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EXCELENCIA MARÍA DE MAEZTU

DUNE detector design and lowenergy reconstruction capabilities

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Outline

- The DUNE detector design
 - Single-phase option
 - Dual-phase option
- Current DUNE prototypes
 - 35-ton detector
 - protoDUNE SP
 - protoDUNE DP
- Other LAr TPC detectors
- Low-energy reconstruction capabilities
 - Scientific motivation: SN neutrino burst events, solar neutrino events, low-energy backgrounds
 - Low-energy neutrino interactions
 - Experimental challenges and detector requirements



DUNE Design

The DUNE Project



- Deep Underground Neutrino Experiment: **40 kton LAr TPC** far detector **at 1480 m depth** (4300 mwe) at SURF measuring neutrino spectra at 1300 km in a wide-band high purity v_{μ} beam with peak flux at 2.5 GeV operating at ~1.2 MW and upgradeable to 2.4 MW
- 4 x 10 kton (fiducial) modules (**single and/or dual-phase**) with ability to detect SN burst neutrinos (+ nucleon decay, LBL oscillations, atmospheric vs)



Staged approach to 40 kton

- Four caverns hosting four independent 10 kton (fiducial mass) FD modules
 - Assumed four identical cryostats 15.1 (W) x 14.0 (H) x 62 (L) m
 - Phase-in approach
 - Allows alternate designs (single vs dual-phase LAr TPCs)
- Installation of #1 module starts in 2022
- Complete TDR should be ready for 2019



DUNE Far Detector at SURF

LBNF and DUNE CDR Volume 4: The DUNE Detectors at LBNF (arXiv:1601.02984)



LBNF-DUNE Construction Schedule

LBNF-DUNE Summary Construction Schedule as of December 2015



- First data in 2024!
- Beam ready in 2026
- DUNE construction finished in 2028



Single-phase LAr TPC detection principle

- Neutrino interactions in Ar produce charged particles that cause ionization and excitation of Argon
 - High electric field drifts electrons towards finely segmented anode wire planes
 - Excitation of Ar produces prompt scintillation light giving ${\rm t}_{\rm 0}$ of the interaction
- Technology pioneered and **demonstrated by the ICARUS experiment** (the largest LAr TPC ever operated - 600 ton)



• Independent views provided by multiple wire orientations (2D position information)

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- PMTs detect the light produced providing timing information
- 3D reconstruction of tracks and showers
- Time Projection Chamber



Dual-phase LAr TPC principle



Ionization signals amplified and detected in gaseous argon above the liquid surface



- Ionizing particle in LAr (2.12 MeV/cm for mip)
- Two measurements:
 - Charge from ionization: tracking and calorimetry

Double-phase: multiplication in gas to increase gain and allow for long drift distances (> 5m) and low energy thresholds

- Scintillation light: primary scintillation (trigger and t0) & secondary scintillation in gas
- Large surface instrumented with PMTs in LAr
- WArP, ArDM, DarkSide, ...



DUNE Far Detector

- The **FD detector design is optimized** (in the energy range of few MeV to few GeV) **for**:
 - pattern recognition
 - energy measurement
 - particle ID
- The LAr TPC technology provides:
 - excellent 3D imaging capabilities
 - few mm scale over large volume detector
 - excellent energy measurement capability
 - totally active calorimeter
 - particle ID by dE/dx, range, event topology, ...





Two proposed technologies

Single-phase *reference design for the CDR*

Dual-phase

alternative design for the CDR

| able 1: Parameters of the DUNE Far | Detector LArTPC |
|------------------------------------|---------------------------------|
| Parameter | Value |
| Module height | 12.0 m |
| Module width | 14.5 m |
| Module length | 58.0 m |
| channels per APA | 2,560 |
| APAs per module | 150 |
| Active height (APA) | 6.0 m |
| Active width (APA) | 2.3 m |
| Drift distance in Liquid Argon | 3.6m |
| Drift velocity | $1.6\mathrm{mm}/\mathrm{\mu s}$ |
| Drift time | $2.25\mathrm{ms}$ |
| # drifts/readout factor | 2.4 |
| readout time | $5.4\mathrm{ms}$ |
| bytes/sample | 1.5 |
| sample rate | 2.0 MHz |
| samples/readout | 10,800 |
| # of detector modules | 4 |
| Total $\#$ of channels | 1,536,000 |

| Parameter | Value | |
|------------------------|--------------------------------|--|
| Full length | 60.0 m | |
| Detectors | 4.0 | |
| channel/CRP | 1,920 | |
| CRP/detector | 80 | |
| Active height | 12.0 m | |
| Active width | 12.0 m | |
| Drift distance | $12.0\mathrm{m}$ | |
| Drift velocity | $1.6\mathrm{mm}/\mu\mathrm{s}$ | |
| Drift time | $7.5\mathrm{ms}$ | |
| bytes/sample | 1.5 | |
| sample rate | $2.5\mathrm{MHz}$ | |
| # drifts/readout | 1.0 | |
| Readout time | $7.5\mathrm{ms}$ | |
| Samples/readout | 18,750 | |
| Total $\#$ of channels | 614,400 | |

2: Basic parameters of the alternative Far Detector design.



Two detector designs

Single-phase



- 150 Anode Plane Assemblies (APAs)
 - 6 m high x 2.3 m wide
 - embedded photon detection system
 - wrapped wires read out both sides
 - 1 collection & 2 induction wire planes (wire pitch 5 mm)
- 200 Cathode Plane Assemblies (CPAs)
 - 3 m high x 2.3 m wide
- Cathode at -180 kV for 3.6 m drift
- Cold electronics (384,000 channels)
 - 80 3 x 3 m² CRP modules at the gas-liquid interface (2D charge collection)
 - Hanging field cage and cathode at 600 kV (12 m drift)
 - Decoupled PD system (PMTs)
 - Finer readout pitch (3 mm), high S/N ratio, lower energy threshold, better pattern recognition, fewer readout channels (153,600), absence of dead material



Expected detector capabilities

| Parameter | Requirement | Achieved Elsewhere | Expected Performance | |
|--|-----------------------------|----------------------------|---------------------------|----------------------------|
| Signal/Noise Ratio ¹ | 9:1 | 10:1 [11, 12] ² | 9:1 | |
| Electron Lifetime | 3 ms | $> 15 \mathrm{ms}$ [12] | $> 3 \mathrm{ms}$ | |
| Uncertainty on Charge | | | | |
| Loss due to Lifetime | < 5% | < 1% [12] | < 1% | |
| Dynamic Range of Hit | | | | |
| Charge Measurement | 15MIP | | 15 MIP | |
| Vertex Position Resolution ³ | (2.5,2.5,2.5) cm | | (1.1,1.4,1.7) cm [13, 14] | |
| $e-\gamma$ separation ϵ_e | > 0.9 | | 0.9 | |
| $e-\gamma$ separation γ rejection | > 0.9 | | 0.99 | |
| Multiple Scattering Resolution | | | | |
| on muon momentum ⁴ | $\sim 18\%$ | $\sim 18\%$ [15, 16] | $\sim 18\%$ | |
| Electron Energy Scale | | | From LArIAT | |
| Uncertainty | $\sim 5\%$ | $\sim 2.2\%$ [17] | and CERN Prototype | For $E_{\rm e} < 50$ MeV |
| Electron Energy Resolution | $0.15/\sqrt{E(\text{MeV})}$ | $0.33/\sqrt{E(MeV)}$ [17] | From LArIAT | $11\%/\sqrt{F(MeV)} + 2\%$ |
| | $\oplus 1\%$ | +1% | and CERN Prototype | |
| Energy Resolution for | | | From LArIAT | ICARUS |
| Stopping Hadrons | < 10% | | and CERN Prototype | |
| Stub-Finding Efficiency ⁵ | > 90% | | > 90% | |

| Table 5.1: Performance parameters specific to the dual-phase far detector design | | | | 1 |
|--|-------------|-----------------------|-----------------|--------------------------------------|
| Parameter | Requirement | Achieved Elsewhere | Expected Perfor | rmance |
| Gas phase gain | 20 | 200 | 20-100 | |
| Electron Lifetime | 3 ms | > 3 ms 35-t prototype | > 5 ms | |
| Minimal S/N after 12 m drift | 9:1 | > 100:1 | 12:1-60:1 | Advantage for low energy measurement |



DUNE Photon Detection Systems

• FD single-phase optical detectors: WLS bars + SiPM



- FD dual-phase optical detectors: PMTs with TPB
 - System well understood









DUNE Prototypes

The DUNE strategy

Single-phase



DUNE 35-t @Fermilab (2015)



protoDUNE SP @CERN: 300 ton (2016-2019)



DUNE SP @SURF: 10 kton



35-ton prototype @FNAL

- First complete system test of DUNE singlephase TPC
- Characteristics
 - 2.5 m x 1.5 m x 2 m active volume
 - 2 drift volumes (long/short)
 - 8 sets of wire planes



- Will test
 - FR4 printed circuit board field cage
 - Wrapped wire planes
 - Cold electronics
 - Light-guide + SiPM photon detectors
 - Triggerless DAQ (continuous readout)
 - Reconstruction code
- Status
 - Filled with LAr (Feb 2nd, 2016)
 - Commissioning





ProtoDUNEs @CERN



- Early detection of potential issues with construction methods and detector performance according to current designs
- Calibration of detector response to particle interactions in test beam

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ProtoDUNE Single-Phase

- Engineering prototype of DUNE SP TPC using fullscale detector components
- Active volume: 6 m x 7 m x 7 m
- 6 Anode Plane Assemblies (6 m high x 2.3 m wide)
 - Photon detectors integrated into the APAs
 - 10 PD paddles per APA
- 6 Cathode Plane Assemblies (3 m high x 2.3 m wide)
- Cathode at -180 kV for 3.6 m drift (same drift length as in FD)
- Drift field: 500 V/cm
- 15360 total readout wires in TPC
- Wire spacing: 4.79 mm X plane, 4.67 mm U plane, 4.67 mm V plane, 4.5 mm
- Test-beam with charged particles at CERN





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ProtoDUNE Dual-Phase

- Engineering prototype of DUNE DP TPC
 - 1/20 number of channels of 10 kton DUNE (1/40 volume & data size)
- Active volume: 6 x 6 x 6 m
- 6 m x 6 m anode plane made of four 3m x 3m independent readout units
- 6 m vertical drift -> -300 kV cathode voltage
- Drift field: 500 V/cm (extraction field: 2 kV/cm)
- 7680 readout channels
- Validation of construction techniques and operational performance of fullscale DP TPC prototype modules
- Exposure to charged hadrons, muons and electrons beams at CERN (0.5-20 GeV)





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Other LAr TPC detectors

ArgoNEUT @NuMI (→ LArIAT)

- 90 cm long x 40 cm tall x 47 cm drift
 - Active volume: 175 litres
 - 3 wire planes: induction, collection and shield (4 mm wires spacing)
 - No light detection system
- Took data from 09/2009 to 02/2010 at the NuMI beam
 - 2 weeks in neutrino mode & 4 months in antineutrino mode
 - 0.1 20 GeV energy of neutrino beam
- Goals:
 - Measure v-Ar cross-sections
 - Calibration of LAr detectors
 - Study nuclear effects
 - Reconstruction techniques
- Main results:
 - Muon neutrino and antineutrino cross sections
 - Crossing muon analysis
 - Charge recombination
 - Back to back protons
 - Coherent pion production

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ArgoNeuT TPC



MicroBooNE @BNB

- 170 ton (80 ton active) LAr TPC neutrino experiment in the Fermilab Booster Neutrino Beam line (at 470 m from start of the BNB)
 - 10.3 m long x 2.3 m tall x 2.5 m drift, 3 mm wire pitch, -128 kV cathode voltage
 - 32 8" cryogenic PMTs
- Physics goals:
 - Address the low-energy electron-like excess observed by MiniBooNE
 - Make high statistics measurements of ~1 GeV neutrino interactions in Ar and study nuclear effects



MicroBooNE status

- Assembly and installation complete
- Detector filled with ultra pure LAr
- First neutrino beam from the Fermilab Booster accelerator on October 15, 2015





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SBND & ICARUS at SBN program

- Another 2 LAr detectors being constructed and operated soon
- **SBND**: under design phase
 - 112 ton active volume $(4 \times 4 \times 5 \text{ m}^3)$
 - To be located 110 m from the BNB neutrino source
 - To be operational in 2018
 - Large data sample for neutrino-argon interaction studies in the GeV energy range
- **ICARUS**: under refurbishment at CERN
 - Was the first large scale LAr TPC to run in a neutrino beam line (CNGS from 2010 to 2013)
 - Will be shipped to Fermilab in 2017



First T300 in Cleanroom at CERN



Low-energy reconstruction capabilities

Low-energy neutrino spectrum



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Low-energy neutrino physics @DUNE

- SN neutrino burst detection (primary DUNE goal)
 - Burst of events with known background

| Channel | Events | Events | |
|--|-------------------|--------------|--|
| | "Livermore" model | "GKVM" model | |
| $\nu_e + {}^{40} \operatorname{Ar} \to e^- + {}^{40} \operatorname{K}^*$ | 2720 | 3350 | |
| $\overline{\nu}_e + {}^{40}\operatorname{Ar} \to e^+ + {}^{40}\operatorname{Cl}^*$ | 230 | 160 | |
| $\nu_x + e^- \to \nu_x + e^-$ | 350 | 260 | |
| Total | 3300 | 3770 | |

no oscillations



• Solar neutrinos

- High rate but background is an issue
- ~100 solar v's per day (limited to ⁸B physics)
- DSNB
 - Low rate and high background (challenging)
 - ~4 DSNB neutrino interactions per year

LBNF and DUNE CDR Volume 2: The Physics Program for DUNE at LBNF (arXiv:1512.06148)





DUNE: 40 kton LAr (SN @10 kpc)





Low-energy v detection channels

 $\nu_x + e^- \to \nu_x + e^ \nu_x + p \to \nu_x + p$

 $\bar{\nu}_e + p \to e^+ + n$

- Elastic scattering (ES)
 - Pointing information (e-)
 - Proton recoil (difficult)
- Inverse beta-decay (IBD)
 - High cross section
 - Neutron tagging
- Charged-currents (CC)
 - Different products

(de-excitation gammas, leptons, neutrons...)

- **Neutral-currents (NC)**
 - De-excitation gammas or neutrons

$$\nu + A \to \nu + A^*$$

$$\nu_x + A \to \nu_x + A$$



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Neutrino interactions at < 100 MeV



Low-energy neutrino signal in LAr

- Elastic scattering (ES) on electrons $\nu + e^{-} \rightarrow \nu + e^{-}$
- Charged-current (CC) interactions on Ar

 $\nu_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^-$ E $\nu_e > 1.5 \text{ MeV}$

 $\overline{\nu}_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{Cl}^* + e^+ \quad \text{E}\overline{\nu}_e > 7.48 \text{ MeV}$

• Neutral current (NC) interactions on Ar

$$\nu + {}^{40}\text{Ar} \rightarrow \nu + {}^{40}\text{Ar}^*$$
 Ev > 1.46 MeV



Possibility to separate the different channels by a classification of the associated photons from the K, Cl or Ar de-excitation (specific spectral lines for CC and NC) or by the absence of photons (ES)

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veCC final states: de-excitation ys

- Lack of precision models of low energy neutrino argon reactions
 - No measurements are available
 - Some efforts to study this problem with indirect beam sources and small-scale experiments
- Fermi transition to 4.38 MeV IAS ⁴⁰K
 - σ precisely known < 1%
 - Raghavan, PRD 34 (1986) 2088
- **GT transitions of various** ⁴⁰K:

Experimental data of β -decay of the mirror nucleus ⁴⁰Ti

- Ormand et al., Rhys. Lett. B 345 (1995) 343-350
- Trinder et al., Phys. Lett. B 415 (1997) 211-216
- Bhattacharya et al., Phys. Rev. C 58 3677 (1998)





Low energy neutrino interactions



MARLEY MC event generator is being integrated in the DUNE software

- Relative feeding of nuclear states is not precisely known
- Subsequent de-excitation γs are uncertain
 - Critical for energy reconstruction
- Highly excited ⁴⁰K can de-excite via n or p emission
 - Further complication of energy reconstruction



Challenges for low-E neutrino detection

Determination of low-energy v-Ar cross-sections

Knowledge of neutrino interactions (γ's deexcitation)

Lack of knowledge



Low energy neutrino interactions

- Simulation of 20 MeV v_e (14.1 MeV e-), MicroBooNE geometry
- De-excitation gammas produce diffuse compton-scatters
- Energetic electron has significant probability of bremsstrahlung (gammas are present even in absence of nuclear de-excitations)

How to reconstruct these small number of hits?



(simulated low-energy electron and gamma data from C. Adams)



Main low-energy backgrounds

- The main issue to understand
- They will constrain our capabilities for signal
- Neutron capture processes in detector materials
- **Radioactive backgrounds** in Ar and detector materials
- **Cosmogenics** by cosmic rays interaction with Ar
- Electronic noise

$$\nu_e+{}^{40}Ar \rightarrow {}^{40}K^* + e^{\scriptscriptstyle -}$$



veCC MC event

Neutron background sources:

- External source: natural radioactivity of the rock
- Internal source: radioactive contamination of the detector materials
- High energy muons

| Stable isotope | Abundance (%) | Process | σ (barns) | Q-value (MeV) |
|-------------------|------------------|--|--------------|------------------|
| ⁴⁰ Ar | 99.6 | $n + {}^{40}Ar \rightarrow {}^{41}Ar^* \rightarrow {}^{41}Ar + \gamma s$ | 0.66 | 6.099 |
| ³⁶ Ar | 0.337 | $n + {}^{36}Ar \rightarrow {}^{37}Ar^* \rightarrow {}^{37}Ar + \gamma$'s | 5.2 | 8.788 |
| ³⁸ Ar | 0.063 | $n + {}^{38}Ar \rightarrow {}^{39}Ar^* \rightarrow {}^{39}Ar + \gamma$'s | 0.8 | 6.598 |
| ²⁷ Al | 100 | $n + {}^{27}Al \rightarrow {}^{28}Al^* \rightarrow {}^{28}Al + \gamma$'s | 0.23 | 7.725 |
| ⁵⁶ Fe | 91.72 | $n + {}^{56}Fe \rightarrow {}^{57}Fe^* \rightarrow {}^{57}Fe + \gamma {}^{\circ}s$ | 2.59 | 7.646 |
| ⁵⁴ Fe | 5.8 | $n + {}^{54}Fe \rightarrow {}^{55}Fe^* \rightarrow {}^{55}Fe + \gamma^{c}s$ | 2.25 | 9.298 |
| ⁵⁷ Fe | 2.2 | $n + {}^{57}Fe \rightarrow {}^{58}Fe^* \rightarrow {}^{58}Fe + \gamma^{\epsilon}s$ | 2.48 | 10.045 |
| ⁵⁸ Fe | 0.28 | $n + {}^{58}Fe \rightarrow {}^{59}Fe^* \rightarrow {}^{59}Fe + \gamma^{\epsilon}s$ | 1.28 | 6.581 |

Hit reconstruction ($E_{detect_th} = 200 \text{ keV}$)



Challenges for low-E neutrino detection

Determination of low-energy v-Ar cross-sections

Knowledge of neutrino interactions (γ's deexcitation)

Lack of knowledge

Detector performance

 $v_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^{-}$

Triggering / DAQ

Low-energy event reconstruction and identification

Extraction from background

- Good time resolution
- Large data acquisition in a few seconds
- Ability to **tag** electrons and deexcitation gammas from nuclear transitions
- Measurement of **energy**, **time** and **direction** of events
- Good vertex resolution
- Low cosmic background
- Low radioactive background



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compton

Detector requirements for low-E v's

| Detector requirement/goal | Value | Main detector systems involved | Purpose |
|---|------------------------------|-----------------------------------|---|
| Trigger efficiency for interactions between 5-100 MeV | >90% | Trigger/DAQ & PD System | SN burst |
| Data acceptance without loss and buffer for at least 2 minutes | Non-zero suppression | DAQ | SN burst |
| Vertex resolution able to distinguish between SN v from entering or cosmogenic backg | ~cm | Photon Detection System & TPC | Background rejection |
| Reconstruction of cosmic muons and associated radiation | | TPC & PD System | Background rejection |
| Reconstruction efficiency for 5 MeV events | ~80% | Photon Detection System | Flavor-energy features of the SN spectrum |
| Particle Identification | | TPC & PD System | Identification of gamma cascades from low-E ν int. / Flavor tagging |
| Energy resolution for events of energy 5-100 MeV | < 10% | TPC & PD System | Features on the SN neutrino spectrum |
| Absolute time resolution | < 1 ms | DAQ & PD system | SN burst / Energy resolution |
| Angular resolution | $< 20^{\circ} (T_e > 5$ MeV) | TPC | Event direction |



Photons reaching optical detectors

- Average yield vs. position in the detector
 - Central region only to avoid over-emphasizing loss at walls
- Average $\boldsymbol{\epsilon}_{geo} = 4.7\%$
- Plot also includes 30% wire shadowing
- Total light: 24,600 x $\varepsilon_{geo} = 1,200 \text{ }\gamma/\text{MeV}$





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Reconstruction efficiency



- **Proton decay** reconstruction efficiency:
 - Assuming 200 MeV visible energy (conservative estimate)
 - With late light (the late light gives 4x increase in photons, but need electronics capable of 1 PE signals, which increases cost) >99% efficiency
- Supernova reconstruction efficiency
 - Only early light and requiring 2 coincident PEs -> For 5 MeV events, only 33% efficiency
 - Early + late light and requiring 2 coincident PEs (optimistic!) -> For 5 MeV events, 74% efficiency



Low-energy backgrounds: ³⁹Ar

- ³⁹Ar β -decays, ~500 keV endpoint (~12,000 photons)
- Energy is low but visible if close to the photodetectors
 - 3.5 γ 's if decay is close to the PDs
- Expected background rate: ~1.01 Bq/kg
- Photocoverage improvement increases sensitivity to background
- Algorithms to suppress ³⁹Ar in PDs are needed





| ³⁹ Ar photon background (in 2.7% of far detector) | | | |
|--|------|---------|--|
| Thresh. | SN ε | Bkgd | |
| 2 PE | 98% | 81 kHz | |
| 5 PE | 76% | 1.3 kHz | |
| 10 PE | 50% | 20 Hz | |



Background reduction

- It does not look impossible to separate ³⁹Ar from signal events (good spatial resolution)
- ³⁹Ar is a serious background issue for photodetectors
 - Rate depends on flash threshold
- ³⁹Ar can mimic low energy events so we need to use the photon detection information for trigger





Energy resolution

- Energy resolution depends on drift distance and electron lifetime
- The t₀ correction improves the energy resolution





Energy resolution

• If electron lifetime is worse (1.5 ms), the energy resolution is significantly degraded (~20%)



• With drift correction (from t_0 from photons), we get ~13% resolution



Information from prototypes

- Low-energy data information from LAr prototypes
 - Response from Michel electrons
 - Radioactive backgrounds / ³⁹Ar
 - Cosmogenics
 - Calibration with sources
 - Trigger/DAQ
 - Photons
 - Directionality
- Comparison between single- and dual-phase technologies



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Conclusions

- Detection of SN neutrino events is one of the main goals of future large underground detectors (primary scientific goal for DUNE)
 - Other low energy events can be detected with DUNE (solar vs, DSNB, ...)
- Important to understand the different low-energy v detection channels (cross-sections, signatures, directionality, reconstruction, timing, etc.) and the detector response
- Dedicated studies are needed to understand the low energy background sources and intensity (³⁹Ar and radiological backgs) and their separation from the low energy signals
- Many studies to be done to improve the low-energy event detection performance of future large underground detectors

