

Status of the GERDA Experiment

Andrea Lazzaro
on behalf of the GERDA collaboration



E15

Chair for Experimental Physics
and Astroparticle Physics



Excellence Cluster Universe



HQL VirginiaTech, 23th May 2016

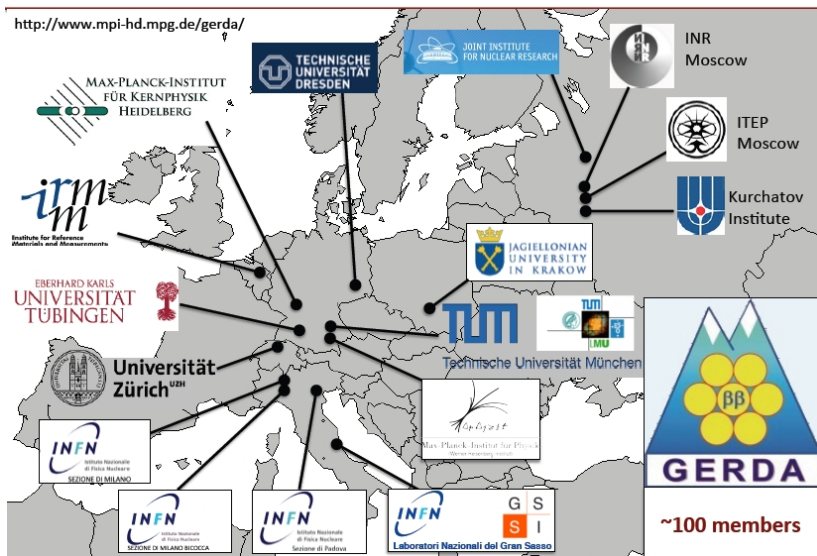
1 GERDA

2 Phase I Results

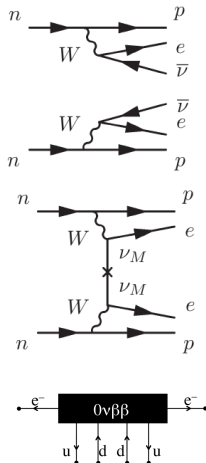
3 Upgrade to Phase II

4 Phase II first data

GERDA Collaboration



Double Beta Decay



Neutrino emission

$$(2\nu\beta\beta)$$

- $(A, Z) \rightarrow (A, Z + 2) + 2e^- + 2\bar{\nu}_e$
- Observed in 11 nuclides
- $T_{1/2} \in (10^{19} - 10^{24})$
- Detection of $\Sigma E_{e^-} < Q_{\beta\beta}$

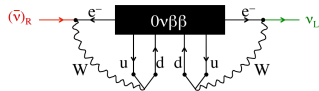
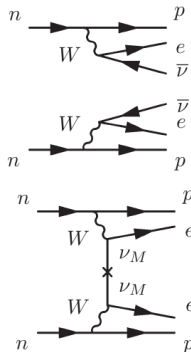
Neutrinoless

$$(0\nu\beta\beta)$$

- $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
- Never observed so far
- Lepton number not conserved
- Requires a Majorana ν
- Detection of $\Sigma E_{e^-} = Q_{\beta\beta}$
- For light Majorana ν exchange:

$$1/T_{1/2} \propto \langle m_{\beta\beta} \rangle^2$$

Double Beta Decay



$(\bar{\nu})_R \rightarrow \nu_L$: A Majorana mass term

Neutrino emission

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Neutrinoless

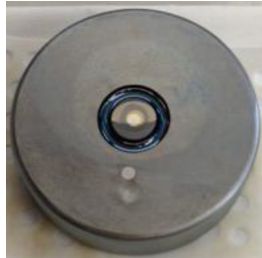
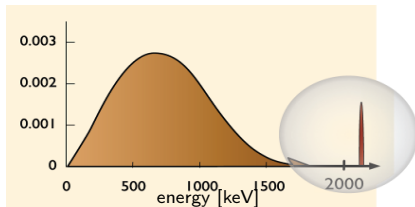
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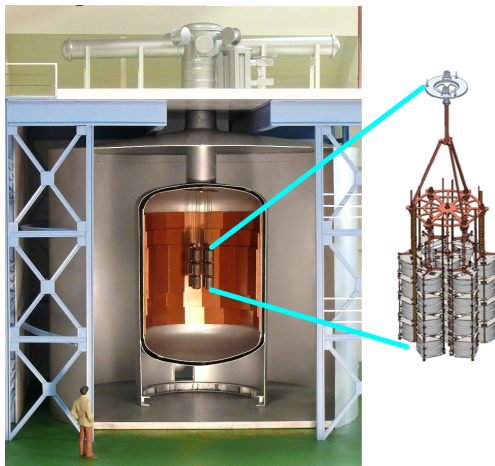
Experimental Search for Neutrinoless Double Beta in ^{76}Ge

- High purity semiconductor
- Enrichment: about 87% of ^{76}Ge
- $Q_{\beta\beta}$ value 2039 keV
- Resolution $\Delta E_{Q_{\beta\beta}} \sim 0.2\%$ (FWHM)
- High detection efficiency
- Modular
- Pulse shape discrimination of surface and multi-site events.



GERmanium Detector Array

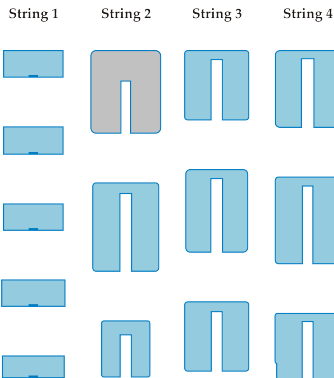
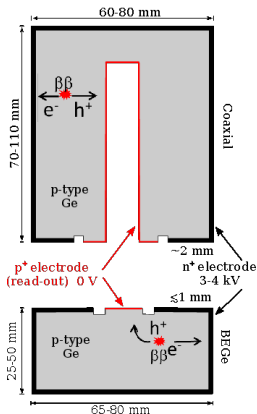
- LNGS Laboratory
- Clean room:
 - Insertion system
 - Calibration system
- Water tank w/
Cherenkov veto
- Steel cryostat
(64 m³ LAr)
- Array



[Eur. Phys. J. C 73 (2013) 2330]

GERDA HPGe Detectors: Coaxial and BEGe

Phase I detectors layout

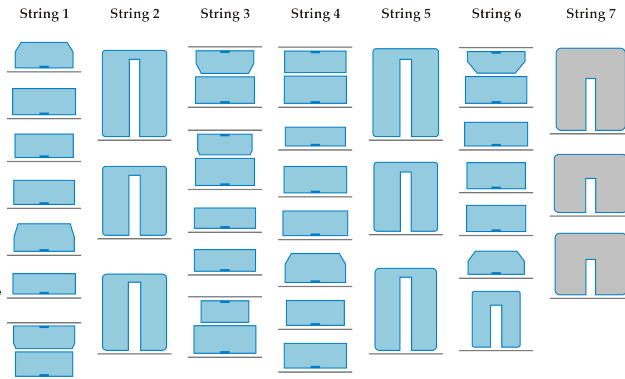
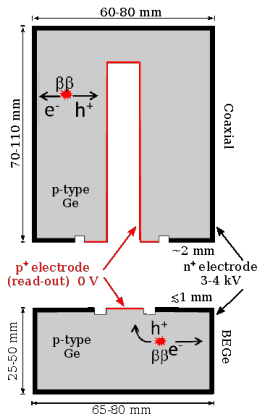


Coaxial mass:
 $17.7 + 3.0$ kg
 ~ 2 kg/det

BEGe mass:
 3.63 kg
 ~ 0.7 kg/det

GERDA HPGe Detectors: Coaxial and BEGe

Phase II detectors layout



Coaxial mass:
15.8 + 7.6 kg
~ 2 kg/det

BEGe mass:
20.0 kg
~ 0.7 kg/det

Phase I: Data Taking (2011-2013)

Nov2011 - May2013 (492 d)

5 BEGe det. from June 2012

Energy resolution
FWHM at 2 MeV

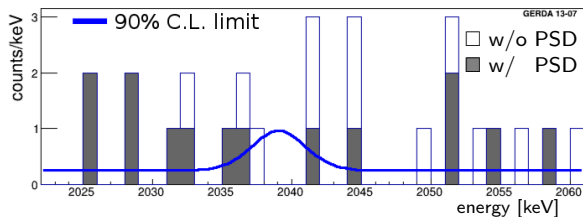
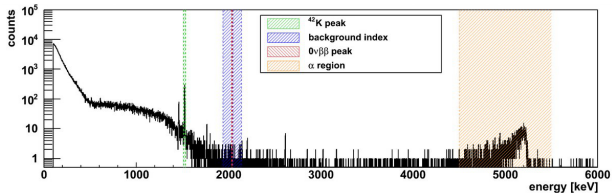
- BEGe: $\sim 3.2 \pm 0.2$ keV
- Coaxial: $\sim 4.8 \pm 0.2$ keV

Data Set	Exposure		B.I.*
Golden	17.9	kg · yr	11^{+2}_{-2}
Silver	1.3	kg · yr	30^{+11}_{-9}
BEGe	2.4	kg · yr	5^{+4}_{-3}

*in 230 keV interval [10^{-3} cts/(keV · kg · yr)]



Phase I: Current Limit for ^{76}Ge $0\nu\beta\beta$ Half-Life



Limit:

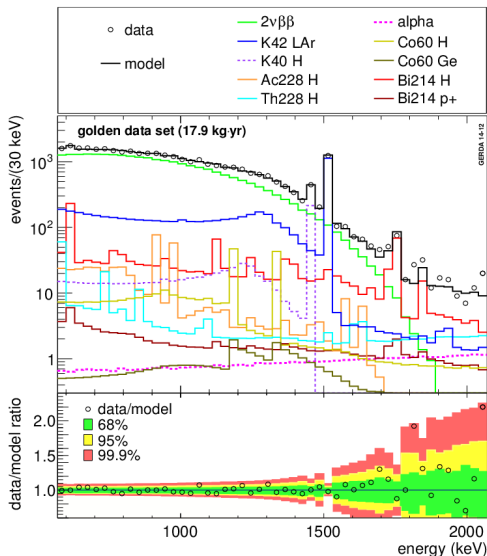
$$T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25} \text{ yr} \quad m_{\beta\beta} < 0.2 - 0.4 \text{ eV}$$

(90% C.L.)

- Blind analysis
- Exposure:
21.6 kg · yr
- Efficiency:
 $\epsilon = f_{76} f_{av} \epsilon_{fep} \epsilon_{psd}$
 - 62% for Coaxial
 - 66% for BEGe
- Sensitivity:
 $2.4 \cdot 10^{25} \text{ yr}$
- $2039 \text{ keV} \pm 1\sigma$
0 counts
- Best fit:
0 signal counts

[P.R.L. 111 (2013) 122503]

Phase I: Measurement of ^{76}Ge $2\nu\beta\beta$ Half-Life

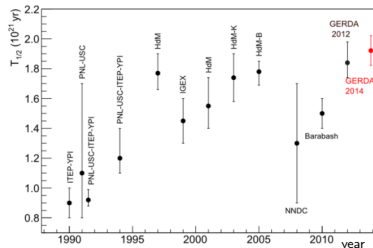


[Eur. Phys. J. C 75 (2015) 416]

- Golden data-set (17.9 kg · yr)
- Signal-to-background ratio:
3:1 (570–2039) keV
4:1 (600–1800) keV
- Uncertainties ^{76}Ge mass (4%)

$2\nu\beta\beta$ $T_{1/2}$:

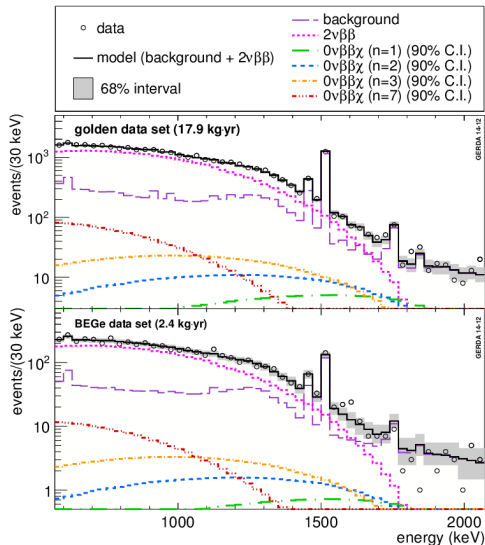
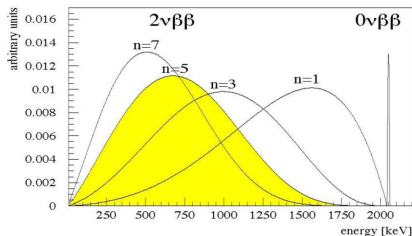
$$T_{1/2}^{2\nu\beta\beta} = 1.926 \cdot 10^{21} \text{ yr} \\ (+0.025 \pm 0.092)$$



Phase I: Limits on Double Beta with Majoron(s) Emission

- Golden + BEGe data-set (20.3 kg · yr)
- $2\nu\beta\beta$ spectral index $n = 5$
- Test of models
- Limits improved ~ 6 times $n = 1, 3, 7$; first limit for $n = 2$

n	$T_{1/2}^{0\nu\chi} (90\% C.I.)$
1	$> 4.2 \cdot 10^{23}$ yr
2	$> 0.8 \cdot 10^{23}$ yr
3	$> 1.8 \cdot 10^{23}$ yr
7	$> 0.3 \cdot 10^{23}$ yr



[Eur. Phys. J. C 75 (2015) 416]

Phase I: Search for $2\nu\beta\beta$ to Excited States

- Search for $2\nu\beta\beta$ and $0\nu\beta\beta$ to ^{76}Se excited states.

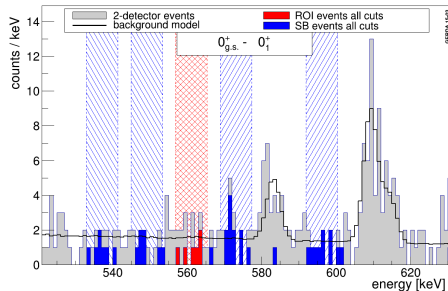
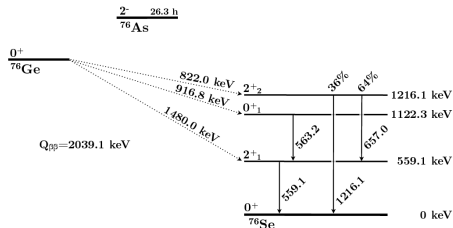
- Benchmark for NME.

- Coincidence signature
 Det.1: $\beta\beta$ energy
 Det.2: γ full energy

Decay Mode	$T_{1/2}$ [10^{23} yr]
$0_{g.s.}^+ - 2_1^+$	> 1.6
$0_{g.s.}^+ - 0_1^+$	> 3.7
$0_{g.s.}^+ - 2_2^+$	> 2.3

90% C.L. limits

[J. Phys. G42 (2015) 115201]
 Highlights of 2015



Phase II: How to increase one order of magnitude the sensitivity

Goals:

Sensitivity above $1 \cdot 10^{26}$ yr

Exposure $\sim 100 \text{ kg} \cdot \text{yr}$

Background index

$10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$

What's new?

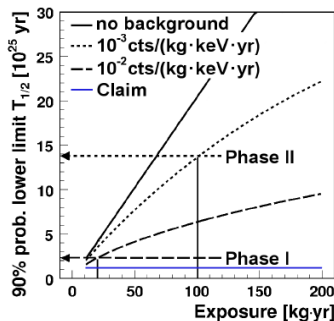
30 custom BEGe detectors ($\sim 20 \text{ kg}$)

Background veto

if $b \cdot \delta e \cdot m \cdot t \gg 1$

$$S(t_{1/2}^{0\nu}) \propto \epsilon \cdot a \cdot \sqrt{\frac{m \cdot t}{b \cdot \delta e}}$$

ϵ	efficiency	m	target mass
a	enrichment	b	background index
δe	E. resolution		



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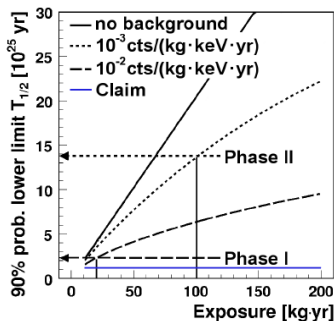
30 custom BEGe detectors ($\sim 20 \text{ kg}$)

Background veto

$$\text{if } b \cdot \delta e \cdot m \cdot t = 0$$

$$S(t_{1/2}^{0\nu}) \propto \epsilon \cdot a \cdot m \cdot t$$

ϵ	efficiency	m	target mass
a	enrichment	b	background index
δe	E. resolution		



Phase II: How to increase one order of magnitude the sensitivity

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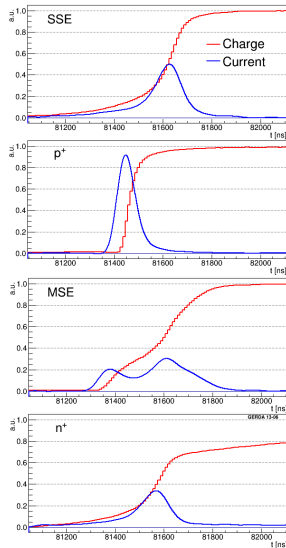
$10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$

What's new?

30 custom BEGe detectors ($\sim 20 \text{ kg}$)

- energy resolution
 $\sim 3 \text{ keV FWHM @ } 2 \text{ MeV}$
- pulse shape discrimination
 of bulk single site events against
 surface events ($\alpha\&\beta$) and MSE

Background veto



Phase II: How to increase one order of magnitude the sensitivity

Goals:

Sensitivity above $1 \cdot 10^{26}$ yr

Exposure $\sim 100 \text{ kg} \cdot \text{yr}$

Background index
 $10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$

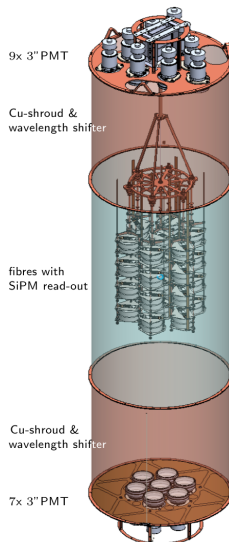
What's new?

30 custom BEGe detectors ($\sim 20 \text{ kg}$)

- energy resolution
 $\sim 3 \text{ keV FWHM @ } 2 \text{ MeV}$
- pulse shape discrimination
 of bulk single site events against
 surface events (α & β) and MSE

Background veto

- LAr scintillating read-out
- better anti-coincidence



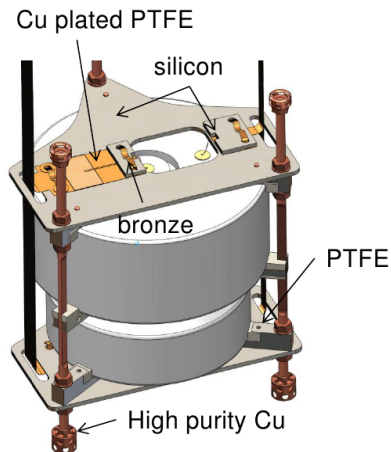
The Phase II Array

Holder:

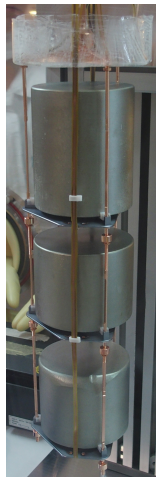
Bonded contacts

Low mass structure

Cu & mono-crystalline Si plates



The Phase II Array



Holder:

Bonded contacts

Low mass structure

Cu & mono-crystalline Si plates

String:

Up to 8 BEGes or 3 coaxials

Nylon mini-shroud
to avoid collection of ^{42}K

The Phase II Array



Holder:

Bonded contacts

Low mass structure

Cu & mono-crystalline Si plates

String:

Up to 8 BEGes or 3 coaxials

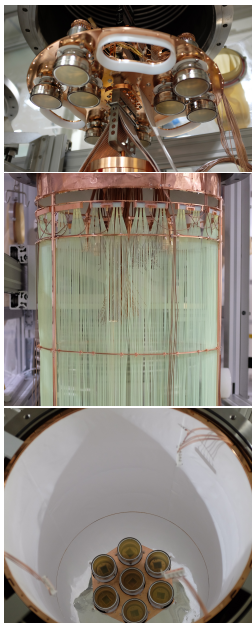
Nylon mini-shroud
to avoid collection of ^{42}K

Array:

7 strings of HPGe

LAr veto: PMTs and fiber curtain

Liquid Argon Veto



- Ionization in LAr

- UV Scintillation

- Shift to visible light

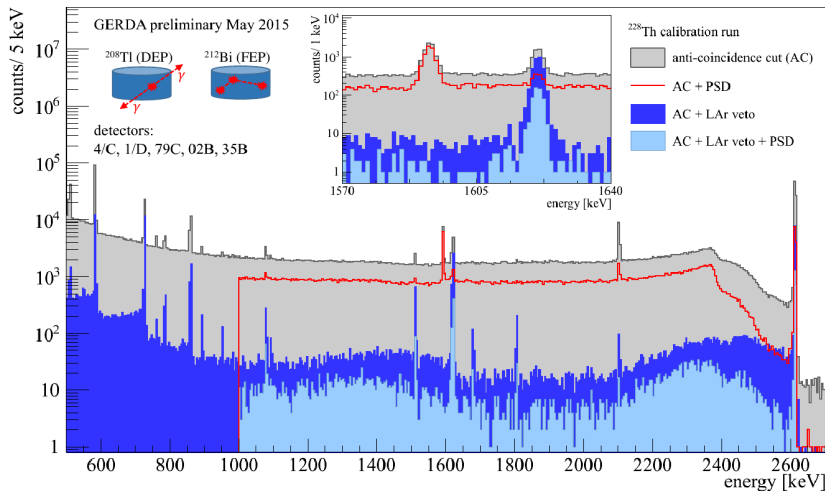
Wavelength-shifter coating:

- mini-shroud
- copper shroud
- optical fiber

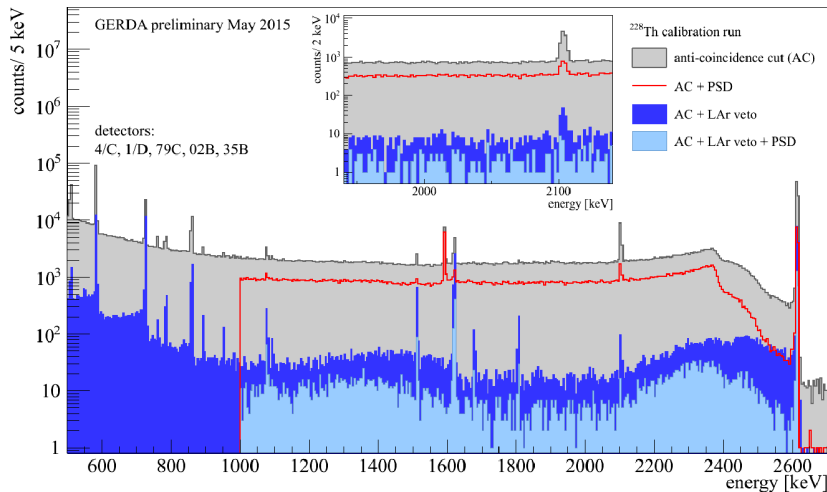
- Light Detection

- top plate 9 PMT's
- SiPM's coupled to each fiber
- bottom plate 7 PMT's

PSD and LAr Veto synergy on suppression for Th



PSD and LAr Veto synergy on suppression for Th



Phase II taking data since Dec. 2015

Status:

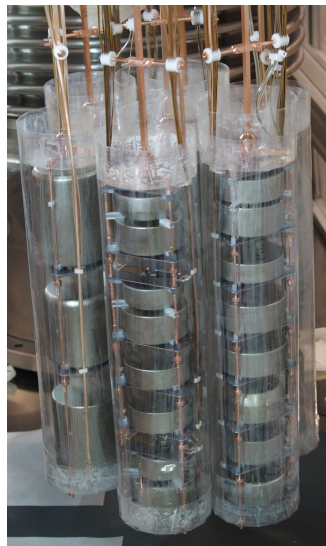
- December 20th, Phase II start taking data
- 7 strings – 40 detectors
- exposure by 11th Feb 2016: $\sim 2.6 \text{ kg} \cdot \text{yr}$

Energy Resolution:

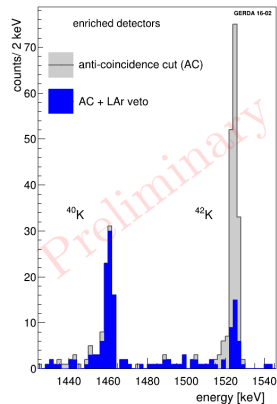
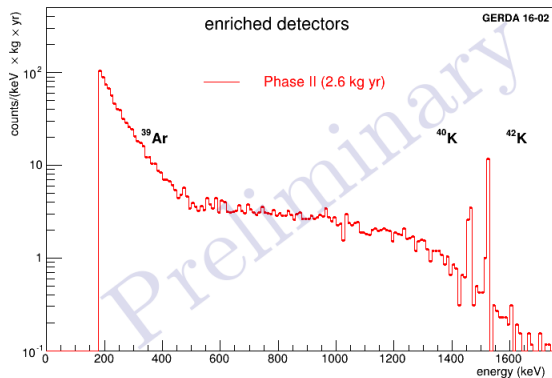
(FWHM at 2.6 MeV)

- BEGe: $\sim 3.4 \text{ keV}$
- Coaxial: $\sim 4.0 \text{ keV}$

30 enr. BEGe det. (20.0 kg)
7 enr. Coaxial det. (15.8 kg)
3 nat. Coaxial det. (7.6 kg)



First Background Spectrum shows no surprises

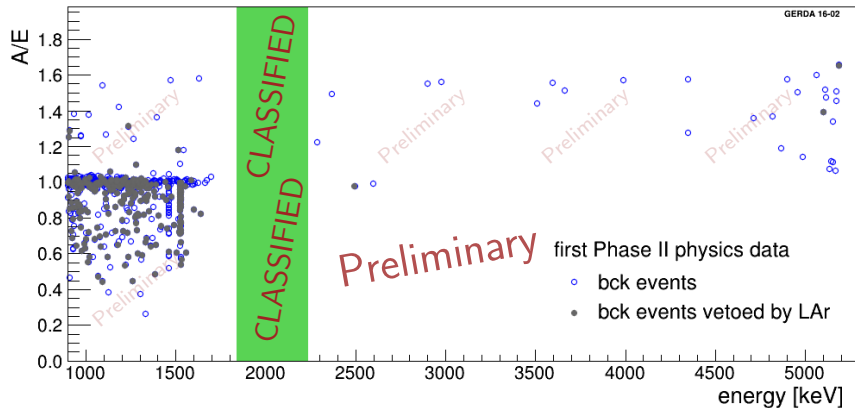


survival probability:

^{40}K (98 ± 4)%

^{42}K (18 ± 4)%

BEGe's Pulse Shape Analysis



Summary

Phase I

Further analysis of data from the first phase of GERDA:

- $2\nu\beta\beta$ half-life
- $0\nu\beta\beta\chi$, $0\nu\beta\beta\chi\chi$ limits
- $2\nu\beta\beta$ to excited states

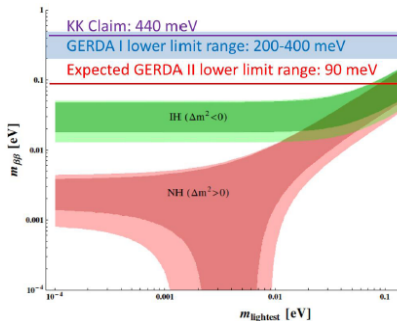
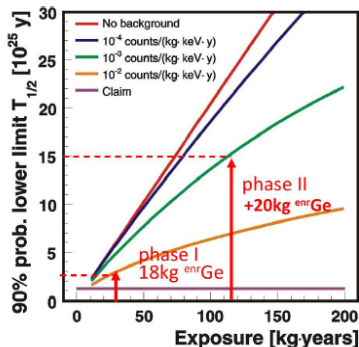
Phase II Status & Performance

- start data taking December 20, 2015
- 40 HPGe detectors are currently operated in GERDA
- ~ 35 kg of enriched ^{76}Ge ($\sim 87\%$)
- LAr veto system is working
- about $2.6 \text{ kg} \cdot \text{yr}$ of exposure until Feb2016
- no unforeseen sources of background have been observed



Thank you!

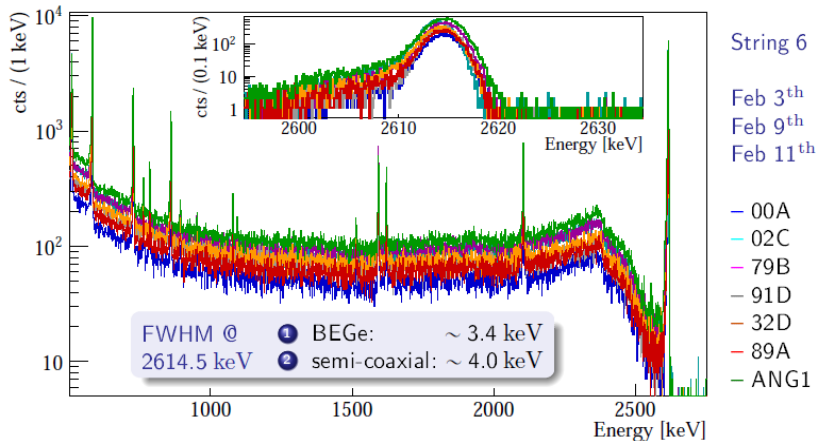
GERDA Sensitivity



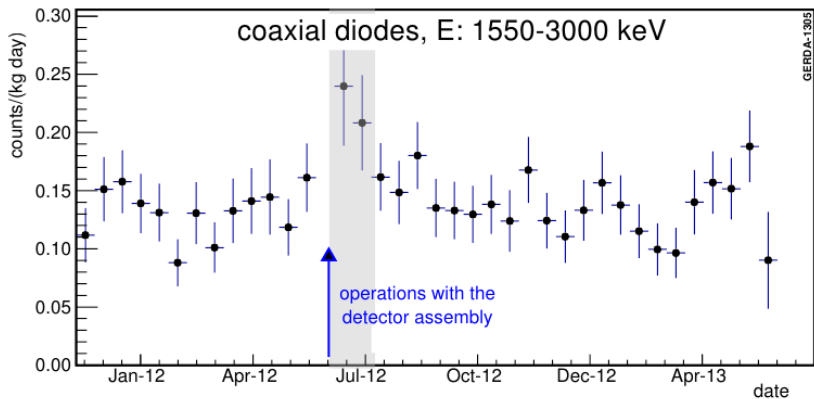
DellOro, Marcocci, Vissani,
hep-ph/1404.2616v1

reach $T_{1/2}^{0\nu} \sim 1.5 \cdot 10^{26}$ yr (exposure 120 kg·yr) $\Rightarrow m_{\beta\beta} \lesssim 90 - 150$ meV

Commissioning Run - Energy Calibration



Phase I count-rate: Silver and Golden data-set.



$0\nu\beta\beta$ half-life limits

$$T_{1/2}^{0\nu\beta\beta} = \ln 2 \frac{N_A}{m_{enr}} \frac{M \cdot t}{N_{0\nu}} \cdot \varepsilon_{76} \cdot \epsilon_{av} \cdot \epsilon_{fep} \cdot \epsilon_{psd}$$

Data Set	$M \cdot t [\text{kg} \cdot \text{y}]$	ε_{76}	ϵ_{av}	ϵ_{fep}	ϵ_{psd}
Golden	17.9	0.86	0.87	0.92	0.90
Silver	1.3	0.86	0.87	0.92	0.90
BEGe	2.4	0.88	0.92	0.90	0.92

- 3 fit in 1930-2190 keV
- constant background levels
- gauss peak for $0\nu\beta\beta$
 $\mu = 2039$
 $\sigma_{coax} = 2.0$ $\sigma_{BEGe} = 1.4$
- 4 free parameter
 (bkg levels & $T^{0\nu}$)

Bayes:

$$T_{1/2}^{0\nu\beta\beta} > 1.9 \cdot 10^{25} \text{ y at 90\% C.I.}$$

flat T prior ($0 - 10^{24} \text{ y}$) $\rightarrow$ best fit $N_{0\nu} = 0$
 (sensitivity = 2.0 1025 y)

Frequentist:

$$T_{1/2}^{0\nu\beta\beta} > 2.1 \cdot 10^{25} \text{ y at 90\% C.L.}$$

profile likelihood fit $\rightarrow$ best fit $N_{0\nu} = 0$
 (sensitivity = 2.4 1025 y)

Combining:

$$T_{1/2}^{0\nu\beta\beta} > 3.0 \cdot 10^{25} \text{ y at 90\% C.L.}$$

GERDA + HdM + IGEX spectra

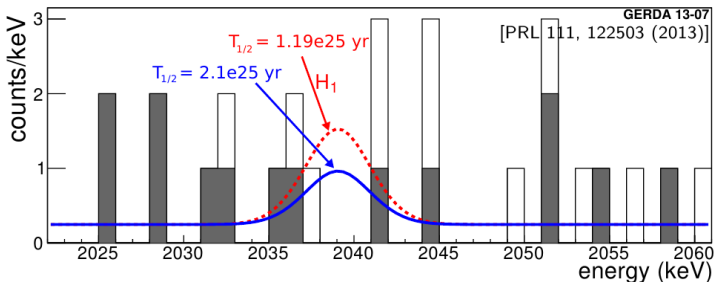
Comparison with Phys.Lett. B586 198 (2004) claim

Hypothesis test:

$$H_1 (T_{1/2}^{0\nu\beta\beta} = 1.19^{+0.37}_{-0.23} \cdot 10^{25} \text{ y} + \text{bkg})$$

vs

$$H_0 (\text{bkg only})$$

In $Q_{\beta\beta} \pm 2\sigma_E$ (after PSD):expected 5.9 ± 1.4 signal ctsexpected 2.0 ± 0.3 bkg cts

observed 3 cts

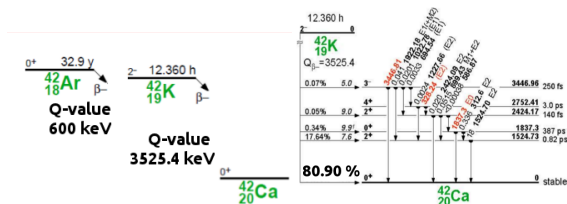
GERDA only:► profile likelihood $P(N_{0\nu\beta\beta} = 0 | H_1) = 0.01$ ► Bayes factor $P(H_1)/P(H_0) = 2.4 \cdot 10^{-2}$ GERDA+IGEX+HdM:► Bayes factor $P(H_1)/P(H_0) = 2 \cdot 10^{-4}$

—> claim strongly disfavoured

$T_{1/2}^{0\nu\beta\beta}$ from Mod. Phys. Lett. A 21 (2006) 1547 is not considered because of inconsistencies (i.e. missing efficiency factors, problem in the conversion from counts to $T_{1/2}^{0\nu\beta\beta}$) pointed out in Ann. Phys. 525 (2013) 269

^{42}K in GERDA

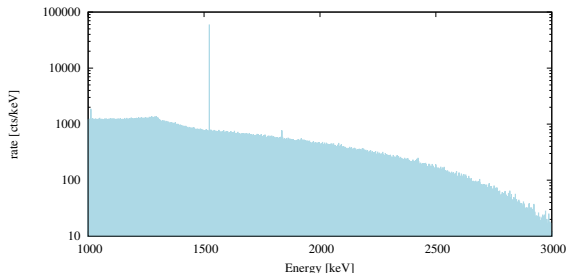
Cosmogenic ^{42}Ar (32.9 yr), followed by ^{42}K (12.36 h)



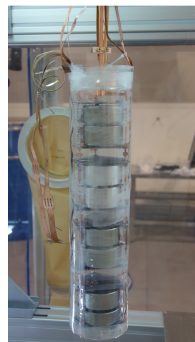
Phase I copper mini-shroud



Monte Carlo - ^{42}K on detector surface in LAr



Decay scheme of ^{42}K showing β^- decay to ^{42}Ar and ^{42}Ca , and electron capture (EC) to ^{42}Ca . The half-life is 12.360 h, and the Q-value is 3525.4 keV. The branching ratios are 0.07%, 0.05%, 0.34%, 17.64%, and 80.90%.



Nylon mini-shroud
with wavelength
shifter

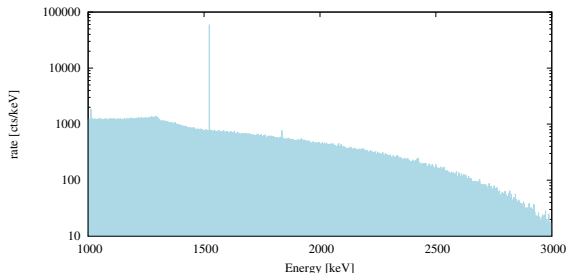
The diagram illustrates the decay scheme of ^{42}K (half-life 12.360 h, $Q_{\beta^-} = 3525.4$ keV). It shows three primary decay paths:

- Electron Capture (EC) to ^{42}Ar :** $^{42}\text{K} \xrightarrow{\text{EC}} ^{42}\text{Ar}$ with a half-life of 32.9 y. The ^{42}Ar nucleus is stable.
- β^- **Decay to ^{42}Ca :** $^{42}\text{K} \xrightarrow{\beta^-} ^{42}\text{Ca}$ with a half-life of 12.360 h. The ^{42}Ca nucleus is stable.
- Electron Capture (EC) to ^{42}Sc :** $^{42}\text{K} \xrightarrow{\text{EC}} ^{42}\text{Sc}$ with a half-life of 12.360 h. The ^{42}Sc nucleus is stable.

Branching ratios and Q -values for the β^- decay to ^{42}Ca are provided:

Decay Mode	Branching Ratio (%)	Q -value (keV)
β^- to ^{42}Ca (ground state)	80.90 %	3525.4
β^- to ^{42}Ca (excited state)	17.64 %	3446.96
β^- to ^{42}Ca (excited state)	0.34 %	2752.41
β^- to ^{42}Ca (excited state)	0.05 %	2424.17
β^- to ^{42}Ca (excited state)	0.07 %	2424.17

Monte Carlo - ^{42}K on detector surface in LAr



Nylon mini-shroud
with wavelength
shifter

Surface background (^{42}K)

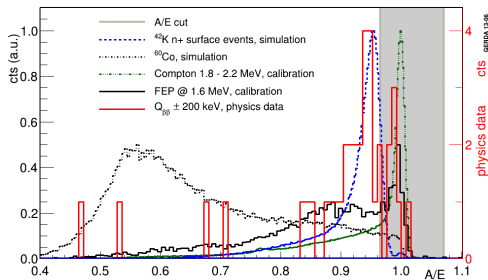
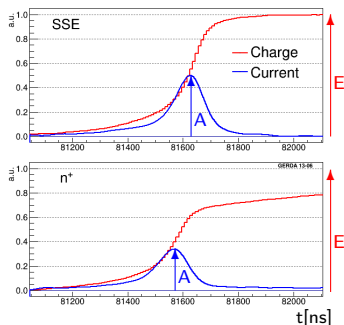
n+ surface pulses

Longer charge collection

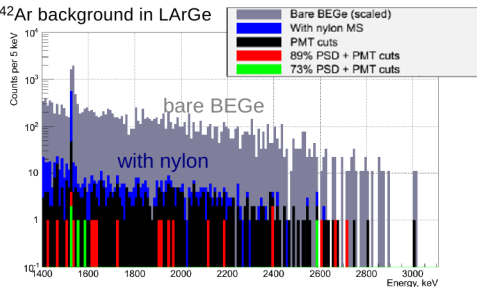
Efficient pulse shape discrimination

Phase I BEGe SF: 8

Test with β -emitter SF: ~ 100

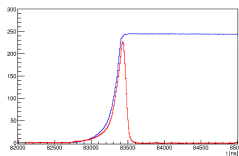
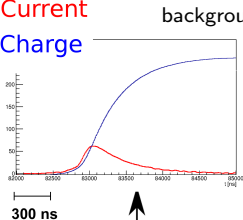


^{42}Ar background in LArGe

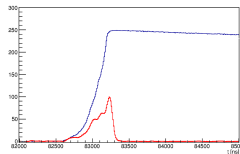
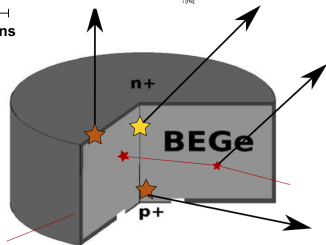


BEGe's PSD: Current and Charge Amplitudes Ratio (A/E)

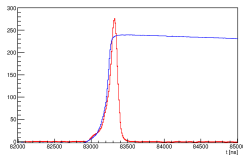
— Current
— Charge



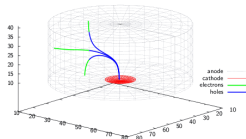
$0\nu\beta\beta$ signals



background



background



BEGe's PSD: Current and Charge Amplitudes Ratio (A/E)

