

Global Neutrino Oscillation Fits {with focus on short baselines}

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NuFact'2018 at Virginia Tech, Blacksburg, VA August 17, 2018

• **3-neutrino**, 3+1, 3+2, 3+3, 3+N



• **3-neutrino**, 3+1, 3+2, 3+3, 3+N

$$\begin{pmatrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \mathbf{v}_{1} \\ \mathbf{v}_{2} \\ \mathbf{v}_{3} \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\mathrm{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\mathrm{CP}}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$

2018]

rangel	Parameter	best-fit	3σ
	$m_{21}^2 \ [10^{-5} \text{ eV}^2]$	7.37	6.93 - 7.96
5% Δ	$\Delta m_{31(23)}^{2^-} [10^{-3} \text{ eV}^2]$	2.56(2.54)	$2.45 - 2.69 \ (2.42 - 2.66)$
18% si	$n^2 \theta_{12}$	0.297	0.250 - 0.354
.8% si	$\ln^2 \theta_{23}, \ \Delta m^2_{31(32)} > 0$	0.425	0.381 - 0.615
. 1% si	$\ln^2 \theta_{23}, \Delta m^2_{32(31)} < 0$	0.589	0.384 - 0.636
2% si	$\ln^2 \theta_{13}, \ \Delta m^2_{31(32)} > 0$	0.0215	0.0190 - 0.0240
2% si	$\ln^2 \theta_{13}, \ \Delta m^2_{32(31)} < 0$	0.0216	0.0190 - 0.0242
δ δ	$/\pi$	1.38(1.31)	2σ : (1.0 - 1.9)
37%			$(2\sigma: (0.92-1.88))$

• 3-neutrino, 3+1, 3+2, 3+3, 3+N



Effectively a two-neutrino oscillation approximation: 1 mass splitting, 3 mixing matrix parameters, no CP phases.

• 3-neutrino, 3+1, 3+2, 3+3, 3+N





$$P(v_e \rightarrow v_e) = 1 - \sin^2 2\vartheta_{ee} \sin^2(1.27\Delta m^2 L/E)$$

$$4|U_{e4}|^2 (1 - |U_{e4}|^2)$$

• 3-neutrino, 3+1, 3+2, 3+3, 3+N



 v_e disappearance:

$$P(v_e \rightarrow v_e) = 1 - \sin^2 2\vartheta_{ee} \sin^2(1.27\Delta m^2 L/E)$$

 ν_{μ} disappearance:

$$P(v_{\mu} \rightarrow v_{\mu}) = 1 - \sin^{2} 2\vartheta_{\mu\mu} \sin^{2}(1.27\Delta m^{2}L/E)$$

$$\downarrow \quad 4|U_{\mu4}|^{2}(1-|U_{\mu4}|^{2})$$

• 3-neutrino, 3+1, 3+2, 3+3, 3+N



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$$v_{\mu}$$
 disappearance:
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• 3-neutrino, 3+1, 3+2, 3+3, 3+N



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$$v_{\mu} \rightarrow v_{e}$$
 appearance:
 $P(v_{\mu} \rightarrow v_{e}) = \sin^{2} 2\vartheta_{\mu e} \sin^{2}(1.27\Delta m^{2}L/E)$
 $\downarrow 4|U_{e4}|^{2}|U_{\mu 4}|^{2}$

<u>Note</u>: $\sin^2 2\theta_{\mu e} \approx \frac{1}{4} \sin^2 2\theta_{\mu\mu} \sin^2 2\theta_{ee}$



Effectively a three-neutrino oscillation approximation:

2 independent mass splittings, 6 mixing matrix parameters, 1 CP violating phase.

• 3-neutrino, 3+1, 3+2, 3+3, 3+N

Disappearance:



$$P(\nu_{\alpha} \rightarrow \nu_{\alpha}) = 1 - 4[(1 - |U_{\alpha4}|^2 - |U_{\alpha5}|^2) \cdot (|U_{\alpha4}|^2 \sin^2 x_{41} + |U_{\alpha5}|^2 \sin^2 x_{51}) + |U_{\alpha4}|^2 |U_{\alpha5}|^2 \sin^2 x_{51}] + e^{V^2}) \qquad |U_{\alpha4}|^2 |U_{\alpha5}|^2 \sin^2 x_{51}]$$
Appearance:

$$P(\nu_{\alpha} \rightarrow \nu_{\beta \neq \alpha}) = 4|U_{\alpha4}|^2 |U_{\beta4}|^2 \sin^2 x_{41} + 4|U_{\alpha5}|^2 |U_{\beta5}|^2 \sin^2 x_{51} + 8|U_{\alpha5}||U_{\beta5}||U_{\alpha4}||U_{\beta4}| \sin x_{41} \sin x_{51} \cos(x_{54} - \phi_{45}) + 8|U_{\alpha5}||U_{\beta5}||U_{\alpha4}||U_{\beta4}| \sin x_{41} \sin x_{51} \cos(x_{54} - \phi_{45}) + x_{ji} \equiv 1.27\Delta m_{ji}^{2}L/E$$
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• 3-neutrino, 3+1, 3+2, 3+3, 3+N



Effectively an (N+1)-neutrino oscillation approximation:

N independent mass splittings, 3N mixing matrix parameters, N(N-1)/2 CP-violating phases.

• 3-neutrino, 3+1, 3+2, 3+3, 3+N

 $\begin{pmatrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \\ \vdots \\ \mathbf{v}_{s} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & \dots & U_{en} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & \dots & U_{\mu n} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & \dots & U_{\tau n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ U_{s1} & U_{s2} & U_{s3} & \dots & U_{sn} \end{pmatrix} \begin{pmatrix} \mathbf{v}_{1} \\ \mathbf{v}_{2} \\ \mathbf{v}_{3} \\ \vdots \\ \mathbf{v}_{n} \end{pmatrix}$

Perfectly allowed, as long as:

- (1) only 3 weakly interacting neutrinos that are light ($m_i < m_z/2$).
- (2) the sum over all neutrino masses does not become significantly larger than $\sim 1 \text{ eV}$.
- (3) the overall (3+N)x(3+N) mixing matrix is unitary.

• 3-neutrino, 3+1, 3+2, 3+3, **3+N**



Some examples from literature:

- F. Capozzi et al., Phys. Rev. D95, 096014 (2017).
- M.C. Gonzalez-Garcia, Nucl. Phys. B908 (2016) 199-217.
- I. Esteban et al., JHEP 1701, 087 (2017).
- P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)
- S. Gariazzo, et al., JCAP 1803 (2018) no.03, 011.
- P. F. de Salas, et al., arXiv:1806.11051.

See talk by C. Ternes	
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[P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)]

Datasets:

• Reactor LBL Daya Bay, RENO, Double Chooz



[P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)]

Datasets:

- Reactor LBL
 Daya Bay, RENO, Double Chooz
- Accelerator Long-Baseline (LBL) NOvA, T2K neutrino and antineutrino, MINOS



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 IceCube/DeepCore, ANTARES, Super-K I-IV



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Datasets:

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 Daya Bay, RENO, Double Chooz
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 NOvA, T2K neutrino and antineutrino, MINOS
- Atmospheric
 IceCube/DeepCore, ANTARES, Super-K I-IV
- Solar
 Super-K D/N

CPV sensitivity, dominated by T2K



- Improved sensitivity to δ_{cp}
- $(\pi, 2\pi)$ range strongly preferred and $\pi/2$ disfavored at >4 σ

[P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)]

1.5 δ/π -----Comparing NO and IO global best fits: 0.5 NO NO Hint in favor of NO, with 0 IO disfavored with $\Delta \chi^2 = 11.7$ (3.4 σ) п 1.5 δ/π 0.5 90,99% C.L. 10 0 $\sin^2\theta_{23}$ 0.01 0.02 0.03 0.04 0.4 0.6 0.05 $\sin^2 \theta_{13}$

[P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)]

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Octant determination: Slight preference for second octant, but not yet clear



90, 95 and 99% C.L. (2 d.o.f.)



Assuming unitarity, no NSI, no new physics beyond PMNS.

[P. F. de Salas et al., Phys. Lett. B 782, 633 (2018)]



What if $N \neq 0$?

- Multiple anomalous signatures at L/E ~ 0.1 10 m/MeV
 - See talk by Z. Pavlovic
 - LSND (antineutrino)
 - MiniBooNE (neutrino and antineutrino)
 - Reactor Antineutrino Anomaly (Chooz, Bugey, ...)
 - Gallium (GALLEX and SAGE)
- Serve as motivation for "light sterile neutrino oscillations" (or beyond-PMNS physics)



New results from SBL reactor experiments pouring in as of recently...



New results from SBL reactor experiments pouring in as of recently...

DANSS at Kalinin Nuclear Power Plant:





New results from SBL reactor experiments pouring in as of recently...



[https://arxiv.org/pdf/1804.04046.pdf]

Example past works (but not incorporating new results from MiniBooNE):

- M. Dentler, et al., JHEP 1808 (2018) 010.
- M. Dentler, et al., JHEP 1711 (2017) 099.
- S. Gariazzo, et al., JHEP 1706 (2017) 135.
- G. Collin, et al., PRL 117, 221801 (2016).
- D. Cianci et al., Phys. Rev. D 96, 055001 (2017).
- J. Conrad, et al., Adv. High Energy Phys. 2013 (2013) 163897.

Recent analyses **incorporating new results from MiniBooNE**, **MINOS/MINOS+** (but not IceCube/DeepCore and recent reactor SBL results)...

- D. Cianci, Y. Jwa, GK, M. Ross-Lonergan, in preparation.
- A. Diaz, J. Conrad, M. Shaevitz, ICHEP 2018.



• Reactor SBL not yet included

When combined with all other available experimental constraints, MiniBooNE, LSND and Reactor SBL data **seem to indicate a preference for a (3+1) signal**

> **Global best fit parameters:** $\Delta m_{41}^2 = 0.91 \text{ eV}^2$ $U_{e4} = 0.149$ $U_{\mu 4} = 0.171$

 χ^2 bf = 293.8 (368 dof) χ^2 probability = 99.8%





• Reactor SBL not yet included



Goodness-of-fit of global (3+1) fits can be deceptive...

A closer examination reveals tension between datasets:



Tension also exists among neutrino and antineutrino datasets.



If one accepts (v_e appearance and v_e disappearance) signals as real, source of tension is v_μ disappearance searches:

 $\sin^2 2\theta_{\mu e} \sim \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu}$

 \rightarrow Implies non-zero v_u disappearance.

But no v_{μ} disappearance has been observed!



[M. Dentler, et al., JHEP 1808 (2018) 010]

3+2, 3+3 global fits

• Can CP violation allowed within 3+2 help?

 $P(\nu_{\alpha} \to \nu_{\beta \neq \alpha}) = 4|U_{\alpha 4}|^{2}|U_{\beta 4}|^{2}\sin^{2}x_{41} + 4|U_{\alpha 5}|^{2}|U_{\beta 5}|^{2}\sin^{2}x_{51} + 8|U_{\alpha 5}||U_{\beta 5}||U_{\alpha 4}||U_{\beta 4}|\sin x_{41}\sin x_{51}\cos(x_{54} - \phi_{45})$ $x_{ji} \equiv 1.27\Delta m_{ji}^{2}L/E$



 \rightarrow two effective Δm^2

• What about more fit parameters, CP phases, in 3+3?

3+2, 3+3 global fits

With new MiniBooNE result:





[D. Cianci, et al., in preparation]



A shift in focus?

The inability of 3+N global fits to provide a satisfactory, coherent explanation to all SBL anomalies has prompted the **exploration of new (physics) ideas**:

1. Sterile neutrino + decay [A. Diaz et al., ICHEP 2018]



This model modestly relieves tension.

A shift in focus?

The inability of 3+N global fits to provide a satisfactory, coherent explanation to all SBL anomalies has prompted the **exploration of new (physics) ideas**:

2. Sterile neutrino + decay through Z' [P. Ballet, et al., arXiv:1808.02915]

$$u_{\mu} + \mathcal{N}
ightarrow
u_4 + \mathcal{N}$$

$$\nu_4 \rightarrow \nu_{\alpha} \ e^+ \ e^-$$



Where do we go from here?

• Better statistical treatment of data in global fits



SBN v_e appearance channel search: (3+1)



SBN will be able to test existing indications for v_e appearance at 5σ level

Also, SBN $v_e v_\mu$ and disappearance channel searches: (3+1)



SBN can probe multiple independent channels simultaneously...

3+1 multi-channel SBN sensitivity:



- v_e app/dis and v_u disap search: 85% coverage of 99%CL allowed phase-space at 5 σ
- Overall sensitivity to 3+1 greatly enhanced when combining multiple oscillation channels in the fit
- Simultaneous search for v_e and v_{μ} disappearance without consideration of v_e disappearance overestimates sensitivity (case for model-independent assumptions!)

- 3+2 and 3+3 multi-channel SBN sensitivities:
- Improvement in sensitivity is significant even in extended scenarios with multiple sterile neutrinos
- v_e app/dis and v_{μ} dis search:

95% coverage of 99%CL allowed phase-space at 5σ

55% coverage of 99%CL allowed phase-space at 5σ



How much better? (E.g.: SBN at Fermilab)



DUNE sensitivity degradation due to uncertainties in sterile neutrino parameters $(\Delta m_{41}^2 = 1.7 \text{ eV}^2 \text{ and varying mixing, additional CP phases})$, without and with SBN constraints (SBN consistent with null).

[Y. Jwa et al., ICHEP 2018]

How much better? (E.g.: SBN at Fermilab)



At the global (3+1) best-fit point, for a **combined SBN+DUNE fit**, CP violation sensitivity is over 5σ for a subset of $(\delta_{cp}, \delta_{14})$ pairs (assuming $\delta_{34}=0$). Mass ordering sensitivity is over 5σ throughout the entire $(\delta_{cp}, \delta_{14})$ plane.





Thank you!