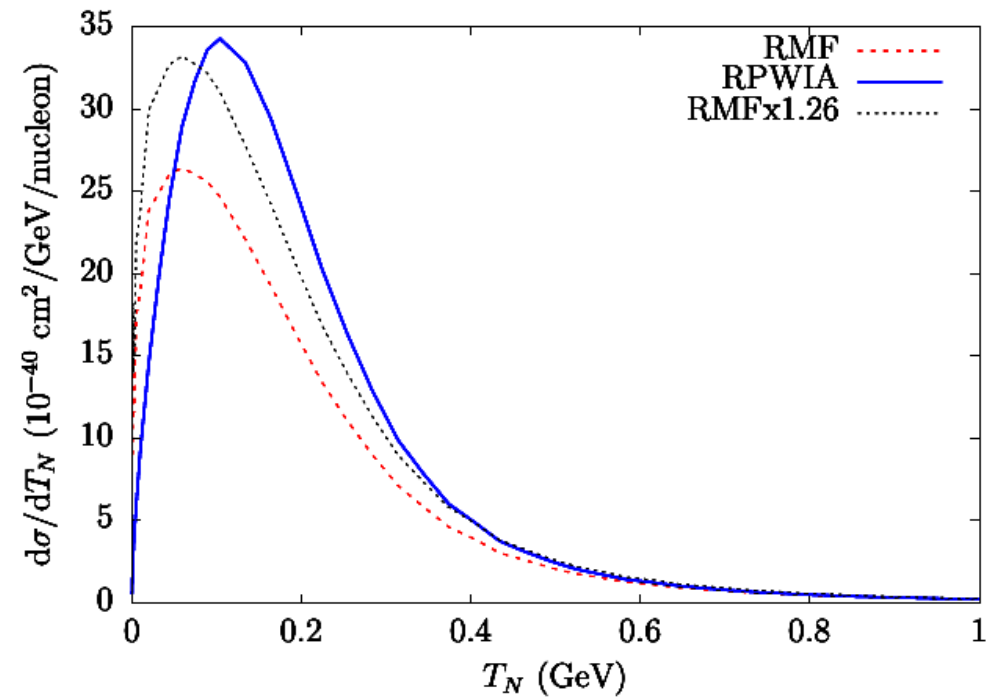
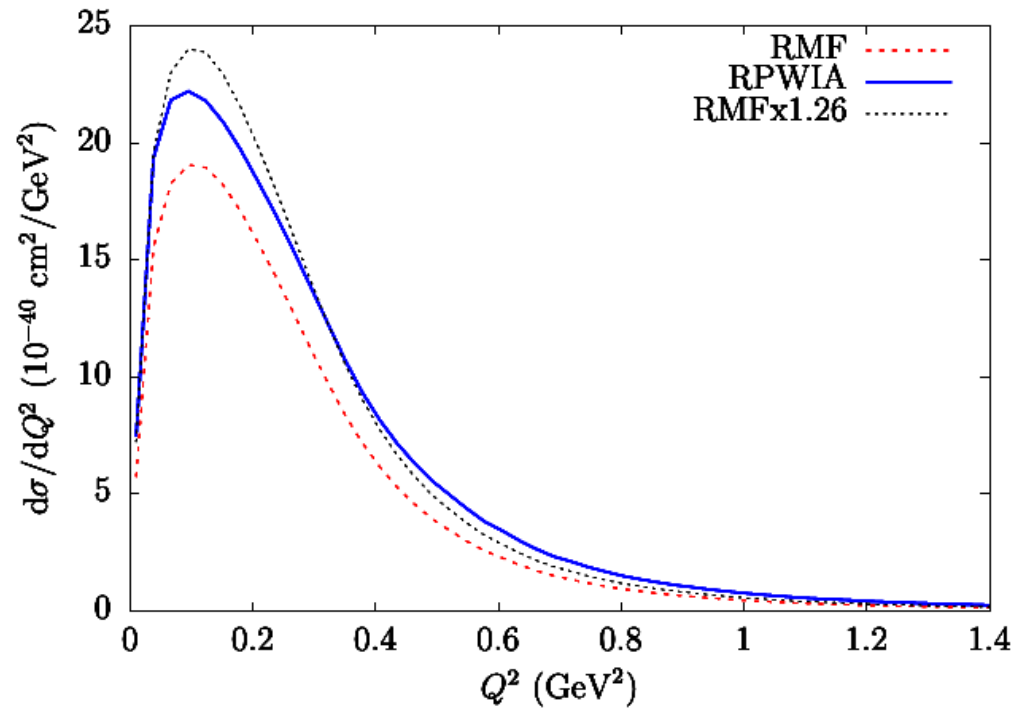
# PRELIMINARY RESULTS

## CC 1pion+ (folded with T2K flux, only one shell)



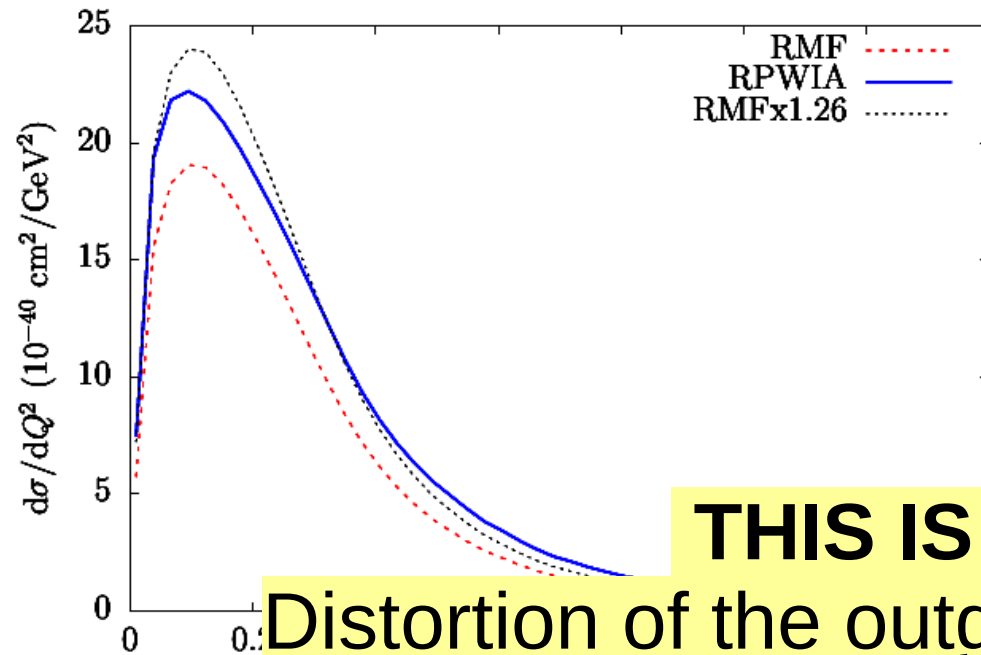
# PRELIMINARY RESULTS

## CC 1pion+ (folded with T2K flux, only one shell)



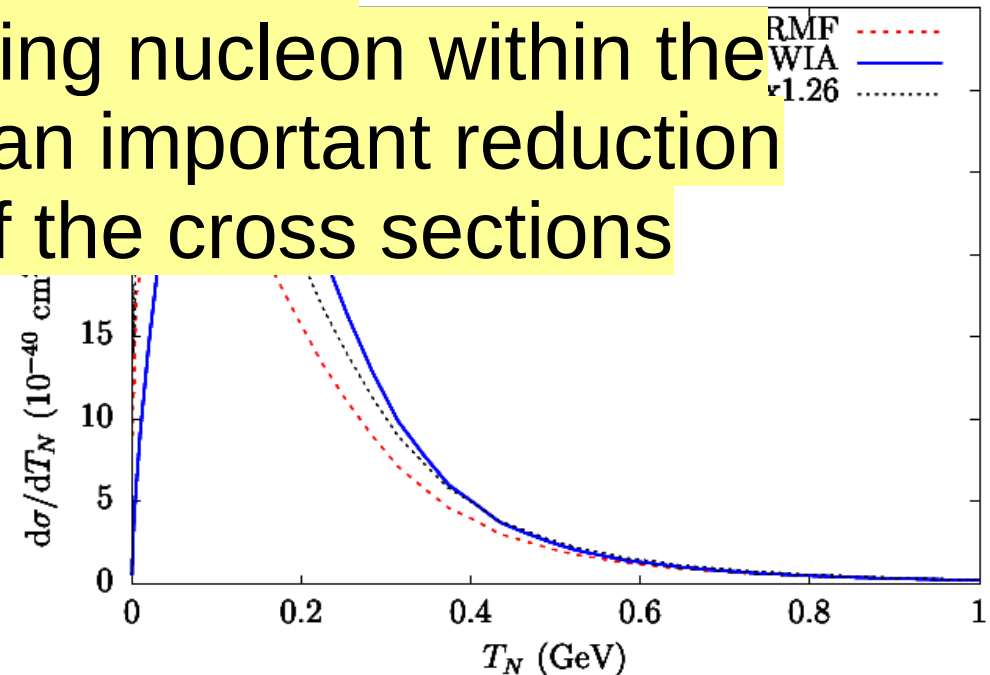
# PRELIMINARY RESULTS

## CC 1pion+ (folded with T2K flux, only one shell)



**THIS IS SERIOUS !**

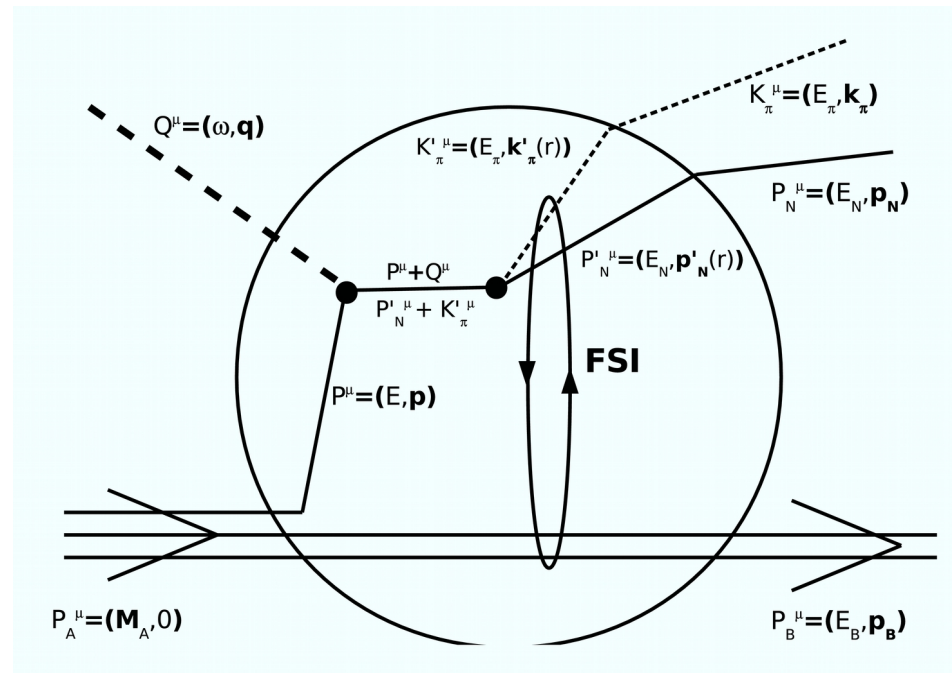
Distortion of the outgoing nucleon within the RMF model produces an important reduction and redistribution of the cross sections



**What's next?**



# What's next?



... distortion and absorption of the pion

# Conclusions

- ✓ Simple analysis of the **kinematics of the problem** tell us the minimum set of independent variables that is needed to describe the scattering process.
  - ➔ A model that depends on less variables is missing something. Is it important?
- ✓ Distortion of the outgoing nucleon within the RMF model produces an important reduction and redistribution of the cross sections:  
**THIS IS A SERIOUS ISSUE!**
- ✓ Is it possible to implement (complex) microscopic models with full kinematics in the MC event generators?
  - ➔ Yes, it is possible.
  - ➔ Is it worthy? Are you interested?
  - ➔ Let's talk about it.

# *Collaborators*

JM Udías (Madrid)

Alexis Nikolakopoulos (Ghent)

Kajetan Niewczas (Ghent)

Natalie Jachowicz (Ghent)

Nils Van Dessel (Ghent)

Jannes Nys (Ghent)

Tom Van Cuyck (Ghent)

Vishvas Pandey (Virginia Tech)

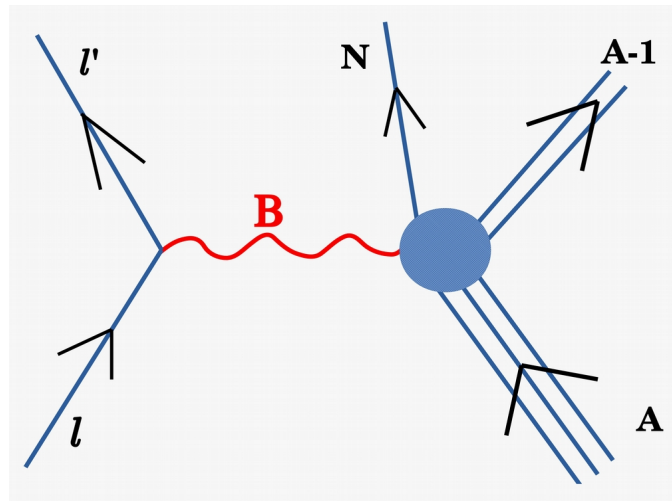
TW Donnelly (MIT)

*The end...*

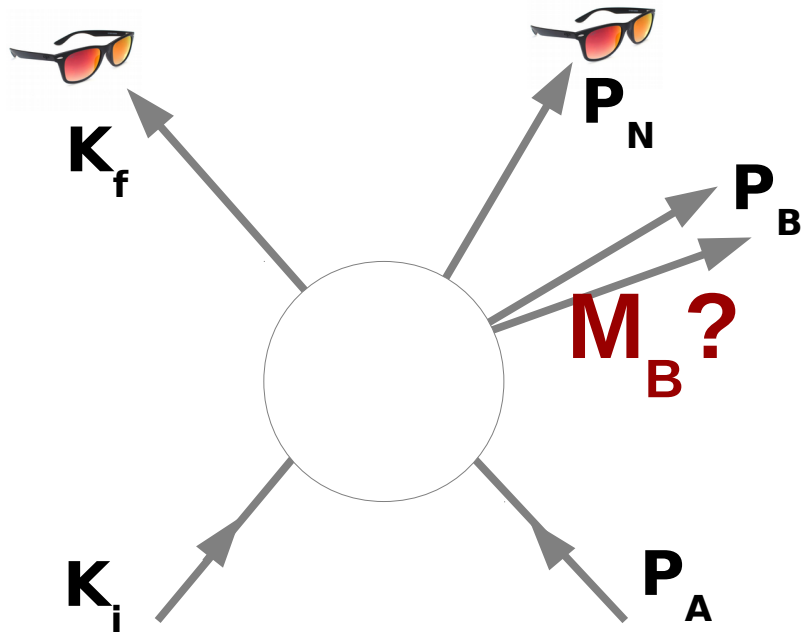
*Thanks for your  
attention*

***Backup slides***

# Quasielastic scattering



One hadron is detected in coincidence with the scattered lepton, e.g., **quasielastic scattering**.

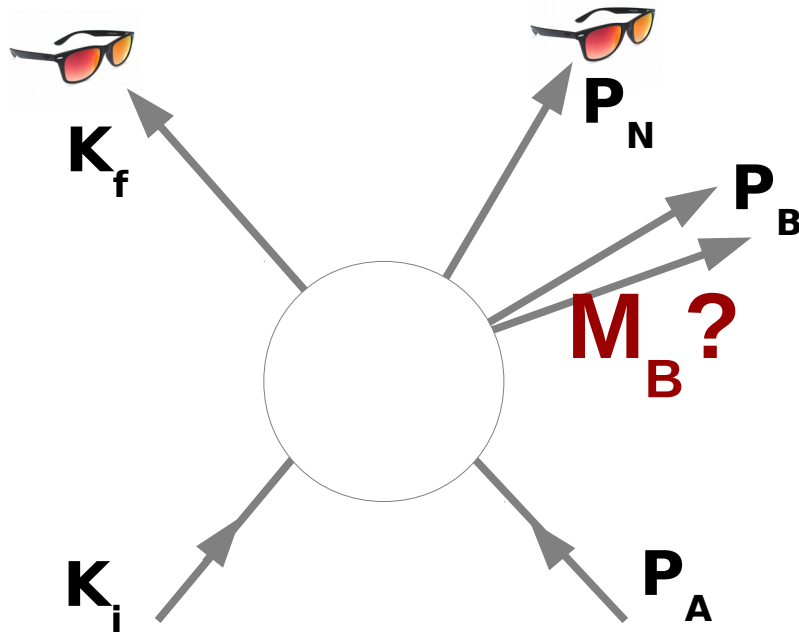


5 x 4-momentum

→ 20 variables

---

One hadron is detected in coincidence with the scattered lepton, e.g., **quasielastic scattering**.



5 x 4-momentum

→ 20 variables

1 x 4-mom. conserv.

→ -4 constraints

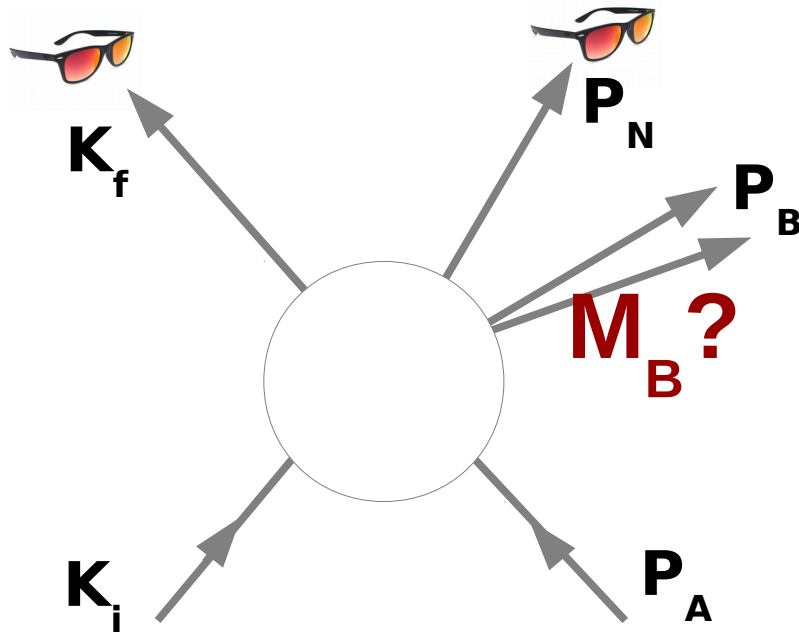
4 x ( $E^2 = M^2 + p^2$ )

→ -4 constraints

---



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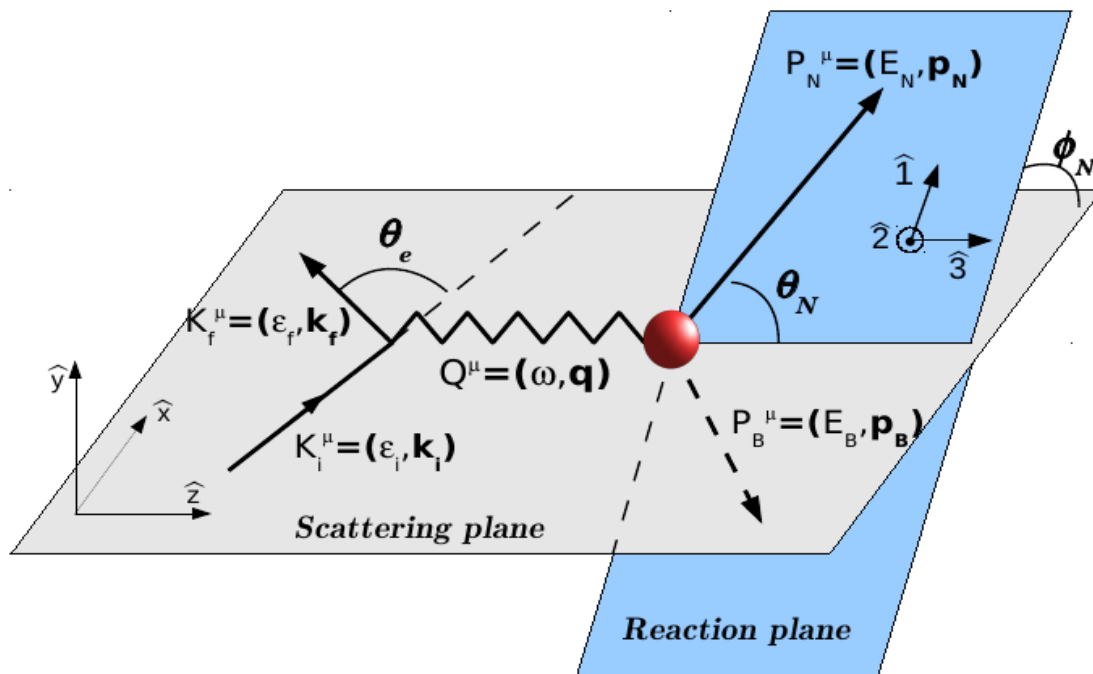


5 x 4-momentum	→	20 variables
1 x 4-mom. conserv.	→	-4 constraints
4 x ( $E^2=M^2+p^2$ )	→	-4 constraints
3-mom. of the beam	→	-3 known
3-mom. of the target	→	-3 known

---

**Independent variables left → 6**

$$\frac{d^6\sigma}{d\varepsilon_f d\Omega_f dE_N d\Omega_N}$$



In this reference frame ( $\mathbf{q} \parallel \mathbf{z}$ ):

1) The cross section can be decomposed in **response functions** (Rosenbluth decomposition).

2) **Leptonic and hadronic variables are not mixed.**

3) The  $\phi_N$  **dependence factorizes** in terms of sines and cosines.

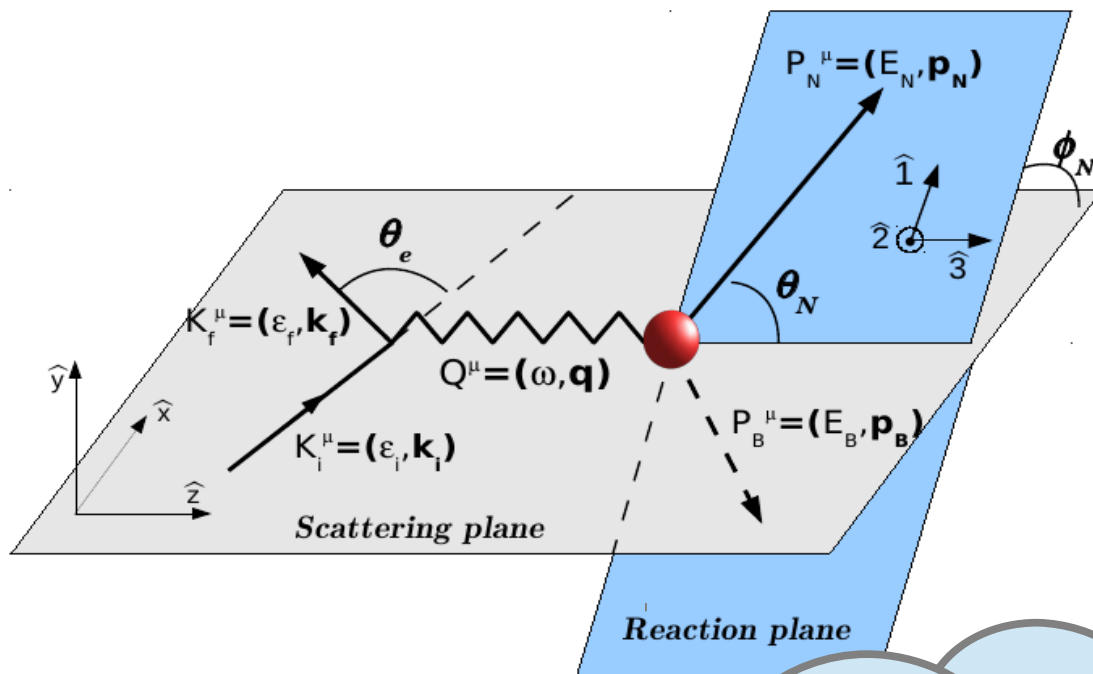
$$\frac{d^6\sigma}{d\epsilon_f d\Omega_f dE_N d\Omega_N} \propto$$

$$(v_L R_L + v_T R_T + v_{TL} R_{TL} \cos \phi_N + v_{TT} R_{TT} \cos 2\phi_N)$$

$v$ 's and  $R$ 's depend on the independent variables (I included the explicit dependence on the incoming energy)

$$v_K = v_K(\epsilon_i, q, \omega)$$

$$R_K = R_K(q, \omega, \theta_N, E_m)$$



In this reference frame ( $\mathbf{q} \parallel \mathbf{z}$ ):

1) The cross section can be decomposed in **response functions** (Rosenbluth decomposition).

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3) The  $\phi_N$  dependence can be expressed in terms of sines and cosines.

4 indep. variables.  
If you have less...  
better think about it!

$$\frac{d^6\sigma}{d\epsilon_f d\Omega_f dE_N d\Omega_N}$$

$$(v_L R_L + v_T R_T + v_{TT} R_{TT} \cos 2\phi_N)$$

$$v_{TT} R_{TT} \cos 2\phi_N)$$

v's and R's depend on the independent variables (I included the explicit dependence on the incoming energy)

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$$\frac{d^6\sigma}{d\varepsilon_f d\Omega_f dE_m dp_m d\phi_N} \propto \ell_{\mu\nu} H^{\mu\nu}$$

$$\ell_{\mu\nu} = \overline{\sum} (j_\mu)^* j_\nu$$

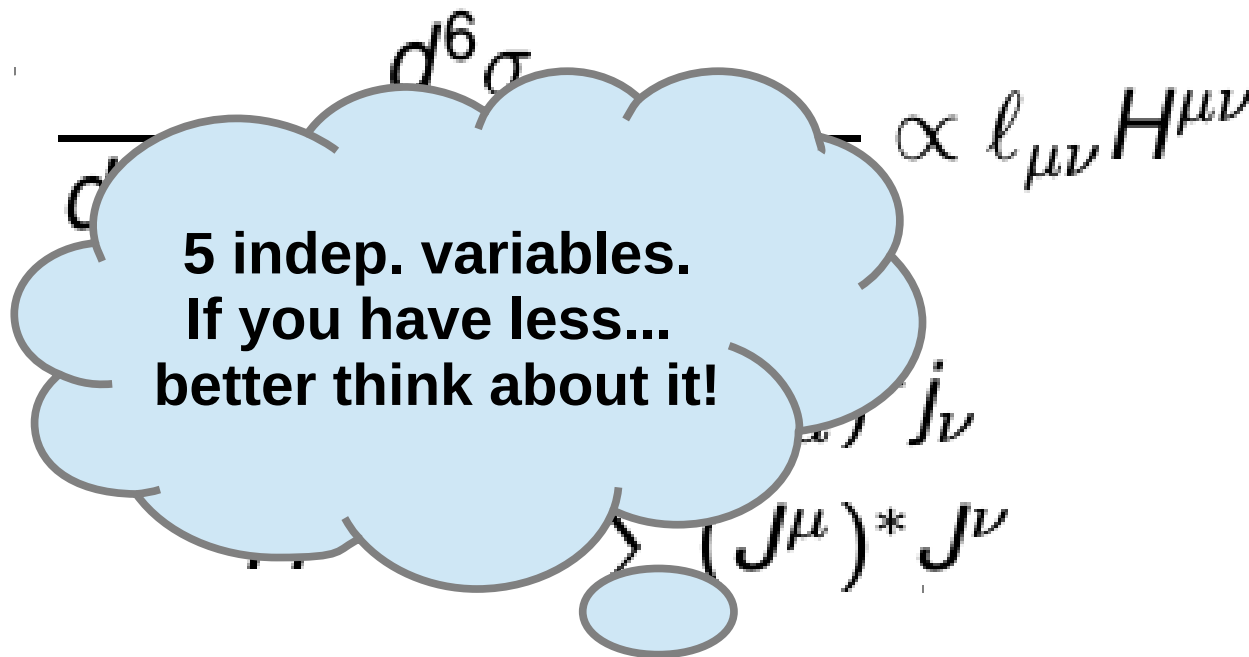
$$H^{\mu\nu} = \overline{\sum} (J^\mu)^* J^\nu$$

$$j_\mu = j_\mu(\varepsilon_i, \mathbf{q}, \omega),$$

$$J^\mu = J^\mu(q, \omega, \theta_N, \phi_N, E_m)$$

If  $\mathbf{q}$  is not along  $\mathbf{z}$ , then hadronic-leptonic variables are mixed. The neutrino energy appears in the hadronic current:

$$J^\mu = J^\mu(\varepsilon_i, q, \omega, \theta_N, \phi_N, E_m)$$



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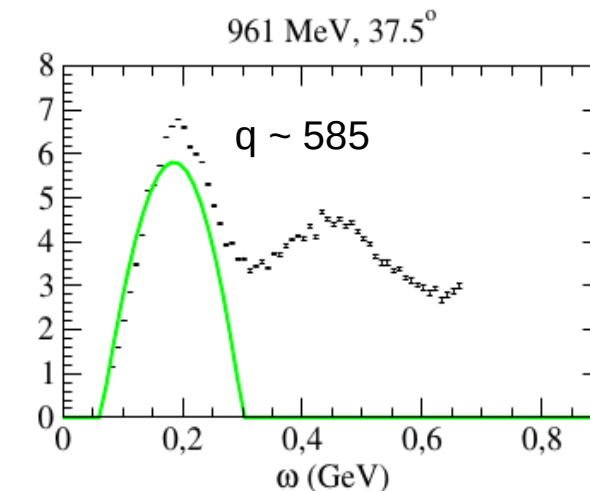
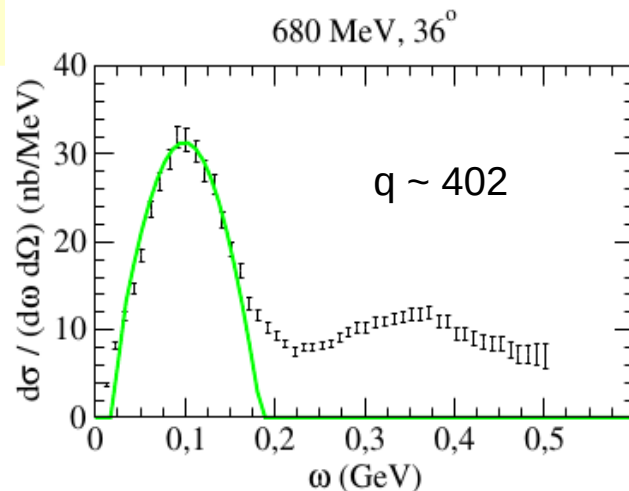
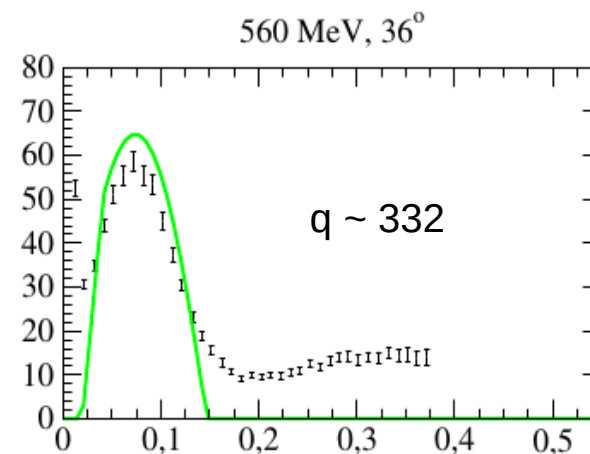
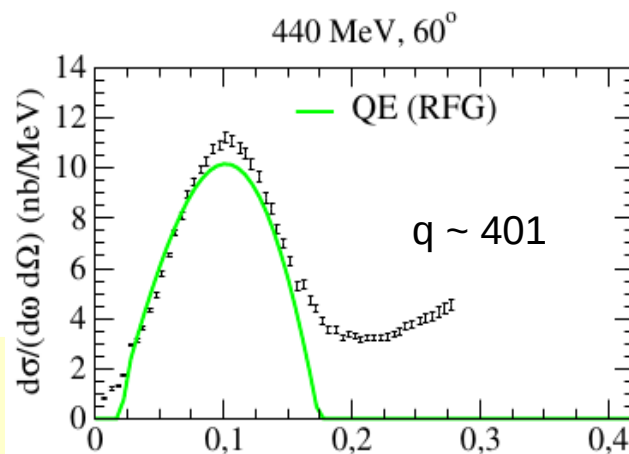
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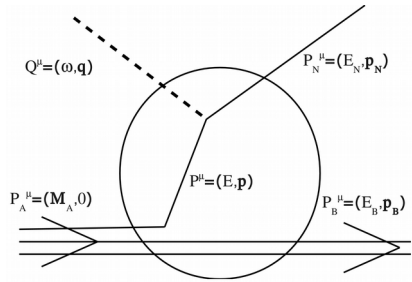
$$J^\mu = J^\mu(\varepsilon_i, q, \omega, \theta_N, \phi_N, E_m)$$

# Mean-field vs plane waves

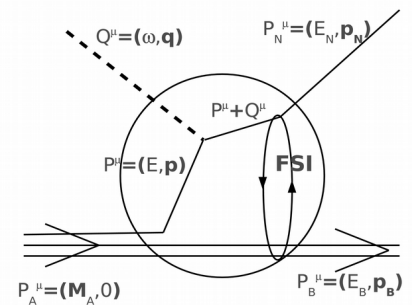
Intermediate  
energies  
(typical QE  
regime)



# Mean-field vs plane waves



**RPWIA:** Scattered nucleon wf is described as a Dirac plane wave.

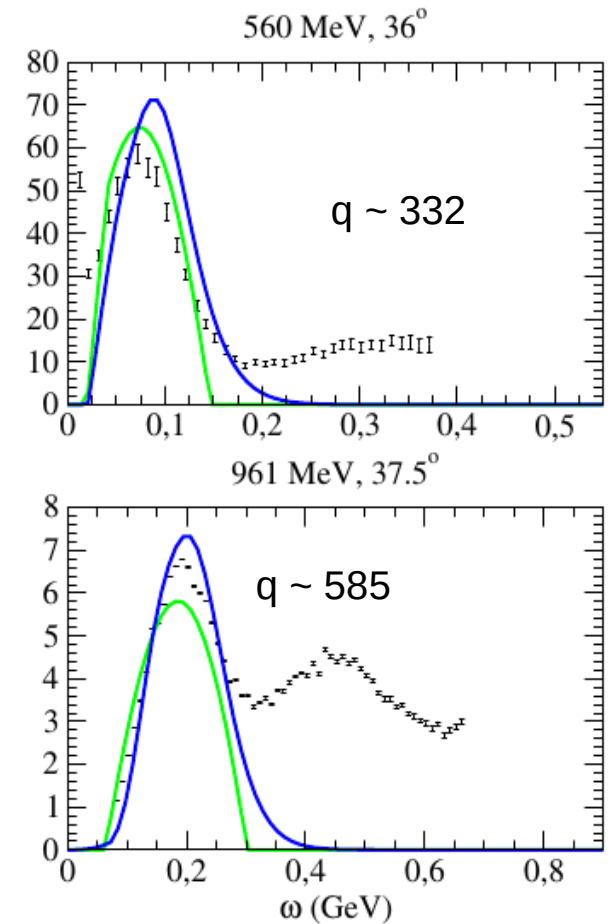
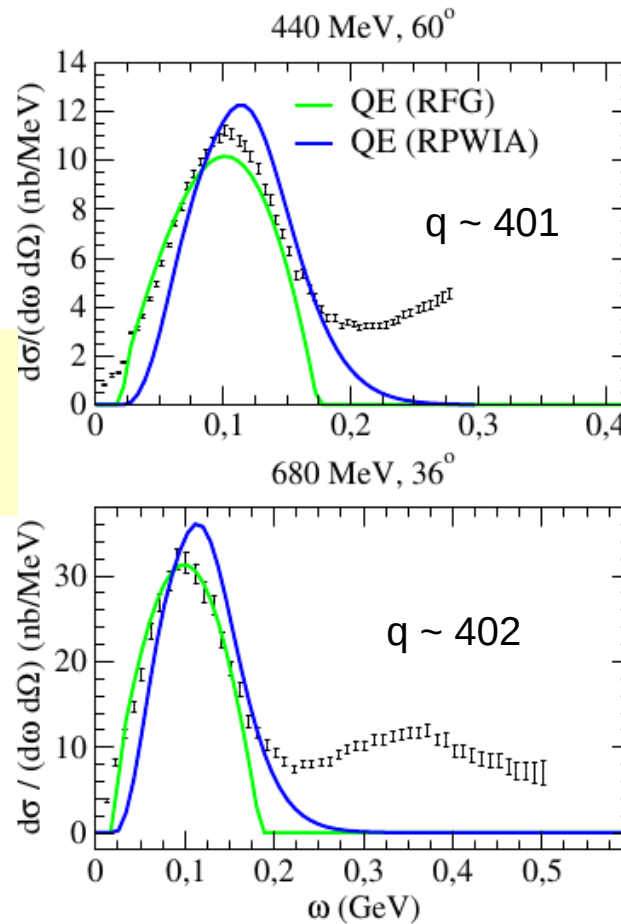


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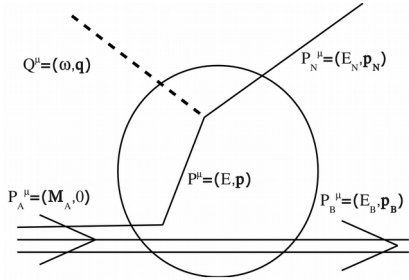
$$[-i\alpha \cdot \nabla + V(r) + \beta(M + S(r))]\Psi_i(\mathbf{r}) = E_i\Psi_i(\mathbf{r})$$

$$J_{had}^\mu = \sum_i^A \int d\mathbf{r} \bar{\Psi}_F(\mathbf{r}) \hat{O}_{one-body}^\mu \Psi_B(\mathbf{r}) e^{i\mathbf{q} \cdot \mathbf{r}}$$

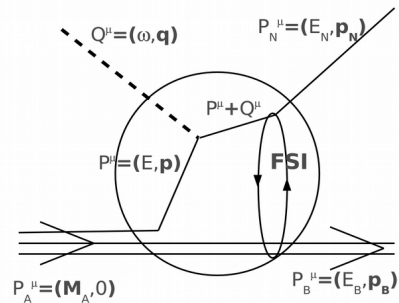
Intermediate energies  
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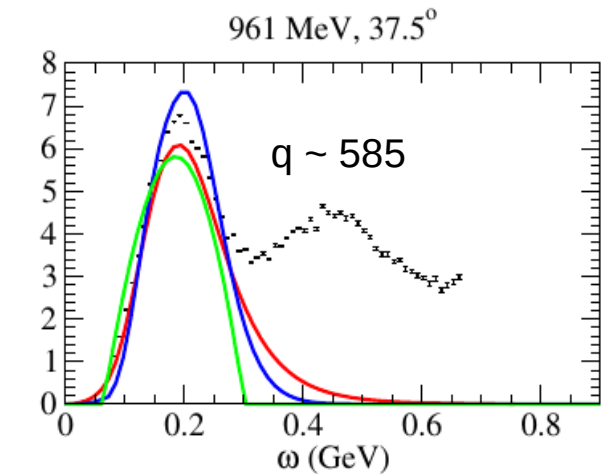
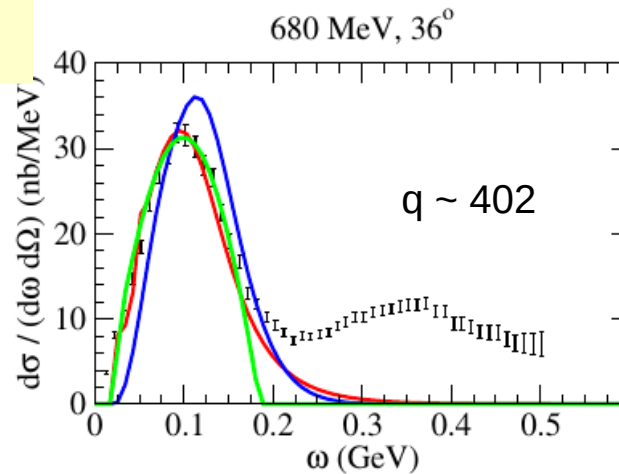
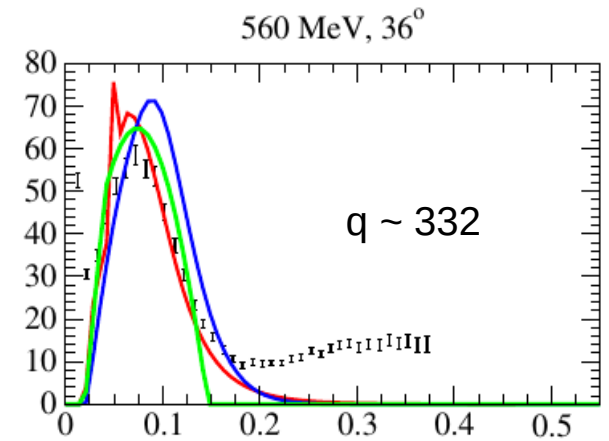
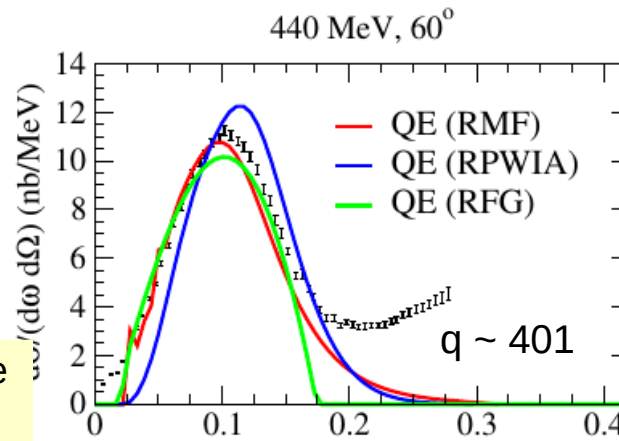


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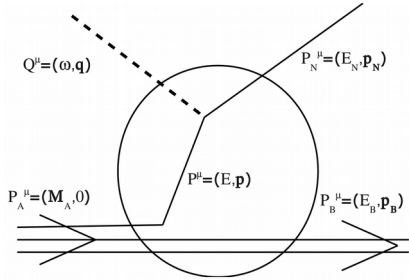
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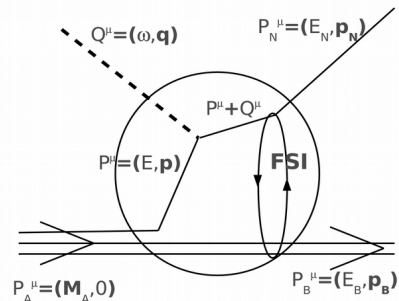




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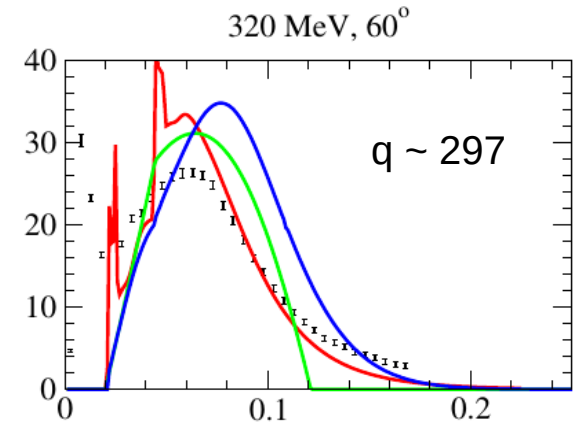
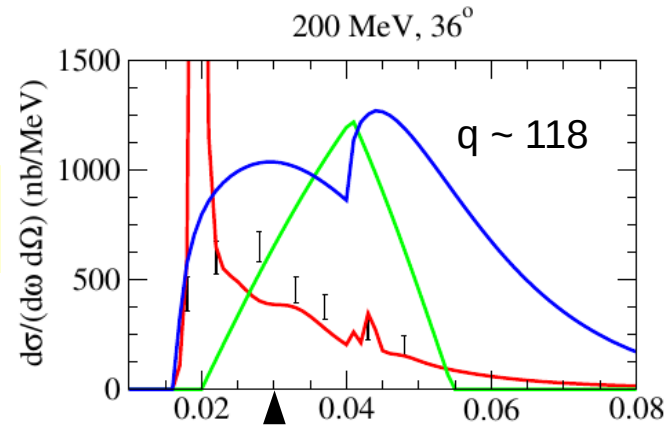


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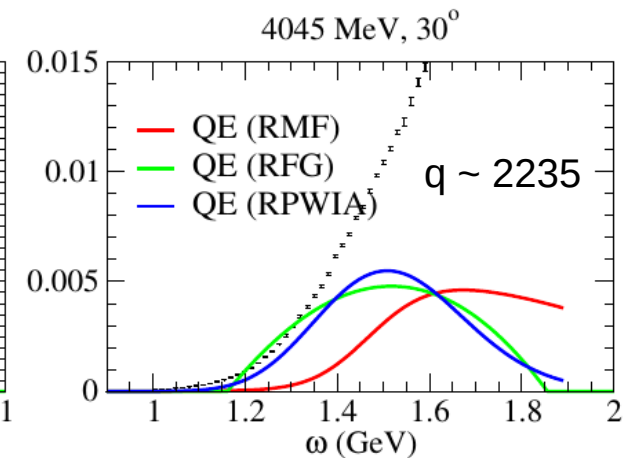
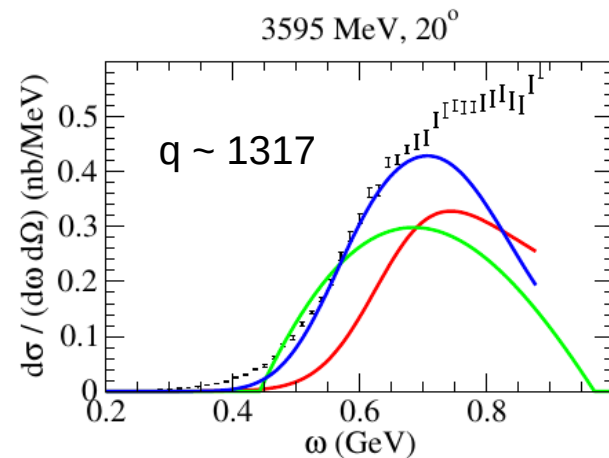
$$[-i\alpha \cdot \nabla + V(r) + \beta(M + S(r))]\Psi_i(\mathbf{r}) = E_i\Psi_i(\mathbf{r})$$

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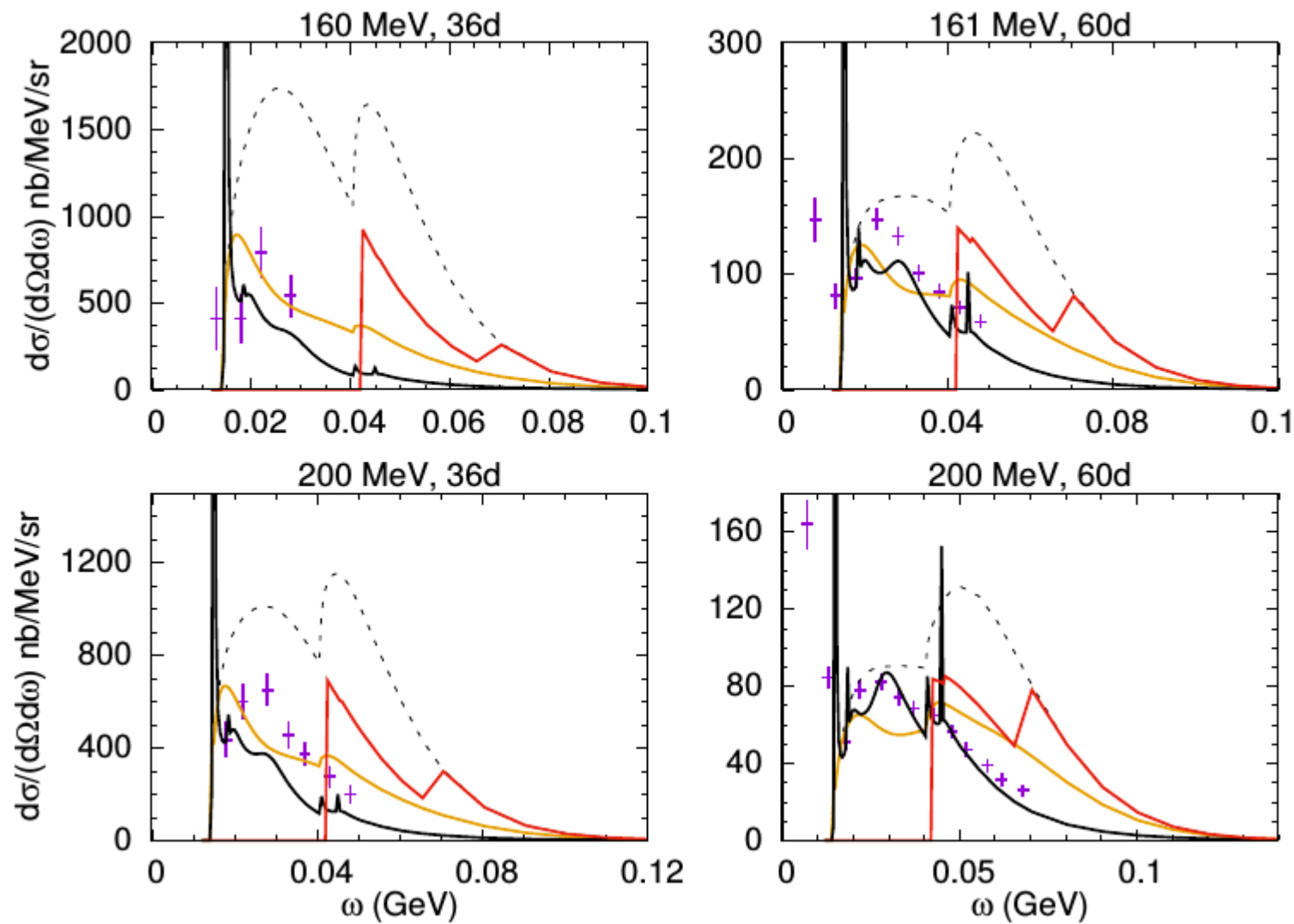
Low energies



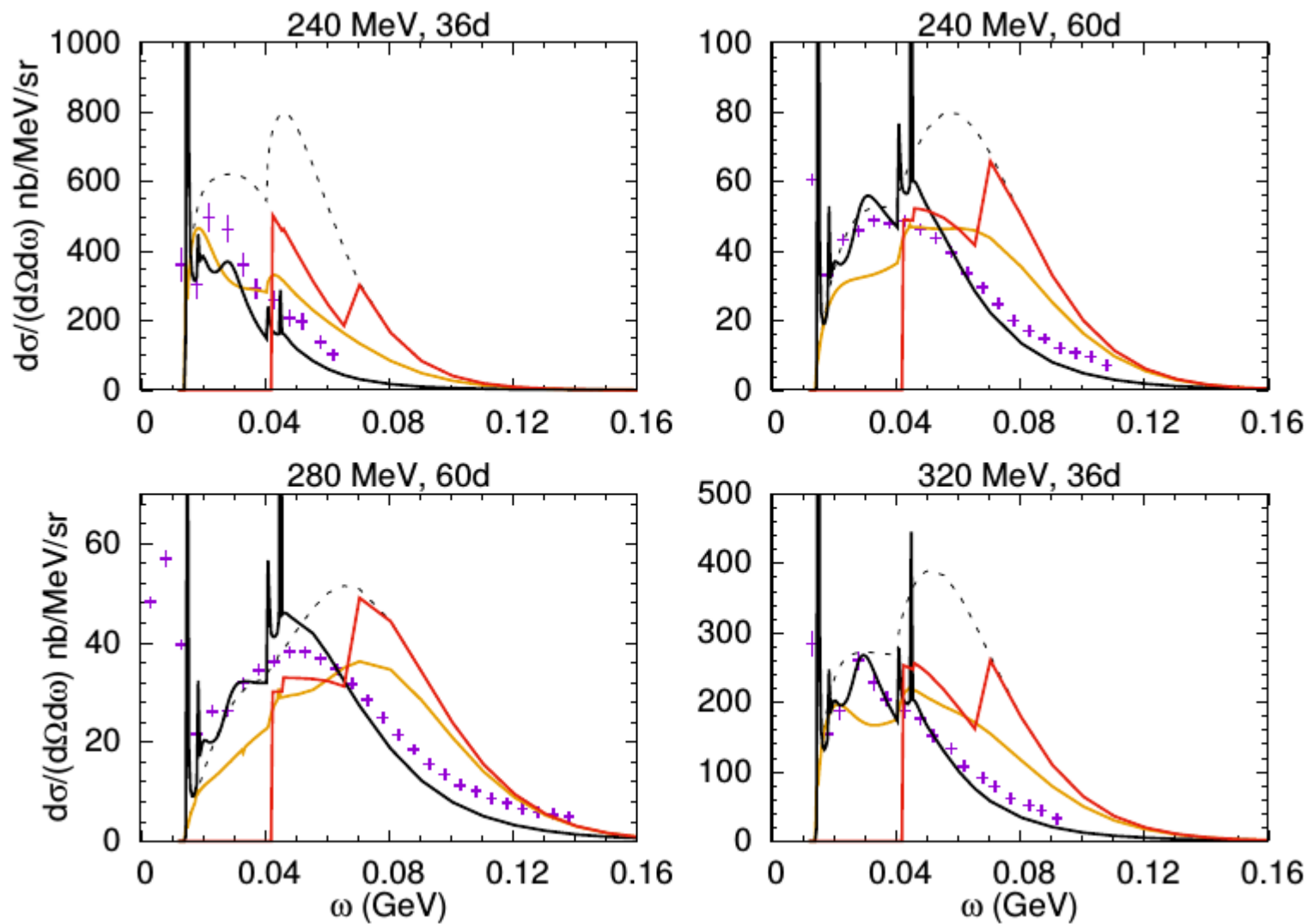
High energies



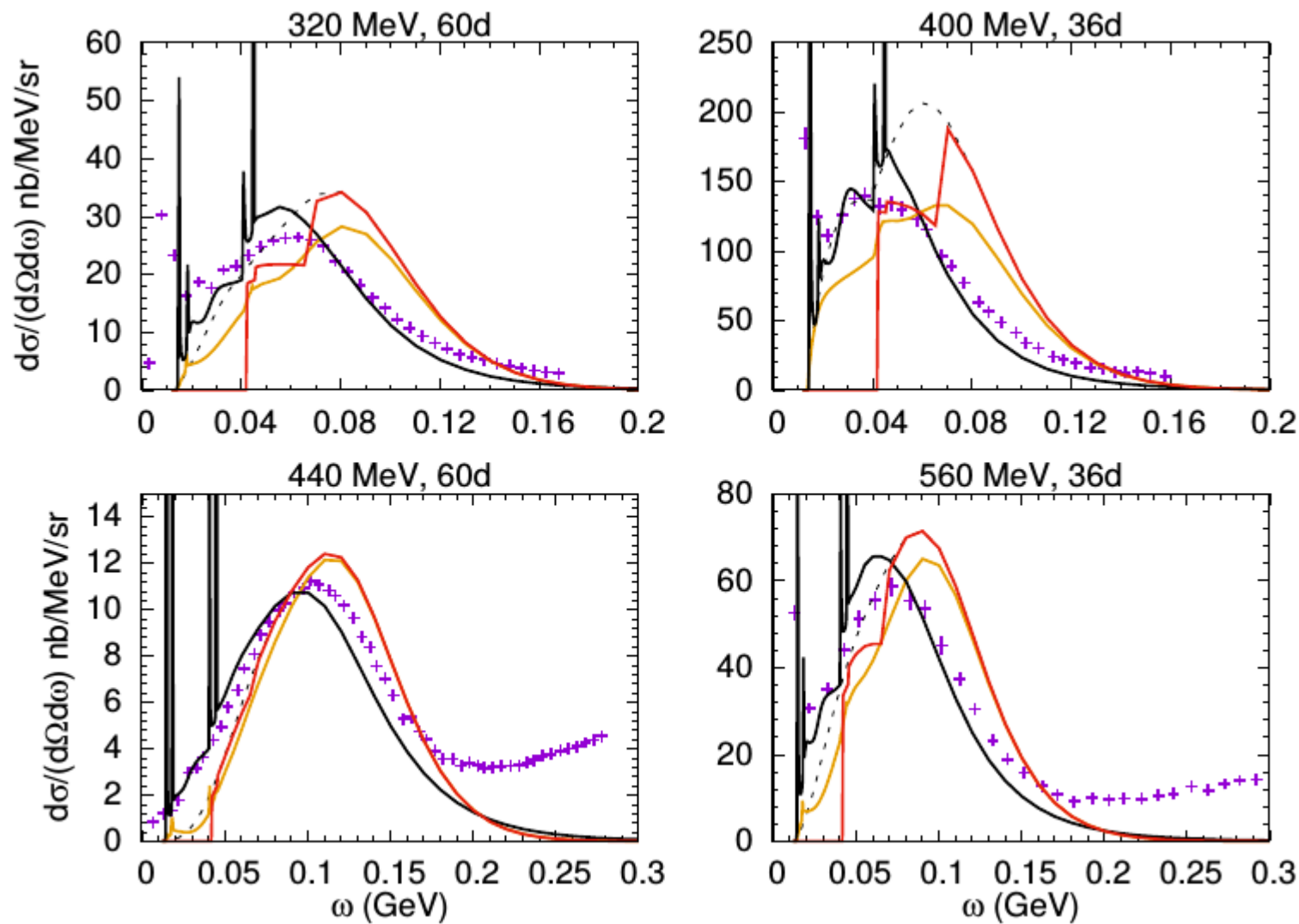
Quasielastic: RPWIA, Pauli blocked RPWIA and RMF



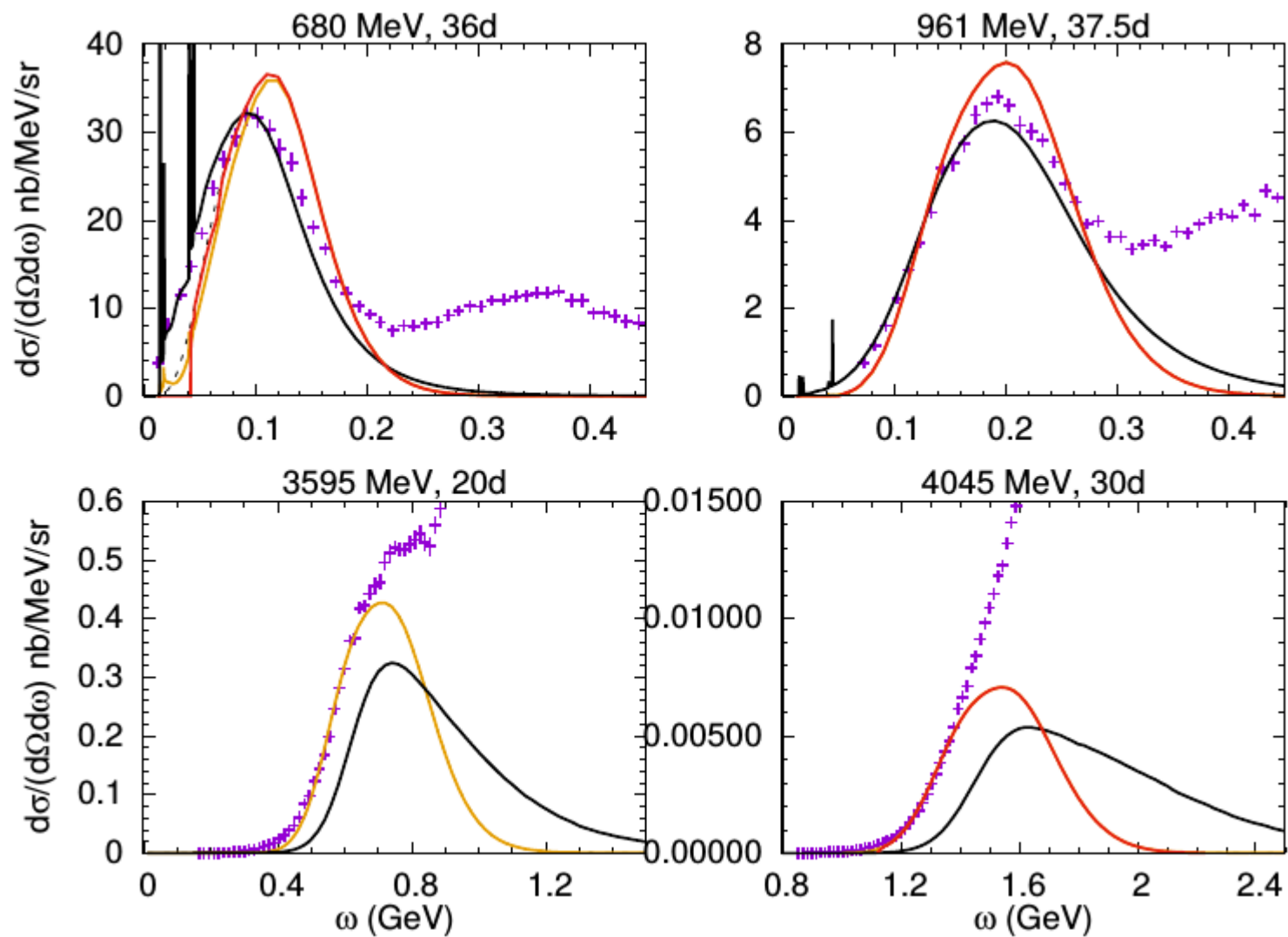
Quasielastic: RPWIA, Pauli blocked RPWIA and RMF



Quasielastic: RPWIA, Pauli blocked RPWIA and RMF



Quasielastic: RPWIA, Pauli blocked RPWIA and RMF



# Relativistic mean-field model

RMF model provides a microscopic description of the ground state of finite nuclei which is consistent with Quantum Mechanics, Special Relativity and symmetries of strong interaction.

The starting point is a Lorentz covariant Lagrangian density

$$\begin{aligned}\mathcal{L} = & \bar{\Psi} (i\gamma_\mu \partial^\mu - M) \Psi + \frac{1}{2} (\partial_\mu \sigma \partial^\mu \sigma - m_\sigma^2 \sigma^2) - U(\sigma) \\ & - \frac{1}{4} \Omega_{\mu\nu} \Omega^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu - \frac{1}{4} \mathbf{R}_{\mu\nu} \mathbf{R}^{\mu\nu} + \frac{1}{2} m_\rho^2 \rho_\mu \rho^\mu - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\ & - g_\sigma \bar{\Psi} \sigma \Psi - g_\omega \bar{\Psi} \gamma_\mu \omega^\mu \Psi - g_\rho \bar{\Psi} \gamma_\mu \boldsymbol{\tau} \boldsymbol{\rho}^\mu \Psi - g_e \frac{1 + \tau_3}{2} \bar{\Psi} \gamma_\mu A^\mu \Psi.\end{aligned}$$

Extension of the original  
 $\sigma$ - $\omega$  Walecka model  
(Ann. Phys.83,491 (1974)).

where

$$\Omega^{\mu\nu} = \partial^\mu \omega^\nu - \partial^\nu \omega^\mu,$$

$$\mathbf{R}^{\mu\nu} = \partial^\mu \boldsymbol{\rho}^\nu - \partial^\nu \boldsymbol{\rho}^\mu,$$

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu.$$

$$U(\sigma) = \frac{1}{3} g_2 \sigma^3 + \frac{1}{4} g_3 \sigma^4$$

## Main approximations:

1) Mean-field approximation:

$$\omega_\mu \rightarrow \langle \omega_\mu \rangle \quad \sigma \rightarrow \langle \sigma \rangle \quad \rho_\mu \rightarrow \langle \rho_\mu \rangle$$

2) Static limit:

$$\partial^0 \omega_0 = \partial^0 \rho_0 = \partial^0 \sigma = 0 \quad \omega_\mu = \delta_{\mu 0} \omega_0, \quad \rho_\mu = \delta_{\mu 0} \rho_0$$

3) Spherical symmetry for finite nuclei:

$$\omega_0 = \omega_0(r) \quad \rho_0 = \rho_0(r) \quad \sigma = \sigma(r)$$

# Relativistic mean-field model

Dirac equation for nucleons (eq. of motion for the barionic fields):

$$[-i\boldsymbol{\alpha} \cdot \boldsymbol{\nabla} + V(r) + \beta(M + S(r))]\Psi_i(\mathbf{r}) = E_i\Psi_i(\mathbf{r})$$

where the scalar (S) and vector (V) potential are given by:

$$S(r) = g_\sigma\sigma(r),$$

$$V(r) = g_\omega\omega^0(r) + g_\rho\tau_3\rho_3^0(r) + e\frac{1+\tau_3}{2}A^0(r)$$

Eqs. of motion for the mesons and the photon:

$$[-\nabla^2 + m_\sigma^2]\sigma(r) = -g_\sigma\rho_s(r) - g_2\sigma^2(r) - g_3\sigma^3(r),$$

$$[-\nabla^2 + m_\omega^2]\omega^0(r) = -g_\omega\rho_B(r),$$

$$[-\nabla^2 + m_\rho^2]\rho_3^0(r) = -g_\rho\rho_\rho(r),$$

$$-\nabla^2 A^0 = e\rho_c,$$

## Current densities

$$\rho_s(r) = \sum_i^A \bar{\Psi}_i(\mathbf{r})\Psi_i(\mathbf{r}),$$

$$\rho_B(r) = \sum_i^A \Psi_i^\dagger(\mathbf{r})\Psi_i(\mathbf{r}),$$

$$\rho_\rho(r) = \sum_i^A \Psi_i^\dagger(\mathbf{r})\tau_3\Psi_i(\mathbf{r})$$

$$\rho_c(r) = \sum_i^A \Psi_i^\dagger(\mathbf{r})\frac{1+\tau_3}{2}\Psi_i(\mathbf{r})$$

Solution of the couple equations for the fields in a self-consistent way.

# Relativistic mean-field model

In general, the parameters are fit to reproduce some general properties of some closed shell spherical nuclei and nuclear matter.

Parameters for the NLSH model (fitted to the mean charge radius, binding energy and neutron radius of the  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{90}\text{Zr}$ ,  $^{116}\text{Sr}$ ,  $^{124}\text{Sn}$  and  $^{208}\text{Pb}$ ).

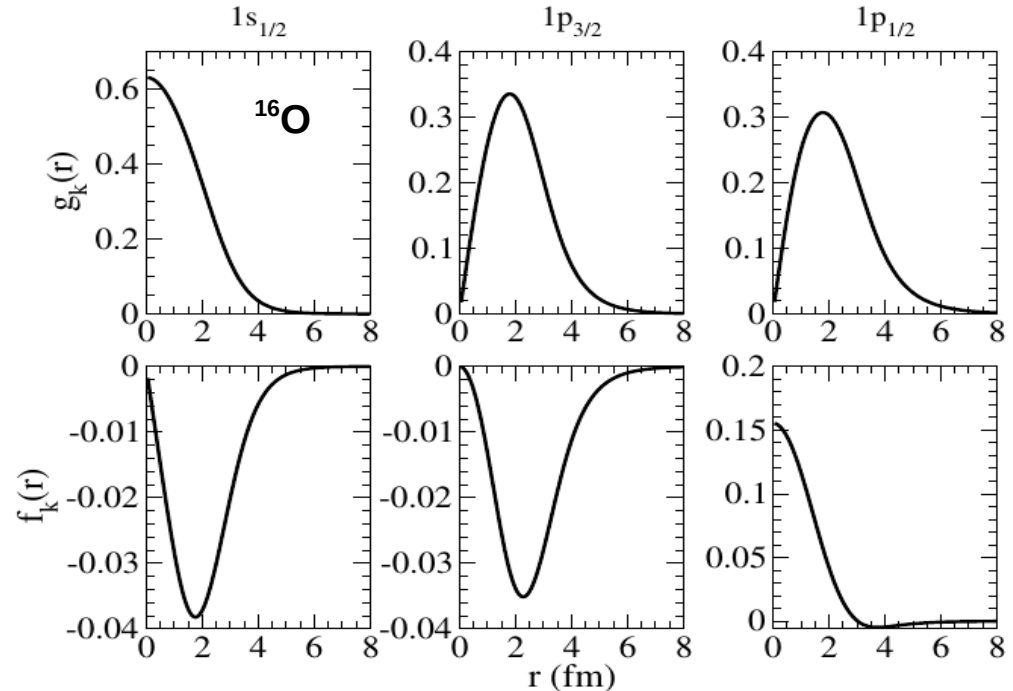
$M_N$	$m_\sigma$	$m_\omega$	$m_\rho$	$g_\sigma$	$g_\omega$	$g_\rho$	$g_2$	$g_3$
939.0	526.059	783.0	763.0	10.444	12.945	4.3830	-6.9099	-15.8337

6 free parameters

$$[-i\boldsymbol{\alpha} \cdot \boldsymbol{\nabla} + V(r) + \beta(M + S(r))]\Psi_i(\mathbf{r}) = E_i\Psi_i(\mathbf{r})$$

$$\Psi_k^{m_j}(\mathbf{r}) = \begin{pmatrix} g_k(r)\varphi_k^{m_j}(\Omega_r) \\ if_k(r)\varphi_{-k}^{m_j}(\Omega_r) \end{pmatrix},$$

$$\varphi_k^{m_j}(\Omega_r) = \sum_{m_\ell s} \langle \ell m_\ell \frac{1}{2} s | j m_j \rangle Y_\ell^{m_\ell}(\Omega_r) \chi^s$$





## Back slides: isospin coefficients and resonances parameters

Channel	$\Delta P$	$C\Delta P$	$NP$	$CNP$	Others
$p \rightarrow \pi^+ + p$	$\sqrt{3/2}$	$\sqrt{1/6}$	0	1	1
$n \rightarrow \pi^0 + p$	$-\sqrt{1/3}$	$\sqrt{1/3}$	$\sqrt{1/2}$	$-\sqrt{1/2}$	$-\sqrt{2}$
$n \rightarrow \pi^+ + n$	$\sqrt{1/6}$	$\sqrt{3/2}$	1	0	-1
$n \rightarrow \pi^- + n$	$\sqrt{3/2}$	$\sqrt{1/6}$	0	1	1
$p \rightarrow \pi^0 + n$	$\sqrt{1/3}$	$-\sqrt{1/3}$	$-\sqrt{1/2}$	$\sqrt{1/2}$	$\sqrt{2}$
$p \rightarrow \pi^- + p$	$\sqrt{1/6}$	$\sqrt{3/2}$	1	0	-1

**Table:** Isospin coefficients for the CC reaction.

Channel	$\Delta P$	$C\Delta P$	$NP$	$CNP$	Others
$p \rightarrow \pi^0 + p$	$\sqrt{1/3}$	$\sqrt{1/3}$	$\sqrt{1/2}$	$\sqrt{1/2}$	0
$p \rightarrow \pi^+ + n$	$-\sqrt{1/6}$	$\sqrt{1/6}$	1	1	-1
$n \rightarrow \pi^- + p$	$\sqrt{1/6}$	$-\sqrt{1/6}$	1	1	1
$n \rightarrow \pi^0 + n$	$\sqrt{1/3}$	$\sqrt{1/3}$	$-\sqrt{1/2}$	$-\sqrt{1/2}$	0

**Table:** Isospin coefficients for the neutral current (EM and WNC) reactions.

	$I$	$S$	$P$	$M_R$	$\pi N$ -br	$\Gamma_{\text{width}}^{\text{exp}}$	$f_{\pi NR}$
$P_{33}$	3/2	3/2	+	1232	100%	120	2.18
$D_{13}$	1/2	3/2	-	1515	60%	115	1.62
$P_{11}$	1/2	1/2	+	1430	65%	350	0.391
$S_{11}$	1/2	1/2	-	1535	45%	150	0.16

**Table:** quantum numbers and other parameters of the nucleon resonances.

# Medium modifications of the Delta

## Delta propagator:

$$S_{\Delta,\alpha\beta} = \frac{-(K_{\Delta} + M_{\Delta})}{K_{\Delta}^2 - M_{\Delta}^2 + iM_{\Delta}\Gamma_{\text{width}}} \left( g_{\alpha\beta} - \frac{1}{3}\gamma_{\alpha}\gamma_{\beta} - \frac{2}{3M_{\Delta}^2}K_{\Delta,\alpha}K_{\Delta,\beta} - \frac{2}{3M_{\Delta}}(\gamma_{\alpha}K_{\Delta,\beta} - K_{\Delta,\alpha}\gamma_{\beta}) \right)$$

with the energy dependent Delta width:

$$\Gamma_{\text{width}}(W) = \frac{1}{12\pi} \frac{(f_{\pi N\Delta})^2}{m_{\pi}^2 W} (p_{\pi,cm})^3 (M + E_{N,cm})$$

$$\Gamma_{\text{width}}^{\text{free}} \longrightarrow \Gamma_{\text{width}}^{\text{in-medium}} = \Gamma_{\text{Pauli}} - 2\Im(\Sigma_{\Delta}), \quad M_{\Delta}^{\text{free}} \longrightarrow M_{\Delta}^{\text{in-medium}} = M_{\Delta}^{\text{free}} + \Re(\Sigma_{\Delta}).$$

+  $\Gamma_{\text{Pauli}}$ : some nucleons from  $\Delta$ -decay are Pauli blocked (the  $\Delta$ -decay width decreases).

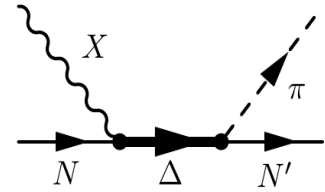
+ The parametrization of  $\Im(\Sigma_{\Delta})$  and  $\Re(\Sigma_{\Delta})$  is given in terms of the nuclear density  $\rho$ :

$$\begin{aligned} -\Im(\Sigma_{\Delta}) &= C_{QE} (\rho/\rho_0)^{\alpha} + C_{A2} (\rho/\rho_0)^{\beta} + C_{A3} (\rho/\rho_0)^{\gamma}, \\ \Re(\Sigma_{\Delta}) &= 40 \text{ MeV} (\rho/\rho_0). \end{aligned}$$

We modify the free  $\Delta\pi N$ -decay constant ( $f_{\Delta\pi N}$ ) to take into account the  $E$ -dependent medium modification of the  $\Delta$  width:

$$f_{\Delta\pi N}^{\text{in-medium}}(W) = f_{\Delta\pi N} \sqrt{\frac{\Gamma_{\text{Pauli}} + 2C_{QE} (\rho/\rho_0)^{\alpha}}{\Gamma_{\text{width}}^{\text{free}}}}$$

# Medium modifications of the Delta



$$-\Im(\Sigma_{\Delta}) = C_{QE} (\rho/\rho_0)^{\alpha} + C_{A2} (\rho/\rho_0)^{\beta} + C_{A3} (\rho/\rho_0)^{\gamma}$$

Each contribution corresponds to a different process:

- $QE \Rightarrow \Delta N \rightarrow \pi NN$  (still one pion in the final state)
- $A2 \Rightarrow \Delta N \rightarrow NN$  (no pions in the final state)
- $A3 \Rightarrow \Delta NN \rightarrow NNN$  (no pions in the final state)

We modify the free Delta decay constant to take into account the E-dependent medium modification of the Delta-width

$$\Gamma_{\Delta\pi N}^{\alpha} = \frac{f_{\pi N\Delta}}{m_{\pi}} P_{\pi}^{\alpha}$$

$$f_{\Delta\pi N}^{\text{in-medium}}(W) = f_{\Delta\pi N} \sqrt{\frac{\Gamma_{\text{Pauli}} + 2C_{QE} (\rho/\rho_0)^{\alpha}}{\Gamma_{\text{width}}^{\text{free}}}}$$

**References:** [\*] E. Oset and L. L. Salcedo, Nucl. Phys. A 468, 631 (1987).

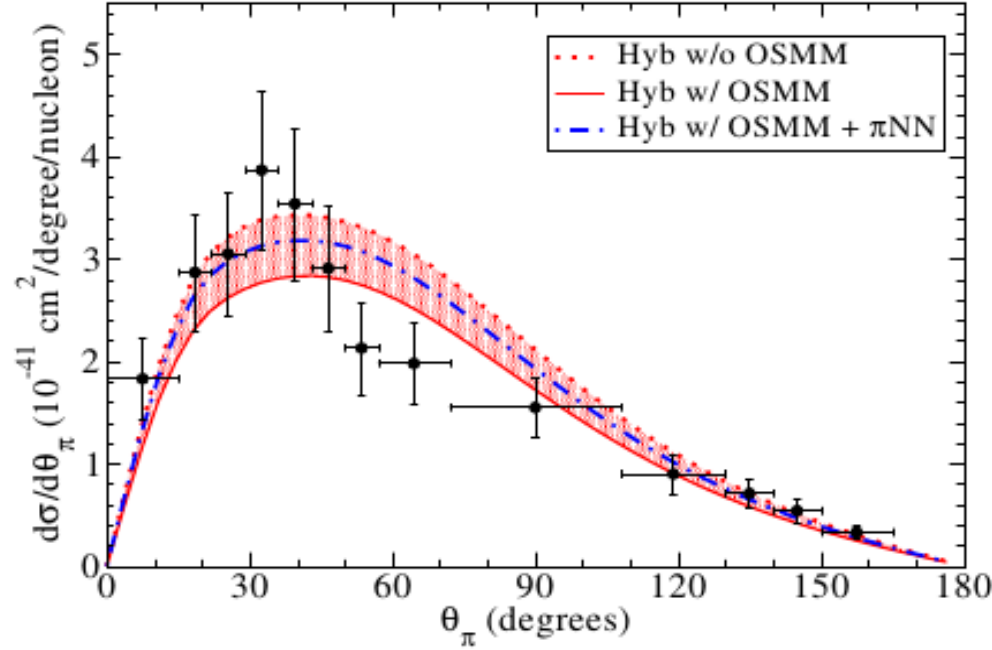


FIG. 3: MINERvA  $\nu$ -induced  $1\pi^+$  production sample [5] compared with RPWIA predictions. Solid (dotted) line is the result with (without) medium modification of the Delta width. The dash-dotted line is the result with OSMM when the contribution from the  $\Delta N \rightarrow \pi NN$  channel is added to the cross section. The results were computed with the Hybrid model (see Sec IV B).

PRD 97, 013004 (2018)

# Interferences

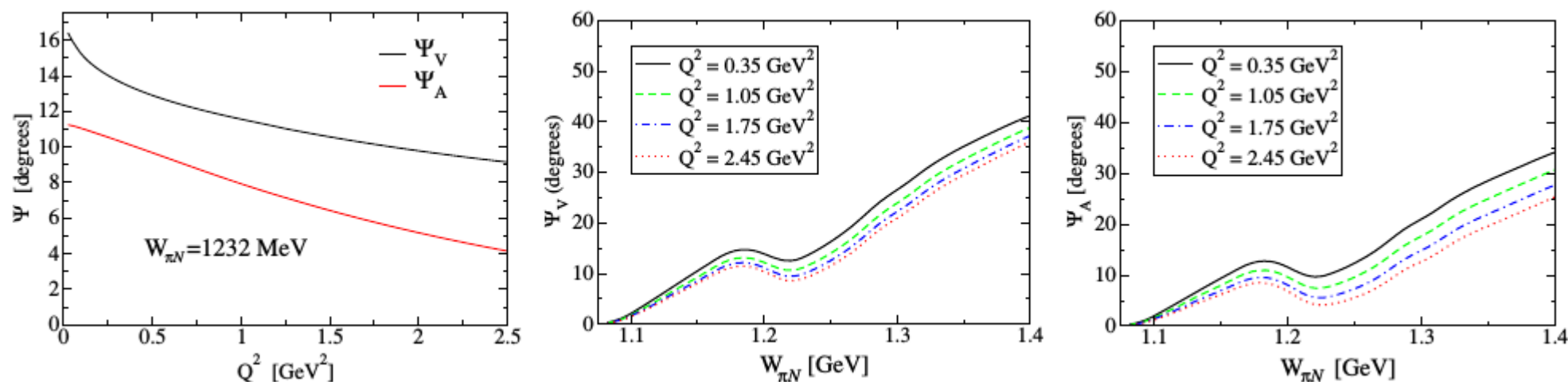
$$J^\nu = \langle J_{\Delta P}^\nu \rangle + \langle J_{C\Delta P}^\nu \rangle + \langle J_{CT,V}^\nu \rangle + \langle J_{CT,A}^\nu \rangle + \langle J_{NP}^\nu \rangle + \langle J_{CNP}^\nu \rangle + \langle J_{PF}^\nu \rangle + \langle J_{PP}^\nu \rangle$$

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## Watson's theorem and the $N\Delta(1232)$ axial transition

L. Alvarez-Ruso,<sup>1</sup> E. Hernández,<sup>2</sup> J. Nieves,<sup>1</sup> and M. J. Vicente Vacas<sup>3</sup>

We present a new determination of the  $N\Delta$  axial form factors from neutrino induced pion production data. For this purpose, the model of Hernandez *et al.* [Phys. Rev. D 76, 033005 (2007)] is improved by partially restoring unitarity. This is accomplished by imposing Watson's theorem on the dominant vector and axial multipoles. As a consequence, a larger  $C_5^A(0)$ , in good agreement with the prediction from the off-diagonal Goldberger-Treiman relation, is now obtained.



# High-energy model

“Reggeizing” the ChPT background:

$$\mathcal{O}_{ReChi,V}^\mu = \mathcal{O}_{ChPT,V}^\mu \underbrace{\mathcal{P}_\pi(t, s)(t - m_\pi^2)}$$

**high-energy model:**  
ReChi (from Reggeized  
ChPT background )

**low-energy model** (only  
the ChPT background)

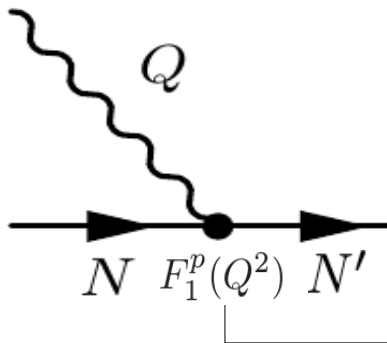
The pion propagator is replaced  
by the **Regge propagator** of the  
pion trajectory

$$\mathcal{O}_{ReChi,A}^\mu = \mathcal{O}_{ChPT,A}^\mu \mathcal{P}_\rho(t, s)(t - m_\rho^2)$$

# High-energy model

## Regge approach for the vector amplitudes.

We use the approach proposed by **Kaskulov and Mosel** [PRC81, 045202 (2010)] to extend GLV to the case of pion electroproduction ( $Q^2 \neq 0$ ).



The nucleon  $N'$  may be highly off its mass shell.

Therefore, instead of using the on shell form factor  $F_1^p(Q^2)$ .

We use a form factor that accounts for the off shell character of the nucleon [**Vrancx and Ryckebusch**, PRC89, 025203 (2014) ]:

$$F_1^p(Q^2, s) = \left(1 + \frac{Q^2}{\Lambda_{\gamma pp^*}(s)^2}\right)^{-2}$$

$$\Lambda_{\gamma pp^*}(s) = \Lambda_{\gamma pp} + (\Lambda_{\infty} - \Lambda_{\gamma pp}) \left(1 - \frac{M^2}{s}\right)$$

$$\Lambda_{\infty} = 2.194 \text{ GeV}$$

In the (on shell) limit the Dirac form factor is recovered.

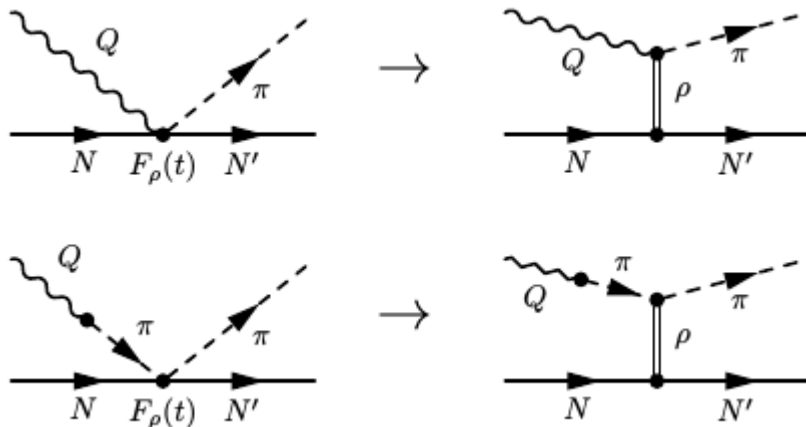


# High-energy model

## Regge approach for the axial amplitudes.

We need meson exchange diagrams to apply the reggeization procedure of the current.

**Effective rho-exchange diagrams.** This allows us to consider the rho-exchange as the main Regge trajectory in the axial current.



$$\mathcal{O}_{CT\rho}^\mu = i\mathcal{I} \frac{m_\rho^2}{m_\rho^2 - t} F_{A\rho\pi}(Q^2) \frac{1}{\sqrt{2}f_\pi} \times \left( \gamma^\mu + i \frac{\kappa_\rho}{2M} \sigma^{\mu\nu} K_{t,\nu} \right).$$

We consider  $\kappa_\rho = 0$  so that the low-energy model amplitude is recovered.

The propagator of the rho is replaced by the Regge trajectory of the **rho family**:

$$\mathcal{P}_\rho(t, s) = -\alpha'_\rho \varphi_\rho(t) \Gamma[1 - \alpha_\rho(t)] (\alpha'_\rho s)^{\alpha_\rho(t) - 1}$$

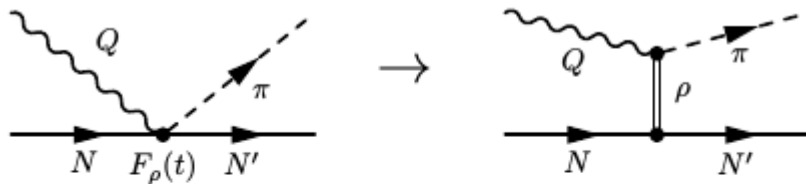


# High-energy model

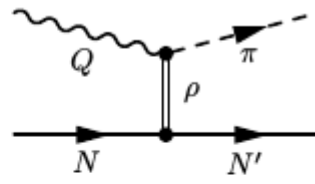
## Regge approach for the axial amplitudes.

We need meson exchange diagrams to apply the reggeization procedure of the current.

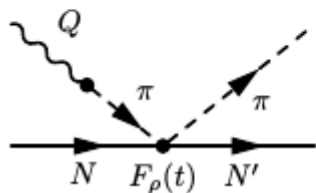
**Effective rho-exchange diagrams.** This allows us to consider the rho-exchange as the main Regge trajectory in the axial current.



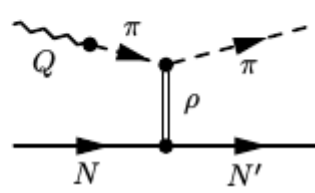
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$$\mathcal{O}_{CT\rho}^\mu = i\mathcal{I} \frac{m_\rho^2}{m_\rho^2 - t} F_{A\rho\pi}(Q^2) \frac{1}{\sqrt{2}f_\pi} \times \left( \gamma^\mu + i \frac{\kappa_\rho}{2M} \sigma^{\mu\nu} K_{t,\nu} \right).$$

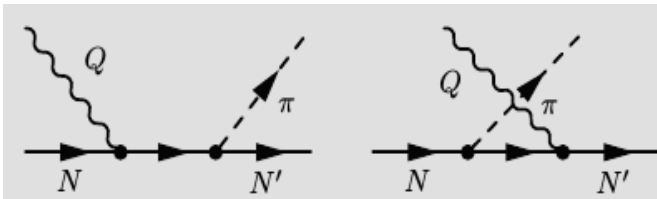


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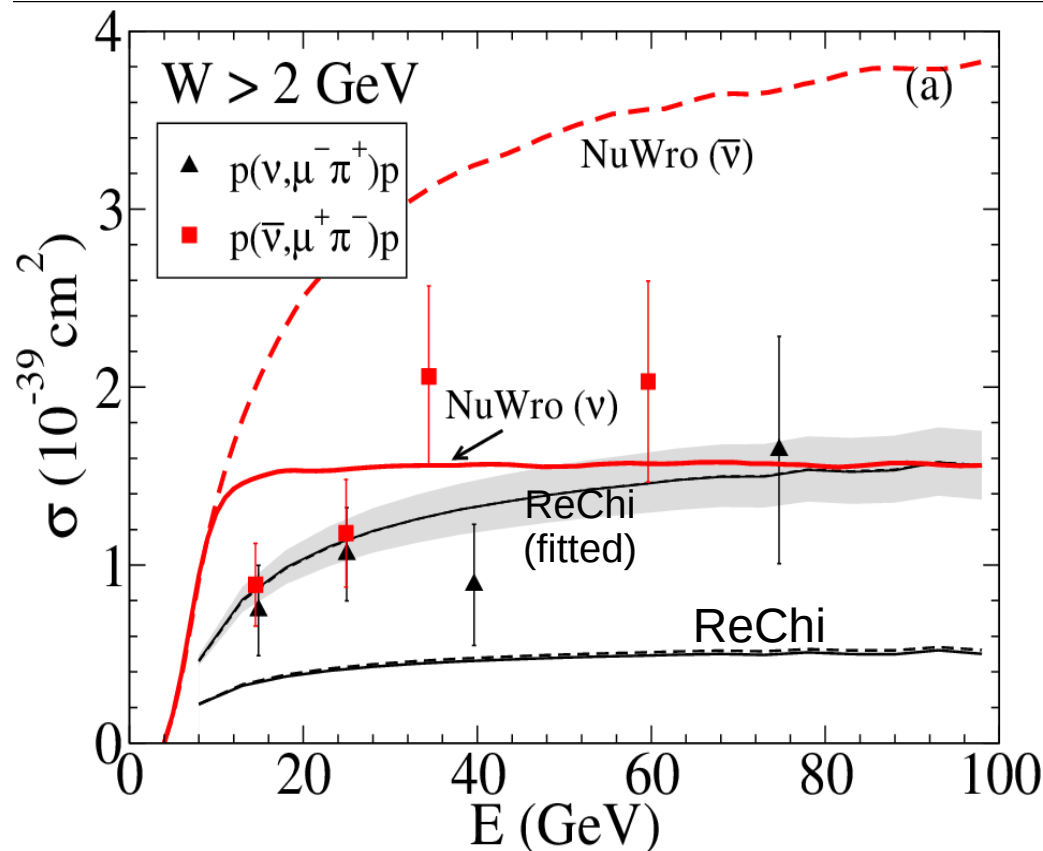
We consider  $\kappa_\rho = 0$  so that the low-energy model amplitude is recovered.

+



?

# High-energy model: results



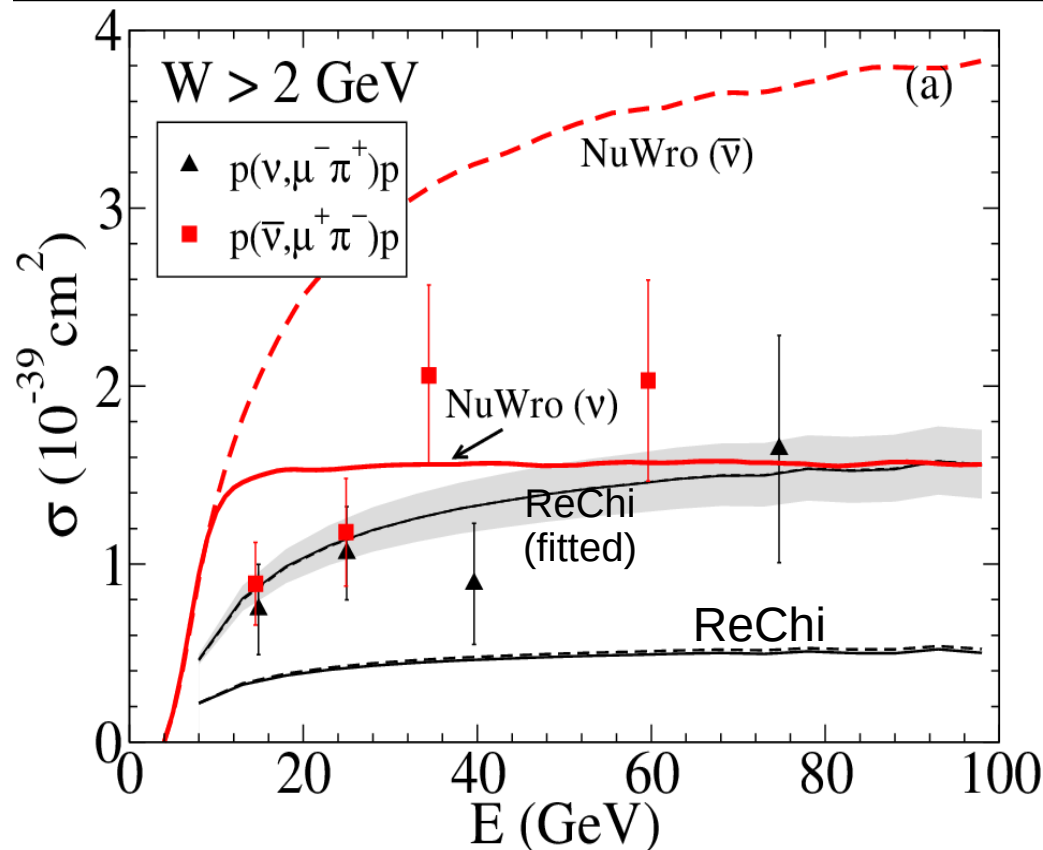
**Figure:** ReChi model and NuWro predictions are compared with high energy cross section data for neutrino and antineutrino reactions (Note the high energy cut  $W > 2$  GeV !!). Data from Allen et al. NPB264, 221 (1986).

**NuWro:** Based on DIS formalism and PYTHIA for hadronization.

Antineutrino cross section is  $\sim 2$  the neutrino one:

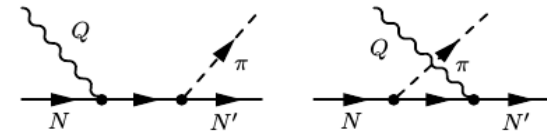
$$\begin{aligned} \bar{\nu} + \overbrace{uud}^p &\rightarrow \mu^+ + \overbrace{\bar{u}d}^{\pi^-} + uud, \\ \nu + uud &\rightarrow \mu^- + \underbrace{u\bar{d}}_{\pi^+} + uud. \end{aligned}$$

# High-energy model: results



**Figure:** ReChi model and NuWro predictions are compared with high energy cross section data for neutrino and antineutrino reactions (Note the high energy cut  $W > 2 \text{ GeV}$  !!). Data from Allen et al. NPB264, 221 (1986).

**ReChi model:** One free parameter in the boson-nucleon-nucleon vertex



$$G_A[Q^2, s(u)] = g_A \left( 1 + \frac{Q^2}{\Lambda_{Apn^*} [s(u)]^2} \right)^{-2}$$

$$\Lambda_{Anp^*}(s) = \Lambda_{Apn} + (\Lambda_{\infty}^A - \Lambda_{Apn}) \left( 1 - \frac{M^2}{s} \right)$$

$$\Lambda_{\infty}^A = (7.20 \pm 2.09_{1.32}) \text{ GeV} !!!$$

**NuWro:** Based on DIS formalism and PYTHIA for hadronization.

Antineutrino cross section is  $\sim 2$  the neutrino one:

$$\begin{aligned} \bar{\nu} + \overbrace{uud}^p &\rightarrow \mu^+ + \overbrace{\bar{u}d}^{\pi^-} + uud, \\ \nu + uud &\rightarrow \mu^- + \underbrace{u\bar{d}}_{\pi^+} + uud. \end{aligned}$$

# Hybrid model

1) Regularizing the behavior of resonances (u- and s-channel contributions): we multiply the resonance amplitude by a dipole-Gaussian form factor

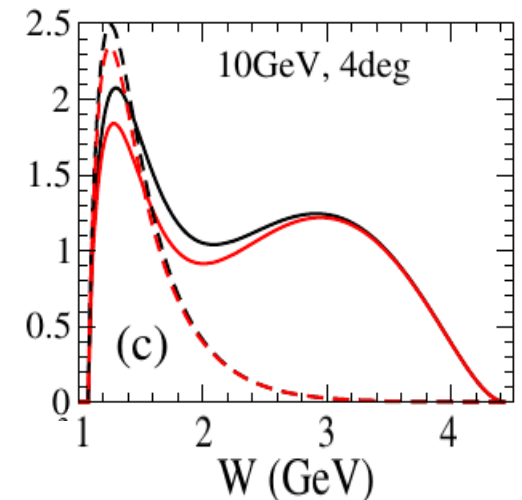
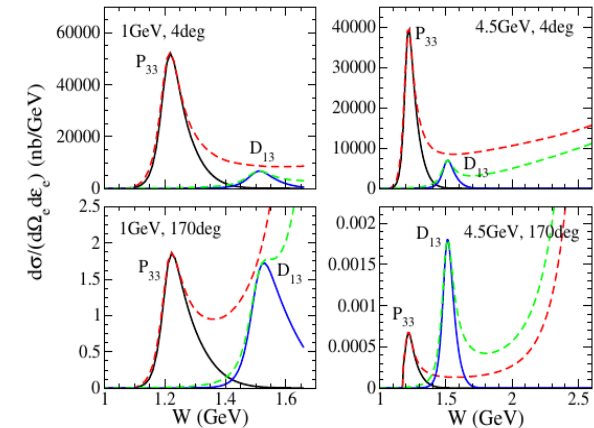
$$F(s, u) = F(s) + F(u) - F(s)F(u)$$

$$F(s) = \exp\left(\frac{-(s - M_R^2)^2}{\lambda_R^4}\right) \frac{\lambda_R^4}{(s - M_R^2)^2 + \lambda_R^4}$$

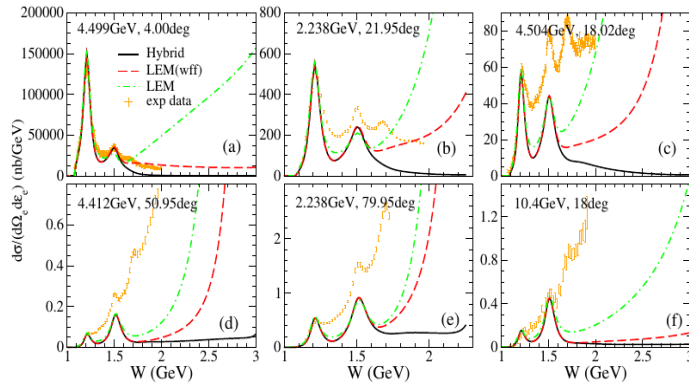
2) Gradually replacing the ChPT background by the High-energy (ReChi) model: we use a phenomenological transition function

$$\tilde{\mathcal{O}} = \cos^2 \phi(W) \mathcal{O}_{ChPT} + \sin^2 \phi(W) \mathcal{O}_{ReChi}$$

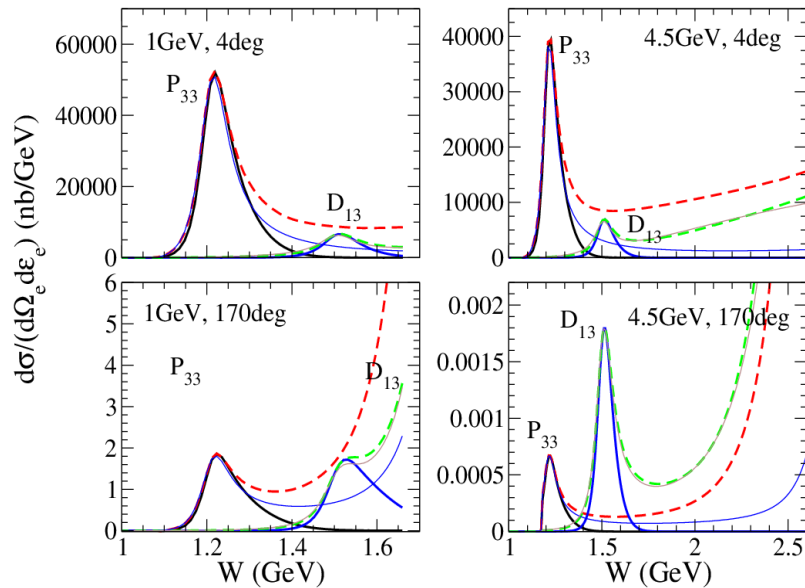
$$\phi(W) = \frac{\pi}{2} \left( 1 - \frac{1}{1 + \exp\left[\frac{W - W_0}{L}\right]} \right), \quad \begin{matrix} W_0 = 1.7 \text{ GeV} \\ L = 100 \text{ MeV} \end{matrix}$$



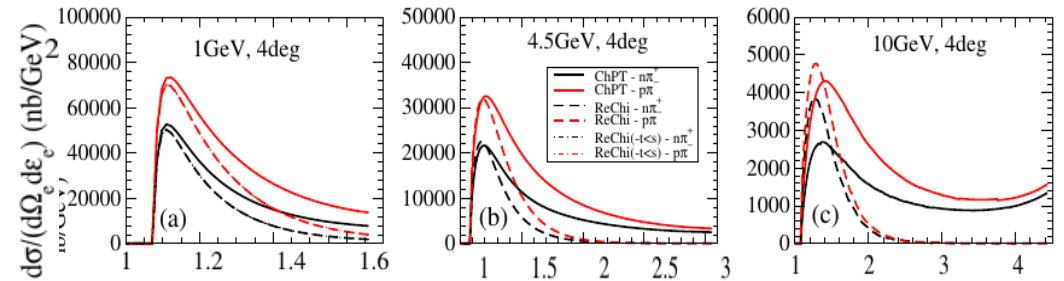
# The Problem



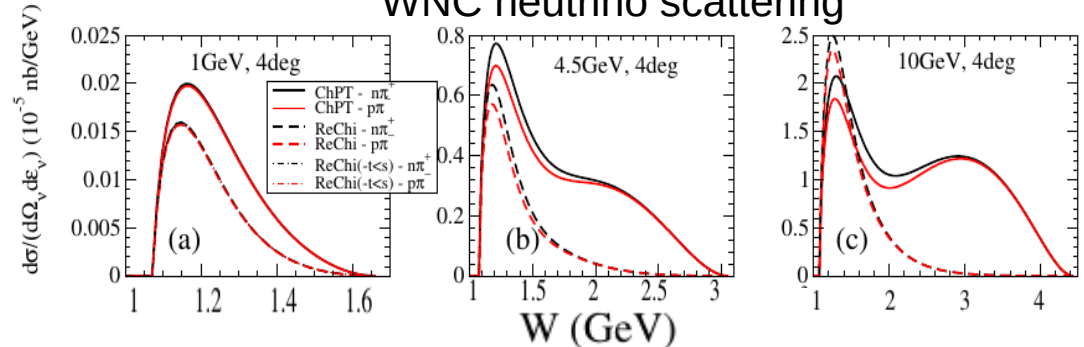
The pathologies come from the **resonances** and **background terms**



Electron scattering

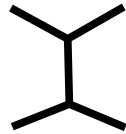


WNC neutrino scattering



# Why does this happen?

Cross channels:



$$\mathcal{A}(t, s) = \sum_{\ell} (2\ell + 1) A_{\ell}(t) P_{\ell}(z_t)$$

$$P_{\ell}(z_t) \xrightarrow{s \rightarrow \infty} (2s)^{\ell}$$

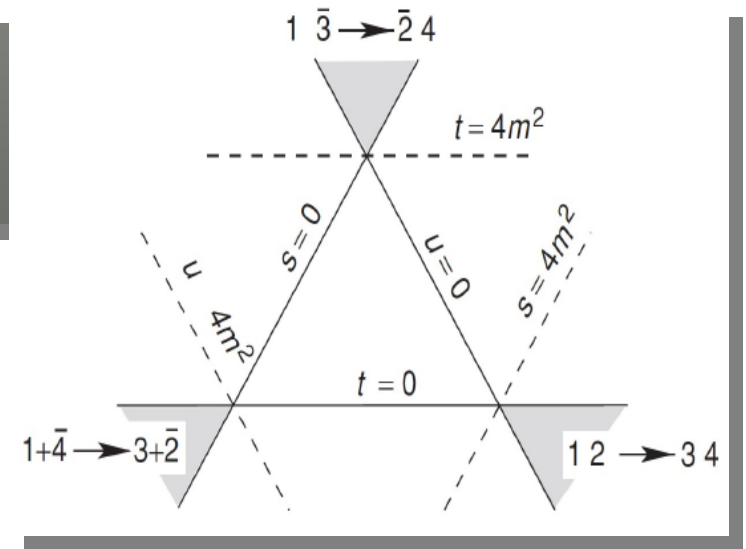
Direct channels:



$$\mathcal{A}(s, t) = \sum_{\ell} (2\ell + 1) A_{\ell}(s) P_{\ell}(z_s)$$

$$A_{\ell}(s) \sim \left( \frac{s - 4m^2}{2} \right)^{\ell}$$

**Behavior at threshold (barrier factor).**  
Feynman diagrams provide the right behavior at threshold but not at high  $s$

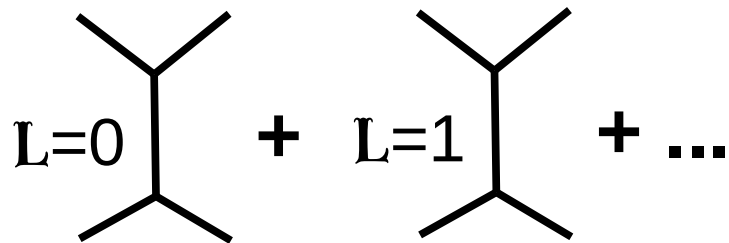


$$z_t \equiv \cos \theta_t = 1 + \frac{2s}{t - 4m^2}$$

$$z_s \equiv \cos \theta_s = 1 + \frac{2t}{s - 4m^2}$$

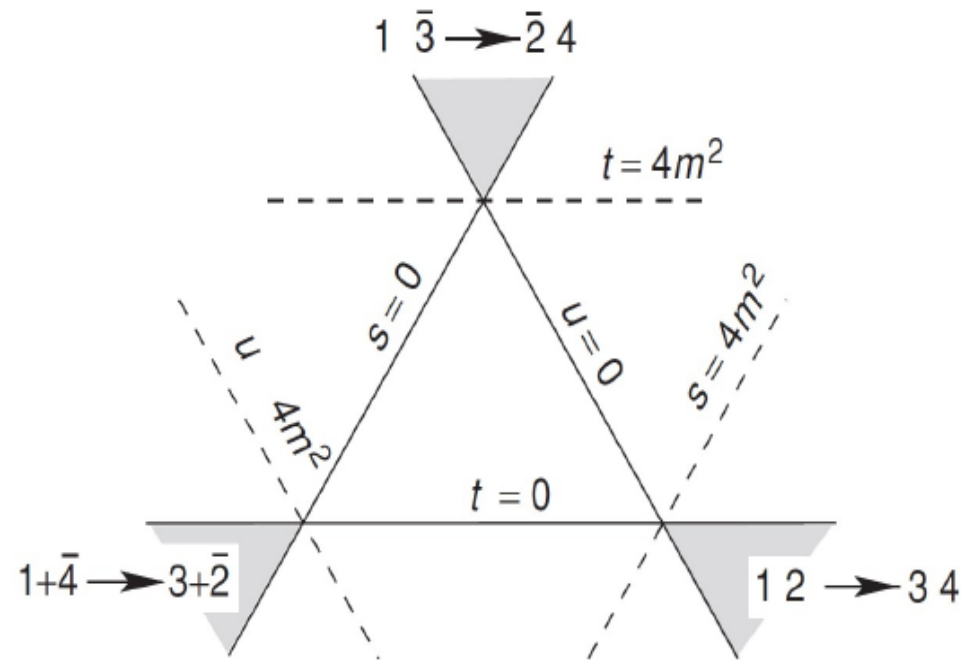
# Regge Theory

$$z_t \equiv \cos \theta_t = 1 + \frac{2s}{t - 4m^2}$$

$$L=0 \quad + \quad L=1 \quad + \quad \dots$$


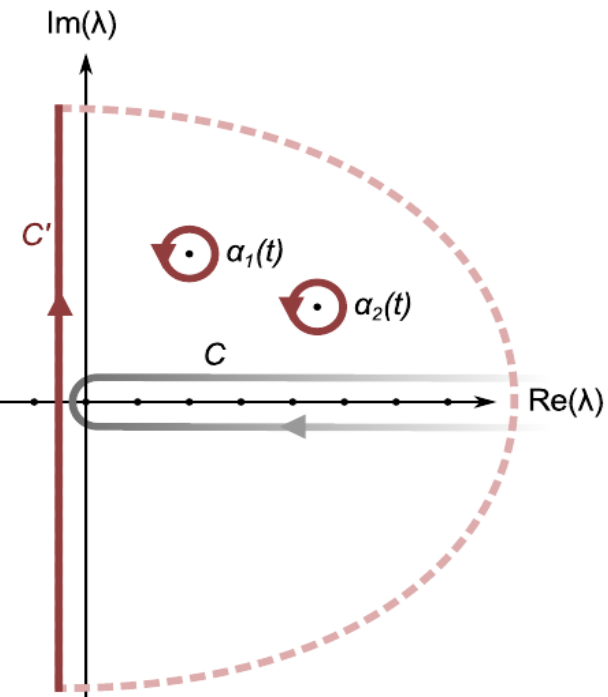
$$\frac{\lambda^2}{m^2 - t}$$

$$P_\ell(z_t) \xrightarrow{s \rightarrow \infty} (2s)^\ell$$



# Regge Theory

$$\mathcal{M}(s, t) = -\frac{1}{2i} \oint_{C_1} d\lambda \frac{(2\lambda + 1) \mathcal{M}_\lambda(t) P_\lambda(-\cos \theta_t)}{\sin(\pi\lambda)}$$



$$\mathcal{M}_{\text{Regge}}^\zeta(s, t) = C \sum_i \left( \frac{s}{s_0} \right)^{\alpha_i^\zeta(t)} \frac{\beta_i^\zeta(t)}{\sin(\pi\alpha_i^\zeta(t))} \frac{1 + \zeta e^{-i\pi\alpha_i^\zeta(t)}}{2} \frac{1}{\Gamma(\alpha_i^\zeta(t) + 1)} .$$



# Regge Theory

Based on unitarity, causality and crossing symmetry, Regge Theory predicts the following **high energy ( $s \rightarrow \infty$ ) behavior** for the invariant amplitude:

$$A(s,t) \sim \beta(t) s^{\alpha(t)}$$

Regge theory does not predict the **t-dependence** of the amplitude.

For that, one needs a model.

