XIIIth International Conference on Heavy Quarks and Leptons, Blacksburg, Virginia

Charm mixing and CP violation at the LHCb experiment

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Outline



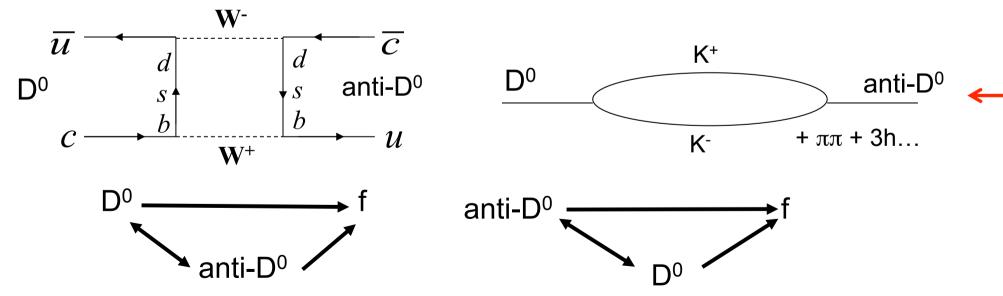
- Introduction
 - ♦ Why are we interested in charm physics?
 - \diamond SM predictions and phenomenology of CPV and D⁰ anti-D⁰ mixing
- Measurements of mixing and CPV in charm sector at LHCb (only a few last ones)
 - ♦ Observation of D⁰ anti-D⁰ mixing in D⁰ → K⁺ $\pi^{-}\pi^{+}\pi^{-}$
 - ♦ The difference of time-integrated CP asymmetry (ΔA_{CP}) in D⁰ → K⁺K⁻ and D⁰ → π⁺π⁻
 - ♦ The A_Γ asymmetry from $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$
- Summary and prospects

Why charm?



- Mixing and CP violation established in kaon and B sectors

 in charm, we observe mixing but so far there is no CPV observation
- In the SM, expected CPV in charm sector is very small, less than 10⁻³ (much smaller than it is observed in the beauty sector) but predictions vary widely
 New Physics contributions can enhance CPV up to 10⁻²
- There are three ways of CPV:
 - 1. in mixing (indirect), $D^0 \rightarrow anti-D^0 \neq anti-D^0 \rightarrow D^0$
 - 2. in decay amplitudes (direct), $D \rightarrow f \neq anti-D \rightarrow anti-f$
 - 3. in interference (indirect) between direct decays and decays with mixing



Perfect place for New Physics searching (small background from SM)

Mixing of neutral mesons

Neutral mesons can oscillate between matter and anti-matter:

$$i\frac{d}{dt} \begin{pmatrix} |D^0\rangle \\ |\overline{D}^0\rangle \end{pmatrix} = \begin{bmatrix} M_{11} \\ M_{12}^* \end{bmatrix}$$

 $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D^0}\rangle$

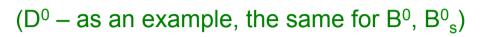
Two parameters describe mixing: mass difference Δm :

$$x \equiv \frac{m_2 - m_1}{\Gamma} = \frac{\Delta m}{\Gamma}$$

 $\begin{array}{ll} \text{experiment} & \text{theory} \\ \Delta m = M_H - M_L = 2|M_{12}|(1 + \frac{1}{8}\frac{|\Gamma_{12}|^2}{|M_{12}|^2}sin^2\phi + \ldots) \\ \Delta \Gamma = \Gamma_H - \Gamma_L = 2|\Gamma_{12}|cos\phi(1 - \frac{1}{8}\frac{|\Gamma_{12}|^2}{|M_{12}|^2}sin^2\phi + \ldots) \end{array}$

weak phase: $\phi \equiv arg(-M_{12}/\Gamma_{12})$

 Δm , $\Delta \Gamma$, ϕ – measured experimentally



decay width difference $\Delta\Gamma$:

$$y \equiv rac{\Gamma_2 - \Gamma_1}{2\Gamma} = rac{\Delta \Gamma}{2\Gamma}$$

$$m \equiv (m_1 + m_2)/2$$

$$\Gamma \equiv (\Gamma_1 + \Gamma_2)/2$$

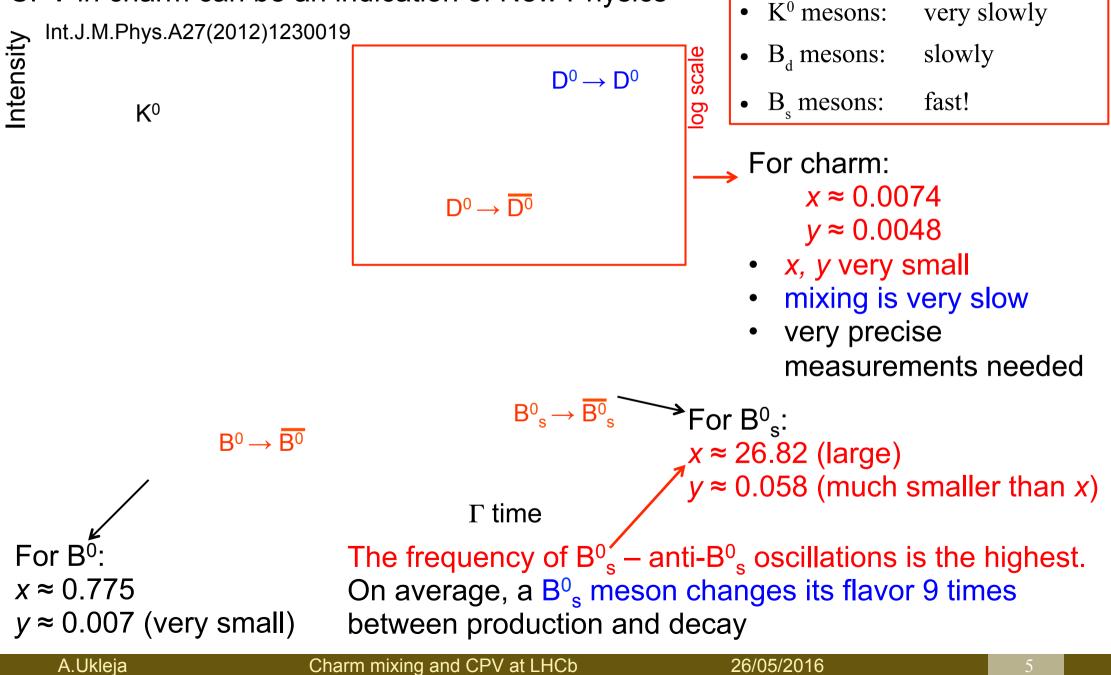


Mixing of neutral mesons

• D⁰ mesons:

very, very slowly

Significant enhancement of mixing or observation of CPV in charm can be an indication of New Physics

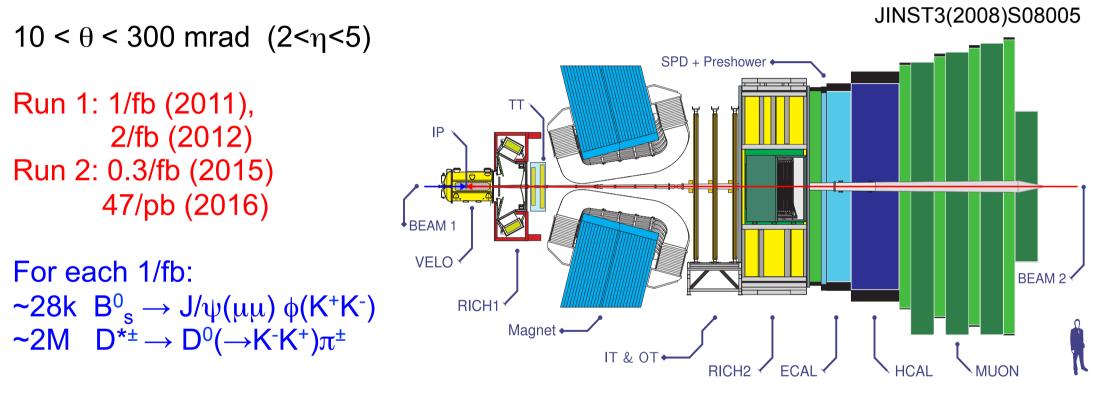


LHCb – precision detector



The single-arm forward spectrometer (a new concept for HEP experiments)

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\sigma(pp \to c\bar{c}) = \begin{array}{c} (1419 \pm 134)\mu b & @ \ 7\text{TeV} & \text{Nucl.Phys.B871(2013)1} \\ (2940 \pm 240)\mu b & @ \ 13\text{TeV} & \text{JHEP03(2016)159} \end{array}
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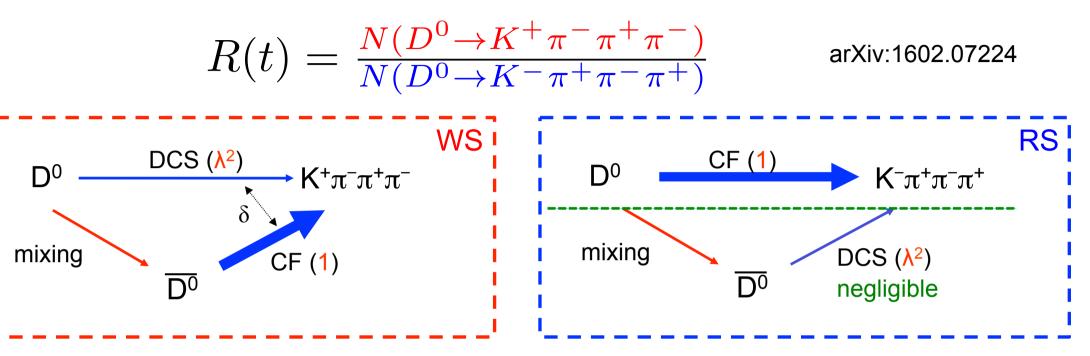


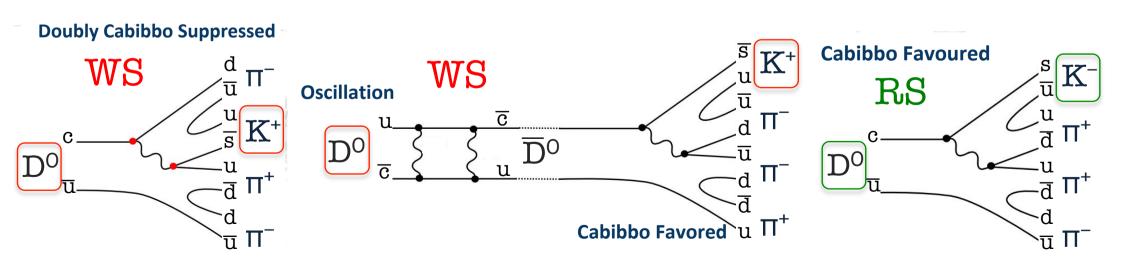
- VELO precision primary and secondary vertex measurements, resolution of IP: 20 μm, decay lifetime resolution ~ 45 fs: 0.1 τ(D⁰)
- Excellent tracking resolution: $\Delta p/p = 0.4\%$ at 5 GeV to 0.6% at 100 GeV
- RICH very good particle identification for π and K

D^0- anti-D^0 oscillation in $D^0\to K^+\pi^-\pi^+\pi^-$



Measure the time-dependent ratio of D⁰ decays with Wrong Sign to Right Sign



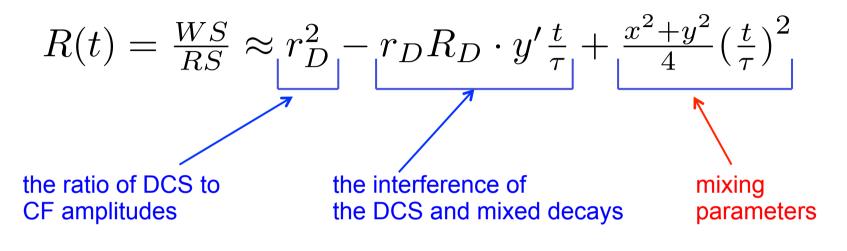




D^0 – anti- D^0 oscillation in $D^0 \rightarrow K^+\pi^-\pi^+\pi^-$

The mixing parameters are determined in a fit of the function to the time dependence

arXiv:1602.07224



$$y' = y\cos\delta - x\sin\delta$$

 δ is the strong phase difference between DCS and CF amplitudes $R_{\rm D}$ is the coherence factor

D^0- anti-D^0 oscillation in $D^0\to K^{+}\pi^{-}\pi^{+}\pi^{-}$

- LHCb, Run 1, 3/fb
- Initial flavor is tagged using: $D^{*+} \rightarrow D^0 \pi^+_s$, $D^{*-} \rightarrow anti-D^0 \pi^-_s$ (pion-tagged)

 $\Delta m \equiv m(K^{+}\pi^{-}\pi^{+}\pi^{-}\pi^{\pm}_{s}) - m(K^{+}\pi^{-}\pi^{+}\pi^{-})$

 $\times 10^{6}$ Right Sign: 11.4 x 10⁶ events Wrong Sign: 42 500 events $\underline{\times}10^3$ 1.6 Candidates / (0.1 MeV/ c^2) Candidates / (0.1 MeV/ c^2) 9Ē • RS candidates • WS candidates LHCb LHCb 1.4 8 Fit Fit 1.2 Background Background 0.8 0.6 3 0.4 2 0.2 0 0 145 150 140 145 140 150 155 155 $\Delta m \, [\text{MeV}/c^2]$ $\Delta m [MeV/c^2]$

 To study the time-dependence of the WS/RS ratio, the ∆m fitting procedure is repeated in ten D⁰ decay-time bins



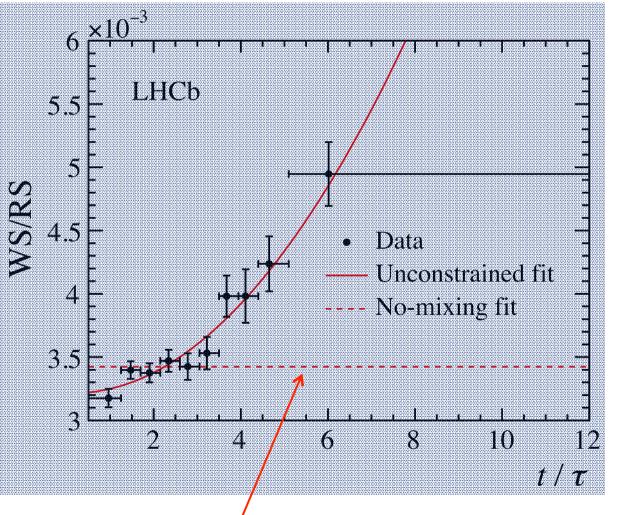
arXiv:1602.07224

D^0 – anti- D^0 oscillation in $D^0 \rightarrow K^+\pi^-\pi^+\pi^-$



arXiv:1602.07224

$$R(t) = \frac{WS}{RS} \approx r_D^2 - r_D R_D \cdot y' \frac{t}{\tau} + \frac{x^2 + y^2}{4} \left(\frac{t}{\tau}\right)^2$$



No-mixing hypothesis is rejected at 8.2σ

- First observation of D^0 anti- D^0 mixing in a decay other than $D \rightarrow K\pi$
- Fit results:

$$r_{D} = (5.50 \pm 0.07) \times 10^{-2}$$

$$R_{d}y' = (-3.0 \pm 0.7) \times 10^{-3}$$

$$x = (4.1 \pm 1.7) \times 10^{-3}$$

$$y = (6.7 \pm 0.8) \times 10^{-3}$$

• The parameters are required to determine CKM angle γ in $B^+ \rightarrow DK^+$ decays (D \rightarrow hh, D \rightarrow hh π^0 , D \rightarrow K_{0s}hh, D \rightarrow h $\pi\pi\pi$)

Time-integrated CPV in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays



We want to measure CP asymmetry between particles and antiparticles:

$$A_{CP} \equiv \frac{N(D^{0} \to h^{-}h^{+}) - N(\bar{D}^{0} \to h^{-}h^{+})}{N(D^{0} \to h^{-}h^{+}) + N(\bar{D}^{0} \to h^{-}h^{+})}$$

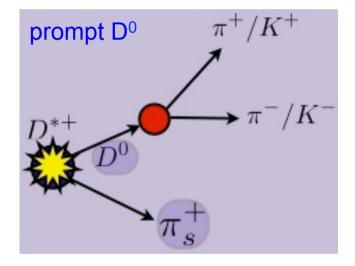
LHCb uses two statistically independent methods to tag the initial D⁰ flavor:

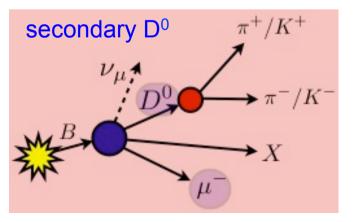
1) pion-tagged method (prompt D⁰)

 $D^{*+} \rightarrow D^0 \pi^+_s$ $D^{*-} \rightarrow anti-D^0 \pi^-_s$

PRL116(2016)191601

2) muon-tagged method (secondary D⁰) B⁻ (anti-B⁰) \rightarrow D⁰ μ^{-} anti- ν_{μ} X B⁺ (B⁰) \rightarrow anti-D⁰ $\mu^{+} \nu_{\mu}$ X JHEP07(2014)041





Time-integrated CPV in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays



Measured raw asymmetry A_{RAW} includes physics and detector effects:

 $A_{raw}(f) = A_{CP}(f) + A_{detection}(f) + A_{detection}(\pi_s^+) + A_{production}(D^{*+})$

CP asymmetry what we want to measure

Detector asymmetries of particles reconstruction from D^0 decays. They are identically zero for K⁻K⁺ and $\pi^{-}\pi^{+}$ since the final states are charge symmetric:

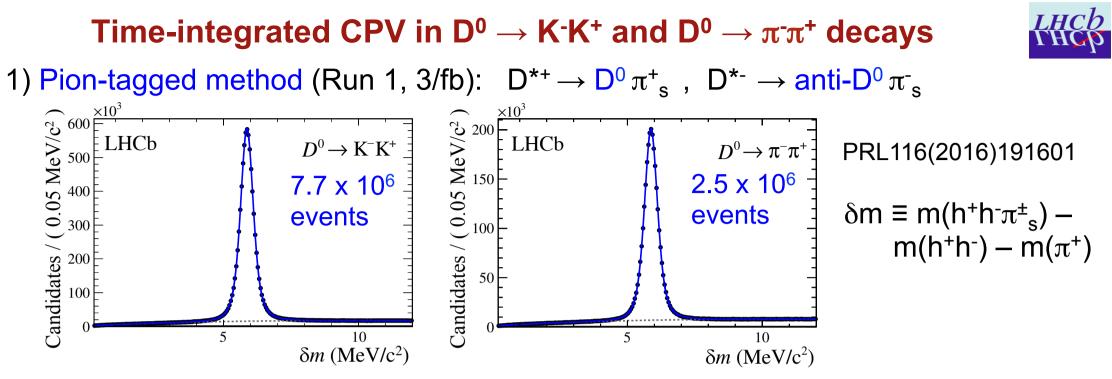
Detector asymmetries of π_s (μ) reconstruction.

Production asymmetries of D* (B) in primary vertex (different numbers of D*+ and D*- or B+ and B-)

 $A_D(K^-K^+) = A_D(\pi^-\pi^+) = 0$

• The asymmetries $A_{detection}(\pi^+s)$ and $A_{production}(D^{*+})$ are independent of the final state. They cancel in the difference:

$$\Delta A_{CP} \equiv A_{CP}(K^+K^-) - A_{CP}(\pi^+\pi^-) \\ = A_{raw}(K^+K^-) - A_{raw}(\pi^+\pi^-)$$



Signal yields and $A_{raw}(K^-K^+)$ and $A_{raw}(\pi^-\pi^+)$ are obtained from fits to the δm distributions of the $D^0 \to K^-K^+$ and $D^0 \to \pi^-\pi^+$ samples

 $\Delta A_{CP} = -0.10 \pm 0.08^{\text{stat}} \pm 0.03^{\text{syst}}$ %

This is the most precise measurement of a time-integrated CP asymmetry in the charm sector from a single experiment

2) Muon-tagged method (Run1, 3/fb): $B \rightarrow D^0 \mu^- X$ and $B \rightarrow anti-D^0 \mu^+ X$

$$\Delta A_{CP} = 0.14 \pm 0.16^{\text{stat}} \pm 0.08^{\text{syst}} \% \qquad \text{JHEP07(2014)041}$$

Both method agree

Interpretation of ΔA_{CP}



CP asymmetry is a combination of CPV components in decays and in mixing

$$\begin{array}{l} A_{CP}(f) \approx a_{CP}^{dir}(f)(1 + \frac{\langle t(f) \rangle}{\tau}y_{CP}) + \frac{\langle t(f) \rangle}{\tau}a_{CP}^{ind} \\ \hline \end{array} \\ \begin{array}{l} \text{[J.Phys. G39 (2012) 045005]} \end{array} \\ \begin{array}{l} \checkmark \\ \text{Lifetime of } \mathsf{D}^0 \left(\mathsf{PDG}\right) \end{array} \end{array}$$

Mean decay time in used sample (acceptances are a function of time for K⁻K⁺ and $\pi^{-}\pi^{+}$ are not the same)

 $\frac{\Delta A_{CP}}{\langle \bar{t} \rangle} \equiv A_{CP} (K^+ K^-) - A_{CP} (\pi^+ \pi^-) = \Delta a_{CP}^{dir} (1 + \frac{\langle \bar{t} \rangle}{\tau} y_{CP}) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{ind} \langle \bar{t} \rangle$ $\langle \bar{t} \rangle \text{ is the arithmetic average of } \langle t(\mathsf{K}^-\mathsf{K}^+) \rangle \text{ and } \langle t(\pi^- \pi^+) \rangle$

The difference and the average of the mean decay times in used samples are:

$$\frac{\Delta \langle t \rangle}{\tau} = 0.1153 \pm 0.0007 \pm 0.0018\%$$

$$\frac{\langle \bar{t} \rangle}{\tau} = 2.0949 \pm 0.0004 \pm 0.0159\%$$
PRL116(2016)191601
The contributions from CPV in mixing is suppressed and ΔA_{CP} is primarily sensitive to direct CPV

We can determine Δa^{dir}_{CP} since LHCb measures also a^{ind}_{CP} and y_{CP}

${\bf A}_{\Gamma}$ asymmetry – measurement of CPV in mixing



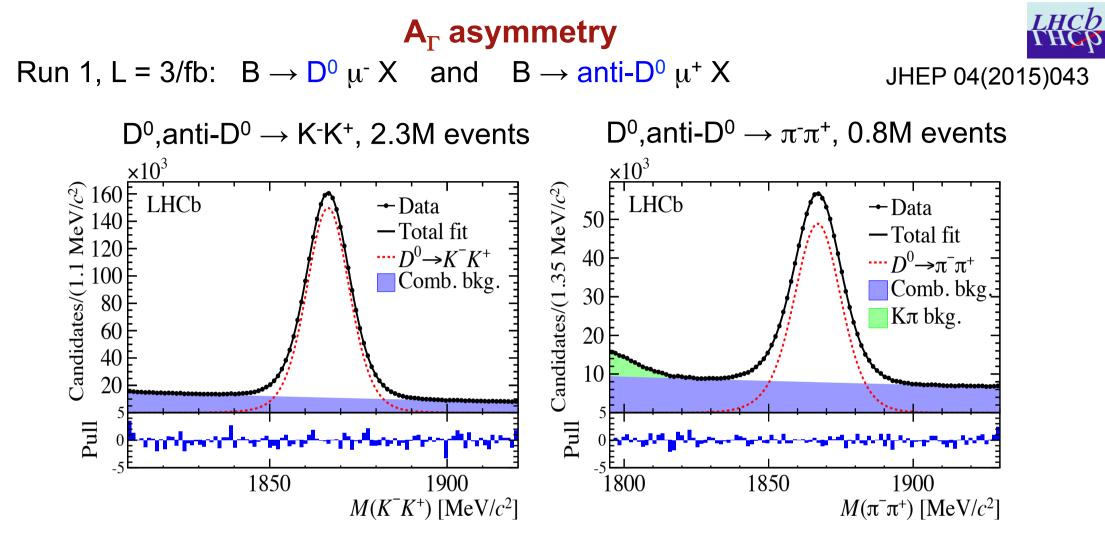
The asymmetry of the decay frequencies of D⁰ and anti-D⁰ to CP eigenstates: K⁻K⁺ and $\pi^{-}\pi^{+}$

$$A_{\Gamma} \equiv \frac{\Gamma(D^{0} \to K^{+}K^{-}) - \Gamma(\bar{D^{0}} \to K^{+}K^{-})}{\Gamma(D^{0} \to K^{+}K^{-}) + \Gamma(\bar{D^{0}} \to K^{+}K^{-})} \approx (\frac{1}{2}A_{m} + A_{d})y\cos\phi - x\sin\phi$$

$$A_{m} \equiv \frac{|q_{p}'|^{2} - |p_{q}'|^{2}}{|q_{p}'|^{2} + |p_{q}'|^{2}} \qquad A_{d} \equiv \frac{|A_{f}|^{2} - |\bar{A}_{f}|^{2}}{|A_{f}|^{2} + |\bar{A}_{f}|^{2}} \qquad \text{in the mixing} \qquad \text{in the decay amplitudes}$$

A_Γ makes a measurement of indirect CPV, as the contributions from direct CPV are measured to be small compared to the current precision M.Gersabeck et al, J.Phys.G39 (2012) 045005

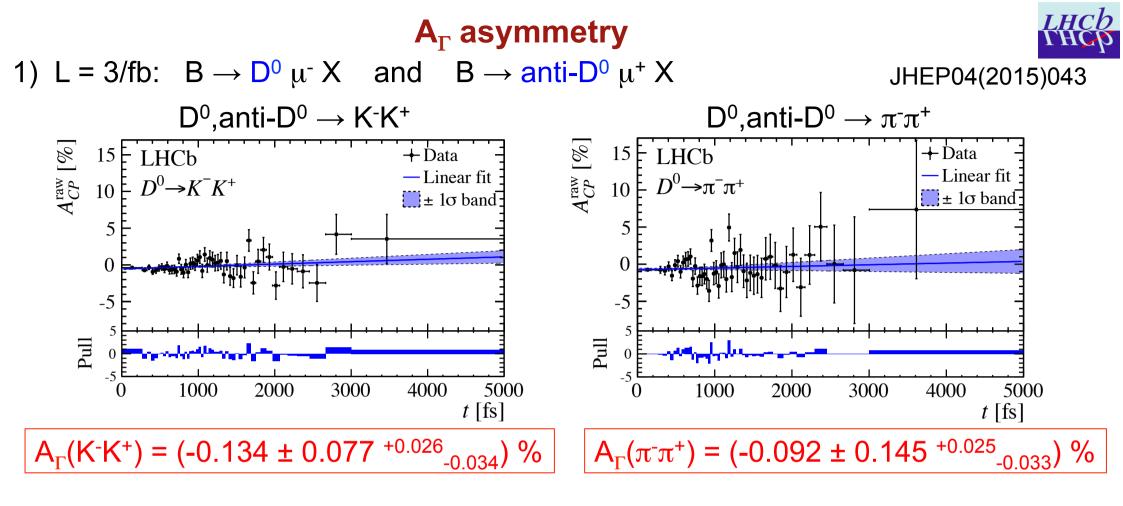
We measure A_{Γ} in two ways: 1) in $B \rightarrow D^0 \mu^- X$ and $B \rightarrow anti-D^0 \mu^+ X$ (JHEP 04 (2015) 043) 2) in $D^{*+} \rightarrow D^0 \pi^+_s$ and $D^{*-} \rightarrow anti-D^0 \pi^-_s$ (PRL 112 (2014) 041801)



- The raw CP asymmetry (A^{raw}) is determined from fits to the mass distributions in 50 bins of the D⁰ decay-time
- The value of A_{Γ} is determined from a fit of the function

$$A_{CP}^{raw}(t) \approx A_0 - A_{\Gamma} \frac{\iota}{\tau}$$

Phys.Rev.D85(2012)012009



2) Consistent with previous measurements, L=1/fb: $D^{*+} \rightarrow D^0 \pi^+_s$ and $D^{*-} \rightarrow anti-D^0 \pi^-_s$ PRL 112 (2014) 041801

 $A_{\Gamma}(K^{-}K^{+}) = (-0.035 \pm 0.062 \pm 0.012) \%$

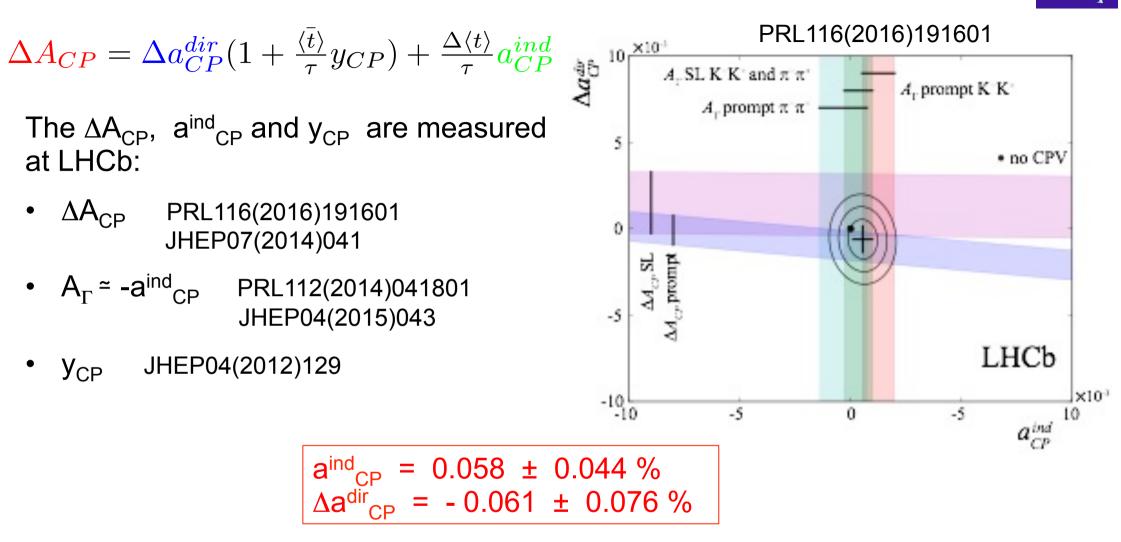
 $A_{\Gamma}(\pi^{-}\pi^{+}) = (0.033 \pm 0.106 \pm 0.014) \%$

- No significant difference between the two final states
- No evidence for indirect CPV within 1 per mil

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Charm mixing and CPV at LHCb

Summary of the measurements of CPV in $D^0 \to hh$



- Sensitivity of the measurement (10⁻⁴) is very close to the expectations of the Standard Model (\$ 10⁻³ but predictions vary widely)
- The common result is consistent with the hypothesis of CP symmetry with a p-value of 0.32

Summary and conclusions



So far:

- The LHCb has performed very well in Run 1 (2011+2012, 3/fb) confirming so far the robustness of the Standard Model
- LHCb makes many interesting charm measurements:
 - ♦ first observation of D⁰ anti-D⁰ mixing in the D⁰ → K⁺π⁻π⁺π⁻ decay (other than D → Kπ); no-mixing hypothesis is rejected at 8.2σ
 - ♦ all results are consistent with CP conservation in charm, but we are better than 1 per mil sensitivity for CP searches in (very close to the SM):

 $a^{ind}_{CP} = 0.058 \pm 0.044 \%$

 $\Delta a^{dir}_{CP} = A^{dir}_{CP}(K^-K^+) - A^{dir}_{CP}(\pi^-\pi^+) = -0.061 \pm 0.076 \%$

Future:

- Data are being recorded (Run 2): 2015-18 > 8/fb at \sqrt{s} =13 TeV
- Expand physics programme to more modes with charm decays
- LHCb upgrade (starting 2019) plans to collect ~50/fb data in 2022 and reach sensitivity which are comparable or better than theoretical uncertainties







LHCb upgrade



EPJ C73(2013)2373

Table 16: Statistical sensitivities of the LHCb upgrade to key observables. For each observable the current sensitivity is compared to that which will be achieved by LHCb before the upgrade, and that which will be achieved with $50 \,\text{fb}^{-1}$ by the upgraded experiment. Systematic uncertainties are expected to be non-negligible for the most precisely measured quantities. Note that the current sensitivities do not include new results presented at ICHEP 2012 or CKM2012.

Type	Observable	Current	LHCb	Upgrade	Theory
		precision	2018	$(50{\rm fb}^{-1})$	uncertainty
B_s^0 mixing	$2\beta_s \ (B^0_s \to J/\psi \ \phi)$	0.10 [138]	0.025	0.008	~ 0.003
	$2\beta_s \ (B^0_s \to J/\psi \ f_0(980))$	0.17 [214]	0.045	0.014	~ 0.01
	$a^s_{ m sl}$	$6.4 \times 10^{-3} \ [43]$	0.6×10^{-3}	0.2×10^{-3}	0.03×10^{-3}
Gluonic	$2\beta_s^{\text{eff}}(B_s^0 \to \phi\phi)$	_	0.17	0.03	0.02
penguins	$2\beta_s^{\text{eff}}(B_s^0 \to K^{*0}\bar{K}^{*0})$	—	0.13	0.02	< 0.02
	$2\beta^{\mathrm{eff}}(B^0 o \phi K^0_S)$	$0.17 \ [43]$	0.30	0.05	0.02
Right-handed	$2\beta_s^{\text{eff}}(B_s^0 \to \phi\gamma)$	—	0.09	0.02	< 0.01
currents	$ au^{ ext{eff}}(B^0_s o \phi \gamma) / au_{B^0_s}$	—	5~%	1%	0.2%
Electroweak	$S_3(B^0 \to K^{*0}\mu^+\mu^-; 1 < q^2 < 6 \text{GeV}^2/c^4)$	0.08[67]	0.025	0.008	0.02
penguins	$s_0 A_{\rm FB}(B^0 \to K^{*0} \mu^+ \mu^-)$	25%[67]	6~%	2%	7~%
	$A_{\rm I}(K\mu^+\mu^-; 1 < q^2 < 6 { m GeV^2/c^4})$	$0.25 \ [76]$	0.08	0.025	~ 0.02
	$\mathcal{B}(B^+ \to \pi^+ \mu^+ \mu^-) / \mathcal{B}(B^+ \to K^+ \mu^+ \mu^-)$	25%[85]	8~%	2.5%	$\sim 10 \%$
Higgs	$\mathcal{B}(B^0_s o \mu^+ \mu^-)$	$1.5 \times 10^{-9} [13]$	0.5×10^{-9}	0.15×10^{-9}	0.3×10^{-9}
penguins	$\mathcal{B}(B^0 o \mu^+ \mu^-) / \mathcal{B}(B^0_s o \mu^+ \mu^-)$	—	$\sim 100 \%$	$\sim 35\%$	$\sim 5 \%$
Unitarity	$\gamma \ (B \to D^{(*)} K^{(*)})$	$\sim 10 - 12^{\circ} [244, 258]$	4°	0.9°	negligible
triangle	$\gamma \ (B_s^0 \to D_s K)$	—	11°	2.0°	negligible
angles	$\beta \ (B^0 \rightarrow J\!/\psi \ K^0_{ m s})$	0.8° [43]	0.6°	0.2°	negligible
Charm	A_{Γ}	2.3×10^{-3} [43]	0.40×10^{-3}	0.07×10^{-3}	—
CP violation	$\Delta \mathcal{A}_{CP}$	$2.1 \times 10^{-3} [18]$	0.65×10^{-3}	0.12×10^{-3}	_

Mixing parameters

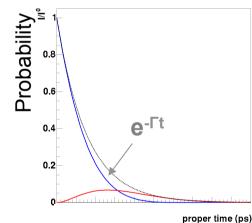


1. Compare ratio of lifetimes in D⁰ decays to the CP-even eigenstate f_{CP} ($D^0 \rightarrow K^+K^-$) with respect to decays to the CP non-eigenstate RS f_{non-CP} ($D^0 \rightarrow K^-\pi^+$):

$$y_{CP} \equiv \frac{\Gamma(D^0 \to f_{CP})}{\Gamma(D^0 \to f_{non-CP})} - 1 = \frac{\Gamma(D^0 \to K^+ K^-)}{\Gamma(D^0 \to K^- \pi^+)} - 1$$
$$= y_{\cos \phi} - \frac{1}{2} A_m x \sin \phi$$

 $cos\phi \neq 1$: CPV in interference between mixing and decay $A_m \neq 0$: CPV in mixing

if D⁰ only decays then disappearing is exponential but if D⁰-anti-D⁰ oscillates then disappearing is non exponential Test deviations from exponent



$$\begin{array}{l} |D_{1,2}\rangle = p |D^0\rangle \pm q |\bar{D^0}\rangle \\ \text{Mass difference:} \\ \boldsymbol{x} \equiv \frac{m_2 - m_1}{\Gamma} = \frac{\Delta m}{\Gamma} \\ \text{Width difference:} \\ \boldsymbol{y} \equiv \frac{\Gamma_2 - \Gamma_1}{2\Gamma} = \frac{\Delta\Gamma}{2\Gamma} \\ \text{Weak phase:} \\ \boldsymbol{\phi} \equiv arg(-M_{12}/\Gamma_{12}) \end{array}$$

2. Asymmetry of lifetimes in decays of D⁰ and anti-D⁰ to the CP eigenstate K⁺K⁻:

$$A_{\Gamma} \equiv \frac{\Gamma(D^0 \to f_{CP}) - \Gamma(\bar{D^0} \to f_{CP})}{\Gamma(D^0 \to f_{CP}) + \Gamma(\bar{D^0} \to f_{CP})} = \frac{\Gamma(D^0 \to K^+ K^-) - \Gamma(\bar{D^0} \to K^+ K^-)}{\Gamma(D^0 \to K^+ K^-) + \Gamma(\bar{D^0} \to K^+ K^-)}$$

 $\approx \frac{1}{2}(A_m + A_d)\cos\phi - x\sin\phi$

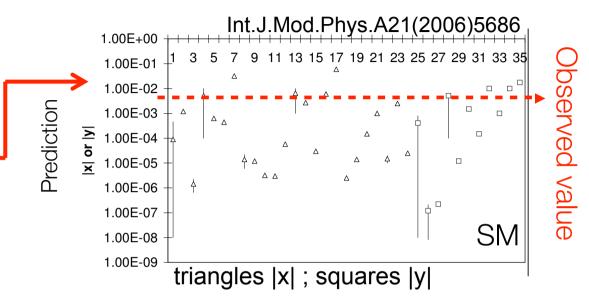
M.Gersabeck et al, J.Phys.G39 (2012) 045005

The measurement requires distinguishing the D⁰ flavors at the production state.

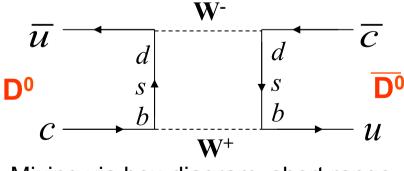
SM predictions for charm



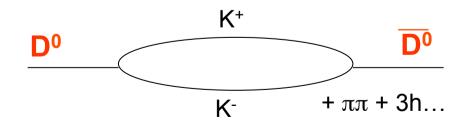
- In the Standard Model:
 - ♦ expected CPV in charm sector is small ≤ 10⁻³ (much smaller than in the beauty sector)
- ♦ SM predictions vary widely
 - ♦ New Physics contributions can enhance CPV up to 10⁻² Int.J.Mod.Phys.A21(2006)5381 ; Ann.Rev.Nucl.Part.Sci.58(2008)249



Perfect place for New Physics searching (small background from SM)



Mixing via box-diagram, short range



Mixing via hadronic intermediate states, long range (difficult to calculate)