

# WG1 - Neutrino Oscillations Summary

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*08-18-2018*

*NUFACT2018, Blacksburg, Virginia*

# WG1 Sessions

Presentations: 7 plenary talks, 27 Parallel Talks and 6 Posters

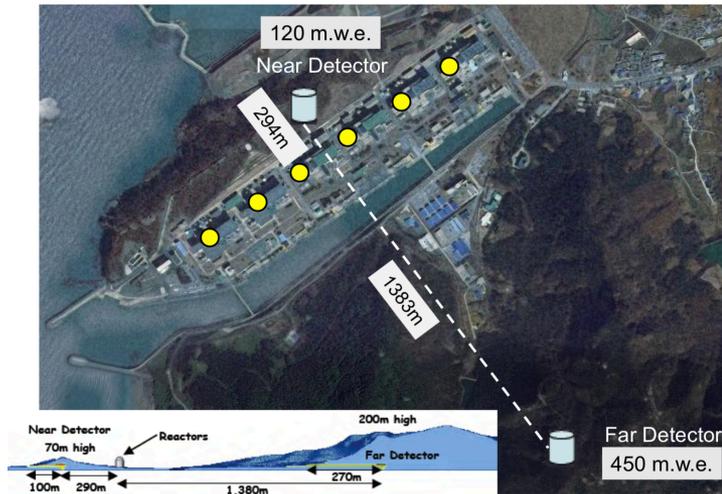
A total of 7 parallel sessions:

- Monday 14:00-16:00 – Reactors
- Monday 16:30-18:00 – Atmospheric
- Tuesday 14:00-16:00 - Joint w/ WG2 [report in WG2 talk]
- Thursday 14:00-16:00 Current Accelerator + Global fit
- Thursday 16:30-18:00 Future LBN
- Friday 14:00-16:00 - Joint w/ WG2 [report in WG2 talk]
- Friday 16:30-17:00 - Joint w/ WG5 [report in WG5 talk]

# Reactors

- New Results from **RENO**, JeeSeung Jang, GIST
- Latest Results from the **Daya Bay** Reactor Neutrino Experiment, Wenqiang Gu, BNL
- **JUNO** physics, Xuefeng Ding, GSSI
- The design and research progresses of the Central Detector in **JUNO**, Yukun Heng, IHEP

## RENO Experimental Set-up



## New RENO Results

- Precise measurement of  $|\Delta m_{ee}^2|$  and  $\theta_{13}$  using ~2200 days of data (Aug. 2011 – Feb 2018)

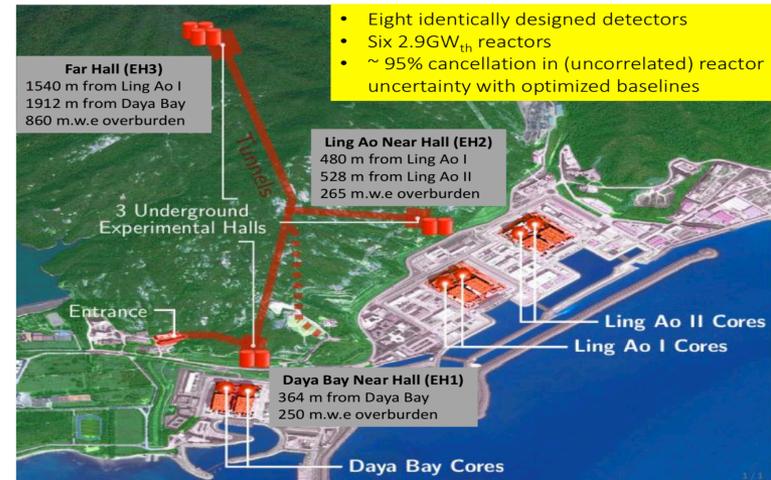
“Measurement of Reactor Antineutrino Oscillation Amplitude and Frequency at RENO” → submitted to PRL (arXiv:1806.00248)

- Fuel-composition dependent reactor antineutrino yield → “Fuel-composition dependent reactor antineutrino yield and spectrum at RENO” → submitted to PRL (arXiv: 1806.00574)

- Measurement of absolute reactor neutrino flux and spectrum

- Independent measurement of  $|\Delta m_{ee}^2|$  and  $\theta_{13}$  with delayed n-H signals

## Daya Bay Experimental Layout



## New Daya Bay experiment

- New oscillation measurement
  - ~ 4 million  $\bar{\nu}_e$ 's 1958 days
- Improved measurement of reactor  $\bar{\nu}_e$  flux
  - Neutron calibration campaign 1230 days
- Search for a time-varying electron  $\bar{\nu}_e$  signal

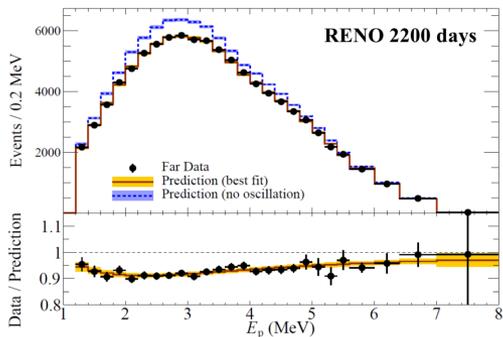
### Also

- Seasonal Variation of the Underground Cosmic Muon Flux
- Cosmogenic neutron production

# Oscillation Results

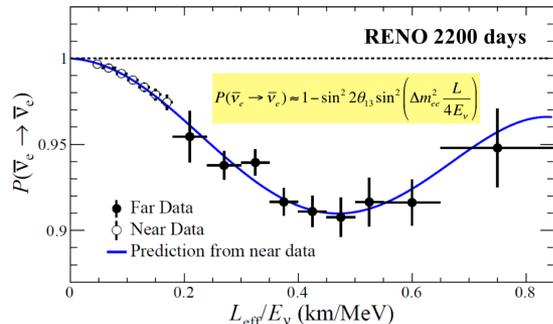
RENO

## Spectral shape of Far / Near



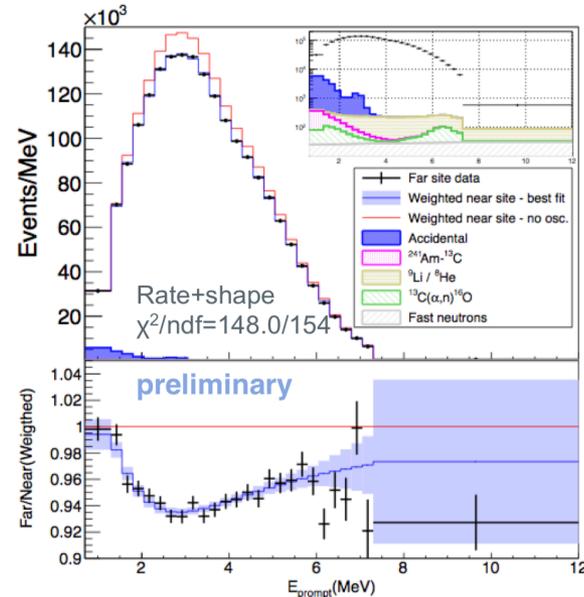
Energy-dependent disappearance of reactor antineutrinos

## Survival probability of reactor antineutrinos

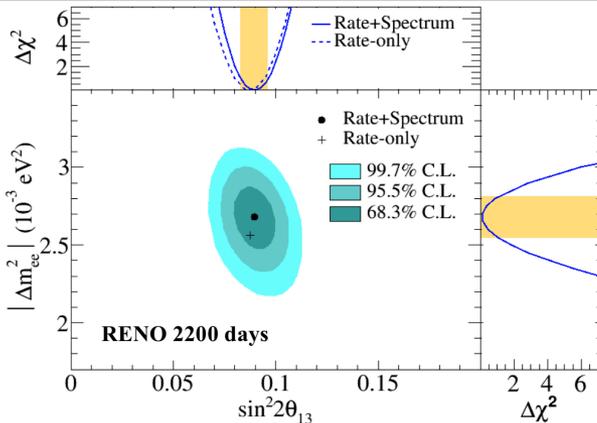


Clear L/E-dependent disappearance of reactor antineutrinos

DayaBay



## Allow regions for $\theta_{13}$ and $|\Delta m^2_{ee}|$

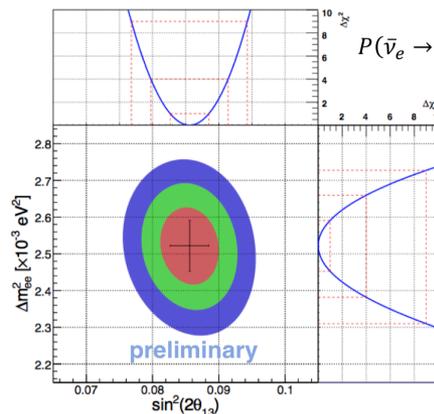


$\sin^2 2\theta_{13} = 0.0896 \pm 0.0048(\text{stat.}) \pm 0.0047(\text{syst.}) \quad (\pm 7.6\%)$

$|\Delta m^2_{ee}| = 2.68 \pm 0.12(\text{stat.}) \pm 0.07(\text{syst.}) \times 10^{-3} \text{ eV}^2 \quad (\pm 5.2\%)$

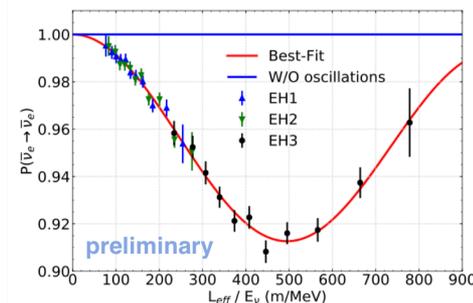
## Oscillation Results with 1958 Days

- Measure  $\sin^2 2\theta_{13}$  and  $|\Delta m^2_{ee}|$  to **3.4%** and **2.8%** respectively



$\sin^2 2\theta_{13} = 0.0856 \pm 0.0029$   
 $|\Delta m^2_{ee}| = (2.52 \pm 0.07) \times 10^{-3} \text{ eV}^2$

$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{1.267 \Delta m^2_{ee} L}{E} - \text{solar term}$

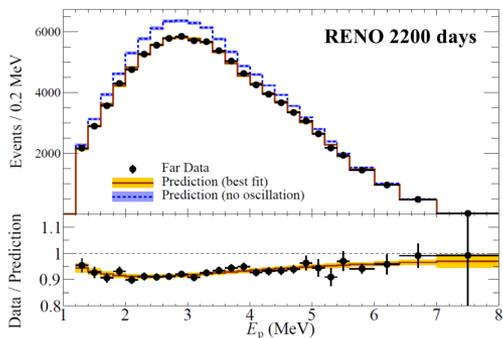


The statistical uncertainty contributes about 60% (50%) of the total  $\theta_{13}$  ( $\Delta m^2_{ee}$ ) uncertainty.

# Oscilla

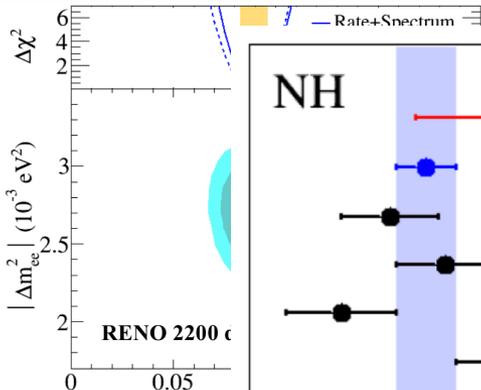
## RENO

### Spectral shape of Far / Near



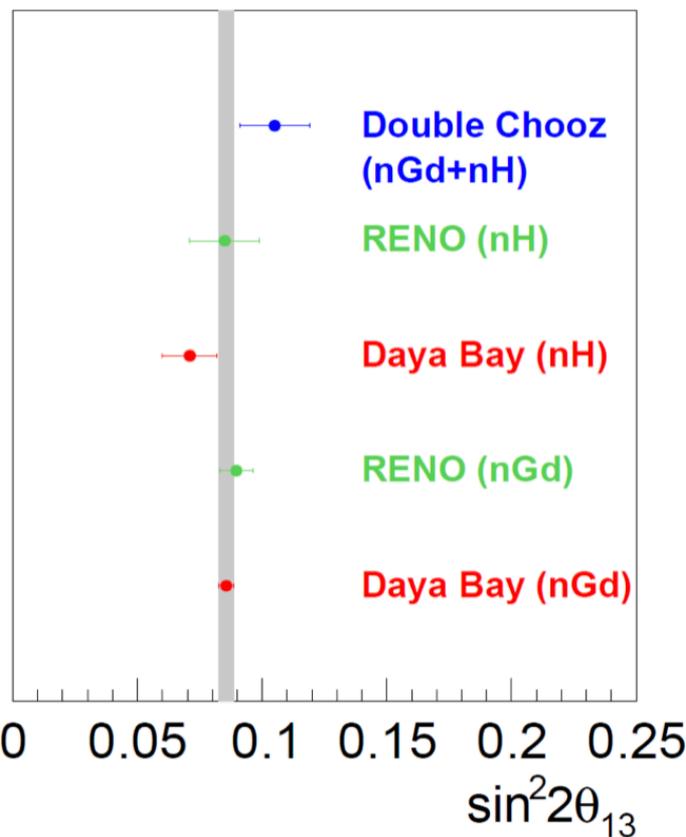
Energy-dependent disappearance of reactor antineutrinos

### Allow regions for $\theta_{13}$ and $|\Delta m_{ee}^2|$

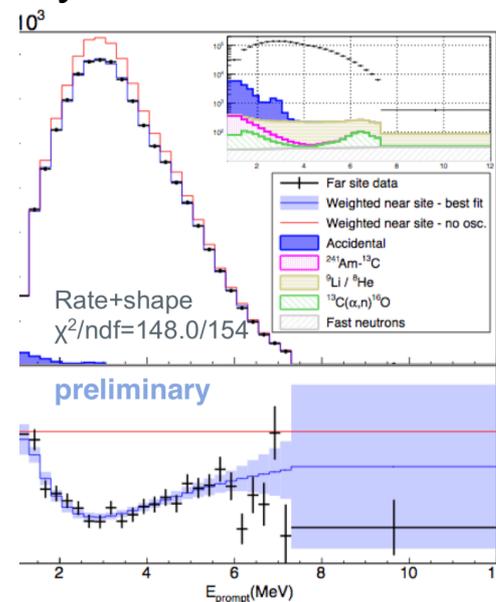


$$\sin^2 2\theta_{13} = 0.0896 \pm 0.00$$

$$|\Delta m_{ee}^2| = 2.68 \pm 0.12 \text{ (stat)}$$

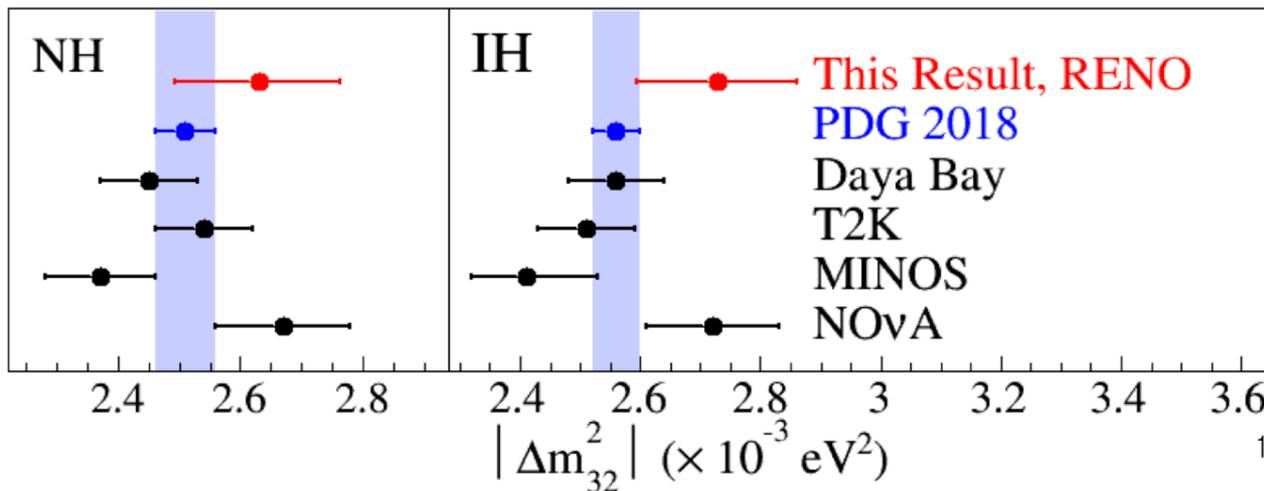


## Day

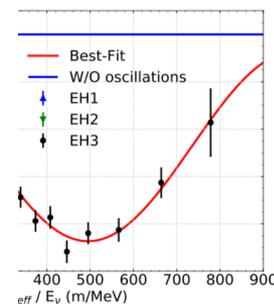


results with 1958 Days

$n^2_{ee}$  to 3.4% and 2.8% respectively



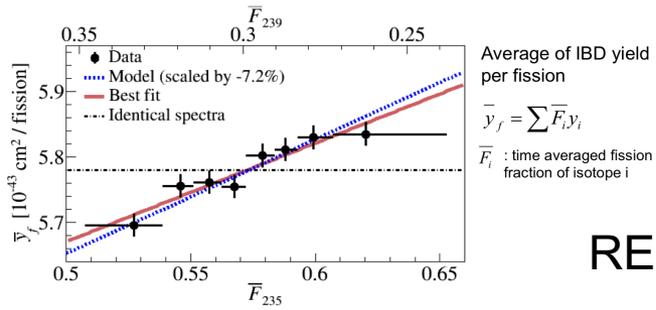
$$n^2 = \frac{1.267 \Delta m_{ee}^2 L}{E} - \text{solar term}$$



The statistical uncertainty contributes about 60% (50%) of the total  $\theta_{13}$  ( $\Delta m_{ee}^2$ ) uncertainty.

# Fuel Evolution and 5MeV Excess, Time Variation

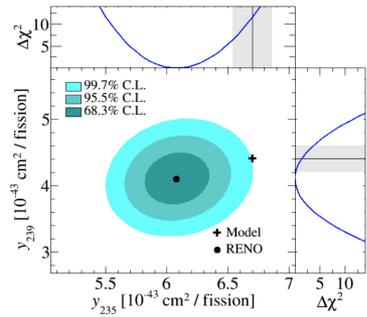
## Fuel-Composition Dependent Reactor Neutrino Yield



RENO

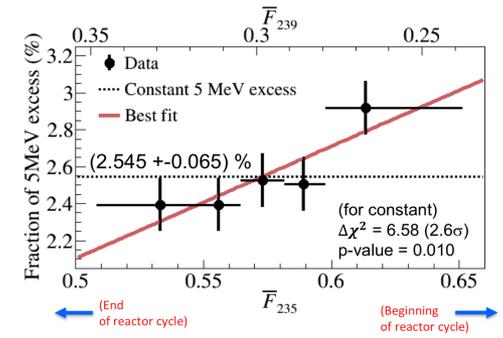
No fuel-dependent variation of IBD yield per fission is ruled out with  $6.7 \sigma$

## Best-fit result of IBD yields per fission of $^{235}\text{U}$ ( $^{239}\text{Pu}$ )



$^{235}\text{U}$ :  $3.5\sigma$  deficit relative to Huber-Mueller (H-M) prediction  
 $^{239}\text{Pu}$ :  $1.2\sigma$  deficit

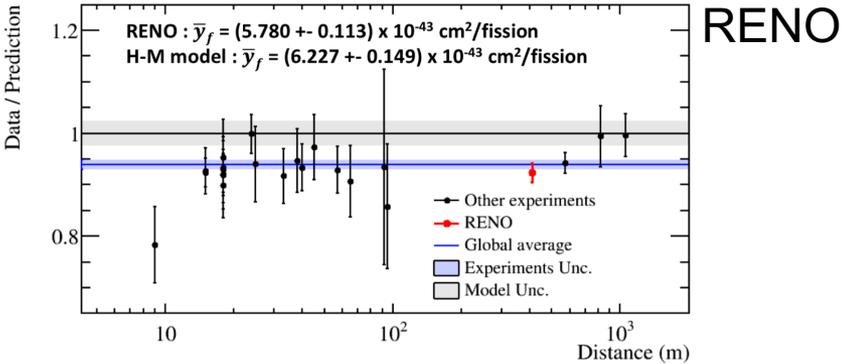
## Correlation of 5 MeV excess with $^{235}\text{U}$ isotope fraction



$2.6\sigma$  indication of 5 MeV excess coming from  $^{235}\text{U}$  fuel isotope fission

## Measurement of Absolute Reactor Neutrino Flux

Cross section calculation	Data / Prediction, RENO 2200 days at near detector
<ul style="list-style-type: none"> <li>Fayans 85 formalism</li> <li><math>\tau_n = 880.2\text{s}</math> (PDG2017)</li> </ul>	<p><math>0.924 \pm 0.018</math> (for Huber + Mueller model)</p> <p><math>0.966 \pm 0.019</math> (for ILL + Vogel model)</p>



Deficit of observed reactor neutrino fluxes relative to the prediction (Huber + Mueller model) indicates an overestimated flux or possible oscillation to sterile neutrinos

## Improved Absolute Antineutrino Flux

DayaBay

- The  $\epsilon_n$  estimated by
  - MC simulation of **best-fit model**
  - A **correction** obtained from a linear regression analysis of the remaining data-MC difference
- Uncertainty estimated with spread of models

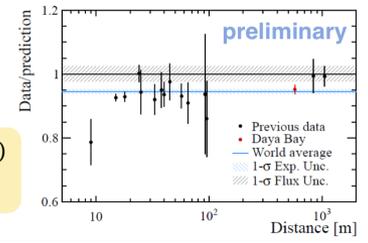
$$\epsilon_n = (81.48 \pm 0.60)\%$$

Target achieved: uncertainty improved by a factor of 2

results with 1230 days

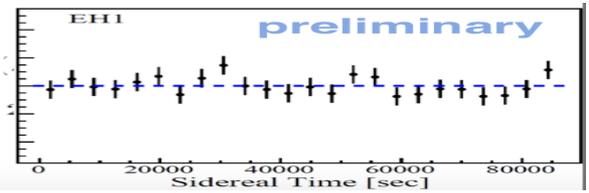
$$R_{\text{data/pred}} (\text{Huber-Mueller}) = 0.952 \pm 0.014 (\text{exp.})$$

$$\sigma_f = (5.91 \pm 0.09) \times 10^{-43} \text{ cm}^2/\text{fission}$$

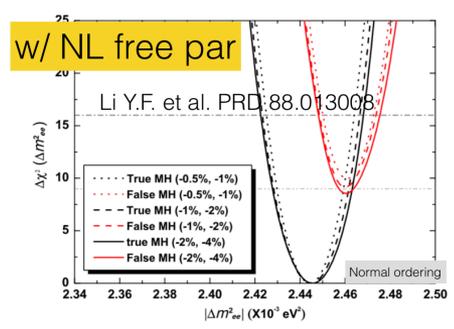
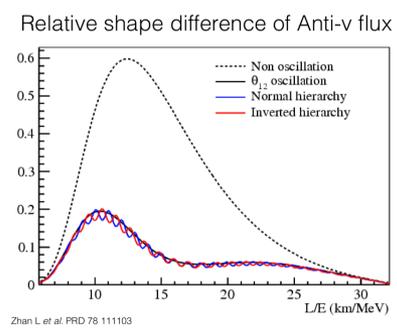
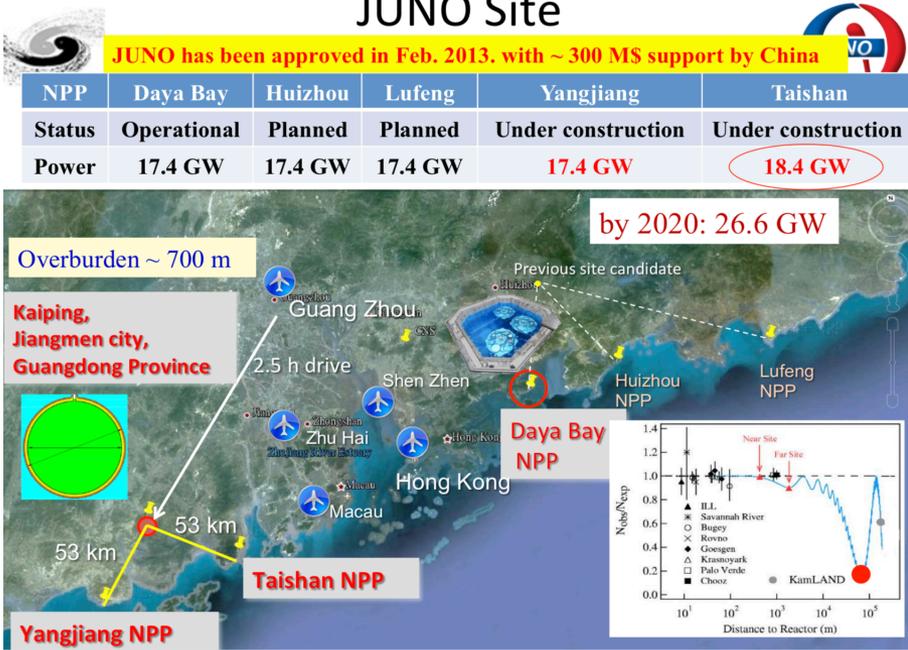


DayaBay

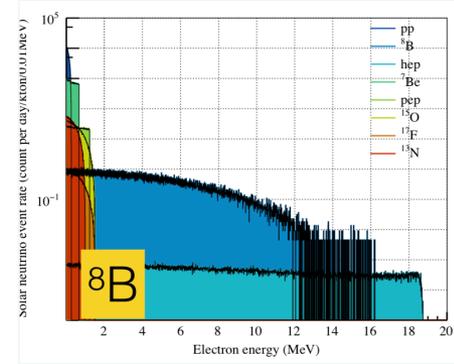
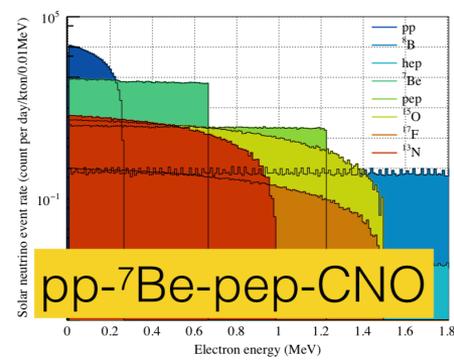
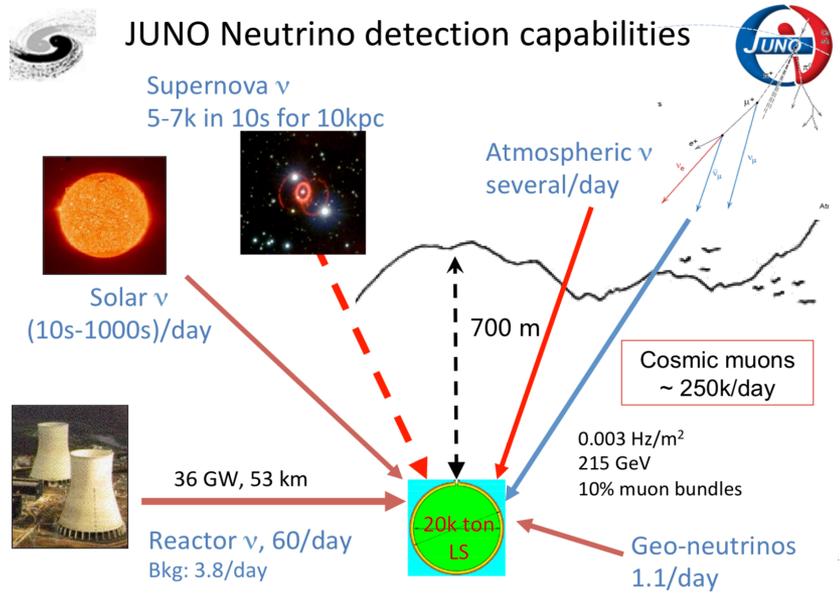
- Time-varying  $\bar{\nu}_e$  signal search over 704 calendar days
- Set limits on the Lorentz and CPT violation under standard model extension (SME) framework



## JUNO Site

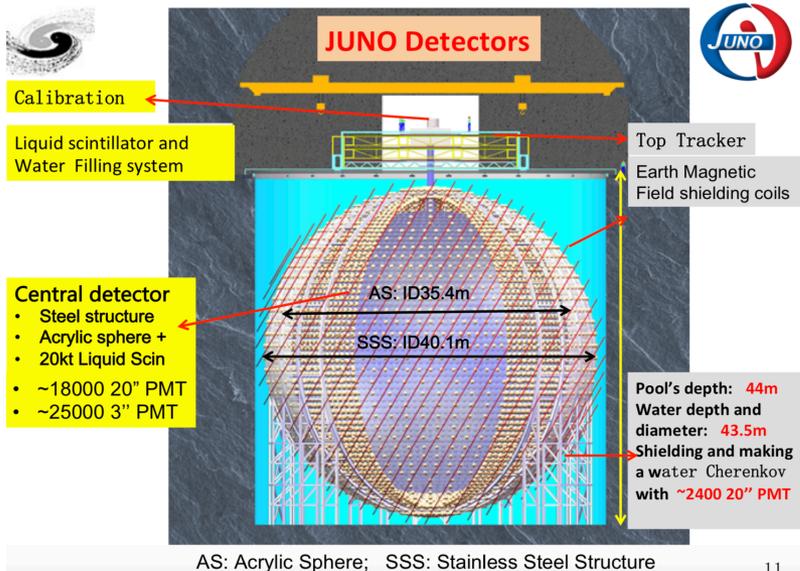


- JUNO's median sensitivity on determining **Neutrino Mass Ordering** is **~3.4σ with 6 years of data**
  - 3% energy resolution ( $\frac{\sigma_0}{1.6} \oplus \sigma_1 \oplus 1.6\sigma_2 < 3\%$ ) is required.
  - Degeneration from residual NL removed by using free NL par.
  - Using multivariate fit can improve sensitivity



**what is rate?** **is there upturn?**

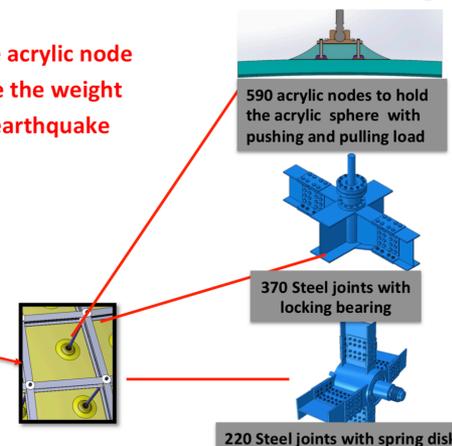
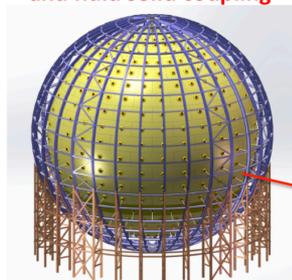
- Expected precision on solar neutrinos assuming 10<sup>-16</sup> g/g <sup>238</sup>U etc. LS purity is at percent level. **Shape systematics** not included yet.
- The S/B for solar <sup>8</sup>B neutrino for JUNO depends on the efficiency of removal of <sup>10</sup>C with μ-n tagging algorithms
  - From Fluka it can reach 98%. Then S/B ~ 1:1
  - 6 yr 6000 v ev. in [2,2.5] MeV: touch transition zone



## Structure Design & Optimization JUNO

### Optimization:

- Reduce the max stress on the acrylic node
- Simplify the structure, reduce the weight
- Evaluate the influence from earthquake and fluid solid coupling



- In the LS-Water filled configuration, total net buoyancy: ~3000 tons, counteracted by the connecting bars
- Forces in the connecting bars: Pulling < 9 tons (< 3.5 Mpa) / Pushing < 15 tons (< 3.0 Mpa)

## 1:12 scaling CD prototype

### Manufacturing and assembly and test

- Tests to be done
- Verify the FEM calculation
  - Check the spring effects
  - Check the temperature load
  - Test the monitor system
  - Test the filling/overflow system



Small prototype of acrylic sphere manufacturing



Adjusting steel structure



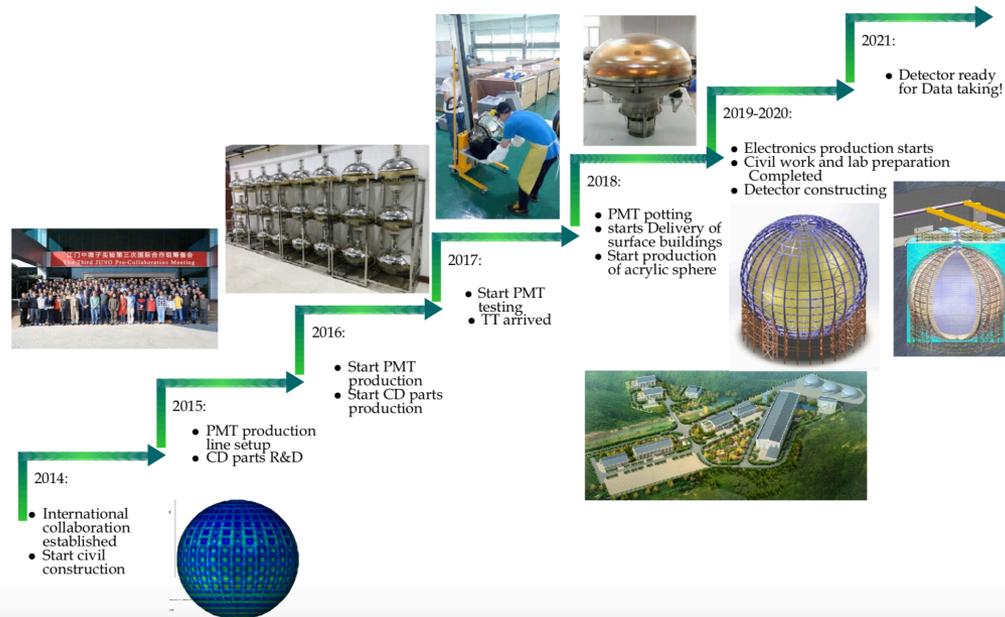
Pre-assembly



Lifting test

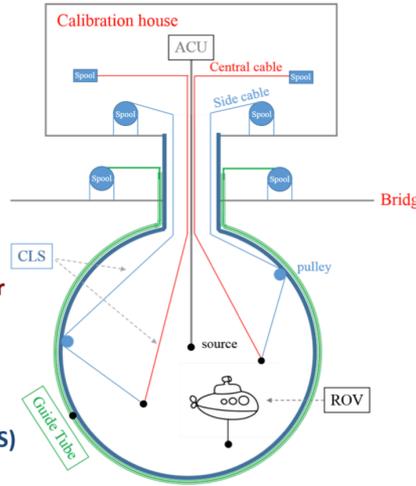


Small prototype and steel cylinder for testing



	KamLAND	BOREXINO	Daya Bay	JUNO
Target Mass	1 kt	300 t	20 t x 8	20 kt
PE Collection (PE/MeV)	250	500	160	1200
Photocathode Coverage	34%	34%	12%	75%
Energy Resolution	6%/√E	5%/√E	7.5%/√E	3%/√E
Energy Calibration	2%	1%	1.5%	<1%

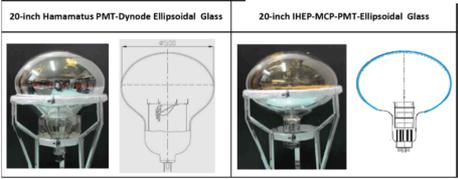
- The goal:
  - Overall energy resolution:  $\leq 3\%/VE$
  - Energy scale uncertainty:  $<1\%$
- Radioactive sources:
  - $\gamma$ :  $^{40}\text{K}$ ,  $^{54}\text{Mn}$ ,  $^{60}\text{Co}$ ,  $^{137}\text{Cs}$
  - $e^+$ :  $^{22}\text{Na}$ ,  $^{68}\text{Ge}$
  - $n$ :  $^{241}\text{Am-Be}$ ,  $^{241}\text{Am-}^{13}\text{C}$  or  $^{241}\text{Pu-}^{13}\text{C}$ ,  $^{252}\text{Cf}$
- Four complementary calibration systems
  - 1-D: Automatic Calibration Unit (ACU)  $\rightarrow$  for central axis scan,
  - 2-D:
    - Cable Loop System (CLS)  $\rightarrow$  scan vertical planes,
    - Guide Tube Calibration System (GTCS)  $\rightarrow$  CD outer surface scan,
  - 3-D: Remotely Operated under-LS Vehicle (ROV)  $\rightarrow$  full detector scan



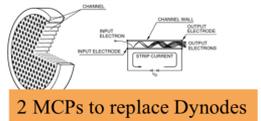
20000 20" PMT 10000 arrived

25000 3" PMT 6000 produced

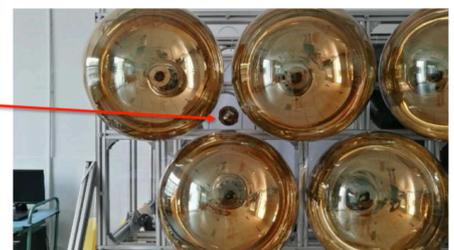
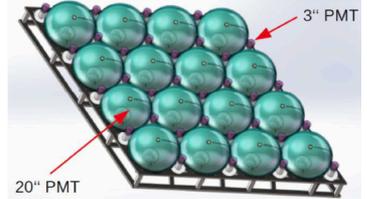
- Contracts were signed in 2015
- 15000 MCP-PMT (75%) from NNVT
- 5000 Dynode (25%) from Hamamatsu



Characteristics	unit	MCP-PMT (NNVT)	R12860 (Hamamatsu)
Detection Efficiency (QE*CE*area)	%	27%, > 24%	27%, > 24%
P/V of SPE		3.5, > 2.8	3, > 2.5
TTS on the top point	ns	-12, < 15	2.7, < 3.5
Rise time/ Fall time	ns	R-2, F-12	R-5, F-9
Anode Dark Count	Hz	20K, < 30K	10K, < 50K
After Pulse Rate	%	1, < 2	10, < 15
Radioactivity of glass	ppb	238U:50 232Th:50 40K: 20	238U:400 232Th:400 40K: 40



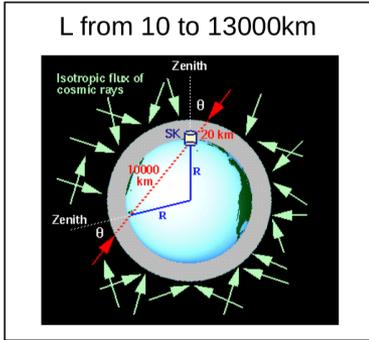
- Work together with the 20-in PMT to provide a double calorimeter system
  - Energy measurement via "photon counting", better control of systematics
  - Muon tracking, supernova detection ...
  - Increase the dynamic range.
  - Increase photon statistics by  $\sim 2.5\%$
- 25000 3-inch PMTs, contracted to HZC (China), which has produced  $\sim 6000$  3-inch PMTs



# Atmospherics

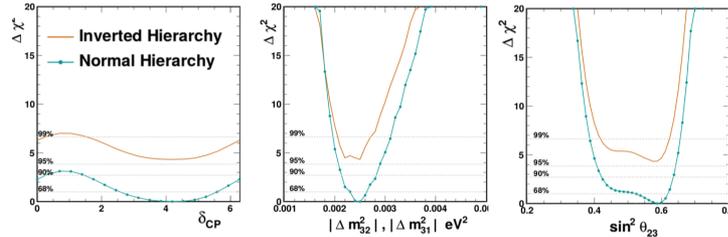
- Atmospheric neutrino results from Super-Kamiokande, Christophe Bronner, ICRR
- Atmospheric Neutrino Oscillations with IceCube/DeepCore, Doug Cowan, Penn State
- Neutrino physics with KM3NeT/ORCA, Dmitry Zaborov, CPPM

# Super-Kamiokande, Christophe Bronner



- Large range of neutrino energies and propagation lengths
- Oscillations dominated by  $\nu_\mu \rightarrow \nu_\tau$
- Large statistics allow to study sub-dominant effects

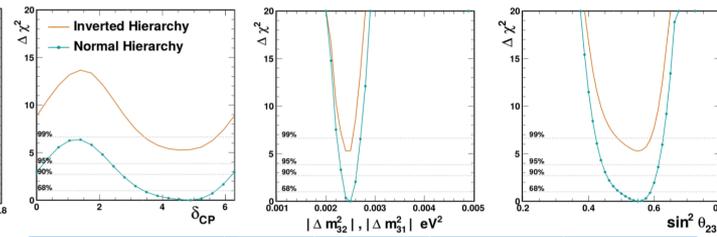
## Atmospheric neutrino results



	$\chi^2$	$ \Delta m^2_{32/31} $	$\sin^2(\theta_{23})$	$\delta_{CP}$
Normal hierarchy	571.33	$2.5 \times 10^{-3}$	0.5875	4.18
Inverted hierarchy	575.66	$2.5 \times 10^{-3}$	0.575	4.18

$\chi^2(\text{NH}) - \chi^2(\text{IH}) = -4.33$   
 P-value for this  $\Delta\chi^2$  (true values of the parameters corresponding to the NH best fit point) is 0.027 for true IH  
 → Preference for the normal hierarchy hypothesis

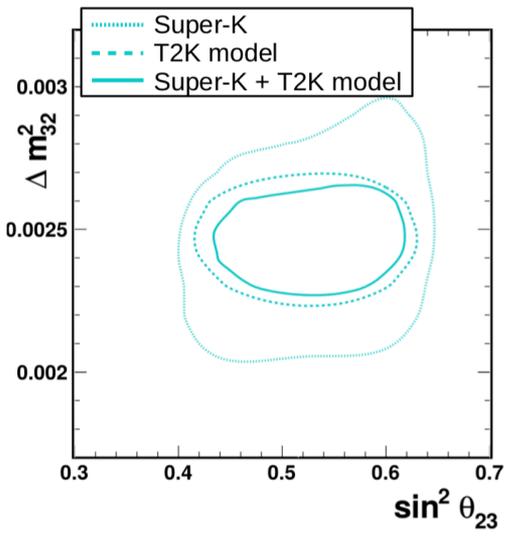
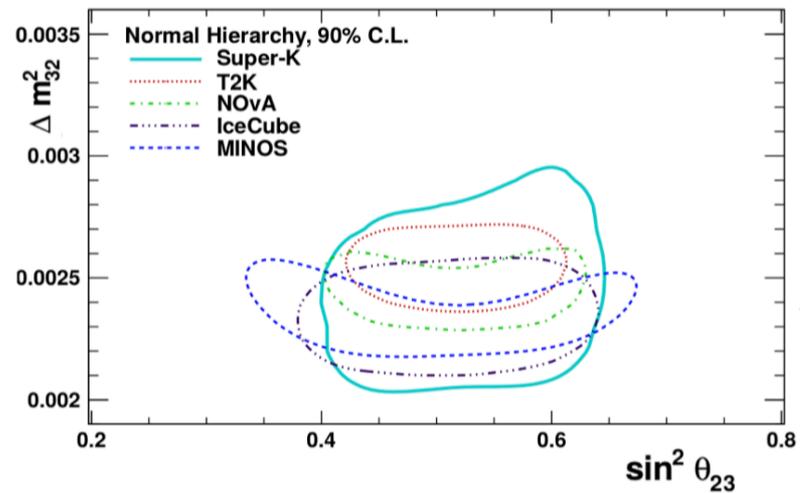
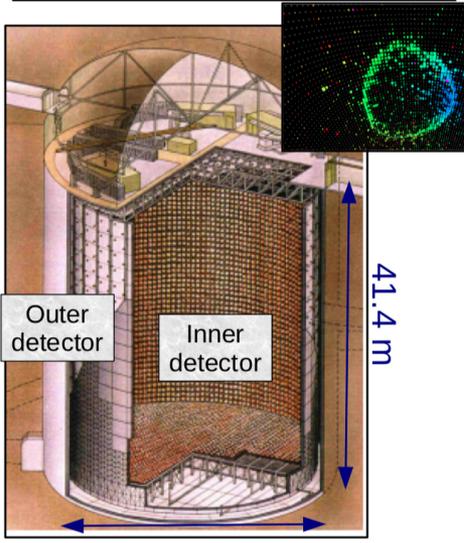
## Results with external constraints



	$\chi^2$	$ \Delta m^2_{32/31} $	$\sin^2(\theta_{23})$	$\delta_{CP}$
Normal hierarchy	639.43	$2.50 \times 10^{-3}$	0.550	4.88
Inverted hierarchy	644.70	$2.40 \times 10^{-3}$	0.550	4.54

$\chi^2(\text{NH}) - \chi^2(\text{IH}) = -5.27$   
 P-value for this  $\Delta\chi^2$  (true values of the parameters corresponding to the NH best fit point) is 0.023 for true IH  
 → Slightly stronger preference for the normal hierarchy

- 50 kt (22.5 kt fiducial) water Cherenkov detector
- 1000m overburden
- Operational since 1996

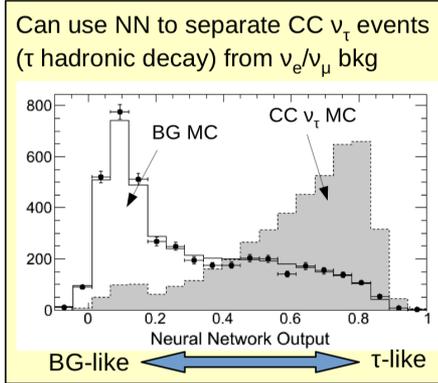
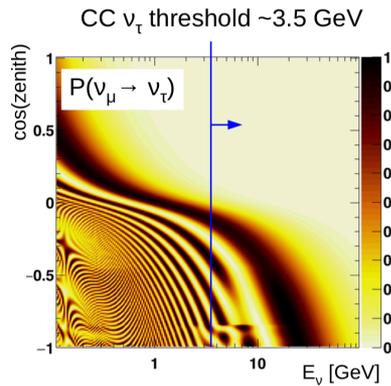


# Super-Kamiokande, Christophe Bronner

## $\nu_\tau$ appearance

14

- No primary  $\nu_\tau$  atmospheric flux, but appear from oscillations
- Expect to detect  $\sim 1 \nu_\tau/\text{year}/\text{kton}$  in Super-K
- Only upward going (need  $L > 4100 \text{ km}$ )  
→ down going sample can be used as a control sample for background



## $\nu_\tau$ appearance Cross-section measurement

16

- Can use this dataset to measure  $\nu_\tau$  cross-section
- Scale theoretical (MC) cross section by  $\alpha$  from  $\nu_\tau$  appearance fit
- Cannot separate  $\nu_\tau$  and  $\bar{\nu}_\tau$  → flux average of  $\nu_\tau$  and  $\bar{\nu}_\tau$  cross-sections

$$\langle \sigma_{\text{measured}} \rangle = \alpha \times \langle \sigma_{\text{theory}} \rangle$$

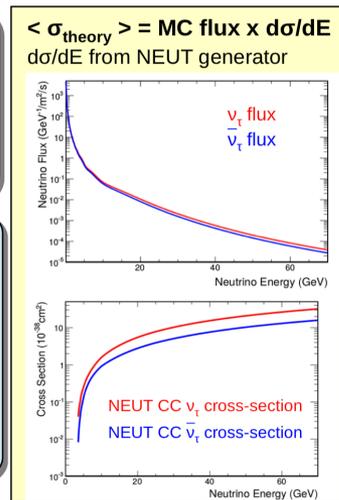
$$\langle \sigma_{\text{theory}} \rangle = 0.64 \times 10^{-38} \text{ cm}^2$$

$$\alpha = 1.47 \pm 0.32$$

↓

$$\langle \sigma_{\text{measured}} \rangle = (0.94 \pm 0.20) \times 10^{-38} \text{ cm}^2$$

Agrees with theory within 1.5 $\sigma$



(Flux average on 3.5-70 GeV)

nming

## $\nu_\tau$ appearance Results

15

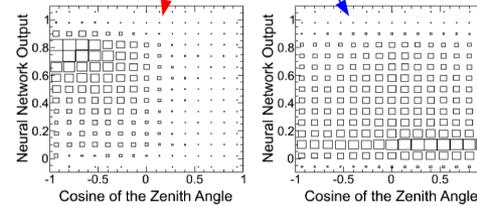
- Unbinned maximal likelihood fit of 2D PDF
- Fit for CC  $\nu_\tau$  normalization " $\alpha$ "

$$\text{Data} = \alpha \times \text{Signal} + \text{Background} + \text{syst.}$$

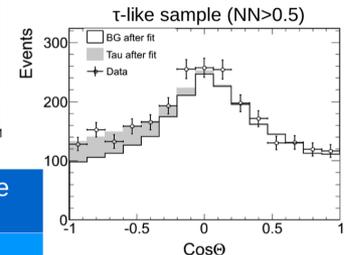
Variation of syst  $i$  in  $\sigma$   
(has gaussian constraint)

$$\sum_i \epsilon_i (PDF_i^{BG} + PDF_i^{sig})$$

Effect of 1 $\sigma$  variation of syst  $i$  on 2D PDF



Mass Hierarchy	$\alpha$	Significance
Normal	$1.47 \pm 0.32$	$4.6\sigma$
Inverted	$1.57 \pm 0.31$	$5.0\sigma$

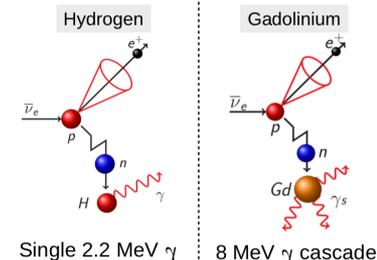


arXiv:1711.09436 [hep-ex]

## Future improvements Neutron tagging with Gd

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- Gd: large neutron capture cross-section
- Signal is easier to detect than for H capture
- Future **SK-Gd**: use capture on Gd by dissolving Gd in SK water
- **Efficiency**  $\sim 80\%$  at 0.1% Gd loading

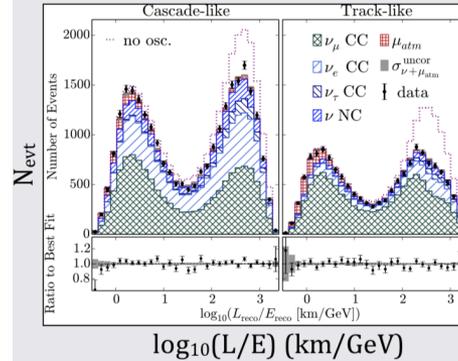
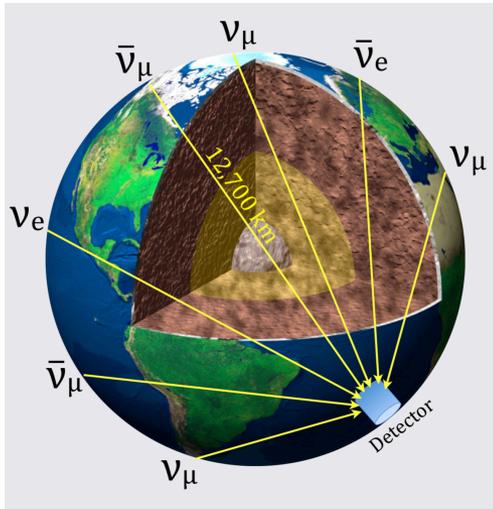


SK tank currently being refurbished to prepare for SK-Gd



13

# $\nu_\mu$ Disappearance: Results

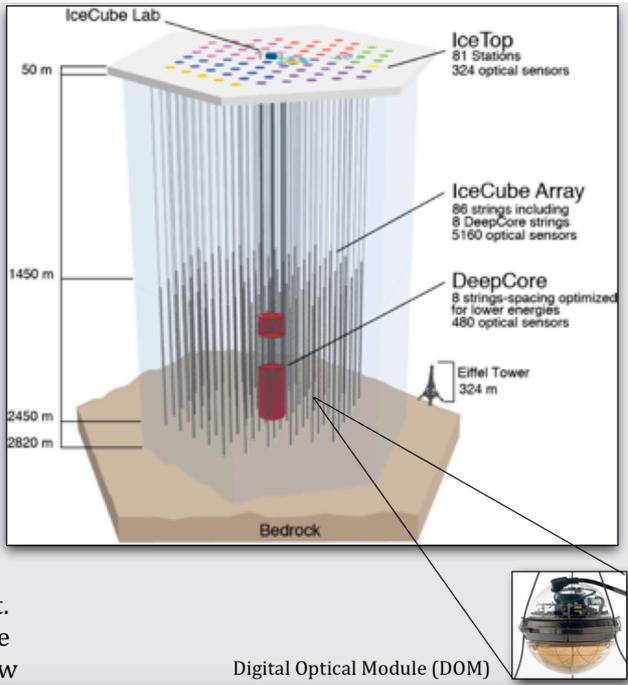
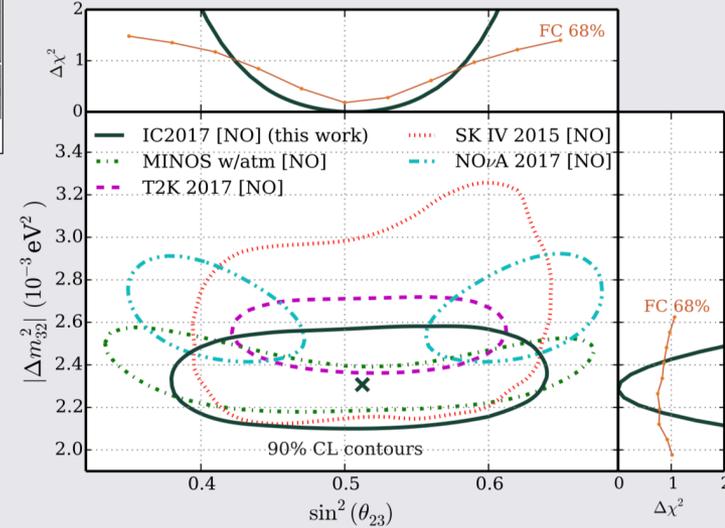


- 1,020 live days (2012-14)
- 41,599 events (full sky)
  - 15,138 track; 26,461 cascade
- Estimate 5.2% atm  $\mu$  background

PRL 120.071801 (2018)

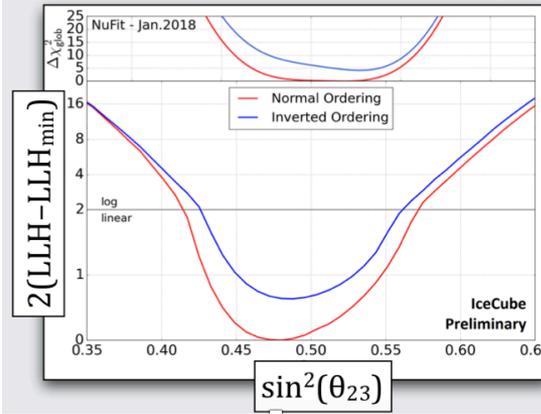
$$\sin^2 \theta_{23} = 0.51^{+0.07}_{-0.09}$$

$$\Delta m_{32}^2 = 2.31^{+0.11}_{-0.13} \times 10^{-3} \text{ eV}^2$$



Digital Optical Module (DOM)

## Neutrino Mass Ordering



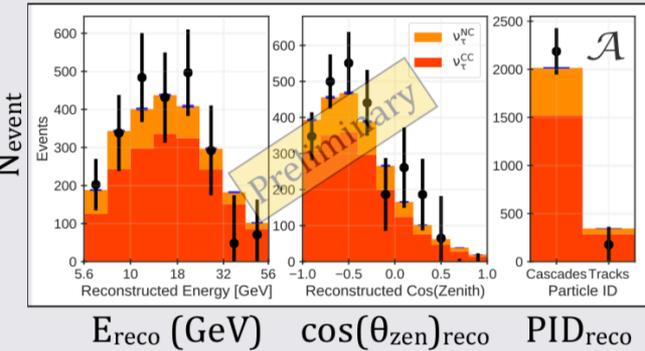
Jianming Bian - UCI

Use 3 yrs of IceCube data (~43k events), proof-of-principle measurement of NMO

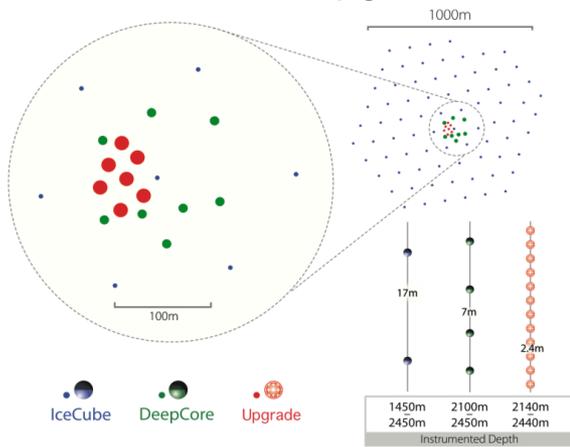
prefers NO over IO at  $p = 15\%$  and in first octant close to maximal mixing

# ICECUBE, Doug Cowan

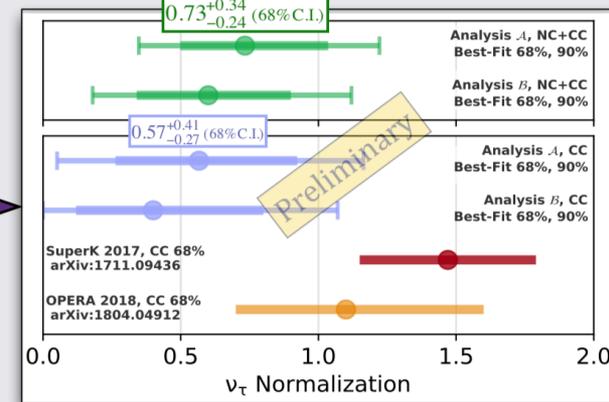
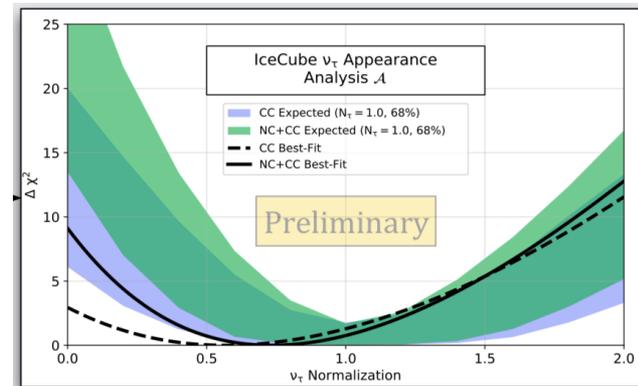
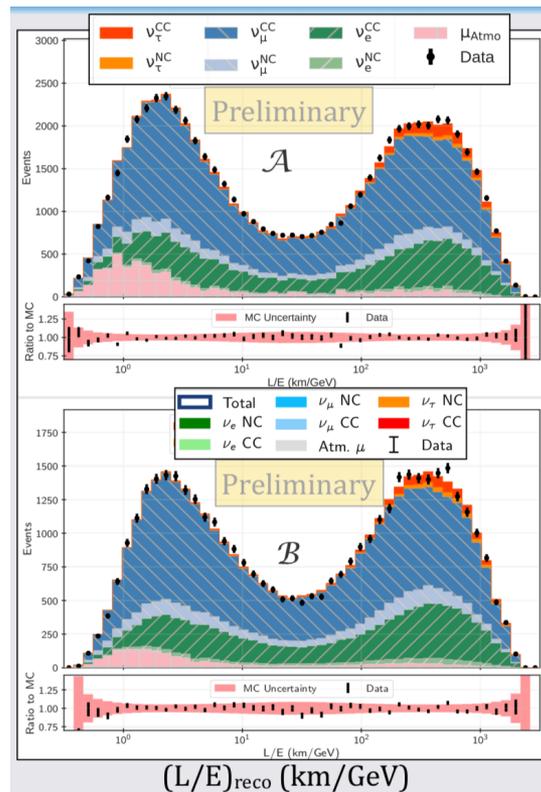
Data distributions with best-fit  $\nu_e + \nu_\mu$  and  $\mu$  backgrounds subtracted (points with stat. error bars), overlaid with best fit  $\nu_\tau$  hypotheses.



## The IceCube Upgrade



7 strings with 875 advanced DOMs and improved calibration devices



- IceCube Upgrade likely to be approved soon
- Add 7 new strings in DeepCore fiducial volume to
  - improve  $\nu_\tau$  normalization to better than 10%
  - improve understanding of ice properties for better reconstruction and reduced systematics at low and high  $E_\nu$

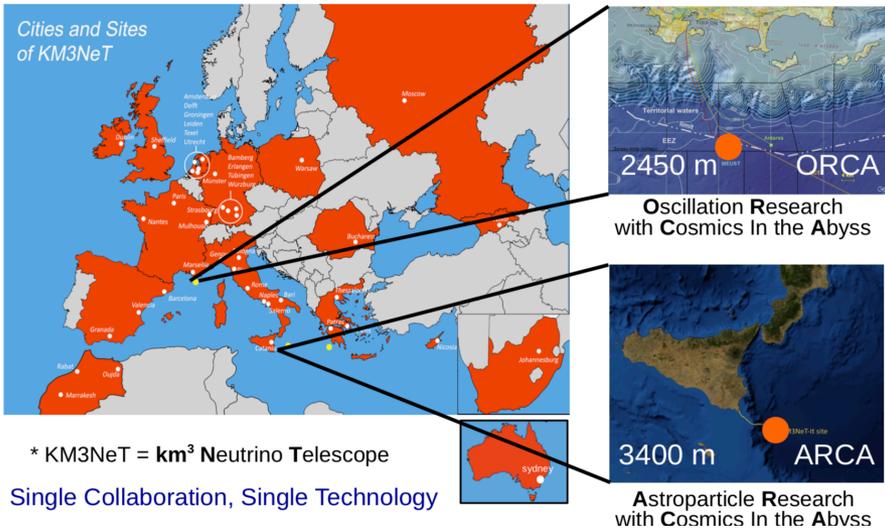
## Comparison of all results.

- IceCube  $\mathcal{A}$  &  $\mathcal{B}$  results internally consistent.
- Super-K, OPERA and IceCube results mutually consistent.
- All results consistent with PMNS unitarity.

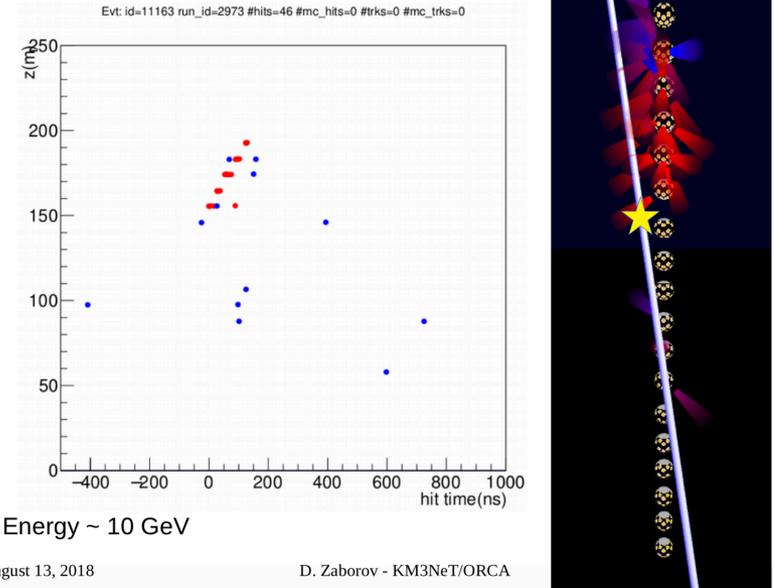
# KM3NeT/ORCA, Dmitry Zaborov

## KM3NeT sites and participating countries

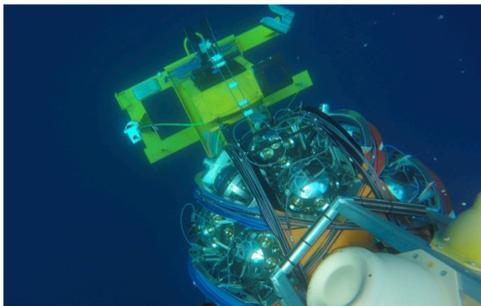
A distributed research infrastructure at two sites



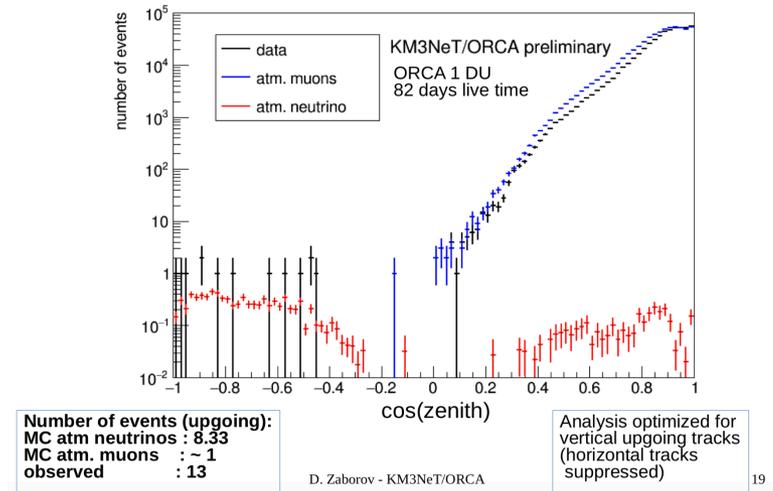
## A neutrino candidate from ORCA

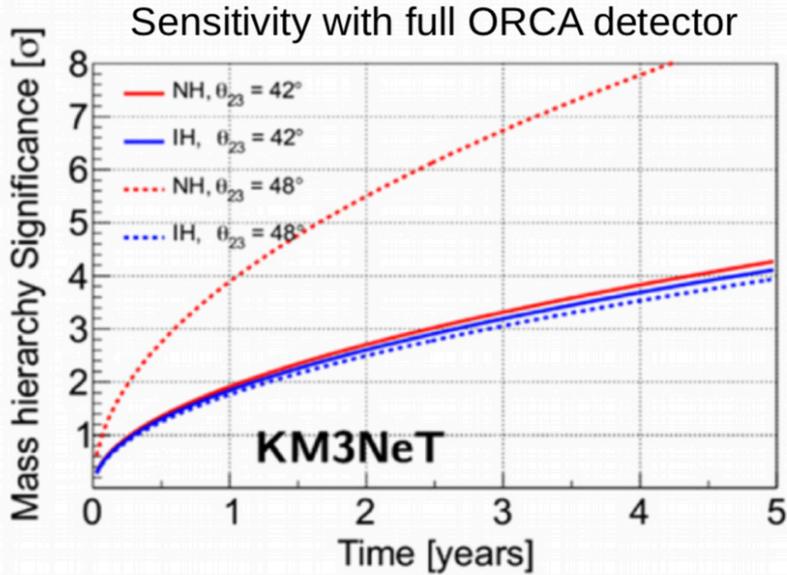


22/9/2017 : First DU successfully deployed and connected

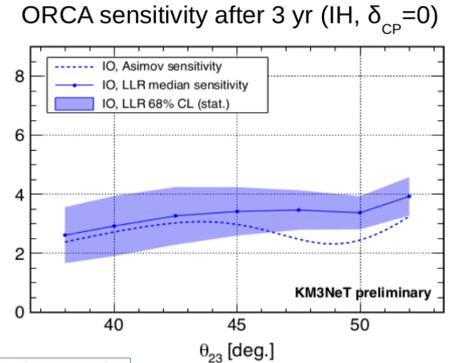
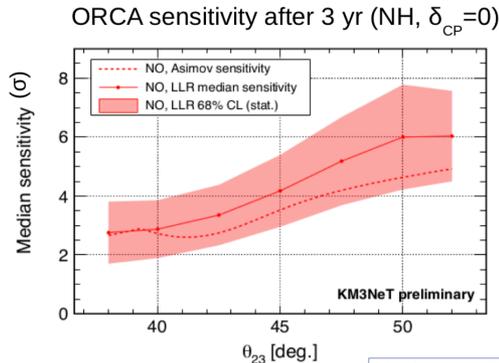


First results from first ORCA detector unit deployed in September 2017





osc. parameters	param.	treatment	true value	prior	Systematics	Parameter	treatment	true value	prior
	$\Delta m^2_{21}$	fitted	$2.48 \cdot 10^{-5} \text{ eV}^2$	free		Flux spectral tilt	fitted	0	free
	$\theta_{13}$	fitted	$7.53 \cdot 10^{-5} \text{ eV}^2$	—		$\nu/\bar{\nu}$ skew	fitted	0	0.03
	$\theta_{23}$	fix	$8.42^\circ$	0.26		Track normalization	fitted	1	free
	$\delta_{CP}$	fitted	$33.4^\circ$	—		Cascade normalization	fitted	1	free
		fitted	$38^\circ - 52^\circ$	free		NC events normalization	fitted	1	0.1
		fitted	$0 - 2\pi$	free					

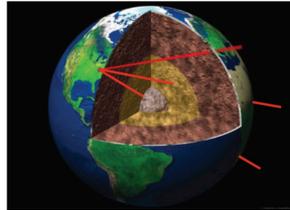


S. Bourret & L. Quinn, et al,  
@ Neutrino 2018

August 13, 2018

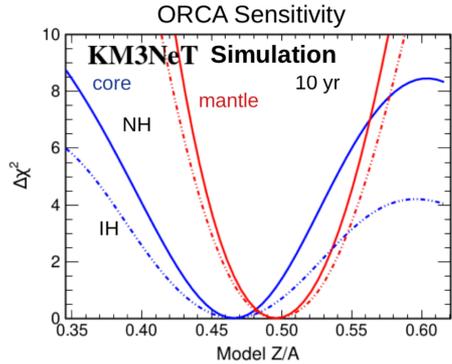
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## Sensitivity to Earth electron density profile



Earth density 4-13 g/cm<sup>3</sup>  
Relevant:  $E_{\nu} \sim 3-10 \text{ GeV}$

**ORCA 10 yr:**  
5-6% precision for mantle  
6-9% precision for core



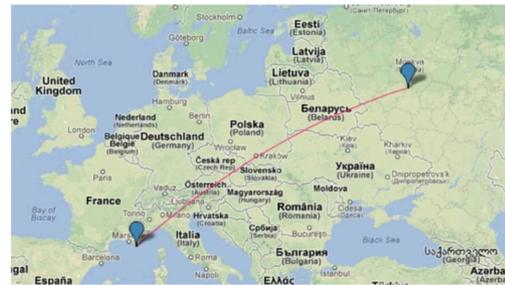
7% wt Hydrogen content in core (Z/A ~ 0.53) could be excluded at 90% C.L.

S. Bourret, J. Coelho, V. Van Elewyck, et al.,  
Neutrino oscillation tomography of the Earth with KM3NeT-ORCA, ICRC 2017

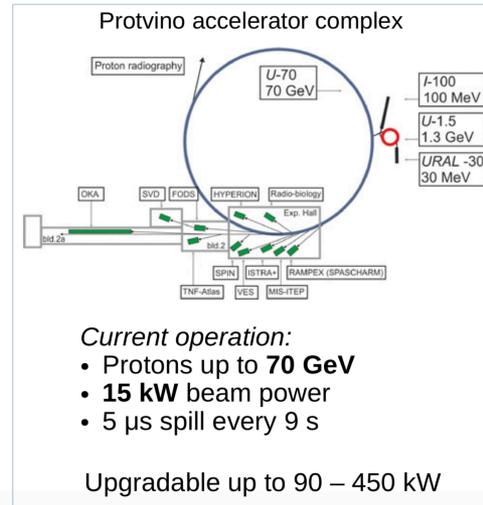
D. Zaborov

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## P2O : Protvino to ORCA



- Baseline 2588 km
- Beam inclination :  $11.7^\circ$  ( $\cos \theta = 0.2$ )
- Deepest point : 134 km ( $3.3 \text{ g/cm}^3$ )
- First oscillation maximum 5.1 GeV
- Sensitivity to mass hierarchy and CP violation



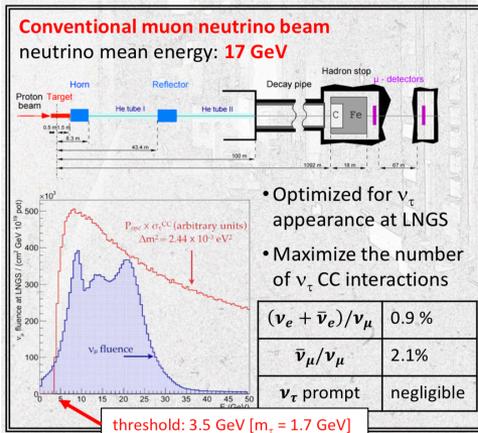
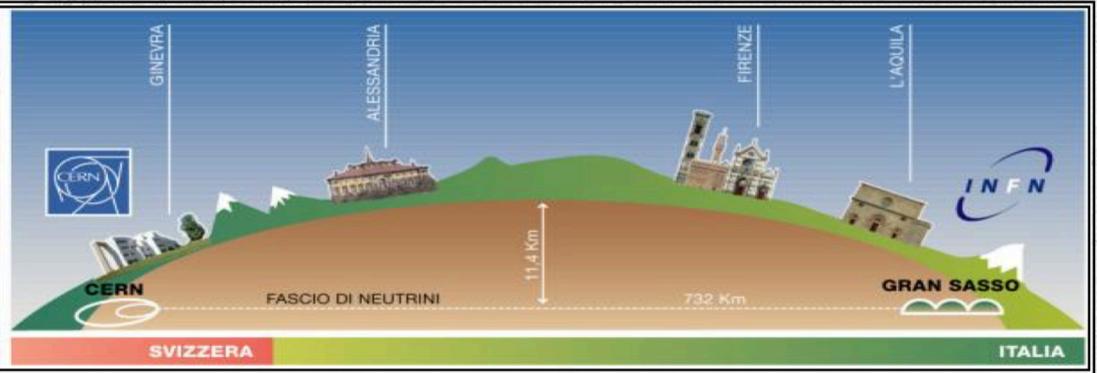
- Current operation:**
- Protons up to 70 GeV
  - 15 kW beam power
  - 5  $\mu\text{s}$  spill every 9 s

Upgradable up to 90 – 450 kW

# Current Accelerator

- Final results from the OPERA experiment in the CNGS neutrino beam, Matteo Tenti, INFN-Bologna
- Details of the NOvA oscillation analyses, Erica Smith, Indiana
- Details of the T2K oscillation analyses, Davide Sgalaberna, CERN
- Global analysis of neutrino oscillation experiments
- MicroBooNE Search for Low-Energy Excess Using Deep Learning Algorithms, Lauren Yates

- **Long baseline** experiment: 735 km
- Aim: **verify the  $\nu_\mu \rightarrow \nu_\tau$**  oscillations at atmospheric  $\Delta m^2$  scale
- How: observe  $\nu_\tau$  appearance on **event-by-event** basis in a conventional  $\nu_\mu$  beam



## Loosing $\nu_\tau$ event selection

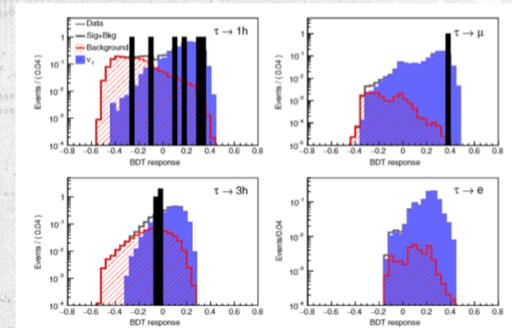
[Phys.Rev.Lett. 120 (2018) no.21, 211801]

- Loose kinematical cuts:
  - **Minimal requirements** to identify the topologies showing 2 vertices
  - **Negligible additional background** from K/ $\pi$  decays

Variable	$\tau \rightarrow 1h$	$\tau \rightarrow 3h$	$\tau \rightarrow \mu$	$\tau \rightarrow e$
$z_{dec}$ (mm)	<2.6	<2.6	<2.6	<2.6
$\theta_{kink}$ (rad)	>0.02	>0.02	>0.02	>0.02
$p_{2ry}$ (GeV/c)	>1	>1	[1, 15]	>1
$p_{2ry}^L$ (GeV/c)	>0.15		>0.1	>0.1
Charge $_{2ry}$			Negative or unknown	

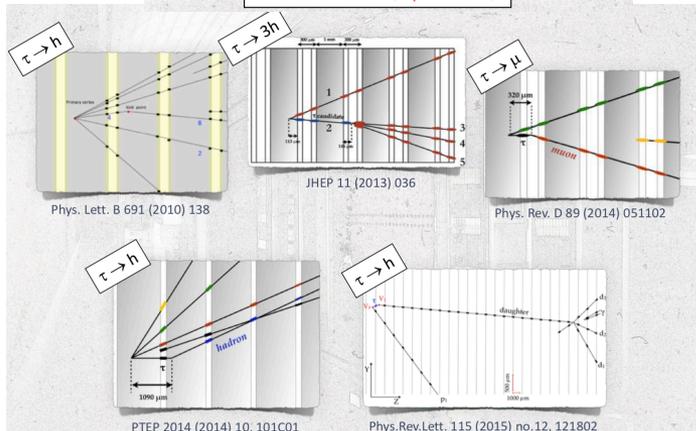
- **Increased statistics** of the  $\nu_\tau$  sample: **x2**
- **Reduction of S/B** from  **$\sim 10$  to  $\sim 3$**

	Expected background	$\nu_\tau$ expected	Observed
Total	$2.0 \pm 0.4$	$6.8 \pm 1.4$	10



$\Rightarrow$  Improvement in  $|\Delta m_{23}^2|$  or alternatively  $\langle \sigma \rangle$  measurement

- **Multivariate approach** (based on BDT)
  - Use kinematical, topological variables and their correlations  $\rightarrow$  **higher discrimination power**



# Sterile neutrino search

Exploiting **simultaneously** results of

- $\nu_\tau$  search: 10 candidates
- $\nu_e$  search: 35 candidates

## $\nu_\tau$ appearance

• Likelihood:

$$\mathcal{L}(\mu, \beta_c) = \prod_{c=1}^4 \left( \mathcal{P}(n_c | \mu s_c + \beta_c) \prod_{i=1}^{n_c} f_c(x_{ci}) \right) \times \prod_{c=1}^4 \mathcal{G}(b_c | \beta_c, \sigma_{b_c})$$

• where

$$f_c(x_{ci}) = \frac{\mu s_c}{\mu s_c + \beta_c} \text{PDF}_c^{\text{sig}} + \frac{\beta_c}{\mu s_c + \beta_c} \text{PDF}_c^{\text{bkg}}$$

• Test statistic: **profile likelihood ratio**

• Using **asymptotic approximation** [Eur.Phys.J.C71:1554,2011], null hypothesis excluded with **6.1 $\sigma$**  significance

• Best-fit signal strength:

$$\mu = 1.1^{+0.5}_{-0.4}$$

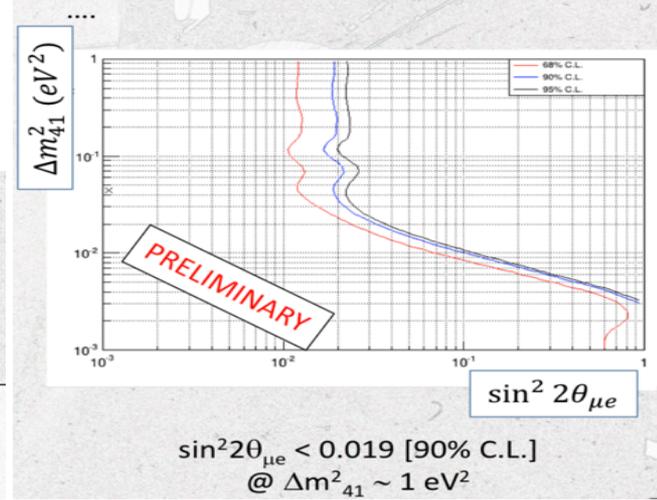
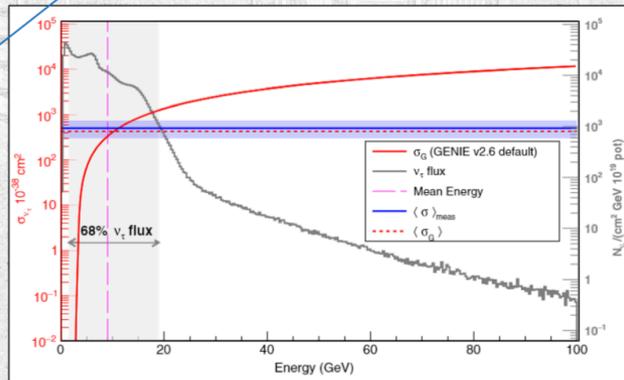
$$\mu \propto |\Delta m_{32}^2|^2 \cdot \langle \sigma \rangle$$

$$|\Delta m_{32}^2| = (2.7^{+0.7}_{-0.6}) \times 10^{-3} \text{ eV}^2$$

assuming maximal mixing  
first measure in appearance mode

$$\langle \sigma \rangle = (5.1^{+2.4}_{-2.0}) \times 10^{-36} \text{ cm}^2$$

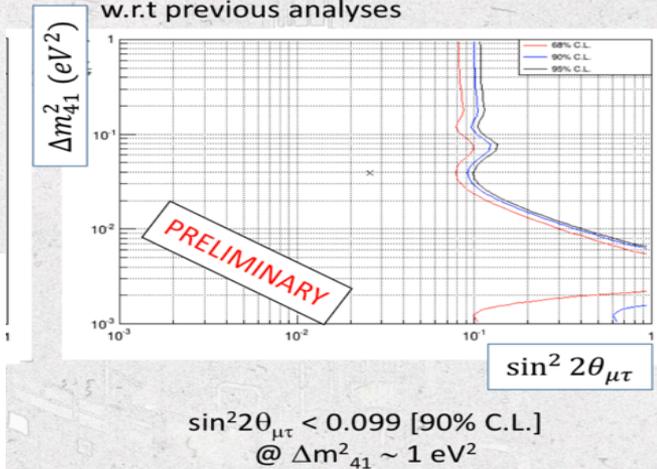
assuming maximal mixing and  $|\Delta m_{32}^2| = 2.5 \times 10^{-3} \text{ eV}^2$   
 $\langle \sigma_{Genie} \rangle = 4.29 \pm 0.04 \times 10^{-36} \text{ cm}^2$



... to extract limits on the parameters of the 3 + 1 neutrino model



(Small) **exclusion power enhancement** w.r.t previous analyses



## NOvA

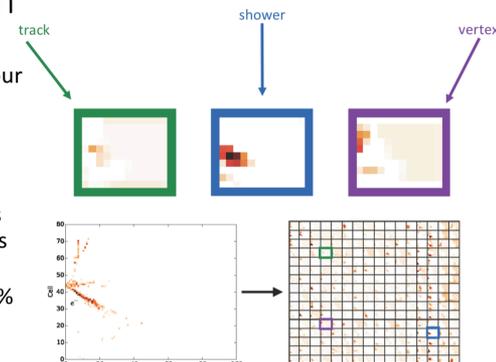
- Functionally identical near and far detectors
- 14mrad off-axis, resulting in narrow band beam peaked at 2 GeV
- Planes of cells are layered, alternating to provide 3D tracking

Neutrino mode:  $8.85 \times 10^{20}$  POT  
 Anti-neutrino mode:  $6.9 \times 10^{20}$  POT



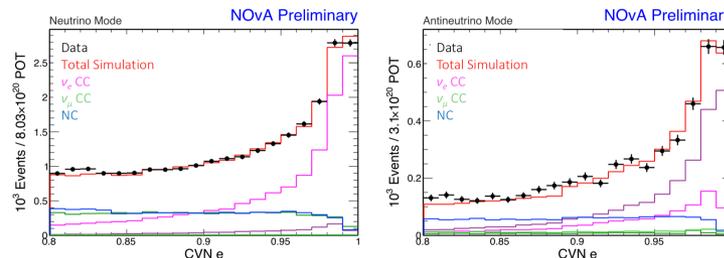
## Signal Identification

- Signal identification done by our CVN (convolutional visual network)
  - Trained on 2D views of the event's calibrated hits
  - Information of each view is combined in the final layers of the network
  - An effective increase of 30% exposure from previous traditional reconstruction methods



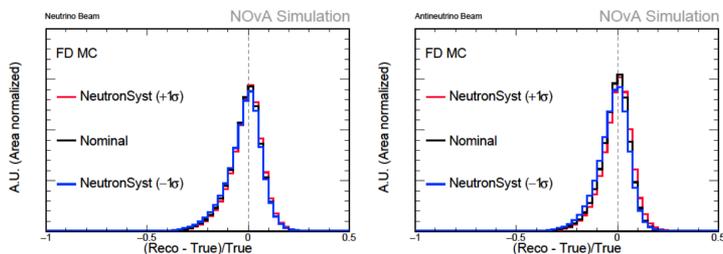
See also - Poster 206, Fernanda Psihas

## CVN Performance – Data/MC

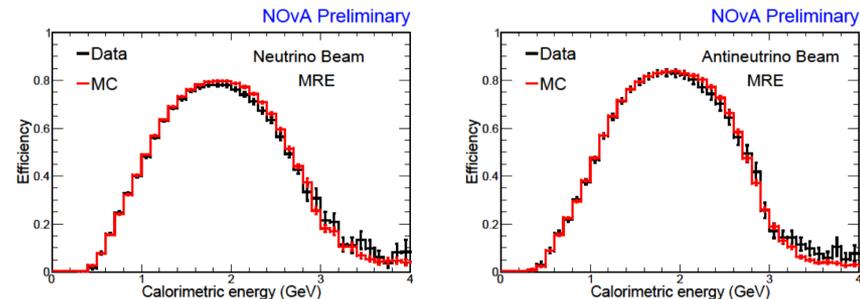


- Separate training for neutrino and anti-neutrino beams to capture differences in kinematics, topologies
  - Wrong sign treated as signal in the training
  - Improved efficiency with a dedicated anti-neutrino network

## Impact of Neutron Response

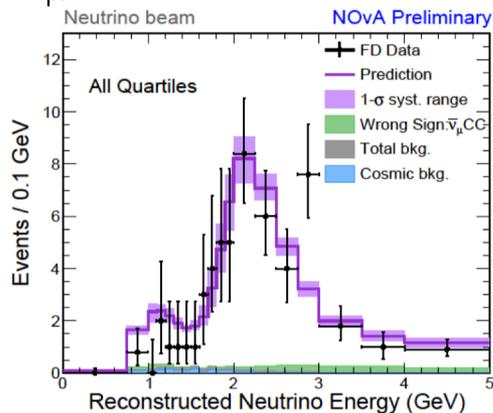


Shifts mean energy by 1% in anti-neutrino mode, 0.5% in neutrino mode  
 Negligible impact on selection efficiency

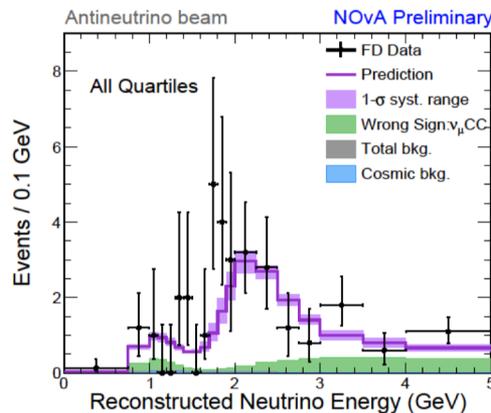


- Works at the Near Detector where there is a large statistics  $\nu_\mu$  sample
- Allows us to focus on the effect of the hadronic shower on efficiency
- Data/MC agreement is within 3% for neutrino mode, 2% for anti-neutrino mode – covered by systematics

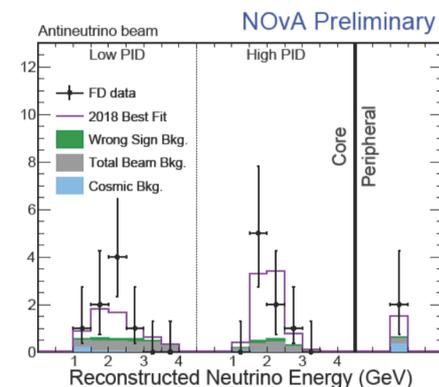
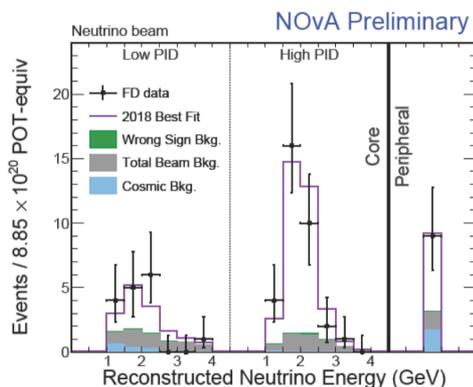
# $\nu_\mu$ at the Far Detector



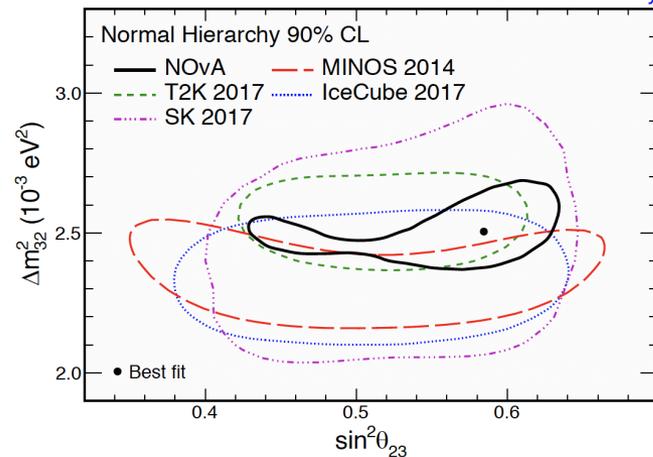
Total Observed	113
Best fit prediction	121
Cosmic Bkgd.	2.1
Beam Bkgd.	1.2
Unoscillated	730



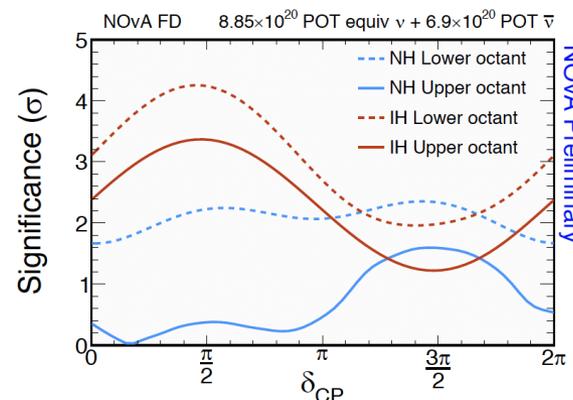
Total Observed	65
Best fit prediction	50
Cosmic Bkgd.	0.5
Beam Bkgd.	0.6
Unoscillated	266



- Neutrino beam:
  - Observe 58 events, expect 15 background events
- Anti-neutrino beam
  - Observe 18 events, expect 5.3 background events
- $> 4\sigma$   $\bar{\nu}_e$  appearance



- Normal Hierarchy
- $\sin^2\theta_{23} = 0.58 \pm 0.03$  (UO)
- $\Delta m^2_{32} = (2.51 + 0.12 - 0.08) * 10^{-3} \text{ eV}^2$
- Prefer non-maximal at  $1.8\sigma$ , favor upper octant at similar level



- Normal Hierarchy
- $\delta_{CP} = 0.17\pi$
- $\sin^2\theta_{23} = 0.58 \pm 0.03$  (UO)
- $\Delta m^2_{32} = (2.51 + 0.12 - 0.08) * 10^{-3} \text{ eV}^2$
- Consistent with all  $\delta_{CP}$  values in NH at  $< 1.6\sigma$
- Exclude  $\delta = \pi/2$  in IH at  $> 3\sigma$
- Prefer NH at  $1.8\sigma$

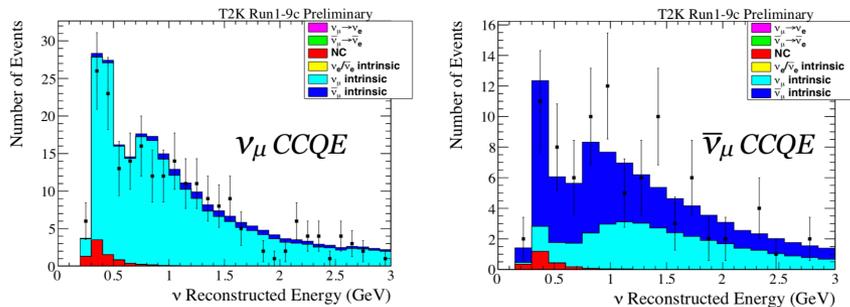
## The T2K experiment

- Intense muon (anti)neutrino beam from J-PARC to Super-Kamiokande (295 km from target production): measure oscillated neutrino flux
- Unoscillated neutrino event rate is measured at the near detector (~280m)
- Observation at far detector (295km) of
  - muon (anti)neutrino disappearance
  - electron (anti)neutrino appearance



doubled the data since 2017 last data analysis

## Far Detector $\nu_\mu / \bar{\nu}_\mu$ event samples



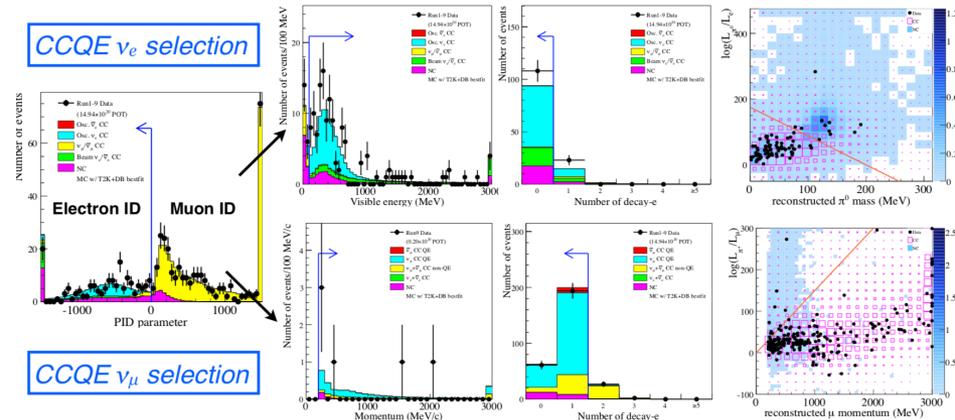
Event rate			
Beam mode	Not Oscillated	Oscillated (maximal mixing)	Observed
neutrino	1211.4	268.2	243
antineutrino	314.3	95.3	102

Systematic error		
Beam mode	w/o ND280	ND280 constrained
neutrino	14.5%	4.9%
antineutrino	12.2%	4.3%

- Event rate very close to prediction for maximal mixing hypothesis

## Event selection at Far Detector

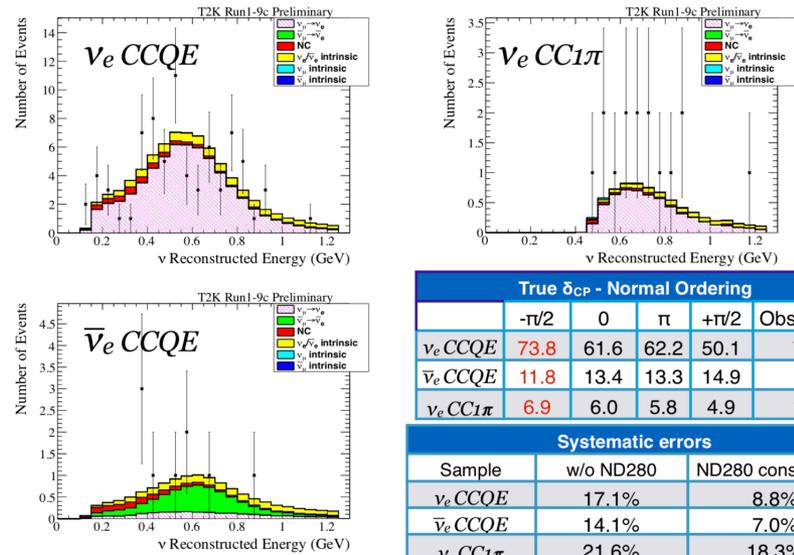
- Cuts common to all event sample selections:
  - fully contained in fiducial volume, single ring event, PID cut



- $\nu_\mu$  CCQE: 1 muon ring and  $\leq 1$  decay electron ( $\nu$  and  $\bar{\nu}$ )
- $\nu_e$  CCQE: 1 electron and 0 decay electrons ( $\nu$  and  $\bar{\nu}$ )
- $\nu_e$  CC1 $\pi$ : 1 electron and 1 decay electron (no  $\bar{\nu}$  due to  $\pi^-$  absorption)

New reconstruction algorithm for pion rejection

## Far Detector $\nu_e / \bar{\nu}_e$ event samples

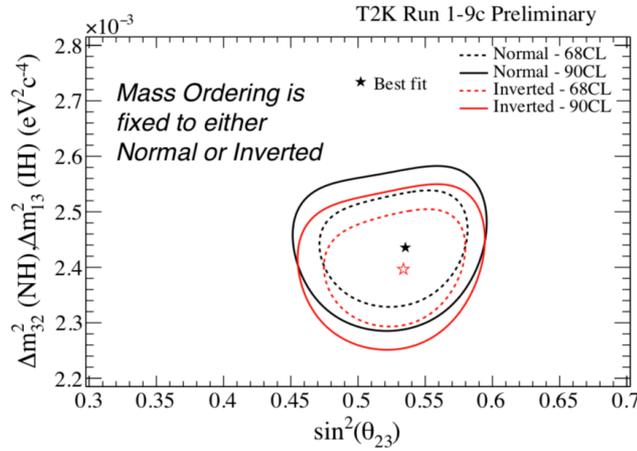


True $\delta_{CP}$ - Normal Ordering					
	$-\pi/2$	0	$\pi$	$+\pi/2$	Observed
$\nu_e$ CCQE	73.8	61.6	62.2	50.1	75
$\bar{\nu}_e$ CCQE	11.8	13.4	13.3	14.9	9
$\nu_e$ CC1 $\pi$	6.9	6.0	5.8	4.9	15

Systematic errors		
Sample	w/o ND280	ND280 constrained
$\nu_e$ CCQE	17.1%	8.8%
$\bar{\nu}_e$ CCQE	14.1%	7.0%
$\nu_e$ CC1 $\pi$	21.6%	18.3%

- Observed number of events shows a slightly larger asymmetry compared to the prediction for  $\delta_{CP} = -\pi/2$  and Normal Ordering

## Confidence intervals of $\delta_{CP}$

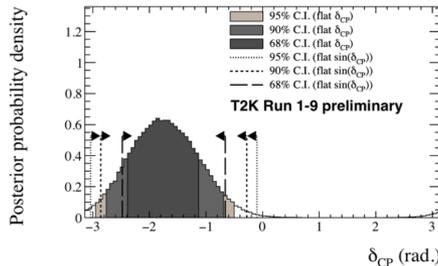


T2K data consistent with maximal disappearance

	Normal Ordering		Inverted Ordering	
	Best-fit	1 $\sigma$ Interval	Best-fit	1 $\sigma$ Interval
$\sin^2\theta_{23}$	0.536	0.490 - 0.567	0.536	0.495 - 0.567
$ \Delta m_{32}^2 $ ( $\times 10^{-3}$ eV <sup>2</sup> )	2.43	2.37 - 2.50	2.49	2.42 - 2.55

## The Bayesian analysis

- Estimate Credible Intervals (CI) with MCMC
- Joint analysis of Near and Far Detectors datasets



- Results with different priors:

- Flat prior on  $\delta_{CP}$
- Flat on  $\sin(\delta_{CP})$

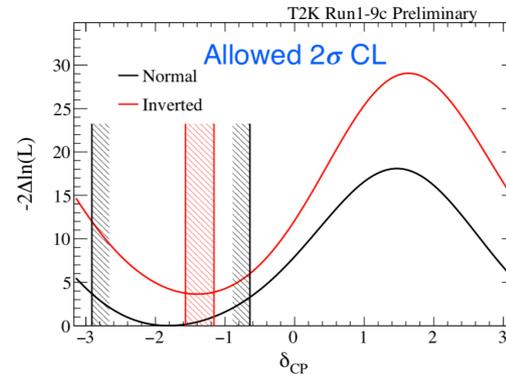
- Both  $\delta_{CP} = 0, \pi$  outside 95% CI

- Bayes factor shows the preferred octant and Mass Ordering hypothesis
- Same prior probability to each Octant / Mass Ordering option

	$\sin^2\theta_{23} < 0.5$	$\sin^2\theta_{23} > 0.5$	Sum
NH ( $\Delta m_{32}^2 > 0$ )	0.204	0.684	0.888
IH ( $\Delta m_{32}^2 < 0$ )	0.023	0.089	0.112
Sum	0.227	0.773	1

- Preference for upper Octant and Normal Ordering

- Confidence intervals were computed with Feldman-Cousins method
- Integrate over  $\theta_{13}$  using the PDF from reactors' measurement (PDG-2016)



- The best-fit is  $\delta_{CP} = -1.82$  radians and Normal Ordering
- Both  $\delta_{CP} = 0$  and  $\pi$  are excluded at  $2\sigma$  CL
- Allowed  $2\sigma$  CL region:
  - Normal Ordering:  $[-2.91, -0.64]$
  - Inverted Ordering:  $[-1.57, -1.16]$
- Preference for maximal CP violation and Normal Ordering

- Almost doubled the data since 2017 last data analysis

- No evidence for  $\bar{\nu}_e$  appearance yet

- T2K data prefer maximal  $\nu_\mu$  disappearance

- Exclude CP conservation hypothesis with significance of  $2\sigma$  CL

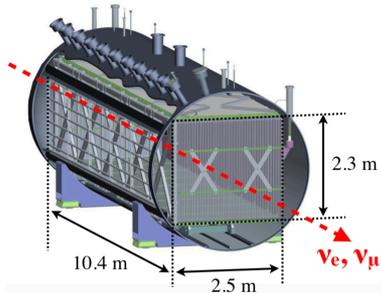
- T2K data favor  $\delta_{CP} \sim -\pi/2$  and NH

- Proposal for extending T2K to reach  $3\sigma$  sensitivity to CP violation

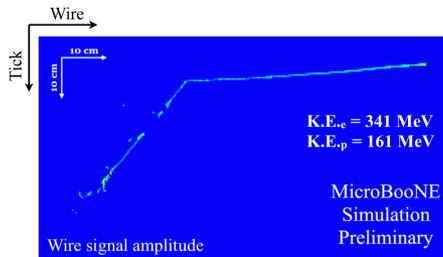
# MicroBOONE, Lauren Yates



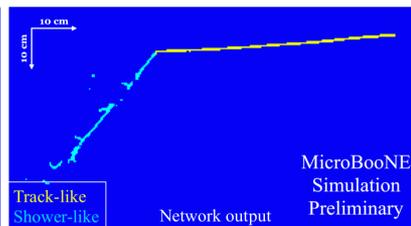
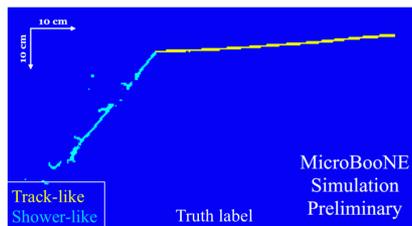
- **Micro Booster Neutrino Experiment**
- 85 tonne **Liquid Argon Time Projection Chamber** (active mass)
- Located in the Fermilab **Booster Neutrino Beam**
- $\nu_\mu \rightarrow \nu_e$  appearance experiment
- >95% detector uptime
- $9.6 \times 10^{20}$  POT on tape to date



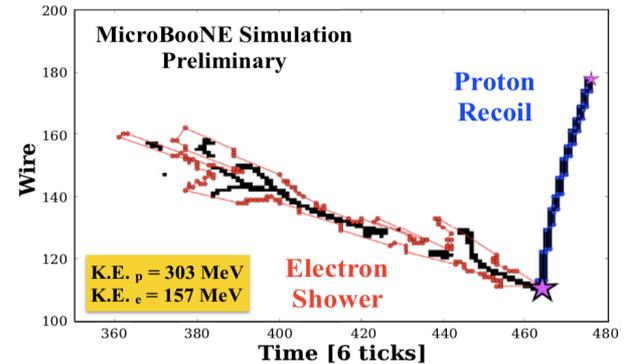
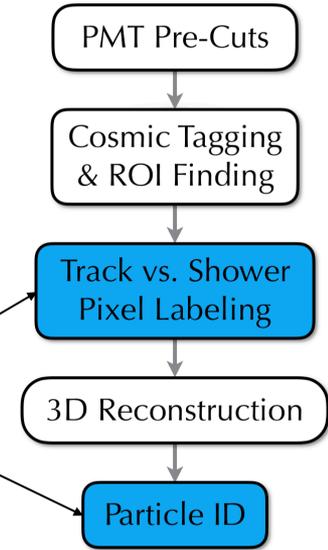
## Track vs. Shower Pixel Labeling



- Goal: separate tracks and showers to help provide vertex candidates
- Semantic segmentation network takes in the wire information and labels each pixel in the image as "track-like" (yellow) or "shower-like" (cyan)



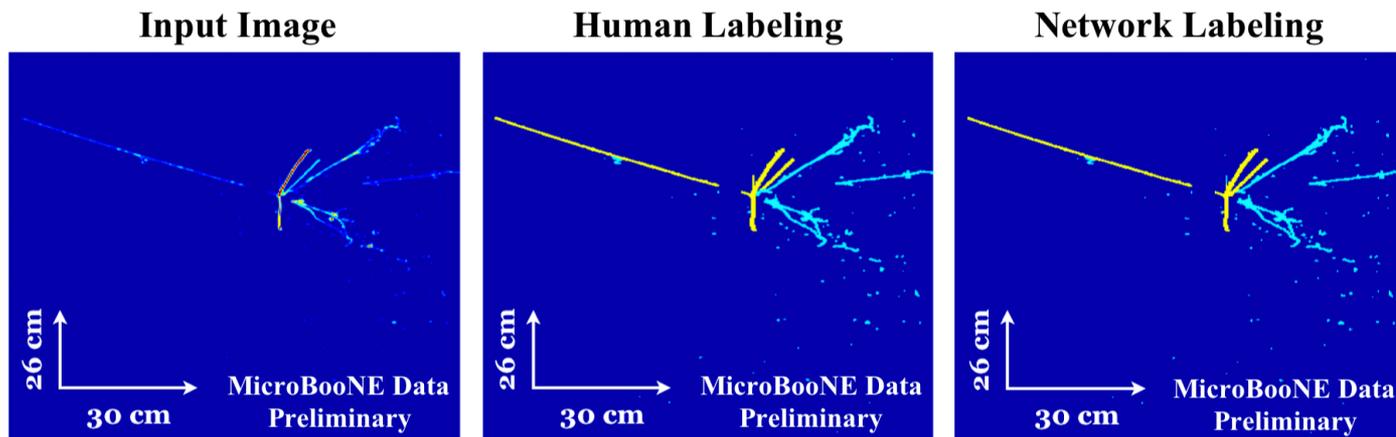
## Deep Learning



"Convolutional Neural Networks Applied to Neutrino Events in a LArTPC" JINST 12, P03011 (2017)

Particle	Correct ID
$e^-$	$77.8 \pm 0.7\%$
$\gamma$	$83.4 \pm 0.6\%$
$\mu^-$	$89.7 \pm 0.5\%$
$\pi^-$	$71.0 \pm 0.7\%$
p	$91.2 \pm 0.5\%$

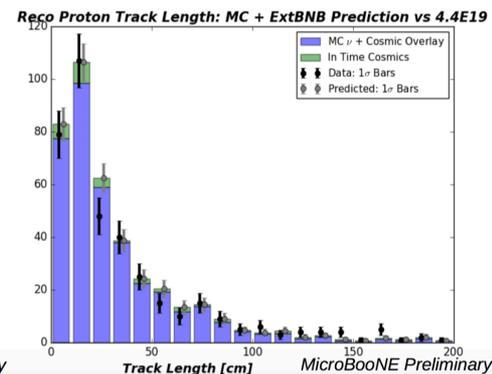
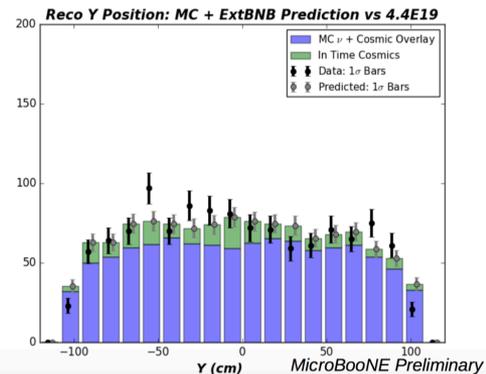
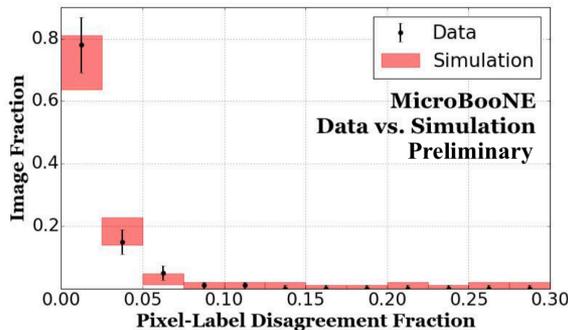
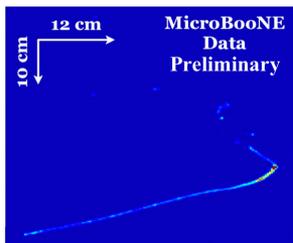




## $\nu_\mu$ Selection Performance

- To quantify network's performance, look at level of disagreement in pixel labels between human and network over many images
  - In this case, looking at Michel electron events
- Disagreement is generally below 2.5% of non-empty pixels
- Level of agreement is consistent between data and simulation

- Very successful at rejection cosmics, such that remaining backgrounds are dominated by neutrino events that do not meet signal definition
- Have achieved 18% efficiency, 47% purity for  $1\mu 1p$
- Optimized for low energy reconstruction relevant to MiniBooNE excess



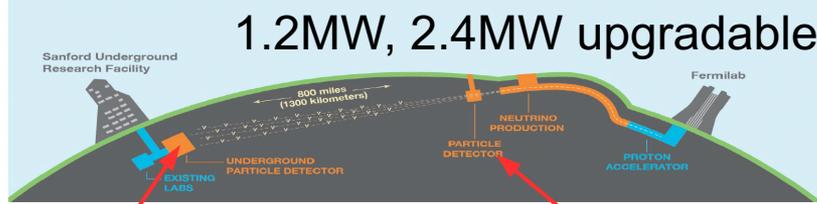
# Future Accelerator

- DUNE Oscillation Physics, Animesh Chatterjee, University of Texas at Arlington
- Physics potential of Hyper-Kamiokande for neutrino oscillation measurements, Tetsuro Sekiguchi, KEK
- Physics potential of the ESSvS, Salvador Rosauro-Alcaraz, UAM and IFT UAM-CSIC
- Status of ProtoDUNE, Jingbo Wang, University of California, Davis

## DUNE

### Deep Underground Neutrino Experiment

A next generation experiment for neutrino science, nucleon decay, and supernova physics



Far detector at Sanford Underground Research Facility (SURF)



Neutrino beam and near detector at Fermilab

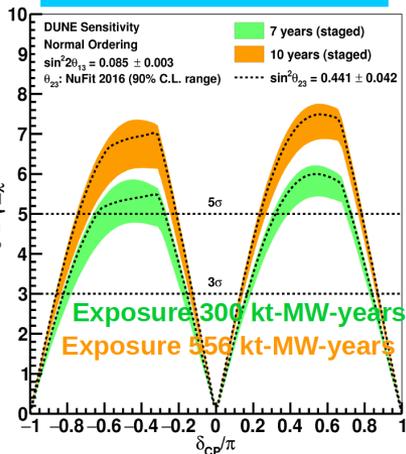


\* DUNE Far Detector Interim Design Reports are available (on arXiv:1807.10334, 1807.10327, 1807.10340)

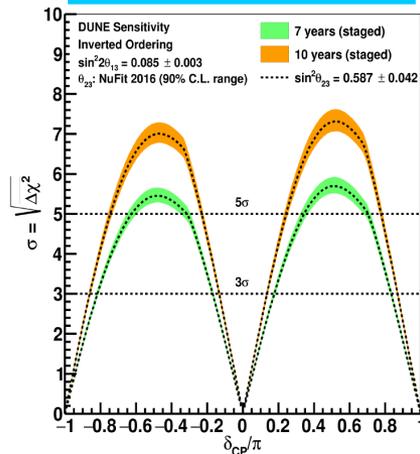


## CP sensitivity

### Normal hierarchy

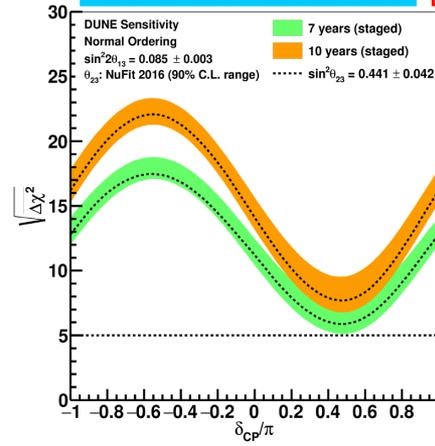


### DUNE CDR Inverted hierarchy

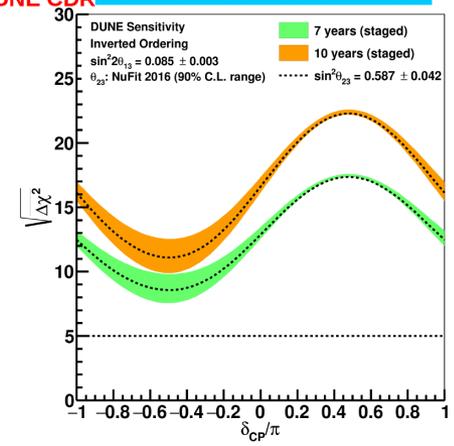


## MH sensitivity

### Normal hierarchy



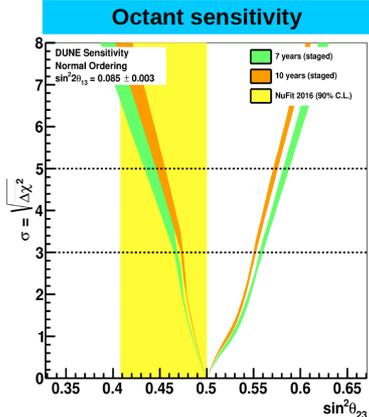
### DUNE CDR Inverted hierarchy



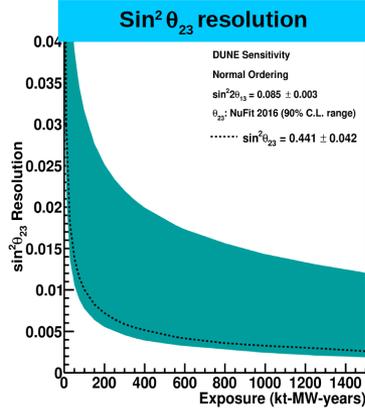
Width of band corresponds to 90% C.L. variations in value of  $\theta_{23}$  based on NuFit 2016 fit values

# Sensitivity to $\theta_{23}$ Octant and $\theta_{23}$ resolution

• DUNE CDR



Yellow shaded band represents 90% C.L. allowed region for value of  $\sin^2\theta_{23}$ , from NuFit 2016

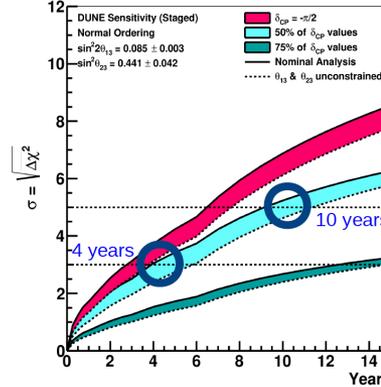


Resolution worsens with increasing  $\theta_{23}$

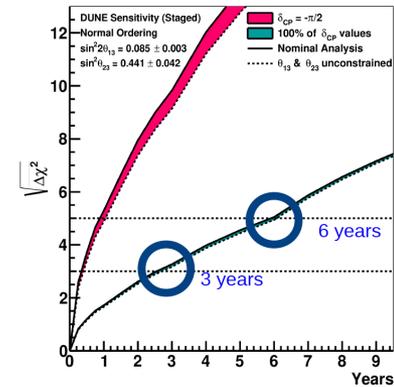
# Sensitivity vs. time

Significant milestones within few years of beam run  
UNE CDR

CP violation sensitivity



Mass hierarchy sensitivity



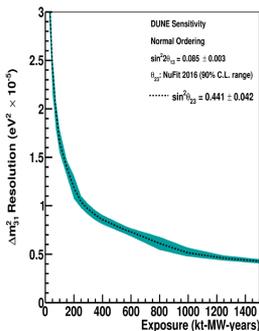
# Monte-Carlo Studies (New!)

- GEANT4 beam simulation of updated beam design
- Full LArSoft Monte Carlo simulation
- Automated energy reconstruction
- Event selection using convolutional visual network (CVN)
- CDR-style systematics analysis

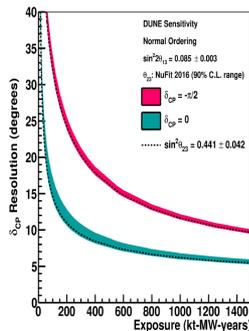
Normal hierarchy :

DUNE CDR

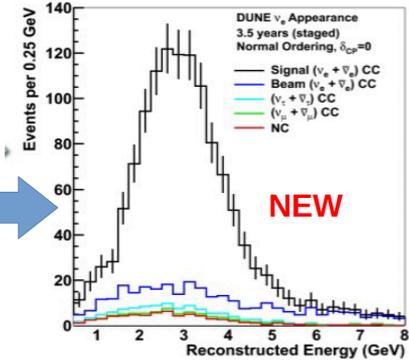
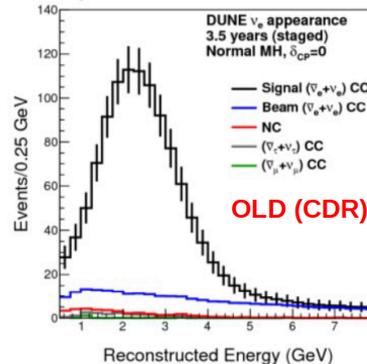
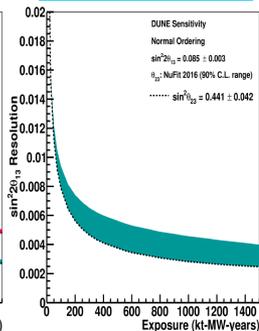
$\Delta m_{31}^2$  resolution



$\delta_{CP}$  resolution



$\sin^2 2\theta_{13}$  resolution



- Sensitivity from MC-based analysis with automated reconstruction and event selection exceeds CDR sensitivity!
- Full update planned for TDR 2019!



## Hyper-Kamiokande

### Next generation water Cherenkov detector

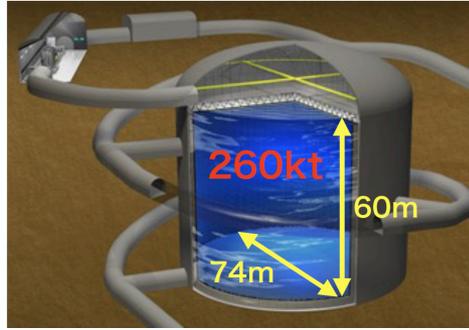
- Construct two detectors in stage
  - Realize the first detector as soon as possible
  - An option of second detector in Korea

### Rich physics

- Nucleon decay
- Neutrino oscillation (CPV, MH)
- Neutrino astronomy/Astrophysics

### Detector

- $\Phi 74\text{m} \times \text{H}60\text{m}$
- 260 kton total mass
- 190 kton fiducial volume
  - $\sim 10 \times$  Super-K
- 40% Photo coverage (ID)
  - 40,000 x new 20" PMTs
    - x2 higher photon detection efficiency



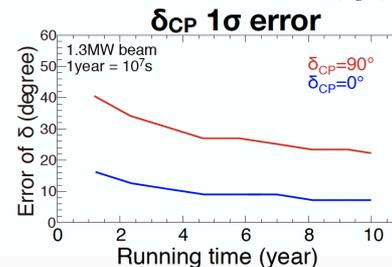
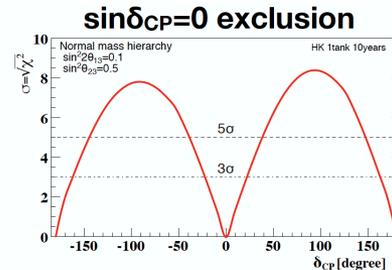
## CPV

### Exclusion of $\sin\delta_{\text{CP}}=0$

- $>8\sigma$  ( $6\sigma$ ) for  $\delta=-90^\circ$  ( $-45^\circ$ )
- $\sim 80\%$  coverage of  $\delta$  parameter space with  $>3\sigma$

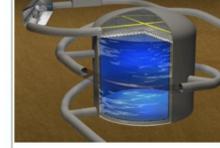
### $\delta_{\text{CP}}$ measurement precision

- $22^\circ$  at  $\delta=\pm 90^\circ$
- $7^\circ$  at  $\delta=0^\circ, 180^\circ$



Sensitivity study adopt analysis techniques and systematic uncertainties used in T2K

- Realistic systematic uncertainties plus expected reduction of errors
- 3-4% syst. Error (6-7% in T2K)



Hyper-K (Water Č)



J-PARC Accelerator Complex

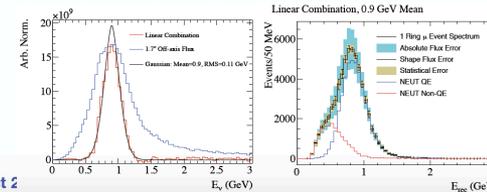
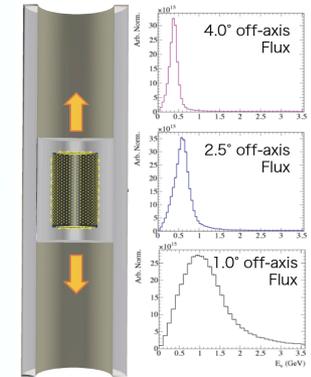


1.3MW



## Intermediate Water Cherenkov Detector

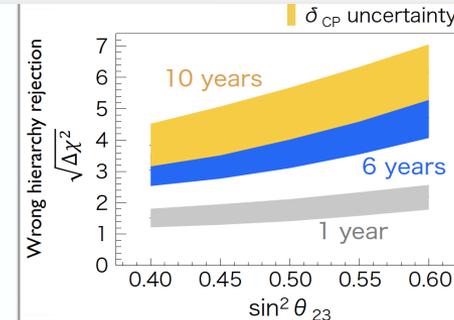
- $\sim 10\text{kton}$  water Cherenkov detector
  - Same technology as far detector (FD)
    - Water target
    - $4\pi$  coverage
  - Located 1~2km from target
    - Same neutrino energy spectrum as FD
  - Vertically spanning (50~100m deep)
    - Linear combination of fluxes at different off-axis ( $1\sim 4^\circ$ ) gives
      - Pseudo-monochromatic spectrum to estimate non-QE interaction



2018/8/16

NuFact 2

## MH



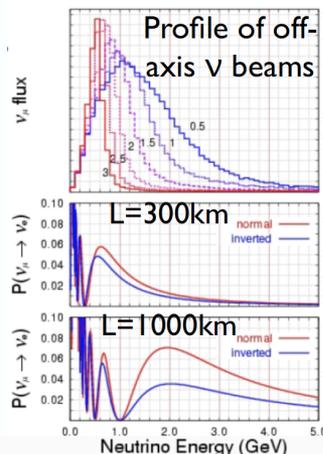
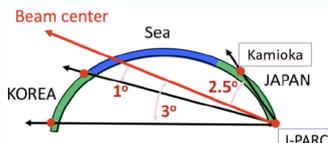
- Hyper-K can determine Mass hierarchy in  $\sim 5$  years ( $\sin^2\theta_{23}=0.5$ ) using atmospheric and beam neutrinos, even if MH not determined before Hyper-K era



# Korean Option for 2nd Tank

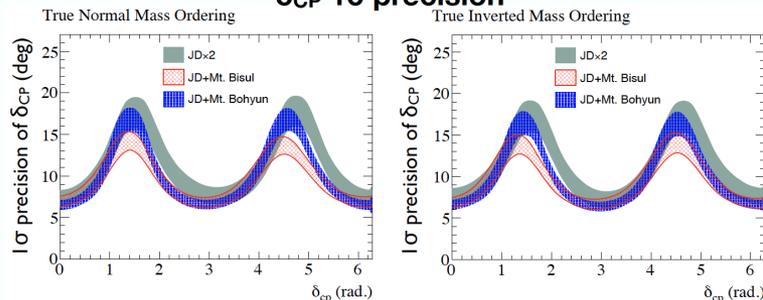


PTEP 2018, 063C01

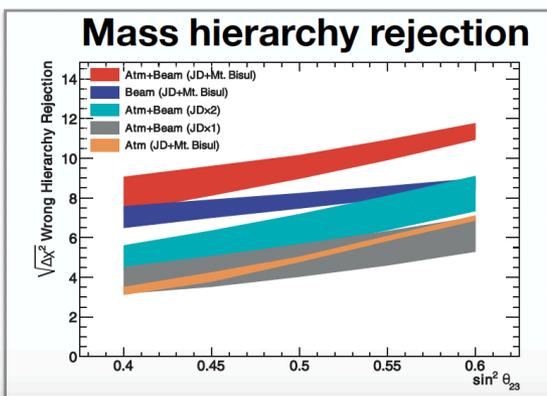


## CPV

$\delta_{CP}$  1 $\sigma$  precision



- Second tank option in Korea is being considered
- Advantage
  - Large CP effect at second oscillation maximum
  - Higher mass hierarchy sensitivity with longer baseline
- Possible site
  - Mt. Bisul at L=1,088km, OA=1.3°
  - Mt. Bohyun at L=1,043km, OA=2.3°

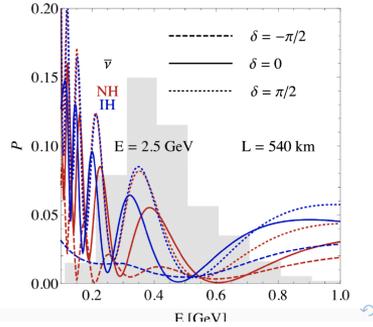


- Korean detector gives us
  - better  $\delta_{CP}$  measurement precision  
22° (1tank)  $\rightarrow$  <15° at  $\delta_{CP}=-90^\circ$
  - higher mass hierarchy sensitivity  
4.5 $\sigma$  (1tank)  $\rightarrow$  9 $\sigma$  at  $\sin^2\theta_{23}=0.6$

## The ESSνSB

E. Baussan *et al.* hep-ex/1309.7022

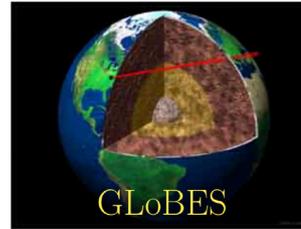
- Modification of the ESS linac to produce neutrinos 14Hz → 28Hz
- 5 MW at 2.5GeV proton beam
- MEMPHYS-like WC detector
  - 500 kt fiducial volume
  - Best locations at **540** and 360 km



## Simulation framework

P. Huber *et al.* hep-ph/0701187

- Implemented in GLoBES
- Simulation with a ND
- 2.5 GeV proton beam



P. Coloma *et al.* hep-ph/1209.5973

### Systematic errors

Systematics	SB		
	Opt.	Def.	Cons.
Fiducial volume ND	0.2%	0.5%	1%
Fiducial volume FD (incl. near-far extrapol.)	1%	2.5%	5%
Flux error signal $\nu$	5%	7.5%	10%
Flux error background $\nu$	10%	15%	20%
Flux error signal $\bar{\nu}$	10%	15%	20%
Flux error background $\bar{\nu}$	20%	30%	40%
Background uncertainty	5%	7.5%	10%
Cross secs × eff. QE <sup>†</sup>	10%	15%	20%
Cross secs × eff. RES <sup>†</sup>	10%	15%	20%
Cross secs × eff. DIS <sup>†</sup>	5%	7.5%	10%
Effec. ratio $\nu_e/\nu_\mu$ QE <sup>*</sup>	3.5%	11%	—
Effec. ratio $\nu_e/\nu_\mu$ RES <sup>*</sup>	2.7%	5.4%	—
Effec. ratio $\nu_e/\nu_\mu$ DIS <sup>*</sup>	2.5%	5.1%	—
Matter density	1%	2%	5%

## MH

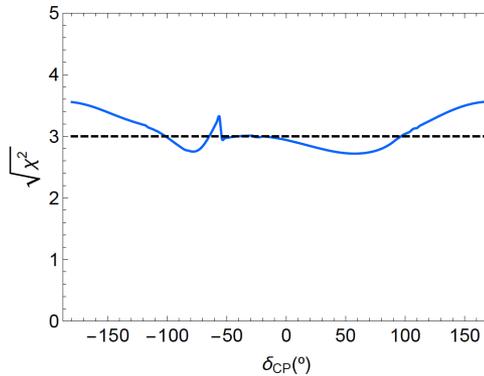
Matter effects relevant when

- High energy  $\nu$   
 $E_\nu \sim 6$  GeV

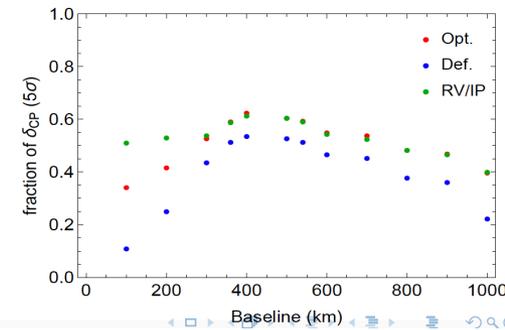
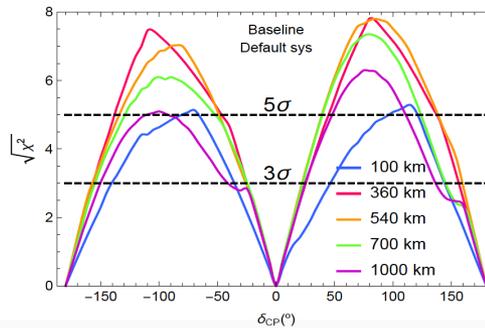
## ESSνSB

- $L \sim 500$  km
- $E_\nu \sim \mathcal{O}(300\text{MeV})$

Sensitivity around  $3\sigma$

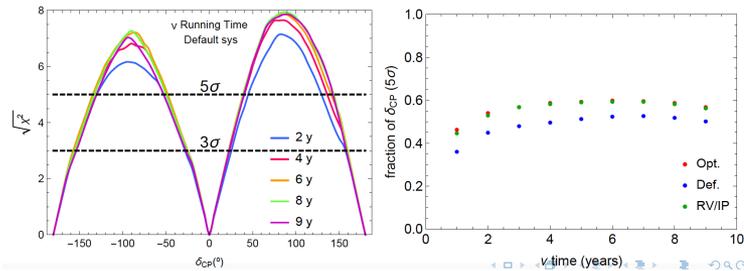


## CPV



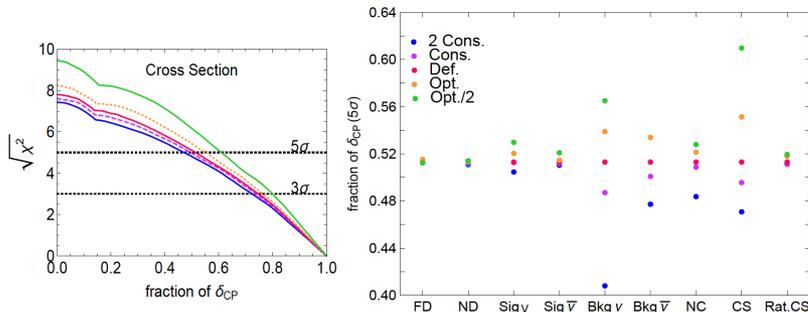
## Impact of $\nu$ mode running time

- Up to now studies with 2+8 years
- Preference for 5+5 years



## Most important systematics

- $\nu$  background
- Cross section uncertainties



## Conclusions

- **ESS $\nu$ SB**  
Complementarity with *DUNE*. **Lower energy  $\nu$** .
- Mass hierarchy  
It could exclude the wrong hierarchy at  $\sim 3\sigma$
- $\nu$  mode running time  
**Better a symmetric 5+5 setup**
- Baseline
  - $L < 300\text{km}$  systematics relevant  $\rightarrow$  RV/IP better as  $\mathcal{O}(3\%)$  sys.
  - $L_{\text{optimal}} \sim 400\text{km}$  for 5 + 5 yrs running
- Impact of systematic errors  
 **$\nu$  background and cross section**

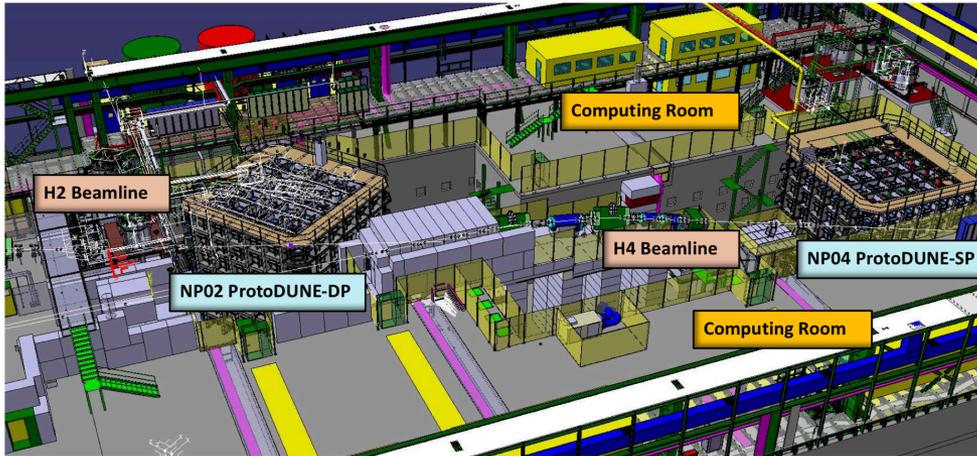
**$L = 540 \text{ km}$  and 5 + 5 yrs**

**CP violation** could be discovered above  $5\sigma$  for a **51.2 – 59.2%** of values of  $\delta$  depending on the systematics

CERN beam particles:  $\nu_e, \mu, \rho, K^\pm, \pi^\pm$  from (500 MeV – few GeV)

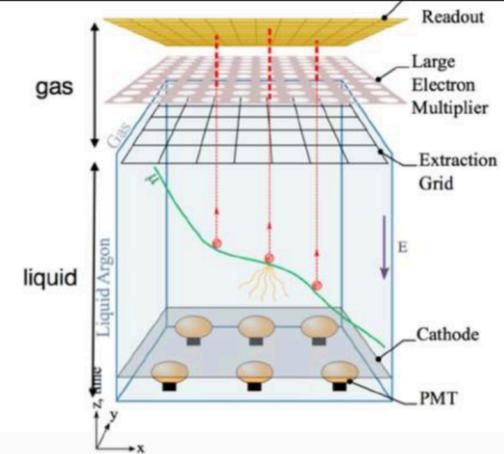
## ProtoDUNE Layout @EHN1

- Both single phase and dual phase detectors are located in the north area extension at CERN EHN1
- The CERN neutrino platform is responsible for the cryostat, the cryogenic system, and the beam facilities.



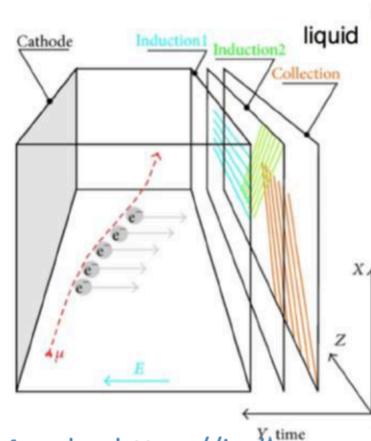
## Dual Phase Detector:

- Liquid argon and gaseous argon
- Ionization in liquid argon
- Ionization charges drift in liquid phase, are amplified in LEM and are collected on anode readout board in gaseous phase
- Expect higher signal-to-noise ratio: high field requires careful design

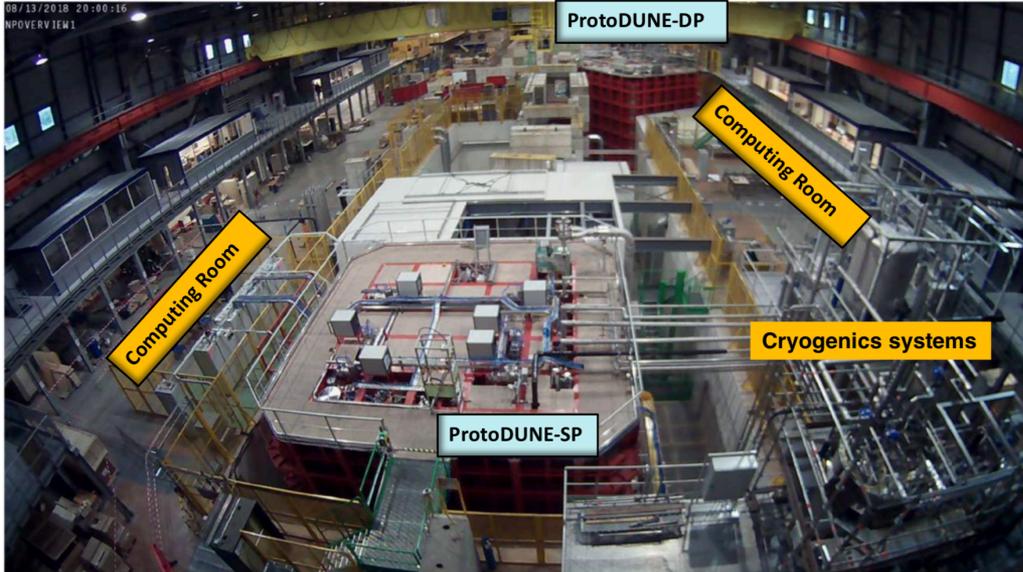


## Single Phase Detector:

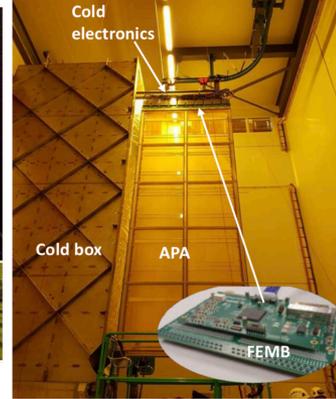
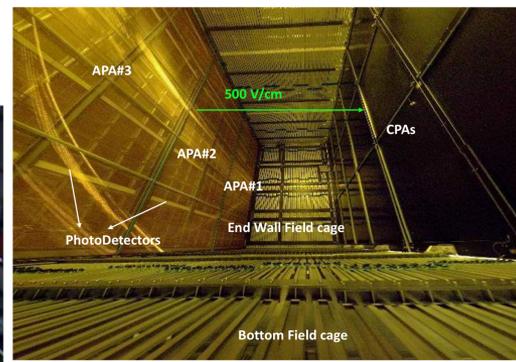
- Only liquid argon
- Ionization and collection in liquid phase
- Ionization charges drift in liquid, pass by induction plane(s) and are collected by anode collection plane
- No amplification of the initial ionization charge: grounding is important



VS



Latest webcam\_1 image from the inside-work of the Neutrino Platform Hall (EHN1). (Images updated every 30')



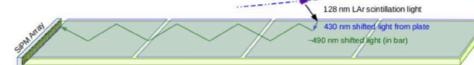
### Design#1: Dip-coated light guide (MIT and Fermilab)

- ✓ Acrylic light guide bar dip-coated with wavelength shifting material.
- ✓ Transport shifted light via total internal reflection to SiPM readout



### Design#2: Double-shift light guide (Indiana University)

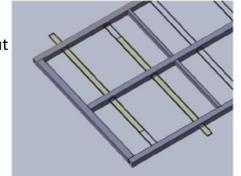
- ✓ Wavelength shifting plates + wavelength shifting light guide
- ✓ Same readout as dip-coated design



- Design#3: ARAPUCA (Campinas University and Fermilab)

### Photo Detection

PDS module inserted into an APA frame



An array of SiPMs



## ProtoDUNE-SP: Current Status

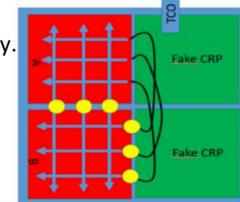
- April 27<sup>th</sup> 2018, all detector elements were completed, tested and inserted.
- August 8<sup>th</sup> 2018, cryostat filling has started.
- Purity monitor, temperature sensor and camera operational during the cryostat filling
- Cosmic Ray Tagger (CRT) is being installed
- **August 29<sup>th</sup> 2018, ProtoDUNE-SP will be ON for beam data!**



## ProtoDUNE-DP: Current Status

- Apr 2018, completed field cage installation and demonstrated stable operation at 150 kV
- Aug 2018, CRP construction and cold box test underway.
- Sep 2018, Photon detection system installation
- Oct 2018, plan to close cryostat with 2 active CRPs and 2 non-instrumented CRP frames
- Dec 2018, start taking cosmic data

Top view of the CRP positions in the cryostat



Beam

PMT test under way

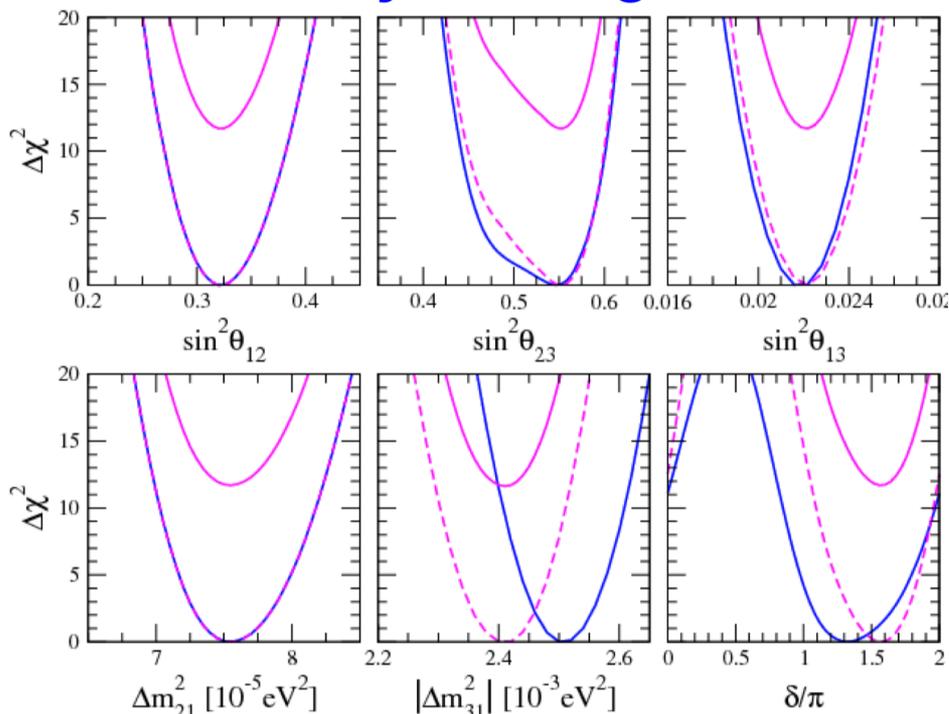
Field Cage assembled on April 4<sup>th</sup>

CRP being assembled



Stable operation at 150 kV

## Summary of the global fit



- Inverted mass ordering is now disfavored at more than  $3\sigma$ , with  $\Delta\chi^2 = 11.7$
- If we exclude SK from the fit we obtain  $\Delta\chi^2 = 7.7$
- This is due to the combination of LBL+Reactors, since LBL alone gives  $\Delta\chi^2 = 2.0$
- By combining several datasets, including cosmological observations and  $0\nu\beta\beta$ -data we disfavor inverted mass ordering with  $3.5\sigma$

## Summary of the global fit

parameter	best fit $\pm 1\sigma$	$3\sigma$ range
$\Delta m_{21}^2$ [ $10^{-5}\text{eV}^2$ ]	$7.55^{+0.20}_{-0.16}$	7.05–8.14
$ \Delta m_{31}^2 $ [ $10^{-3}\text{eV}^2$ ] (NO)	$2.50 \pm 0.03$	2.41–2.60
$ \Delta m_{31}^2 $ [ $10^{-3}\text{eV}^2$ ] (IO)	$2.42^{+0.03}_{-0.04}$	2.31–2.51
$\sin^2\theta_{12}/10^{-1}$	$3.20^{+0.20}_{-0.16}$	2.73–3.79
$\sin^2\theta_{23}/10^{-1}$ (NO)	$5.47^{+0.20}_{-0.30}$	4.45–5.99
$\sin^2\theta_{23}/10^{-1}$ (IO)	$5.51^{+0.18}_{-0.30}$	4.53–5.98
$\sin^2\theta_{13}/10^{-2}$ (NO)	$2.160^{+0.083}_{-0.069}$	1.96–2.41
$\sin^2\theta_{13}/10^{-2}$ (IO)	$2.220^{+0.074}_{-0.076}$	1.99–2.44
$\delta/\pi$ (NO)	$1.32^{+0.21}_{-0.15}$	0.87–1.94
$\delta/\pi$ (IO)	$1.56^{+0.13}_{-0.15}$	1.12–1.94

- The solar parameters, the reactor angle and absolute value of the atmospheric mass splitting are very well measured (errors 5% and below)
- We exclude a large part for of the parameter space for the CP phase
- The octant problem remains unsolved, although the value now tends towards the second octant